An extremal even unimodular lattice of dimension 72

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- ▶ The dual code of C is

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- ▶ The Hamming weight of a codeword $c \in C$ is $wt(c) := |\{i \mid c_i \neq 0\}|.$
- C is called doubly-even if $wt(c) \in 4\mathbb{Z}$ for all $c \in C$.
- The minimum distance $d(C) := \min\{\operatorname{wt}(c) \mid 0 \neq c \in C\}.$

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- The minimum distance $d(C) := \min\{\operatorname{wt}(c) \mid 0 \neq c \in C\}.$
- ► The weight enumerator of *C* is $p_C := \sum_{c \in C} x^{n - \operatorname{wt}(c)} y^{\operatorname{wt}(c)} \in \mathbb{C}[x, y]_n.$

The minimum distance measures the error correcting quality of a self-dual code.

Self-dual codes

Remark

- The all-one vector 1 lies in the dual of every even code since wt(c) ≡₂ (c, c) ≡₂ (c, 1).
- $C = C^{\perp} \leq \mathbb{F}_2^n$ then $n = 2 \dim(C)$.
- Self-dual doubly-even codes correspond to totally isotropic subspaces in the quadratic space 1[⊥]/⟨1⟩.

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The extended Hamming code

$$h_8: \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{bmatrix}$$

has $p_{h_8}(x,y) = x^8 + 14x^4y^4 + y^8$ and is the unique doubly-even self-dual code of length 8.

Extremal codes

The binary Golay code \mathcal{G}_{24} is the unique doubly-even self-dual code of length 24 with minimum distance ≥ 8 .

 $p_{\mathcal{G}_{24}} = x^{24} + 759x^{16}y^8 + 2576x^{12}y^{12} + 759x^8y^{16} + y^{24}$

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Theorem (Gleason, ICM 1970)

Let $C = C^{\perp} \leq \mathbb{F}_2^n$ be doubly even. Then

- ▶ $n \in 8\mathbb{Z}$
- ▶ $p_C \in \mathbb{C}[p_{h_8}, p_{\mathcal{G}_{24}}]$
- $\blacktriangleright \ d(C) \leq 4 + 4 \lfloor \frac{n}{24} \rfloor$

Doubly-even self-dual codes achieving this bound are called extremal.

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length	8	16	24	32	48	72	80
d(C)	4	4	8	8	12	16	16
extremal codes	h_8	$h_8 \perp h_8, d_{16}^+$	\mathcal{G}_{24}	5	QR_{48}	?	≥ 5

Construction of Golay code

Choose two copies C and D of h_8 such that

$$C \cap D = \langle \mathbf{1} \rangle, \ C + D = \mathbf{1}^{\perp} \leq \mathbb{F}_2^8$$

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 $\mathfrak{G}_{24} := \{ (c+d_1, c+d_2, c+d_3) \mid c \in C, d_i \in D, d_1+d_2+d_3 \in \langle \mathbf{1} \rangle \}$

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Proof: (a) unique expression if c represents classes in $h_8/\langle 1 \rangle$, so

$$|\mathcal{G}_{24}| = 2^3 \cdot 2^4 \cdot 2^4 \cdot 2 = 2^{12}$$

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 $3(c,c') + (c,d_1' + d_2' + d_3') + (d_1 + d_2 + d_3,c') + (d_1,d_1') + (d_2,d_2') + (d_3,d_3') = 0$

(b) Follows since C and D are doubly-even, so generators have weight divisible by 4.

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- S non-zero components: All have even weight, so weight ≥ 2 + 2 + 2 = 6. By (b) the weight is a multiple of 4, so ≥ 8.

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Turyn applied to Golay

will not yield an extremal code of length 72. Such an extremal code has no automorphism of order 2 which has fixed points.

A generalization of Turyn's construction.

Theorem

Let $C = C^{\perp}, D = D^{\perp} \leq \mathbb{F}_q^n$ and $X \leq \mathbb{F}_q^m$ such that $X \cap X^{\perp} = \{0\}$. Then

$$\mathfrak{T}(C,D,X) := C \otimes X + D \otimes X^{\perp} \le \mathbb{F}_q^{nm}$$

is a self-dual code, which is doubly-even, if C and D are doubly-even.

Proof: Let $c, c' \in C$, $d, d' \in D$, $x, x' \in X$ and $y, y' \in X^{\perp}$. Then

$(c \otimes x, c' \otimes x') = 0$	since $C \subseteq C^{\perp}$
$(d\otimes y,d'\otimes y')=0$	since $D \subseteq D^{\perp}$
$(c \otimes x, d \otimes y) = 0$	since $x \in X, y \in X^{\perp}$

so $\mathfrak{T}\subset \mathfrak{T}^{\perp}.$ Moreover

 $\dim(\mathfrak{T}) = \dim(C \otimes X) + \dim(D \otimes X^{\perp}) - \dim(C \otimes X \cap D \otimes X^{\perp}) = nm/2 - 0$ since $X \cap X^{\perp} = \{0\}.$

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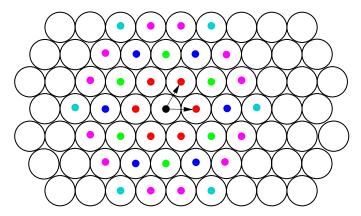
Turyn's example.

 $X = \langle (1,1,1) \rangle, C \cong D \cong h_8$ such that $C \cap D = \langle 1 \rangle$ then $\Im(C, D, X) \cong \mathcal{G}_{24}$.

Example: Bachoc/Nebe.

 $C \cong D \cong h_8, C \cap D = \langle \mathbf{1} \rangle.$ $X \cong X^{\perp}$ a [10, 5, 4]-code, such that $X \cap X^{\perp} = \langle \mathbf{1} \rangle$. Then $\mathfrak{T}(C, D, X)$ is a self-orthogonal [80, 39, 16]-code contained in a unique extremal doubly-even self-dual code.

Lattices and sphere packings



Hexagonal Circle Packing

$$\theta = 1 + 6q + 6q^3 + 6q^4 + 12q^7 + 6q^9 + \dots$$

Dense lattice sphere packings

- Classical problem to find densest sphere packings:
- Dimension 2: Lagrange (lattices), Fejes Tóth (general)
- Dimension 3: Kepler conjecture, proven by T.C. Hales (1998)
- Dimension ≥ 4 : open
- Densest lattice sphere packings:
- ► Voronoi algorithm (~1900) all locally densest lattices.
- Densest lattices known in dimension 1,2,3,4,5, Korkine-Zolotareff (1872) 6,7,8 Blichfeldt (1935) and 24 Cohn, Kumar (2003).
- Density of lattice measures error correcting quality.

Definition

► A lattice *L* in Euclidean *n*-space $(\mathbb{R}^n, (,))$ is the \mathbb{Z} -span of an \mathbb{R} -basis $B = (b_1, \dots, b_n)$ of \mathbb{R}^n

$$L = \langle b_1, \dots, b_n \rangle_{\mathbb{Z}} = \{ \sum_{i=1}^n a_i b_i \mid a_i \in \mathbb{Z} \}.$$

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$$L^{\#} := \{ x \in \mathbb{R}^n \mid (x, \ell) \in \mathbb{Z} \text{ for all } \ell \in L \}$$

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- L is called unimodular if $L = L^{\#}$.
- $Q: \mathbb{R}^n \to \mathbb{R}_{\geq 0}, Q(x) := \frac{1}{2}(x, x)$ associated quadratic form
- L is called even if $Q(\ell) \in \mathbb{Z}$ for all $\ell \in L$.
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The sphere packing density of an even unimodular lattice is proportional to its minimum.

Lattices and codes

Construction A

Let (e_1, \ldots, e_n) be an orthogonal basis of \mathbb{R}^n with $Q(e_i) = 1$ for all i. Let $C \leq \mathbb{F}_2^n$ be a code. Then

$$L_C := \{\sum_{i=1}^n \frac{a_i}{2} e_i \mid (\overline{a}_1, \dots, \overline{a}_n) \in C\} \subset \mathbb{R}^n$$

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Duality

$$\blacktriangleright \ L_C^{\#} = L_{C^{\perp}}$$

- L_C is even if C is doubly-even
- \blacktriangleright L_C is even unimodular, if C is self-dual and doubly-even.

 $L_{h_8} = E_8$ the unique even unimodular lattice of dimension 8.

The Leech lattice and the Golay code

Construct an even unimodular lattice $\Lambda_{24} \leq \mathbb{R}^{24}$ with minimum 2 from the Golay code.

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- ▶ Then *L* is an even unimodular lattice and $\{\pm e_1, \ldots, \pm e_{24}\} = \{v \in L \mid Q(v) = 1\}.$

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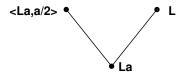
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• Let
$$a := \frac{3}{2}e_1 + \frac{1}{2}e_2 + \ldots + \frac{1}{2}e_{24}$$

• Then $Q(\frac{1}{2}a) = 2$.

• Let
$$L_a := \{v \in L \mid (v, a) \in 2\mathbb{Z}\}$$
 and

•
$$\Lambda_{24} := L^{(a)} := \langle \frac{1}{2}a, L_a \rangle$$
. Then $\min(\Lambda_{24}) = 2$.



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Theta-series of lattices

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where $a_k = |\{\ell \in L \mid Q(\ell) = k\}|.$

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- n is a multiple of 8.
- ▶ $\theta_L \in \mathcal{M}_{\frac{n}{2}}(\mathrm{SL}_2(\mathbb{Z})) = \mathbb{C}[E_4, \Delta]_{\frac{n}{2}}$ where $E_4 := \theta_{E_8} = 1 + 240q + \ldots$ is the normalized Eisenstein series of weight 4 and

$$\Delta = q - 24q^2 + 252q^3 - 1472q^4 + \dots$$
 of weight 12

Extremal modular forms

Basis of $\mathcal{M}_{4k}(\mathrm{SL}_2(\mathbb{Z}))$:

$$E_{4}^{k} = 1 + 240kq + *q^{2} + \dots$$

$$E_{4}^{k-3}\Delta = q + *q^{2} + \dots$$

$$E_{4}^{k-6}\Delta^{2} = q^{2} + \dots$$

$$\vdots$$

$$E_{4}^{k-3m_{k}}\Delta^{m_{k}} = \dots \qquad q^{m_{k}} + \dots$$

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Definition

This space contains a unique form

$$f^{(k)} := 1 + 0q + 0q^2 + \ldots + 0q^{m_k} + a(f^{(k)})q^{m_k+1} + b(f^{(k)})q^{m_k+2} + \ldots$$

 $f^{(k)}$ is called the extremal modular form of weight 4k.

$$\begin{split} f^{(1)} &= 1 + 240q + \ldots = \theta_{E_8}, \ f^{(2)} = 1 + 480q + \ldots = \theta_{E_8}^2, \\ f^{(3)} &= 1 + 196, 560q^2 + \ldots = \theta_{\Lambda_{24}}, \\ f^{(6)} &= 1 + 52, 416, 000q^3 + \ldots = \theta_{P_{48p}} = \theta_{P_{48q}} = \theta_{P_{48n}}, \\ f^{(9)} &= 1 + 6, 218, 175, 600q^4 + \ldots = \theta_{\Gamma}. \end{split}$$

Theorem (Siegel)

 $a(f^{(k)}) > 0$ for all k



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Corollary

Let L be an n-dimensional even unimodular lattice. Then

$$\min(L) \le 1 + \lfloor \frac{n}{24} \rfloor = 1 + m_{n/8}.$$

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Extremal even unimodular lattices $L \leq \mathbb{R}^n$

n	8	16	24	32	40	48	72	80
min(L)	2	2	4	4	4	6	8	8
number of extremal lattices	1	2	1	$\geq 10^7$	$\geq 10^{51}$	≥ 3	≥ 1	≥ 4

Theorem (Siegel)

 $a(f^{(k)}) > 0$ for all k and $b(f^{(k)}) < 0$ for large k ($k \ge 5200$).

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- Λ_{24} is the densest 24-dimensional lattice (Cohn, Kumar).
- ► For *m* = 2,3 these lattices are the densest known lattices and realise the maximal known kissing number.



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Turyn's construction

- Let (L,Q) be an even unimodular lattice of dimension n.
- ▶ Choose sublattices $M, N \leq L$ such that M + N = L, $M \cap N = 2L$, and $(M, \frac{1}{2}Q)$, $(N, \frac{1}{2}Q)$ even unimodular.
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- Such a pair (M, N) is called a polarisation of L.
- For $k \in \mathbb{N}$ let $\mathcal{L}(M, N, k) :=$

 $\{(m+x_1,\ldots,m+x_k)\in \perp^k L\mid m\in M, x_i\in N, x_1+\ldots+x_k\in 2L\}.$

• Define $\tilde{Q} : \mathcal{L}(M, N, k) \to \mathbb{Z}$,

$$\tilde{Q}(y_1, \dots, y_k) := \frac{1}{2}(Q(y_1) + \dots + Q(y_k)).$$

• $(\mathcal{L}(M, N, k), \tilde{Q})$ is an even unimodular lattice of dimension nk.

Theorem (Lepowsky, Meurman; Tits)

Let $(L,Q) \cong E_8$ be the unique even unimodular lattice of dimension 8. Then for any polarisation (M,N) of E_8 the lattice $\mathcal{L}(M,N,3)$ has minimum ≥ 2 .

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Only one $y_i \neq 0$ then $y_i \in 2L$ and $\tilde{Q}(y) \geq 2$.

 $d := \min(L, Q) = \min(M, \frac{1}{2}Q) = \min(N, \frac{1}{2}Q)$

Then $\lceil \frac{3d}{2} \rceil \leq \min(\mathcal{L}(M, N, 3)) \leq 2d.$

$$(m+a,m+b,m+c) \text{ in } \stackrel{\bullet}{\overset{\bullet}} L \perp L \perp L \qquad m \text{ in } M$$
$$(m+a,m+b,m+c) \text{ in } \stackrel{\bullet}{\overset{\bullet}} L(M,N,3) \qquad a,b,c \text{ in } N$$
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72-dimensional lattices from Leech (Griess) If $(L,Q) \cong (M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q) \cong \Lambda_{24}$ then $3 \le \min(\mathcal{L}(M, N, 3)) \le 4$.

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Enumerate short vectors in $\mathcal{L}(M, N, 3)$

For all 4095 nonzero classes $m + 2L \in M/2L$ and all 24^2 pairs (y_1, y_2) of roots in $N^{(m)}$ check if $\langle 2L, m + y_1 + y_2 \rangle$ has minimum ≥ 3 .

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Closer analysis reduces number of pairs (y_1, y_2) to $8 \cdot 16$.

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Stehlé, Watkins proof of extremality

Theorem (Stehlé, Watkins (2010))

Let *L* be an even unimodular lattice of dimension 72 with $min(L) \ge 3$. Then *L* is extremal, if and only if it contains at least 6,218,175,600 vectors *v* with Q(v) = 4.

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Proof: L is an even unimodular lattice of minimum $\geq 3,$ so its theta series is

$$\theta_L = 1 + a_3 q^3 + a_4 q^4 + \dots = f^{(9)} + a_3 \Delta^3.$$

$$f^{(9)} = 1 + 6,218,175,600q^4 + \dots$$

$$\Delta^3 = q^3 - 72q^4 + \dots$$

So $a_4 = 6,218,175,600 - 72a_3 \ge 6,218,175,600$ if and only if $a_3 = 0$.

Stehlé, Watkins proof of extremality

Theorem (Stehlé, Watkins (2010))

Let *L* be an even unimodular lattice of dimension 72 with $min(L) \ge 3$. Then *L* is extremal, if and only if it contains at least 6,218,175,600 vectors *v* with Q(v) = 4.

Proof: L is an even unimodular lattice of minimum $\geq 3,$ so its theta series is

$$\theta_L = 1 + a_3 q^3 + a_4 q^4 + \dots = f^{(9)} + a_3 \Delta^3.$$

$$f^{(9)} = 1 + 6,218,175,600q^4 + \dots$$

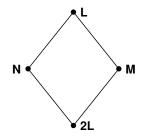
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Remark

A similar proof works in all jump dimensions 24k (extremal minimum = k + 1) for lattices of minimum $\geq k$. For dimensions 24k + 8 and lattices of minimum $\geq k$ one needs to count vectors v with Q(v) = k + 2.

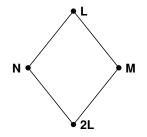
How to find polarisations



► Rough estimate shows that there are about 10¹⁰ orbits of polarisations (M, N) of the Leech lattice such that (M, ¹/₂Q) ≅ (N, ¹/₂Q) ≅ Λ₂₄.

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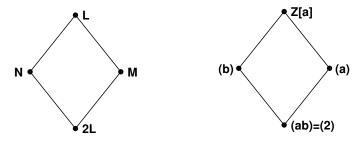


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- Bachoc and Nebe (1995) used Hermitian polarisations to construct extremal 80-dimensional lattices.
- $\alpha, \beta \in \text{End}(L)$ such that $(\alpha x, y) = (x, \beta y)$ and $\alpha \beta = 2$.

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$$M := \alpha L, N := \beta L$$

Hermitian polarisations

Let $\alpha \in \operatorname{End}(L)$ such that

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$$\alpha^2 - \alpha + 2 = 0$$
 ($\mathbb{Z}[\alpha]$ = integers in $\mathbb{Q}[\sqrt{-7}]$).

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$$(\alpha x, y) = (x, \beta y)$$
 where $\beta = 1 - \alpha = \overline{\alpha}$.

Then $M := \alpha L$, $N := \beta L$ defines a polarisation of L such that $(L,Q) \cong (M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q).$

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Remark

 $\mathcal{L}(\alpha L, \beta L, 3) = L \otimes_{\mathbb{Z}[\alpha]} P_b$ where

$$P_b = \langle (\beta, \beta, 0), (0, \beta, \beta), (\alpha, \alpha, \alpha) \rangle \mathbb{Z}[\alpha]^3$$

with the half the standard Hermitian form

$$h: P_b \times P_b \to \mathbb{Z}[\alpha], h((a_1, a_2, a_3), (b_1, b_2, b_3)) = \frac{1}{2} \sum_{i=1}^3 a_i \overline{b_i}.$$

 P_b is Hermitian unimodular and $\operatorname{Aut}_{\mathbb{Z}[\alpha]}(P_b) \cong \pm \operatorname{PSL}_2(7)$. So $\operatorname{Aut}(\mathcal{L}(\alpha L, \beta L, 3)) \ge \operatorname{Aut}_{\mathbb{Z}[\alpha]}(L) \times \operatorname{PSL}_2(7)$.

Hermitian structures of the Leech lattice

Theorem (M. Hentschel, 2009)

There are exactly nine $\mathbb{Z}[\alpha]$ -structures of the Leech lattice.

	group	order	
1	$SL_2(25)$	$2^43 \cdot 5^213$	
2	$2.A_6 \times D_8$	$2^7 3^2 5$	
3	$SL_2(13).2$	$2^43 \cdot 7 \cdot 13$	
4	$(\mathrm{SL}_2(5) \times A_5).2$	$2^{6}3^{2}5^{2}$	
5	$(\mathrm{SL}_2(5) \times A_5).2$	$2^{6}3^{2}5^{2}$	
6	soluble	$2^{9}3^{3}$	
7	$\pm \operatorname{PSL}_2(7) \times (C_7:C_3)$	$2^4 3^2 7^2$	
8	$PSL_2(7) \times 2.A_7$	$2^7 3^3 5 \cdot 7^2$	
9	$2.J_2.2$	$2^9 3^3 5^2 7$	

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Hermitian structures of the Leech lattice

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	group	order	# Q(v) = 3
1	$SL_2(25)$	$2^43 \cdot 5^213$	0
2	$2.A_6 \times D_8$	$2^7 3^2 5$	$2 \cdot 20,160$
3	$SL_2(13).2$	$2^43 \cdot 7 \cdot 13$	$2 \cdot 52,416$
4	$(\mathrm{SL}_2(5) \times A_5).2$	$2^{6}3^{2}5^{2}$	$2 \cdot 100,800$
5	$(\mathrm{SL}_2(5) \times A_5).2$	$2^{6}3^{2}5^{2}$	$2 \cdot 100,800$
6	soluble	$2^{9}3^{3}$	$2 \cdot 177,408$
7	$\pm \operatorname{PSL}_2(7) \times (C_7:C_3)$	$2^4 3^2 7^2$	$2 \cdot 306, 432$
8	$PSL_2(7) \times 2.A_7$	$2^7 3^3 5 \cdot 7^2$	$2 \cdot 504,000$
9	$2.J_2.2$	$2^9 3^3 5^2 7$	$2 \cdot 1, 209, 600$

The extremal 72-dimensional lattice Γ

Main result

- Γ is an extremal even unimodular lattice of dimension 72.
- $\operatorname{Aut}(\Gamma)$ contains $\mathcal{U} := (\operatorname{PSL}_2(7) \times \operatorname{SL}_2(25)) : 2.$
- ➤ U is an absolutely irreducible subgroup of GL₇₂(Q).
- All \mathcal{U} -invariant lattices are similar to Γ .
- Γ realises the densest known sphere packing
- and maximal known kissing number in dimension 72.
- Structure of Γ can be used for decoding: Annika Meyer

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Remark (Masaaki Harada, 2010)

The lattice Γ gives extremal doubly-even codes over $\mathbb{Z}/4k\mathbb{Z}$ of length 72 for $k \geq 2$. Certain odd neighbors of Γ yield optimal odd unimodular lattices.

A generalisation of Turyn's construction for lattices.

Theorem (Quebbemann)

Let $(L,Q) \leq \mathbb{R}^n$ be an even lattice, p a prime not dividing $\det(L)$. Then L has a polarisation mod p: $L = M + N, \ M \cap N = pL$ and $(M, \frac{1}{p}Q), (N, \frac{1}{p}Q)$ even. Let $X \leq \mathbb{F}_p^m$. Then

$$\begin{aligned} \mathcal{L}(M,N,X) &:= \langle (x_1a,\ldots,x_ma), (y_1b,\ldots,y_mb) \mid \\ a \in M, b \in N, (\overline{x}_1,\ldots,\overline{x}_m) \in X, (\overline{y}_1,\ldots,\overline{y}_m) \in X^{\perp} \rangle \end{aligned}$$

is an even lattice of dimension nm of determinant $det(L)^m$.

Examples.

- ► $X = \langle (1,1) \rangle$, p = 3, $L = \Lambda_{24}$, $M = \alpha L$, $N = (1 \alpha)L$ with $\alpha = (1 + \sqrt{-11})/2$ such that $Aut_{\alpha}(\Lambda_{24}) \cong SL_2(13)$ yields extremal 48-dimensional lattice P_{48n} .
- L ≅ E₈, X = [10, 5, 4]-code, p = 2 (+neighbor): Two 80-dimensional extremal lattices (Bachoc, Nebe 1995).