

# The Composition Tree

Eamonn O'Brien

University of Auckland

August 2011

$$G = \langle X \rangle \leq \mathrm{GL}(d, q).$$

- 1 Determine (at least one of) its Aschbacher categories.
- 2 If  $N \triangleleft G$  exists, process  $N$  and  $G/N$  recursively.
- 3 Otherwise  $G$  is either classical group in natural representation or  $T \leq G/Z \leq \mathrm{Aut}(T)$  where  $T$  is simple.
  - ▶ “Reduce” from  $G$  to quasisimple group  $L$ .
  - ▶ Name  $L$ .
  - ▶ Set up “effective” isomorphisms between  $L$  and its standard copy  $S$ .

$L \leq G/Z \leq \text{Aut}(L)$  so  $G \simeq Z.L.E.$

- ▶ Use determinant map to ensure that  $|Z|$  is a divisor of  $\gcd(d, q - 1)$ .
- ▶ Calculate the stable derivative  $D = G^{(\infty)}$  of  $G$ .
- ▶ Construct  $\phi : G \mapsto E$  by letting  $G$  act on cosets of  $H = \langle Z, D \rangle$ .

$$Hx = Hy \iff xy^{-1} \in H$$

Use “order of element modulo normal subgroup” algorithm to determine to decide membership in  $H$ .

# The composition tree for $G$

Bäärnhelm, Leedham-Green & O'B  
Neunhöffer & Seress



- ▶ Node: section  $H$  of  $G$ .
- ▶ Image  $I$ : image under homomorphism or isomorphism. Images correspond to Aschbacher category, but also others e.g determinant map.
- ▶ Kernel  $K$ .
- ▶ **Leaf** is “composition factor” of  $G$ : simple modulo scalars. Cyclic not necessarily of prime order.

# Constructing kernels

Assume  $\phi : H \mapsto I$  where  $K = \ker \phi$ .



Sometime easy to obtain theoretically generating sets for  $\ker \phi$ .  
e.g. Smaller Field, Semilinear, normaliser of symplectic-type group.

We could use random method to construct kernel

Otherwise, construct normal generating set for  $K$ , by evaluating  
relators in presentation for  $I$  and take normal closure.

To do so we **need** a presentation for  $I$ .

# Verifying the outcome

Neunhöffer & Seress (2006): new generating set,  $Y$ , on “nice generators” for  $G$ .

Want presentation for  $G$  on  $Y$ .

If  $Y$  satisfies presentation, then we have verified tree.

To obtain presentation for node: **need only presentation for associated kernel and image.**

So inductively need to know presentations **only for the leaves** – or composition factors.

# Short presentations for finite groups

Babai and Szemerédi (1984): *length* of a presentation  $P = \{X \mid R\}$  is number of symbols to write down the presentation.

Each generator is single symbol, relator is a string of symbols, exponents written in binary.

## Example

$S_n$  generated by  $t_k = (k, k+1)$  for  $1 \leq k < n$  with relations:

- ▶  $t_k^2 = 1$  for  $1 \leq k < n$ ,
- ▶  $(t_{k-1}t_k)^3 = 1$  for  $1 < k < n$ ,
- ▶  $(t_jt_k)^2 = 1$  for  $1 \leq j < k-1 < n-1$ .

Number of relations is  $n(n-1)/2$ , and presentation length is  $O(n^2)$ .

$S_n$  acts on deleted permutation module: cost of evaluation of relations is  $O(n^5)$ .

Goal: **short presentations on bounded number of relations.**

## Theorem (Guralnick, Kantor, Kassabov, Lubotzky, 2008)

*Every non-abelian finite simple group of rank  $n$  over  $\text{GF}(q)$ , with possible exception of Ree groups  ${}^2G_2(q)$ , has a presentation with a bounded number of generators and relations and total length  $O(\log n + \log q)$ .*

Exploits results of:

- ▶ Campbell, Robertson and Williams (1990):  $\text{PSL}(2, p^n)$  has presentation on (at most) 3 generators and a bounded number of relations.
- ▶ Hulpke and Seress (2003):  $\text{PSU}(3, q)$



Previous best: Babai *et al.* (1997) presentation of length  $O(\log^2 |G|)$ . Modifications of Curtis-Steinberg-Tits presentations for groups of Lie rank at least 2.

**Constructive version** (L-G and O'B, ongoing): explicit short presentations for the classical groups on our standard generators. Complete for  $SL$ ,  $Sp$ ,  $SU$ .

# Short presentations for $S_n$ and $A_n$

Theorem (GKKL, 2006; Bray-Conder-LG-O'B, 2006)

$A_n$  and  $S_n$  have presentations with a bounded number of generators and relations, and length  $O(\log n)$ .

Theorem (Bray-Conder-LG-O'B, 2006)

Let  $p$  be an odd prime, and let  $\lambda$  be a primitive element of  $\text{GF}(p)$ , with inverse  $\mu$ . Then

$$\{ a, c, t \mid a^p, acacac^{-1}, (a^{(p+1)/2}ca^4c)^2, t^2, [t, a], \\ [t, ca^\lambda ca^\mu c], [t, c]^3, (tt^c tt^{ca})^2, (tt^c tt^{ca^\lambda})^2, (at^c)^{p+1} \}$$

is a 3-generator 10-relator presentation of length  $O(\log p)$  for  $S_{p+2}$ , in which  $att^c$  stands for a  $(p+2)$ -cycle and  $t$  stands for a transposition.

Previous best results: length  $O(n \log n)$  (Moore, 1897)

### Theorem (GKKL, 2008)

*$A_n$  has presentation on 3 generators, 4 relations, length  $O(\log n)$ .*

$S_n$ : presentation of length  $O(n^2)$  on  $(1, 2)$  and  $(1, 2, \dots, n)$  and 78 relations.

### Problem

*Is there a  $O(\log n)$  presentation for  $S_n$  on  $(1, 2)$  and  $(1, 2, \dots, n)$  with a uniformly bounded number of relators?*

# Output of COMPOSITIONTREE

Given  $G = \langle X \rangle \leq GL(d, q)$  as input.

**Output:**

- ▶ a composition series:  $1 = G_0 \triangleleft G_1 \triangleleft G_2 \cdots \triangleleft G_m = G$ .
- ▶ A representation  $S_k = \langle X_k \rangle$  of  $G_k/G_{k-1}$
- ▶ Effective maps  $\tau_k : G_k \rightarrow S_k$ ,  $\phi_k : S_k \rightarrow G_k$   
 $\tau_k$  epimorphism with kernel  $G_{k-1}$
- ▶ Map to write  $g \in G$  as word in  $X$ .

Construct presentation for group defined by tree and verify that  $G$  satisfies the relations.

# Characteristic structure

$G$  has characteristic series  $\mathcal{C}$  of subgroups:

$$1 \leq O_\infty(G) \leq S^*(G) \leq P(G) \leq G$$

$O_\infty(G)$  = largest soluble normal subgroup of  $G$ , soluble radical

$S^*(G)/O_\infty(G) = \text{Socle}(G/O_\infty(G)) = T_1 \times \dots \times T_k$  where  $T_i$  non-abelian simple

$\phi : G \mapsto \text{Sym}(k)$  is repn of  $G$  induced by conjugation on  $\{T_1, \dots, T_k\}$  and  $P(G) = \ker \phi$

$P(G)/S^*(G) \leq \text{Out}(T_1) \times \dots \times \text{Out}(T_k)$  and so is soluble

$G/P(G) \leq \text{Sym}(k)$  where  $k \leq \log |G| / \log 60$

Black-box model pioneered by Babai and Beals.

Babai, Beals, Seress (2009):

### Theorem

*$\mathcal{C}$  can be constructed directly in black-box groups in polynomial time (subject to Discrete Log solution and some other restrictions).*

Work with Holt:

- ▶ refine composition series obtained from “geometric model” to obtain chief series reflecting this characteristic structure.
- ▶ exploit COMPOSITIONTREE and resulting  $\mathcal{C}$  as infrastructure for algorithms to solve “real” problems.

Cannon & Holt: exploit this model in many algorithms e.g. automorphism group, conjugacy classes of subgroups.

# From composition series to $\mathcal{C}$ ?

Work with Derek Holt

$$1 = G_0 \triangleleft G_1 \triangleleft G_2 \cdots \triangleleft G_m = G$$

Computable maps  $\tau_k : G_k \rightarrow S_k$ ,  $\phi_k : S_k \rightarrow G_k$

$S_k = \langle X_k \rangle$  and  $W_k = \{g_1, \dots, g_s\}$ , inverse images in  $G$

For  $k = 1, 2, \dots, m$

For each non-trivial subgroup  $C$  in  $\mathcal{C}$  do

For each  $g \in W_k$  do

decide whether there exists  $h \in G_{k-1}$  such that  $gh \in C$ ;

If so, replace  $g$  by  $gh$ ;

Outcome: union of some of the adjusted  $W_k$  will generate the three characteristic subgroups of  $G$ .

To solve problem for classical groups: constructively test irreducible modules for isomorphism.

# Exploiting the characteristic series $\mathcal{C}$

Cannon, Holt et al. (2000s): use  $\mathcal{C}$  in practical algorithms.

$$1 \leq L := O_\infty(G) \leq S^*(G) \leq P(G) \leq G$$

Also compute series

$$1 = N_0 \triangleleft N_1 \triangleleft \cdots \triangleleft N_r = L \triangleleft G$$

where  $N_i \trianglelefteq G$  and  $N_i/N_{i-1}$  is elementary abelian.

Framework sometimes called **Soluble Radical** model of computation.



# The TF-model

$$1 = N_0 \triangleleft N_1 \triangleleft \cdots \triangleleft N_r = L \leq S^*(G) \leq P(G) \leq G$$

where  $N_i \trianglelefteq G$  and  $N_i/N_{i-1}$  is elementary abelian.

Given a **problem**:

Solve problem first in  $G/L = G/N_r$ , and then, successively, solve it in  $G/N_i$ , for  $i = r - 1, \dots, 0$ .

$H := G/L$  is a TF-group.

So  $H$  has a socle  $S$  which is direct product of non-abelian simple groups  $T_i$  and these are permuted under conjugation by  $H$ .

Problem **may have nice solution for  $H$** .

In many cases, easy to reduce the computation for TF-group  $H$  to almost simple groups.

# Examples of practical algorithms using TF-model

- ▶ Determine conjugacy classes of elements of  $G$ ; (Cannon & Souvignier, 1997)
- ▶ Determine maximal subgroups of  $G$ ; (Cannon & Holt, 2004) and (Eick & Hulpke, 2001)
- ▶ Determine the automorphism group of  $G$ ; (Cannon & Holt, 2003)
- ▶ Determine conjugacy classes of subgroups of  $G$ ; (Cannon, Cox & Holt, 2001)

Most algorithms are representation-independent.

Implementations use BSGS and Random Schreier for associated computations: so limited in range.

Plan to use COMPOSITIONTREE for these.

# Almost simple groups: Conjugacy classes

Wall (1963): description of conjugacy classes and centralisers of elements of classical groups.

Murray & Haller (ongoing): algorithms, which given  $d$  and  $q$ , constructs classes for  $SX(d, q) \leq K \leq CX(d, q)$ .

Constructive recognition: provides  $\phi : K \mapsto \bar{K}$ .

Embed TF-group  $H = G/L$  in direct product  $W$  of  $\text{Aut}(T_i) \wr \text{Sym}(d_i)$ , where  $T_i$  occurs  $d_i$  times as socle factor.

Conjugacy class representatives in wreath products described theoretically (Hulpke 2004; Cannon & Holt, 2006).

# Example: Automorphism group of $G$

Cannon & Holt, 2003

$H := G/L$  permutes the direct factors of its socle  $S$  by conjugation.

Embed  $H$  in direct product  $D$  of  $\text{Aut}(T_i) \wr \text{Sym}(d_i)$ , where  $T_i$  occurs  $d_i$  times as socle factor of  $S$ .

$\text{Aut}(H)$  is normaliser of the image of  $H$  in  $D$ .

Now lift results through elementary abelian layers, computing  $\text{Aut}(G/N_i)$  successively.

Suppose  $N \leq M \leq G$ , where both  $M, N$  char in  $G$  and  $M/N$  is elementary abelian of order  $p^d$ .

•  $G$

Suppose  $A_M = \text{Aut}(G/M)$  is known.

All automorphisms of  $G$  fix both  $M$  and  $N$ .

•  $M$

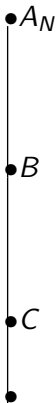
$A_N = \text{Aut}(G/N)$  has normal subgroups  $C \leq B$

$B$  induces identity on  $G/M$

$C$  induces identity on both  $G/M$  and  $M/N$ .

•  $N$

$M/N$  is  $\mathbb{F}_p(G/M)$ -module.



- ▶ Elements of  $C$  correspond to derivations from  $G/M$  to  $M/N$ .
- ▶ Elements of  $B/C$  correspond to module automorphisms of  $M/N$ . Can choose  $M$  and  $N$  to ensure that these tasks “easy”.
- ▶ Hardest task: determine  $S \leq A_M$  which lifts to  $G/N$ .  $S \leq A'$ , subgroup of  $A_M$  whose elements preserve the isomorphism type of module  $M/N$ .

$G/N$  split extension of  $M/N$  by  $G/M$ ?

If so, all elements of  $A'$  lift.

Otherwise, must test each element of  $A'$  for lifting.