## Algebraic Geometry (WS 2025)

PD Dr. Jürgen Müller, Lecture 9 (05.11.2025)

**(9.1) Corollary.** Let  $\varphi \colon \mathbf{V} \to \mathbf{W}$  be regular. Then  $\varphi$  is an isomorphism (of varieties) if and only if  $\varphi^*$  is an isomorphism (of K-algebras), in which case we have  $(\varphi^*)^{-1} = (\varphi^{-1})^*$ .

**Proof.** If  $\varphi \colon \mathbf{V} \to \mathbf{W}$  is an isomorphism with inverse  $\psi \colon \mathbf{W} \to \mathbf{V}$ , then by functoriality we have  $\varphi^*\psi^* = (\psi\varphi)^* = (\mathrm{id}_{\mathbf{W}})^* = \mathrm{id}_{K[\mathbf{W}]}$  and  $\psi^*\varphi^* = (\varphi\psi)^* = (\mathrm{id}_{\mathbf{V}})^* = \mathrm{id}_{K[\mathbf{V}]}$ , showing that  $\varphi^*$  is an isomorphism such that  $(\varphi^*)^{-1} = (\varphi^{-1})^*$ .

Conversely, if  $\varphi^* : K[\mathbf{W}] \to K[\mathbf{V}]$  is an isomorphism, then by surjectivity there is a regular map  $\psi : \mathbf{W} \to \mathbf{V}$  such that  $\psi^* = (\varphi^*)^{-1} : K[\mathbf{V}] \to K[\mathbf{W}]$ . Hence we get  $(\varphi\psi)^* = \psi^*\varphi^* = \mathrm{id}_{K[\mathbf{V}]} = (\mathrm{id}_{\mathbf{V}})^*$  and  $(\psi\varphi)^* = \varphi^*\psi^* = \mathrm{id}_{K[\mathbf{W}]} = (\mathrm{id}_{\mathbf{W}})^*$ , by injectivity implying  $\varphi\psi = \mathrm{id}_{\mathbf{V}}$  and  $\psi\varphi = \mathrm{id}_{\mathbf{W}}$ ; thus  $\varphi$  is an isomorphism.  $\sharp$ 

Being an isomorphism of varieties is a property strictly stronger than being a homeomorphism, let alone being bijective, as the following example shows:

(9.2) Example: Frobenius morphisms, cont.. Let q be a prime power, let  $\mathbb{F}_q \subseteq \mathbf{F}$  be an algebraic closure, and let  $\Phi = \Phi_q \colon \mathbf{F} \to \mathbf{F} \colon x \mapsto x^q$  be the associated Frobenius morphism. We have already seen that  $\Phi$  is bijective, but not an isomorphism of varieties.

Moreover,  $\Phi$  fixes all closed subsets of  $\mathbf{F}$ : Let  $\emptyset \neq \mathbf{V} \subset \mathbf{F}$  be closed, thus there is  $f \in A$  such that  $\mathbf{V} = \mathbf{V}_{\mathbf{F}}(f)$ , hence we have  $\Phi^{-1}(\mathbf{V}) = \{x^{\frac{1}{q}} \in \mathbf{F}; x \in \mathbf{V}\} = \mathbf{V}_{\mathbf{F}}(\Phi^*(f)) = \mathbf{V}_{\mathbf{F}}(f) = \mathbf{V}$ . Thus  $\Phi$  is continuous (which also follows from regularity), and is a **closed map**, that is it maps closed sets to closed sets, which implies that  $\Phi^{-1}$  is continuous as well, so that  $\Phi$  is a homeomorphism.

- (9.3) Proposition: Properties of morphisms. Keeping the above notation, let  $\varphi \colon \mathbf{V} \to \mathbf{W}$  be regular. Then we have:
- a)  $\varphi$  is continuous (with respect to the Zariski topology).
- **b**)  $\varphi^*$  is injective if and only if  $\varphi$  is **dominant**, that is  $\varphi(\mathbf{V}) \subseteq \mathbf{W}$  is dense.
- c)  $\varphi^*$  is surjective if and only if  $\varphi$  is a closed immersion, that is  $\varphi(\mathbf{V}) \subseteq \mathbf{W}$  is closed such that  $\varphi$  induces an isomorphism  $\varphi' \colon \mathbf{V} \to \varphi(\mathbf{V})$ .
- **Proof. a)** Let  $\mathbf{U} \subseteq \mathbf{W}$  be closed, and let  $I := \mathbf{I}_{\mathbf{W}}(\mathbf{U}) \subseteq K[\mathbf{W}]$ . Then  $\mathbf{V}_{\mathbf{W}}(I) = \mathbf{U}$  yields  $\varphi^{-1}(\mathbf{U}) = \{v \in \mathbf{V}; \varphi(v) \in \mathbf{U}\} = \{v \in \mathbf{V}; f(\varphi(v)) = 0 \text{ for all } f \in I\} = \{v \in \mathbf{V}; (\varphi^*(I))(v) = 0\} = \mathbf{V}_{\mathbf{V}}(\varphi^*(I)),$  which is closed in  $\mathbf{V}$  indeed.
- **b)** Let  $\varphi$  be dominant, and let  $f \in \ker(\varphi^*) \leq K[\mathbf{W}]$ . Then we have  $f(\varphi(v)) = (\varphi^*(f))(v) = 0$ , for  $v \in \mathbf{V}$ , implying that  $f|_{\varphi(\mathbf{V})} = 0$ . Since  $\{0\} \subseteq L$  is

(K-)closed and f is continuous, we infer that  $f^{-1}(\{0\}) \subseteq \mathbf{W}$  is closed, hence  $\varphi(\mathbf{V}) \subseteq f^{-1}(\{0\})$  entails  $\mathbf{W} = \overline{\varphi(\mathbf{V})} \subseteq f^{-1}(\{0\}) \subseteq \mathbf{W}$ , thus  $f = 0 \in K[\mathbf{W}]$ .

Conversely, let  $\varphi^*$  be injective, and let  $\mathbf{U} := \overline{\varphi(\mathbf{V})} \subseteq \mathbf{W}$ . We show that  $\mathbf{I}_{\mathbf{W}}(\mathbf{U}) = \{0\}$ , implying  $\mathbf{U} = \mathbf{V}_{\mathbf{W}}(\mathbf{I}_{\mathbf{W}}(\mathbf{U})) = \mathbf{W}$ : Let  $f \in \mathbf{I}_{\mathbf{W}}(\mathbf{U})$ , then we have  $(\varphi^*(f))(v) = f(\varphi(v)) = 0$ , for  $v \in \mathbf{V}$ , implying  $\varphi^*(f) = 0$ , thus f = 0.

c) Here is a much more straightforward proof than the one presented in the lecture, avoiding Hilbert's Nullstellensatz as well:

Let  $\varphi$  be a closed immersion. Then  $\mathbf{U} := \varphi(\mathbf{V}) \subseteq \mathbf{W}$  is closed such that  $\varphi = \varphi' \cdot \iota_{\mathbf{U}}^{\mathbf{W}}$ , where  $\varphi' \colon \mathbf{V} \to \mathbf{U}$  is an isomorphism, and  $\iota_{\mathbf{U}}^{\mathbf{W}} \colon \mathbf{U} \to \mathbf{W}$  is the natural inclusion morphism. Moreover,  $(\iota_{\mathbf{U}}^{\mathbf{W}})^* = \rho_{\mathbf{U}}^{\mathbf{W}} \colon K[\mathbf{W}] \to K[\mathbf{U}]$  is the restriction epimorphism. Then we have  $\varphi^* = (\iota_{\mathbf{U}}^{\mathbf{W}})^* \cdot (\varphi')^* = \rho_{\mathbf{U}}^{\mathbf{W}} \cdot (\varphi')^*$ . Since  $\rho_{\mathbf{U}}^{\mathbf{W}}$  is surjective, and  $(\varphi')^* \colon K[\mathbf{U}] \to K[\mathbf{V}]$  is bijective,  $\varphi^*$  is surjective.

Conversely, let  $\varphi^*$  be surjective. Then let  $\mathbf{U} := \overline{\varphi(\mathbf{V})} \subseteq \mathbf{W}$ , and let  $\varphi' : \mathbf{V} \to \mathbf{U}$  such that  $\varphi = \varphi' \cdot \iota_{\mathbf{U}}^{\mathbf{W}}$ . Then we have  $\varphi^* = (\iota_{\mathbf{U}}^{\mathbf{W}})^* \cdot (\varphi')^* = \rho_{\mathbf{U}}^{\mathbf{W}} \cdot (\varphi')^*$ . Since  $\varphi^*$  is surjective, so is  $(\varphi')^*$ . Moreover,  $\varphi'$  is dominant, thus  $(\varphi')^*$  is injective. Thus  $(\varphi')^*$  is an isomorphism, hence so is  $\varphi'$ , in particular  $\varphi(\mathbf{V}) = \mathbf{U}$  is closed.

The image of a morphism  $\varphi \colon \mathbf{V} \to \mathbf{W}$  needs neither be closed nor open in  $\mathbf{W}$ , as the example below shows. (The structure of  $\varphi(\mathbf{V})$  will be discussed later.)

**Example.** Let  $K = L = \mathbb{C}$ , let  $\mathbf{V} = \mathbb{C}^2$ , let  $A := \mathbb{C}[X,Y] \cong \mathbb{C}[\mathbf{V}]$ , and let  $\varphi \colon \mathbf{V} \to \mathbf{V} \colon [x,y] \mapsto [xy,y]$ , being regular with  $f_x = XY \in A$  and  $f_y = Y \in A$ . The associated comorphism is the  $\mathbb{C}$ -algebra homomorphism given by  $\varphi^* \colon A \to A \colon X \mapsto XY, Y \mapsto Y$ . Hence  $\varphi^*(\sum_{i,j \geq 0} a_{ij} X^i Y^j) = \sum_{i,j \geq 0} a_{ij} X^i Y^{i+j}$  shows that  $\varphi^*$  is injective, but  $X \notin \varphi^*(A)$  shows that  $\varphi^*$  is not surjective.

We have  $\varphi(\mathbf{V}) = \{[0,0]\} \ \dot{\cup} \ (\mathbb{C} \times \mathbb{C}^*) \subseteq \mathbf{V}$ , that is  $\mathbf{V} \setminus \varphi(\mathbf{V}) = \mathbb{C}^* \times \{0\}$ . We show that  $\varphi(\mathbf{V}) \subseteq \mathbf{V}$  is neither closed nor open: The injectivity of  $\varphi^*$  implies that  $\varphi(\mathbf{V}) \subset \mathbf{V}$  is dense, thus  $\varphi(\mathbf{V})$  is not closed. Assume that  $\varphi(\mathbf{V})$  is open, then  $\mathbf{V} \setminus \varphi(\mathbf{V})$  is closed; since  $\{[0,0]\} \subseteq \varphi(\mathbf{V})$  is closed as well, there is  $f \in A$  such that f(x,0) = 0 for all  $x \neq 0$ , but  $f(0,0) \neq 0$ , a contradiction.