

Aschbacher's Theorem

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Aschbacher's Theorem

Let $n \in \mathbb{N}$ and \mathbb{F}_q the field with $q = p^e$ elements.

Let $V := \mathbb{F}_q^{1 \times n}$ be the \mathbb{F}_q -vector space of row vectors.

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Theorem (Aschbacher 1984)

*Let $G \leq \mathrm{GL}_n(\mathbb{F}_q)$ and $n \geq 2$. Then G lies in **at least one** of the classes \mathcal{C}_1 to \mathcal{C}_9 of subgroups of $\mathrm{GL}_n(\mathbb{F}_q)$.*

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- I will show you a **sketch of a proof** of this statement.

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- I will show you a **sketch of a proof** of this statement.
- This is **not the original formulation**, which is more general.
- Alongside the sketch of the proof, we will
 - **define** \mathcal{C}_1 to \mathcal{C}_9 , and
 - **keep an eye** on how one can **find reduction homomorphisms computationally**.

First analyse the natural module

The natural module $V = \mathbb{F}_q^{1 \times n}$ could:

- have a G -invariant subspace (**reducible**),
- have a vector space structure over an extension field (**semilinear**),
- essentially have a vector space structure over a subfield (**subfield**).

Reducible: \mathcal{C}_1

G could lie in \mathcal{C}_1 :

Definition of class \mathcal{C}_1 : Reducible

$G \leq \mathrm{GL}_n(\mathbb{F}_q)$ lies in \mathcal{C}_1 if there is a subspace $0 < W < V$ with $Wg = W$ for all $g \in G$.

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Assumption

From now on we assume that G acts **irreducibly** on V .

Not absolutely irreducible: C_3

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(G acts absolutely irreducibly iff $C_{\mathrm{GL}_n(\mathbb{F}_q)}(G) = \{c \cdot \mathbf{1}\}$.)

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Lemma

If $G \leq \mathrm{GL}_n(\mathbb{F}_q)$ acts *irreducibly* but not *absolutely irreducibly* on the natural module V , then G lies in \mathcal{C}_3 .

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Semilinear: \mathcal{C}_3

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$G \leq \text{GL}_n(\mathbb{F}_q)$ lies in \mathcal{C}_3 if

- the natural module V is irreducible and
- there is a finite field \mathbb{F}_{q^s} , for which we can extend the \mathbb{F}_q -vector space structure of V to an \mathbb{F}_{q^s} -vector space structure of dimension n/s , such that:

$\forall g \in G \exists \alpha_g \in \text{Aut}(\mathbb{F}_{q^s})$ with:

$$(v + \lambda w) \cdot g = v \cdot g + \lambda^{\alpha_g} \cdot w \cdot g$$

for all $v, w \in V$ and all $\lambda \in \mathbb{F}_{q^s}$.

(i.e. the action of G on V is \mathbb{F}_{q^s} -semilinear)

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Non-absolutely irred. case: all automorphisms are trivial!

Subfield: \mathcal{C}_5

G could lie in \mathcal{C}_5 :

Definition of class \mathcal{C}_5

$G \leq \mathrm{GL}_n(\mathbb{F}_q)$ lies in \mathcal{C}_5 if

- the natural module V is absolutely irreducible and
- there is a proper subfield \mathbb{F}_{q_0} of \mathbb{F}_q and $T \in \mathrm{GL}_n(\mathbb{F}_q)$ and $(\beta_g)_{g \in G}$ with $\beta_g \in \mathbb{F}_q$ such that

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We can decide computationally whether G lies in \mathcal{C}_5 (see Glasby, Leedham-Green, and O'Brien (2006) and Carlson, N. and Roney-Dougal (2009)).

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Assumption

From now on we assume that G does not lie in \mathcal{C}_5 .

Restrict to normal subgroup

Let $\bar{N} \triangleleft G/Z$ be **minimal normal** and $Z < N \leq G$ so that $N/Z = \bar{N}$.
($N = G$ is possible, but $G = Z$ not, since that would be reducible.)

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- ④ **absolutely irreducible**.

(Clifford's theorem shows that one of the above must hold.)

W not absolutely irreducible: \mathcal{C}_3

Remember: $Z < N \triangleleft G$ such that N/Z is minimal normal in G/Z .

Lemma

Let W be an irreducible submodule of $V|_N$. If W is not absolutely irreducible, then G lies in \mathcal{C}_3 .

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Assumption

From now on we assume that W is **absolutely irreducible**.

Clifford theory

Let now \bar{N} be a **minimal normal subgroup** of G/Z and let $Z < N \triangleleft G$ be the full preimage.

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Theorem (Clifford)

The restriction $V|_N$ of the natural module to the normal subgroup N is a *direct sum*

$$V|_N = \bigoplus_{i=1}^k W_i$$

of *irreducible* N -modules W_i which are all G -conjugates of a single submodule $W \leq V|_N$, i.e. $W_i = Wg_i$ for some $g_i \in G$.

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Now we distinguish cases for this decomposition.

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Assume that not all W_i are isomorphic to W .

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Then G permutes the **homogeneous components** and lies in \mathcal{C}_2 :

$$V|_N = \bigoplus_{i=1}^k W_i = \bigoplus_j \left(\bigoplus_a W_a^{(j)} \right)$$

where $W_a^{(j)} \cong W_b^{(\ell)}$ iff $j = \ell$.

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Definition of class \mathcal{C}_2

$G \leq \mathrm{GL}_n(\mathbb{F}_q)$ lies in \mathcal{C}_2 if

- the natural module V is **absolutely irreducible** and
- V has a **vector space direct sum decomposition** $V = \bigoplus_{j=1}^m V_j$ with $m \geq 2$ such that for all $g \in G$ there is a permutation π_g in \mathcal{S}_m with $V_j g = V_{\pi_g(j)}$ for all j .

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Given the decomposition, we can **compute** the homomorphism $G \rightarrow S_m$.

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Assumption

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Analyse structure of minimal normal subgroup N/Z

Lemma (Minimal normal subgroups)

Let $1 < K \triangleleft H$ be a minimal normal subgroup. Then

$$K \cong T_1 \times T_2 \times \cdots \times T_k$$

and the T_i are *copies of a simple group* that are all conjugate under H .

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Therefore,

$$N/Z \cong T_1 \times T_2 \times \cdots \times T_k,$$

the T_i are *pairwise isomorphic simple groups* which are all conjugate under G/Z and thus G .

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We distinguish 3 cases:

- ① the T_i are *cyclic groups of prime order r* (*extraspecial*)
- ② the T_i are *non-abelian simple* and $k \geq 2$ (*tensor-induced*)
- ③ $k = 1$ and T_1 is *non-abelian simple* (*almost simple*)

Extraspecial: \mathcal{C}_6

If N/Z is a direct product of cyclic groups of order r , then G is in \mathcal{C}_6 :

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$G \leq \text{GL}_n(\mathbb{F}_q)$ lies in \mathcal{C}_6 if

- the natural module V is absolutely irreducible,
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 - either r is odd and G has a normal subgroup E that is an extraspecial r -group of order r^{1+2m} and exponent r ,
 - or $r = 2$ and G has a normal subgroup E that is either extraspecial of order 2^{1+2m} or a central product of a cyclic group of order 4 with an extraspecial group of order 2^{1+2m} ,

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This class is in practice computationally under control.

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If N/Z is a direct product of non-abelian simple groups, then G is in \mathcal{C}_7 :

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- $V|_N \cong W_1 \otimes_{\mathbb{F}_q} \dots \otimes_{\mathbb{F}_q} W_k$ where the W_i are absolutely irreducible $\mathbb{F}_q T$ -modules of the same dimension on which Z acts as scalars,
- and G/N permutes the tensor factors transitively.

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We distinguish two cases:

- \mathcal{C}_8 (**classical group in natural representation**) and
- \mathcal{C}_9 (**almost simple plus properties** — “all that is left”)

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- n is even, G is contained in $N_{\mathrm{GL}_n(\mathbb{F}_q)}(\mathrm{Sp}_n(\mathbb{F}_q))$ for some non-singular symplectic form, G/Z contains $\mathrm{PSp}_n(\mathbb{F}_q)$ and $(n, q) \notin \{(2, 2), (2, 3), (4, 2)\}$,
- q is a square, G is contained in $N_{\mathrm{GL}_n(\mathbb{F}_q)}(\mathrm{SU}_n(\mathbb{F}_{q^{1/2}}))$ for some non-singular Hermitian form, G/Z contains $\mathrm{PSU}_n(\mathbb{F}_{q^{1/2}})$ and $(n, q^{1/2}) \notin \{(2, 2), (2, 3), (3, 2)\}$,
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 - * $n \geq 3$, and
 - * q is odd if n is odd, and
 - * ϵ is $-$ if $n = 4$, and
 - * $(n, q) \notin \{(3, 3), (4, 2)\}$.

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This completes our proof of **Aschbacher's Theorem**. ■

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This completes our proof of **Aschbacher's Theorem**. ■

The groups in classes \mathcal{C}_8 and \mathcal{C}_9 offer **no opportunity** to use **geometric properties** to find **reduction homomorphisms**.

They will have to be dealt with directly in **constructive recognition**.