# A structured description of the genus spectrum of abelian p-groups

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#### Abstract

The genus spectrum of a finite group G is the set of all g such that G acts faithfully on a compact Riemann surface of genus g. It is an open problem to find a general description of the genus spectrum of the groups in interesting classes, such as the abelian p-groups. Motivated by the work of Talu [14] for odd primes p, we develop a general combinatorial machinery, for arbitrary primes, to obtain a structured description of the so-called reduced genus spectrum of abelian p-groups.

We have a particular view towards how to generally find the reduced minimum genus in this class of groups, determine the complete genus spectrum for a large subclass of abelian p-groups, consisting of those groups in a certain sense having 'large' defining invariants, and use this to construct infinitely many counterexamples to Talu's Conjecture [14], saying that an abelian p-group is recoverable from its genus spectrum. Finally, we indicate the effectiveness of our combinatorial approach by applying it to some explicit examples.

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## 1. Introduction

**1.1. Genus spectra.** Given a compact Riemann surface X of genus  $g \geq 0$ , a finite group G is said to act on X, if G can be embedded into the group  $\operatorname{Aut}(X)$  of biholomorphic maps on X. While  $\operatorname{Aut}(X)$  is infinite as long as  $g \leq 1$ , by the Hurwitz Theorem [5] we have  $|\operatorname{Aut}(X)| \leq 84 \cdot (g-1)$  as soon as  $g \geq 2$ . Thus in the latter case there are only finitely many groups G, up to isomorphism, acting on X.

But conversely, given a finite group G there always is an infinite set  $\operatorname{sp}(G)$  of integers  $g \geq 0$ , called the **(genus) spectrum** of G, such that there is a Riemann surface X of genus g being acted on by G; in this case, g is called a genus of G. Note that we are in particular including the cases  $g \leq 1$ . In [10], the problem of determining  $\operatorname{sp}(G)$  is called the **Hurwitz problem** associated with G, and the problem of finding the **minimum genus** min  $\operatorname{sp}(G)$  of G, also called its **strong symmetric genus**, has arisen some particular interest. For more details we refer the reader to [1, 13], and the references given there.

To attack the Hurwitz problem, let  $\Delta(G) := \frac{|G|}{\exp(G)}$ , where  $\exp(G)$  denotes the exponent of G, that is the least common multiple of the orders of its elements. Then let the **reduced (genus) spectrum** of G be defined by

$$\operatorname{sp}_0(G) := \left\{ \frac{g-1}{\Delta(G)} \in \mathbb{Z} : g \in \operatorname{sp}(G) \right\},\,$$

where the number  $\frac{g-1}{\Delta(G)}$  is called the reduced genus associated with g. It follows from [6], together with a special consideration of the case g = 0, that

$$\operatorname{sp}_0(G) \subseteq \mathbb{S} := \frac{1}{\epsilon(G)} \cdot (\{-1\} \cup \mathbb{N}_0)$$

is a co-finite subset, where  $\epsilon(G)$  divides  $\gcd(2,|G|)$  and can be determined from the structure of G, as is recalled in (2.3). A word of caution is in order here: In [6] the notion of reduced genus is defined differently, by taking  $\epsilon(G)$  into account as well, while our choice leads to fewer case distinctions.

The **reduced minimum genus** of G, that is the reduced genus associated with the minimum genus of G, equals  $\mu_0(G) := \min \operatorname{sp}_0(G)$ . Moreover, following [7], the **reduced stable upper genus**  $\sigma_0(G)$  of G is the smallest element of  $\mathbb S$  such that all elements of  $\mathbb S \setminus \operatorname{sp}_0(G)$  are less than  $\sigma_0(G)$ ; the genus  $\sigma(G)$  associated with  $\sigma_0(G)$  is called the **stable upper genus** of G. The elements of  $\mathbb S \setminus \operatorname{sp}_0(G)$  exceeding  $\mu_0(G)$  are called the **reduced spectral gap** of G; the associated genera form the **spectral gap** of G. Hence solving the Hurwitz problem for G amounts to determining  $\mu_0(G)$  and  $\sigma_0(G)$  and the reduced spectral gap of G.

1.2. Our approach to abelian p-groups. We now restrict ourselves to finite p-groups G, where p is a prime. Not too much is known about the genus spectrum of groups within this class, not even if we only look at interesting subclasses, for example those given by bounding a certain invariant such as rank, exponent, nilpotency class, or co-class; see [13].

This still holds if we restrict further to the class of abelian p-groups, which are the groups we are interested in from now on, their general shape being

$$G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e},$$

where  $e \ge 1$ , and  $r_i \ge 0$  for  $1 \le i \le e - 1$ , and  $r_e \ge 1$ . We point out that, in particular contrary to [9, 14], we are allowing for arbitrary primes  $p \ge 2$  throughout.

We give an outline of the paper: In **Section 2** we recall a few facts about Riemann surfaces and their automorphism groups. In **Section 3** we prepare the combinatorial tools needed later on; we comment on them in (1.3). Having these preliminaries in place we turn out attention to abelian p-groups and their genus spectra:

Section 4: Our starting point is Talu's approach [14] towards a general description of the genus spectrum of abelian p-groups, in the case where p is odd. Building on these ideas, we develop a conceptual approach to describe the smooth epimorphisms, in the sense of (2.2), onto a given abelian p-group, where p is arbitrary. The resulting general necessary and sufficient arithmetic condition for their existence, which we still refer to as **Talu's Theorem**, is given in Theorems (4.4) and (4.5); in proving the latter we in particular close a gap in the proof of [14, Thm.3.3].

Section 5: This is then translated into a combinatorial description of the domain of the reduced genus map, yielding a structured description of the reduced spectrum of G being presented in (5.2), and leading to a machinery to compute the reduced minimum genus  $\mu_0(G)$  of G culminating in Theorem (5.5), which says that  $\mu_0(G)$  is given as the minimum of at most e+1 numbers, given explicitly in terms of the defining invariants  $(r_1, \ldots, r_e)$ . In particular, in (5.7) we obtain an independent proof and an improved version of Maclachlan's method [8, Thm.4] for the special case of abelian p-groups. Our combinatorial approach should also be suitable to get hands on the reduced stable upper genus  $\sigma_0(G)$  of G; we are planning to pursue this further in a subsequent paper.

**Section 6:** Having this combinatorial machinery in place, we turn to abelian p-groups with 'large' invariants, by assuming that

$$r_i \ge p-1$$
 for  $1 \le i \le e-1$ , and  $r_e \ge \max\{p-2, 1\}$ .

In these cases we are able to determine both the reduced minimum genus  $\mu_0(G)$  as well as the reduced stable upper genus  $\sigma_0(G)$  in terms of the defining invariants  $(r_1, \ldots, r_e)$  of G. More precisely, our main result says the following:

Main Theorem (6.2). Let G have 'large' invariants as specified above. Then the reduced minimum and stable upper genera of G are given as

$$\mu_0(G) = \sigma_0(G) = \frac{1}{2} \cdot \left( -1 - p^e + \sum_{i=1}^e (p^e - p^{e-i}) \cdot r_i \right).$$

At this stage, a comparison with [14] is in order: The major aim there is to study abelian p-groups having 'small' invariants, fulfilling  $1 + \sum_{j=i}^{e} r_j \leq (e-i+1) \cdot (p-1)$ , for  $1 \leq i \leq e$ , with a particular view towards computing the reduced stable upper genus  $\sigma_0(G)$  in these cases, the key result being a closed formula for  $\sigma_0(G)$  in terms of the defining invariants  $(r_1, \ldots, r_e)$ . Now one of the maximal admissible 'small' cases coincides with the smallest admissible case here, thus we recover [14, Cor.3.7], where  $\sigma_0(G)$  is explicitly determined, but  $\mu_0(G)$  is only claimed without proof.

Section 7: Next, we turn to an aspect of the general question of how much information about a group is encoded into its spectrum, at best whether its isomorphism type can be recovered from it. Since in view of the examples in [9] this cannot possibly hold without restricting the class of groups considered, the class of abelian p-group seems to be a good candidate to look at. More specifically, **Talu's Conjecture** [14] says that, whenever p is odd, the spectrum of a non-trivial abelian p-group already determines the group up to isomorphism. Moreover, although this cannot possibly hold in full generality for p = 2, for example in view of the sets of groups  $\{\mathbb{Z}_2, \mathbb{Z}_4, \mathbb{Z}_2^2, \mathbb{Z}_8\}$  and  $\{\mathbb{Z}_2 \oplus \mathbb{Z}_4, \mathbb{Z}_2^3, \mathbb{Z}_2 \oplus \mathbb{Z}_8\}$  discussed below, we are tempted to expect that it still holds true up to finitely many finite sets of exceptions.

But, as a consequence of (6.2), we are able to produce infinitely many counterexamples to Talu's Conjecture (both for p odd and p=2), that is pairs of non-isomorphic abelian p-groups having the same spectrum. We present two distinct kinds of counterexamples, consisting of groups having the same order and exponent, and of groups where these invariants are different, in (7.2) and (7.3), respectively. This also shows that there cannot be an absolute bound on the cardinality of a set of abelian p-groups sharing one and the same spectrum, not even if we restrict

ourselves to groups having the same order and exponent. Still, we will have to say something positive on Talu's Conjecture later on.

Section 8: In order to show the effectiveness of the combinatorial machinery developed we work out various examples, where in particular we get new systematic proof of a number of earlier results scattered throughout the literature: In (8.1) we determine the groups of non-positive reduced minimum genus, where we recover the abelian p-groups amongst the well-known finite groups acting on surfaces of genus  $g \leq 1$ , see [12, App.] or [2, Sect.6.7]. In particular, the non-cyclic abelian groups of order at most 9, which have to be treated as exceptions in [8, Thm.4], reappear here naturally.

In (8.2) we deal with the groups of rank at most 2, whose smallest positive reduced genus we determine. In particular, for the cyclic groups we recover the results in [4] and [6, Prop.3.3], for the groups of rank 2 we improve the bound in [6, Prop.3.4], and for the cases of cyclic deficiency 1, where p is odd, we recover the relevant part of [9, Thm.5.4] and [9, Cor.5.5]. Moreover, we show that a cyclic p-group is uniquely determined by its smallest genus  $\geq 2$ , with the single exception of the groups  $\{\mathbb{Z}_2, \mathbb{Z}_4, \mathbb{Z}_8\}$ , and that an abelian p-groups of rank 2 is uniquely determined by its smallest genus  $\geq 2$ , with the single exception of the groups  $\{\mathbb{Z}_2 \oplus \mathbb{Z}_4, \mathbb{Z}_2 \oplus \mathbb{Z}_8, \mathbb{Z}_4^2\}$ .

Section 9: In (9.1) and (9.2) we determine the reduced minimum genus of the elementary abelian p-groups, and of the abelian p-groups of exponent  $p^2$ , respectively. Using this, we show that within the class of elementary abelian p-groups a group is uniquely determined by its minimum genus, with the single exception of the groups  $\{\mathbb{Z}_2, \mathbb{Z}_2^2\}$ ; for p odd this would also be a consequence of [9, Cor.7.3], but [9, Sect.7, Rem.] preceding it contains an error. Similarly, we show that within the class of abelian p-groups of exponent  $p^2$  a group is uniquely determined by its Kulkarni invariant, see (2.3), and its minimum genus, with the single exception of the groups  $\{\mathbb{Z}_4^2, \mathbb{Z}_2 \oplus \mathbb{Z}_4\}$ ; for p odd this is claimed without proof in [14, Thm.3.8].

To summarize our results in Sections 8 and 9, although Talu's Conjecture is false in general, it turns out to hold within the following subclasses of the class of non-trivial abelian p-groups (including the case p = 2): i) the class of cyclic p-groups, ii) the class of p-groups of rank 2, iii) the class of elementary abelian p-groups, and iv) the class of p-groups of exponent  $p^2$ .

1.3. Mainline integers. We comment on the combinatorial tool featuring prominently in our approach: Given a prime p, and a non-increasing sequence  $\underline{a} := (a_1, \ldots, a_e)$  of non-negative integers, the associated p-mainline integer (as we call it by lack of a better name) is defined as  $\wp(\underline{a}) := \sum_{i=1}^e a_i p^{e-i}$ . Moreover, given any non-increasing sequence  $\underline{s} := (s_1, \ldots, s_e)$  of non-negative integers, let  $\mathcal{P}(\underline{s})$  be the set of all p-mainline integers  $\wp(\underline{a})$  where  $\underline{a}$  is bounded below component-wise by  $\underline{s}$ . The connection to abelian p-groups with defining invariants  $(r_1, \ldots, r_e)$  is given by letting the sequence  $\underline{s}$  be given by

$$s_i := 1 + \sum_{j=i}^e r_j$$
 for  $1 \le i \le e$ .

We are interested in the structure of  $\mathcal{P}(\underline{s})$ , whose minimum obviously equals  $\wp(\underline{s})$ . It can be shown that  $\mathcal{P}(\underline{s})$  is a co-finite subset of the non-negative integers, and thus

the combinatorial problems arising are to determine the smallest m such that all integers from m on actually are elements of  $\mathcal{P}(\underline{s})$ , and to describe the gap consisting of the non-mainline integers between  $\wp(s)$  and m.

It might very well be possible that this general kind of problems is well-known to combinatorialists, but we have not been able to find suitable references. In consequence we develop a piece of theory, just as far as necessary for the present paper; we are planning to elaborate on this, as we go along with pursuing further questions concerning the genus spectrum of abelian p-groups.

## 2. Groups acting on Riemann surfaces

We assume the reader familiar with the basic theory of Riemann surfaces, as is exhibited for example in [1, 2], so that here we are just content with recalling a few facts. The connection between geometry and group theory is given by the following well-known theorem. We point out that it is often only used for  $g \geq 2$ , in which case the 'groups with signature' occurring are the Fuchsian groups, but it actually holds for all  $g \geq 0$ ; see for example [1, Sect.1] and [2, Ch.6] and [12]:

- **2.1. Theorem.** A finite group G acts on a compact Riemann surface X, if and only if there is  $\Gamma \leq \operatorname{Aut}(U)$ , where U is a simply-connected Riemann surface and  $\Gamma$  is a group with signature in the sense of (2.2), and a smooth epimorphism  $\phi : \Gamma \longrightarrow G$ , such that X is isomorphic to the orbit space  $U/\ker(\phi)$ .
- **2.2. Smooth epimorphisms.** We keep the notation of (2.1). A group  $\Gamma$  is said to be a **group with (finite) signature** if it has a distinguished generating set

$$\{a_k, b_k : 1 \le k \le h\} \cup \{c_j : 1 \le j \le s\},$$

for some  $h, s \in \mathbb{N}_0$ , subject to the order relations

$$c_j^{n_j} = 1$$
, where  $n_j \in \mathbb{N} \setminus \{1\}$ ,

for  $1 \leq j \leq s$ , and the 'long' relation

$$\prod_{k=1}^{h} [a_k, b_k] \cdot \prod_{j=1}^{s} c_j = 1,$$

where  $[a, b] := a^{-1}b^{-1}ab$  denotes the commutator of a and b. More generally, there might also be order relations of the form ' $c^{\infty} = 1$ ', that is no order relation for the generator c at all; but since we are requiring X to be compact, and hence the orbit space X/G to be compact as well, these cases do not occur here; see [12, App.].

An epimorphism  $\phi:\Gamma\longrightarrow G$  with torsion-free kernel is called **smooth**. This is equivalent to the condition that

$$\phi(c_i) \in G$$
 has order  $n_i$ , for all  $1 \le j \le s$ .

In this case, the (s+1)-tuple  $(n_1, \ldots, n_s; h)$  is called a **signature** of G, with **periods**  $n_1, \ldots, n_s \geq 2$  and **orbit genus**  $h \geq 0$ . The orbit space X/G has genus h, and the branched covering  $X \longrightarrow X/G$  gives rise to the **Riemann-Hurwitz equation** 

$$g-1 = |G| \cdot \left(h-1 + \frac{1}{2} \cdot \sum_{i=1}^{s} (1 - \frac{1}{n_i})\right).$$

**2.3.** Kulkarni's Theorem. To describe the structure of the genus spectrum of a finite group G, in [6] a group theoretic invariant  $N(G) \in \mathbb{N}$ , now called the Kulkarni invariant of G, is introduced, such that

$$\operatorname{sp}(G) \setminus \{0\} \subseteq 1 + N(G) \cdot \mathbb{N}_0,$$

and  $\operatorname{sp}(G)\setminus\{0\}$  is a co-finite subset of  $1+N(G)\cdot\mathbb{N}_0$ . Moreover, we have

$$N(G) = \frac{1}{\epsilon(G)} \cdot \frac{|G|}{\exp(G)},$$

where  $\epsilon = \epsilon(G) \in \{1, 2\}$  is determined by the structure of G as follows:

If |G| is odd, then  $\epsilon := 1$ ; if |G| is even, letting  $\tilde{G}$  be a Sylow 2-subgroup of G, then  $\epsilon := 1$  provided the subset  $\{a \in \tilde{G}; |a| < \exp(\tilde{G})\} \subseteq G$  forms a subgroup of  $\tilde{G}$  of index 2, otherwise  $\epsilon := 2$ . In other words, using the notions developed in [11], we have  $\epsilon = 2$  if and only if  $\tilde{G}$  is a non-trivial 2-group not of 'GK type'.

This yields the description of the non-negative part of the reduced spectrum  $\operatorname{sp}_0(G)$  as stated earlier. As for its negative part, the well-known description of finite group actions on compact Riemann surfaces of genus g=0, see [12, App.] or [2, Sect.6.7], says that in this case G is cyclic, dihedral, alternating or symmetric of isomorphism type in  $\{\mathbb{Z}_n, \operatorname{Dih}_{2n}, \operatorname{Alt}_4, \operatorname{Sym}_4, \operatorname{Alt}_5\}$ , hence we indeed get  $\Delta(G) = \epsilon(G)$ .

**2.4. The case of** p-groups. We turn to the case of interest for us: Let G be a p-group of order  $p^n$  and exponent  $p^e$ , where  $e \le n \in \mathbb{N}_0$ .

If  $\phi: \Gamma \longrightarrow G$  is a smooth epimorphism, then all the periods are of the form  $p^i$ , where  $0 \le i \le e$ . Hence we may abbreviate any signature  $(n_1, \ldots, n_s; h)$  of G by the (e+1)-tuple  $(x_1, \ldots, x_e; h)$ , being called the associated p-datum, where

$$x_i := |\{1 \le j \le s; n_i = p^i\}| \in \mathbb{N}_0.$$

The set D(G) of all p-data of G, being afforded by smooth epimorphisms, is called the **data spectrum** of G. Then the Riemann-Hurwitz equation gives rise to the **genus map**  $g: D(G) \longrightarrow \operatorname{sp}(G)$  defined by

$$g(x_1, \dots, x_e; h) := 1 + p^{n-e} \cdot \left( (h-1) \cdot p^e + \frac{1}{2} \cdot \sum_{i=1}^e x_i (p^e - p^{e-i}) \right).$$

Letting the **cyclic deficiency** of G be defined as

$$\delta = \delta(G) := \log_n(\Delta(G)) = n - e \in \mathbb{N}_0,$$

in view of Kulkarni's Theorem (2.3) we have  $N(G) = \frac{1}{\epsilon(G)} \cdot p^{\delta(G)}$ . Then the **reduced genus map**  $g_0 : D(G) \longrightarrow \operatorname{sp}_0(G) \subseteq \frac{1}{\epsilon(G)} \cdot (\{-1\} \cup \mathbb{N}_0) \subseteq \frac{1}{2} \cdot (\{-1\} \cup \mathbb{N}_0)$ , given by associating the reduced genus  $\frac{g-1}{p^{\delta}} \in \operatorname{sp}_0(G)$  with any  $g \in \operatorname{sp}(G)$ , reads

$$g_0(x_1, \dots, x_e; h) = (h-1) \cdot p^e + \frac{1}{2} \cdot \sum_{i=1}^e x_i (p^e - p^{e-i}).$$

## 3. Mainline integers

In this section we consider sequences of non-negative integers from a certain purely combinatorial viewpoint. We develop a little piece of general theory, as far as will be needed in Sections 5 and 6.

**3.1. Integer sequences.** Given finite sequences  $\underline{a} = (a_1, \dots, a_e) \in \mathbb{N}_0^e$  and  $\underline{b} = (b_1, \dots, b_e) \in \mathbb{N}_0^e$  of non-negative integers, of length  $e \geq 1$ , we write  $\underline{a} \leq \underline{b}$ , and say that  $\underline{b}$  dominates  $\underline{a}$ , if  $a_i \leq b_i$  for all  $1 \leq i \leq e$ . We will be mainly concerned with the set of **non-increasing** sequences

$$\mathcal{N} = \mathcal{N}(e) := \{ \underline{a} = (a_1, \dots, a_e) \in \mathbb{N}_0^e : a_1 \ge \dots \ge a_e \}.$$

We introduce a few combinatorial notions concerning integer sequences: To this end, we fix  $p \in \mathbb{N}$ ; later on p will be a prime, but here is no need to assume this.

i) For an arbitrary sequence  $\underline{a} = (a_1, \dots, a_e) \in \mathbb{N}_0^e$  let

$$\wp(\underline{a}) = \wp(a_1, \dots, a_e) := \sum_{i=1}^e a_i p^{e-i} \in \mathbb{N}_0.$$

Then the (p-)mainline integers associated with a are defined as

$$\mathcal{P}(\underline{a}) = \mathcal{P}(a_1, \dots, a_e) := \{ \wp(\underline{b}) \in \mathbb{N}_0 : \underline{b} \in \mathcal{N}, \underline{a} \leq \underline{b} \}.$$

Note that we allow for arbitrary  $\underline{a}$  to start with, while the sequences  $\underline{b}$  used in the definition of  $\mathcal{P}(\underline{a})$  are required to be non-increasing. It will turn out that there always is a non-increasing sequence affording a given set of mainline integers.

The **hull sequence**  $\underline{\tilde{a}} = (\tilde{a}_1, \dots, \tilde{a}_e) \in \mathcal{N}$  of  $\underline{a}$  is defined recursively by letting  $\tilde{a}_e := a_e$  and

$$\tilde{a}_i := \max{\{\tilde{a}_{i+1}, a_i\}}$$
 for  $e-1 \ge i \ge 1$ ;

note that this definition is actually independent of the chosen integer p. Hence we have  $\underline{a} \leq \underline{\tilde{a}}$ , where  $\underline{a} = \underline{\tilde{a}}$  if and only if  $\underline{a} \in \mathcal{N}$ .

ii) Given a non-increasing sequence  $\underline{a} = (a_1, \dots, a_e) \in \mathcal{N}$ , its *p*-enveloping sequence  $\underline{\hat{a}} = (\hat{a}_1, \dots, \hat{a}_e) \in \mathcal{N}$  is defined recursively by  $\hat{a}_e := a_e$  and

$$\hat{a}_i := \max\{\hat{a}_{i+1} + (p-1), a_i\} \text{ for } e-1 \ge i \ge 1;$$

hence we have  $\underline{a} = \underline{\tilde{a}} \leq \underline{\hat{a}}$ , where  $\underline{a} = \underline{\hat{a}}$  if p = 1.

Moreover, whenever  $e \geq 2$  let

$$||\underline{a}|| = ||(a_1, \dots, a_e)|| := \min\{a_i - a_{i+1} : 1 \le i \le e - 1\},$$

and let  $||\underline{a}|| := \infty$  for e = 1; note that, despite notation,  $||\cdot||$  is not a norm in sense of metric spaces. In particular, we have  $\underline{a} = \underline{\hat{a}}$  if and only if  $||\underline{a}|| \ge p - 1$ .

**3.2. Proposition.** Given  $\underline{a} \in \mathbb{N}_0^e$ , then we have  $\mathcal{P}(\underline{a}) = \mathcal{P}(\underline{\tilde{a}})$ .

**Proof.** Let  $\underline{b} = (b_1, \dots, b_e) \in \mathcal{N}$ . If  $\underline{\tilde{a}} \leq \underline{b}$ , then from  $\underline{a} \leq \underline{\tilde{a}}$  we also get  $\underline{a} \leq \underline{b}$ . Conversely, if  $\underline{a} \leq \underline{b}$ , then we have  $\tilde{a}_e = a_e \leq b_e$ , and recursively for  $e - 1 \geq i \geq 1$  we get  $\tilde{a}_{i+1} \leq b_{i+1} \leq b_i$  and  $a_i \leq b_i$ , hence  $\tilde{a}_i \leq b_i$ ; this implies that  $\underline{\tilde{a}} \leq \underline{b}$ .

**3.3. Proposition.** Given  $\underline{a} \in \mathcal{N}$ , the set  $\mathbb{N}_0 \setminus \mathcal{P}(\underline{a})$  is finite.

**Proof.** We consider the *p*-enveloping sequence  $\underline{\hat{a}} = (\hat{a}_1, \dots, \hat{a}_e) \in \mathcal{N}$  of  $\underline{a}$ , and we show that any  $m \geq \wp(\underline{\hat{a}})$  is a mainline integer: To this end, write  $m - \wp(\underline{\hat{a}})$  in a partial *p*-adic expansion as  $m - \wp(\underline{\hat{a}}) = \sum_{i=1}^e b_i p^{e-i}$ , where  $b_i \geq 0$  such that  $b_2, \dots, b_e \leq p-1$ , but  $b_1$  might be arbitrarily large. Then we have  $m = \sum_{i=1}^e (\hat{a}_i + b_i) p^{e-i}$ . Since for  $1 \leq i \leq e-1$  we have  $\hat{a}_i - \hat{a}_{i+1} \geq p-1 \geq b_{i+1} - b_i$ , thus  $\hat{a}_i + b_i \geq \hat{a}_{i+1} + b_{i+1}$ , this implies that  $m \in \mathcal{P}(\underline{a})$ .

**3.4. Combinatorial problems.** The general aim now is to investigate into the structure of  $\mathcal{P}(\underline{a})$ , for a given sequence  $\underline{a} \in \mathbb{N}_0^e$ : By (3.2) we have

$$\mu(\underline{a}) := \min \mathcal{P}(\underline{a}) = \min \mathcal{P}(\underline{\tilde{a}}) = \wp(\underline{\tilde{a}}),$$

where  $\underline{\tilde{a}} \in \mathcal{N}$  is the associated hull sequence. Moreover, by (3.3) the set  $\mathcal{P}(\underline{a}) = \mathcal{P}(\underline{\tilde{a}})$  is a co-finite subset of  $\mathbb{N}_0$ . In consequence, the problems associated with  $\underline{a}$  are to determine the smallest integer  $\sigma(\underline{a}) \in \mathbb{N}_0$  such that all  $m \geq \sigma(\underline{a})$  are elements of  $\mathcal{P}(\underline{a})$ , and to determine the gap  $\{\mu(\underline{a}) + 1, \dots, \sigma(\underline{a}) - 1\} \setminus \mathcal{P}(\underline{a})$ .

Note that by the proof of (3.3) we have  $\mu(\underline{a}) \leq \sigma(\underline{a}) \leq \wp(\underline{\hat{a}})$ , where  $\underline{\hat{a}}$  is the associated *p*-enveloping sequence. Hence in particular we have shown the following:

**3.5. Theorem.** Given  $\underline{a} \in \mathcal{N}$  such that  $||\underline{a}|| \ge p-1$ , then we have  $\mu(\underline{a}) = \sigma(\underline{a}) = \wp(\underline{a})$ , that is the associated mainline integers are given as  $\mathcal{P}(\underline{a}) = \mathbb{N}_0 + \wp(\underline{a})$ .

## 4. Talu's Theorem revisited

In this section we develop a conceptual approach to describe the smooth epimorphisms onto a given abelian p-group. We first prepare the setting:

**4.1.** Abelianisations. Let  $\Gamma$  be a group with signature, given by the p-datum  $(x_1, \ldots, x_f; h)$ , where  $h \geq 0$ ,  $f \geq 0$  and  $x_f > 0$ ; note that we are allowing for the case f = 0, where the p-datum becomes (-; h). Thus  $\Gamma$  is generated by the set

$$\{a_k, b_k : 1 \le k \le h\} \cup \{c_{ij} : 1 \le i \le f, 1 \le j \le x_i\},$$

subject to the order relations

$$c_{ij}^{p^i} = 1$$
, for  $1 \le i \le f$  and  $1 \le j \le x_i$ ,

and the long relation

$$\prod_{k=1}^{h} [a_k, b_k] \cdot \prod_{i=1}^{f} \prod_{j=1}^{x_i} c_{ij} = 1.$$

Let  $0 \le f' \le f$  be defined as follows:

$$f' := \begin{cases} 0, & \text{if } \sum_{i=1}^{f} x_i \le 1, \\ \max\{1 \le d \le f : \sum_{i=d}^{f} x_i \ge 2\}, & \text{if } \sum_{i=1}^{f} x_i \ge 2. \end{cases}$$

In other words, we have f' = 0 if and only if the *p*-datum is (-; h) or  $(0, \ldots, 0, 1; h)$ , while otherwise we have f' = f if and only if  $x_f \ge 2$ , and if  $x_f = 1$  then  $1 \le f' < f$  is largest such that  $x_{f'} > 0$ .

It follows from the above presentation that the abelianisation  $H := \Gamma/[\Gamma, \Gamma]$  of  $\Gamma$ , where  $[\Gamma, \Gamma]$  denotes the derived subgroup of  $\Gamma$ , can be written as

$$H \cong \begin{cases} \mathbb{Z}^{2h}, & \text{if } f' = 0, \\ \mathbb{Z}^{2h} \oplus \mathbb{Z}_p^{x_1} \oplus \mathbb{Z}_{p^2}^{x_2} \oplus \cdots \oplus \mathbb{Z}_{p^f}^{x_f - 1}, & \text{if } f' = f, \\ \mathbb{Z}^{2h} \oplus \mathbb{Z}_p^{x_1} \oplus \mathbb{Z}_{p^2}^{x_2} \oplus \cdots \oplus \mathbb{Z}_{p^{f'}}^{x_{f'}}, & \text{if } 1 \le f' < f. \end{cases}$$

Indeed, identifying the elements of  $\Gamma$  with their images under the natural map  $\Gamma \longrightarrow H$ , we conclude that H is generated by the set

$$\mathcal{C} := \mathcal{C}_0 \cup \mathcal{C}_1 \cup \cdots \cup \mathcal{C}_{f-1} \cup \mathcal{C}_f,$$

reflecting its decomposition as a direct sum of cyclic subgroups, where

$$\mathcal{C}_0 := \{a_k, b_k \in H : 1 \le k \le h\},$$

$$\mathcal{C}_i := \{c_{ij} \in H : 1 \le j \le x_i\},$$
 for  $1 \le i \le f - 1$ ,
$$\mathcal{C}_f := \{c_{fj} \in H : 1 \le j \le x_f - 1\}.$$

**4.2.** Abelian groups. Let G be a non-trivial abelian p-group given by

$$G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e},$$

where  $e \ge 1$ , and  $r_i \ge 0$  for  $1 \le i \le e - 1$ , and  $r_e \ge 1$ . Moreover, let

$$\{g_{ij} : 1 \le i \le e, 1 \le j \le r_i\}$$

be a generating set reflecting the decomposition as a direct sum of cyclic subgroups.

Proceeding similarly as above, let  $0 \le e' \le e$  be defined as follows:

$$e' := \begin{cases} 0, & \text{if } \sum_{i=1}^{e} r_i \le 1, \\ \max\{1 \le d \le e : \sum_{i=d}^{e} r_i \ge 2\}, & \text{if } \sum_{i=1}^{e} r_i \ge 2. \end{cases}$$

Thus, we have e' = 0 if and only if  $G \cong \mathbb{Z}_{p^e}$  is cyclic, while otherwise we have e' = e if and only if  $r_e \geq 2$ , and if  $r_e = 1$  then  $1 \leq e' < e$  is largest such that  $r_{e'} > 0$ .

Letting  $\Omega_i(G) = \{g \in G : g^{p^i} = 1\}$  be the characteristic subgroup of G consisting of all elements of order dividing  $p^i$ , where  $0 \le i \le e$ , we observe that  $\Omega_{i-1}(G)$  is a subgroup of index p in  $\Omega_i(G)$  if and only if  $e' < i \le e$ . In other words, using the notions developed in [11], we have e' < e if and only if G is a group of 'GK type', in which case e - e' coincides with the length of its 'GK series', see [11, Ex.2.3]. In view of Kulkarni's Theorem (2.3), and the comments in [11, Sect.1.1], it is not surprising that this shows up here in disguised form as well.

For the remainder of this section we keep the notation fixed in (4.1) and (4.2). Now, since any group homomorphism from  $\Gamma$  to an abelian group factors through H, from (2.2) we get the following:

**4.3. Proposition.** There is a smooth epimorphism  $\phi: \Gamma \longrightarrow G$  if and only if there is an epimorphism  $\varphi: H := \Gamma/[\Gamma, \Gamma] \longrightarrow G$  such that  $\varphi(c_{ij})$  has order  $p^i$ , for  $1 \le i \le f$  and  $1 \le j \le x_i$ , and  $\prod_{j=1}^{x_f-1} \varphi(c_{fj})$  has order  $p^f$ .

Such an epimorphism  $\varphi: H \longrightarrow G$  is also said to be **smooth**. Having this in place, we are prepared to state a necessary and sufficient arithmetic condition when there is a smooth epimorphism  $\phi: \Gamma \longrightarrow G$ . By (4.3) this amounts to give such a

condition for a smooth epimorphism  $\varphi: H \longrightarrow G$ , which is done in (4.4) and (4.5) for necessity and sufficiency, respectively. We call this collection of statements **Talu's Theorem**, for the following reasons:

We pursue a strategy similar to the one employed in [14, La.3.2] and [14, Thm.3.3], where the statements of (4.4) and (4.5) are proven for the case p odd. Here, we are developing a general approach, which covers the case p=2 as well, and with which we recover the results in [14] in a more conceptual manner. In particular, we close a gap in the proof of [14, Thm.3.3], where the element there playing a role similar to the element 'g' in our proof of (4.5) is incorrectly stated.

**4.4. Theorem.** If there exists a smooth epimorphism  $\varphi: H \longrightarrow G$  then we have  $f' = f \leq e$ , and the following inequalities are fulfilled:

$$2h + \sum_{j=i}^f x_j \ge 1 + \sum_{j=i}^e r_j, \quad \text{for} \quad 1 \le i \le f, \quad \text{and} \quad 2h \ge \sum_{j=f+1}^e r_j.$$

Moreover, if p = 2 and e' < f, then  $x_f$  is even.

**Proof.** For  $0 \le i \le e$  let  $\Omega_i(G) = \{g \in G : g^{p^i} = 1\}$  and  $\mho_i(G) = \{g^{p^i} \in G : g \in G\}$  be the characteristic subgroups of G consisting of all elements of order dividing  $p^i$ , and of all  $p^i$ -th powers, respectively. In particular  $\Omega_1(G)$  is an  $\mathbb{F}_p$ -vector space, where  $\mathbb{F}_p$  denotes the field with p elements.

Now, the existence of the smooth epimorphism  $\varphi: H \longrightarrow G$  implies  $f' = f \leq e$ . We have  $\mathcal{V}_e(H) \leq \ker(\varphi)$ , thus letting

$$\tilde{H} := H/\mathcal{V}_e(H) \cong \mathbb{Z}_p^{x_1} \oplus \mathbb{Z}_{p^2}^{x_2} \oplus \cdots \oplus \mathbb{Z}_{p^f}^{x_f-1} \oplus \mathbb{Z}_{p^e}^{2h}$$

yields an epimorphism  $\tilde{\varphi}: \tilde{H} \longrightarrow G$ . Hence dualising we get a monomorphism  $\tilde{\varphi}^*: G^*:= \operatorname{Hom}(G, \mathbb{C}^*) \longrightarrow \operatorname{Hom}(\tilde{H}, \mathbb{C}^*) = \tilde{H}^*$ , that is  $G \cong G^*$  is isomorphic to a subgroup of  $\tilde{H}^* \cong \tilde{H}$ . Thus  $\Omega_i(G)$  and  $U_i(G)$  can be identified with subgroups of  $\Omega_i(\tilde{H})$  and  $U_i(\tilde{H})$ , respectively, and hence we have

$$\dim_{\mathbb{F}_p}(\Omega_1(\mathcal{O}_i(G))) \le \dim_{\mathbb{F}_p}(\Omega_1(\mathcal{O}_i(\tilde{H}))).$$

Now, for  $0 \le i \le e - 1$  we have

$$\Omega_1(\mho_i(G)) \cong \mathbb{Z}_p^{r_{i+1}} \oplus \mathbb{Z}_p^{r_{i+2}} \oplus \cdots \oplus \mathbb{Z}_p^{r_e},$$

which yields

$$\dim_{\mathbb{F}_p}(\Omega_1(\mho_i(G))) = \sum_{j=i+1}^e r_j.$$

Similarly, for  $0 \le i \le f - 1$  we have

$$\Omega_1(\mho_i(\tilde{H})) \cong \mathbb{Z}_p^{x_{i+1}} \oplus \mathbb{Z}_p^{x_{i+2}} \oplus \cdots \oplus \mathbb{Z}_p^{x_{f-1}} \oplus \mathbb{Z}_p^{x_{f-1}} \oplus \mathbb{Z}_p^{2h},$$

yielding

$$\dim_{\mathbb{F}_p}(\Omega_1(\mathcal{O}_i(\tilde{H}))) = 2h - 1 + \sum_{j=i+1}^f x_j,$$

while for  $f \leq i \leq e-1$  we get

$$\dim_{\mathbb{F}_p}(\Omega_1(\mathcal{O}_i(\tilde{H}))) = 2h.$$

Finally, let p = 2 and  $e' < f \le e$ . Then G has shape

$$G \cong \mathbb{Z}_2^{r_1} \oplus \mathbb{Z}_4^{r_2} \oplus \ldots \oplus \mathbb{Z}_{2^{e'}}^{r_{e'}} \oplus \mathbb{Z}_{2^e},$$

and thus

$$\Omega_f(G)/\Omega_{f-1}(G) \cong \mathbb{Z}_{2^{e-f}}/\mathbb{Z}_{2^{e-f+1}} \cong \mathbb{Z}_2.$$

Now we observe that  $\varphi(c_{fj}) \in \Omega_f(G) \setminus \Omega_{f-1}(G)$ , for  $1 \leq j \leq x_f - 1$ , where  $\prod_{j=1}^{x_f-1} \varphi(c_{fj}) \notin \Omega_{f-1}(G)$  as well, implying that  $x_f - 1$  is odd.

**4.5. Theorem.** Let  $f' = f \le e$ , where in case p = 2 and e' < f we additionally assume that  $x_f$  is even, such that

$$2h + \sum_{j=i}^f x_j \ge 1 + \sum_{j=i}^e r_j, \quad \text{for} \quad 1 \le i \le f, \quad \text{and} \quad 2h \ge \sum_{j=f+1}^e r_j.$$

Then there exists a smooth epimorphism  $\varphi: H \longrightarrow G$ .

**Proof.** By the inequalities assumed we have

$$|\mathcal{C}_0 \cup \mathcal{C}_f \cup \mathcal{C}_{f-1} \cup \dots \cup \mathcal{C}_i| \ge \sum_{j=i}^e r_j$$
, for  $1 \le i \le f$ , and  $|\mathcal{C}_0| \ge \sum_{j=f+1}^e r_j$ ,

where the latter sum is empty if e = f. Thus we may choose a subset  $\mathcal{D}_{f+1} \subseteq \mathcal{C}_0$  of cardinality  $\sum_{j=f+1}^{e} r_j$ . Subsequently, for  $f \geq i \geq 1$  we may recursively choose, disjointly from  $\mathcal{D}_{f+1}$ , pairwise disjoint sets

$$\mathcal{D}_i = \{d_{i,1}, \dots, d_{i,r_i}\} \subseteq \mathcal{C}_0 \cup \mathcal{C}_f \cup \mathcal{C}_{f-1} \cup \dots \cup \mathcal{C}_i$$

of cardinality  $r_i$ . Let

$$\mathcal{C}_i' := \mathcal{C}_i \setminus \left( \bigcup_{j=1}^i \mathcal{D}_j \right) \quad ext{for} \quad 1 \leq i \leq f, \quad ext{and} \quad \mathcal{C}_0' := \mathcal{C}_0 \setminus \left( \bigcup_{j=1}^{f+1} \mathcal{D}_j \right).$$

We are going define a homomorphism  $\varphi: H \longrightarrow G$  by specifying the image of  $\mathcal{C}$ :

The direct summand  $\langle \mathcal{D}_{f+1} \rangle$  of H is a free abelian group of rank  $\sum_{j=f+1}^{e} r_j$ , hence choosing  $\varphi(c)$  appropriately, for  $c \in \mathcal{D}_{f+1} \subseteq \mathcal{C}_0$ , the direct summand

$$G' := \langle g_{ij} : f+1 \le i \le e, 1 \le j \le r_i \rangle \cong \mathbb{Z}_{nf+1}^{r_{f+1}} \oplus \mathbb{Z}_{nf+2}^{r_{f+2}} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e}$$

of G becomes an epimorphic image of  $\langle \mathcal{D}_{f+1} \rangle$ . Thus letting  $\varphi(c) := 1$  for  $c \in \mathcal{C}'_0$ , we are done in the case f = 0. Hence we may assume that f' = f > 0, thus we have  $x_f \geq 2$  and  $\mathcal{C}_f \neq \emptyset$ , where we may assume that  $\mathcal{C}_f \cap \mathcal{D}_f \neq \emptyset$  whenever  $r_f > 0$ .

Now, for  $d_{ij} \in \mathcal{C}_0 \cap \mathcal{D}_i$ , where  $1 \leq i \leq f$ , we let  $\varphi(d_{ij}) := g_{ij}$ . Moreover, for  $d_{ij} \in \mathcal{C}_k \cap \mathcal{D}_i$ , where  $1 \leq i \leq k < f \leq e$ , we let  $\varphi(d_{ij}) := g_{ij} \cdot g_{e,r_e}^{p^{e-k}}$ , while for  $c \in \mathcal{C}'_k$  we let  $\varphi(c) := g_{e,r_e}^{p^{e-k}}$ . To specify  $\varphi(c)$  for  $c \in \mathcal{C}_f$  we need some flexibility:

For  $d_{ij} \in \mathcal{C}_f \cap \mathcal{D}_i$ , where  $1 \leq i \leq f$ , we let  $\varphi(d_{ij}) = g_{ij} \cdot c'$ , for some  $c' \in G$ , while for  $c \in \mathcal{C}_f'$  we just write  $\varphi(c) = c'$ . Then we have to show that the elements c' can be chosen suitably to give rise to an epimorphism such that all  $\varphi(c)$ , where  $c \in \mathcal{C}_f$ , as well as  $g := \prod_{c \in \mathcal{C}_f} \varphi(c)$  have order  $p^f$ .

In particular,  $\varphi(c)$  will have order  $p^f$ , if  $c \in \mathcal{C}_f \setminus \mathcal{D}_f$  and  $c' \in G$  is chosen to have order  $p^f$ , or if  $c \in \mathcal{C}_f \cap \mathcal{D}_f$  and  $c' \in G'$  is chosen to have order dividing  $p^f$ .

Moreover,  $\varphi$  will be an epimorphism whenever f < e and we choose  $c' \in G'$  for all  $c \in \mathcal{C}_f \cap (\bigcup_{i=1}^f \mathcal{D}_i)$ . The order condition on g will be checked by showing that the image of g under a suitable projection of G onto one of its direct summands already has order  $p^f$ . We now distinguish various cases:

- i) Let  $f < e' \le e$ . Then pick  $c_0 \in \mathcal{C}_f$ , and let  $c'_0 := g_{e',1}^{p^{e'-f}}$ , while for  $c_0 \ne c \in \mathcal{C}_f$  let  $c' := g_{e,r_e}^{p^{e-f}}$ ; note that for e' = e we have  $r_e \ge 2$ . Then projecting g onto  $\langle g_{e',1} \rangle$  yields  $c'_0$ , which has order  $p^f$ .
- ii) Let  $f = e' \leq e$ . Then, since  $r_f = r_{e'} > 0$ , we may assume that  $d_{e',1} \in \mathcal{C}_f \cap \mathcal{D}_f$ . For  $c \in \mathcal{C}_f \setminus \mathcal{D}_f$  let  $c' := g_{e,r_e}^{p^{e-f}}$ , while for  $c \in \mathcal{C}_f \cap \mathcal{D}_f$  let c' := 1; note that for f = e' = e we have  $r_e \geq 2$ , and  $d_{e,r_e} \in \mathcal{C}_0 \cup \mathcal{C}_f$  implies that  $\varphi$  is an epimorphism. Projecting g onto  $\langle g_{e',1} \rangle$  yields  $g_{e',1}$ , which has order  $p^f$ .
- iii) Let e' < f < e. Then for  $c \in \mathcal{C}_f$  let  $c' := (g_{e,1}^{p^{e-f}})^{a_c}$ , where  $a_c$  is chosen coprime to p. Projecting g onto  $\langle g_{e,1} \rangle$  yields  $(g_{e,1}^{p^{e-f}})^a$ , where  $a := \sum_{c \in \mathcal{C}_f} a_c$ . The latter element has order  $p^f$  if and only if a is coprime to p. If p is odd, this can be achieved by picking any  $c \in \mathcal{C}_f$  and replacing  $a_c$  by  $a_c + 1$  or  $a_c 1$ , if necessary. If p = 2, then  $a_c$  is odd for all  $c \in \mathcal{C}_f$ , which, since  $|\mathcal{C}_f| = x_f 1$  is odd, implies that a is odd.
- iv) Let e' < f = e. Then, since  $r_f = r_e = 1$ , we may assume that  $\mathcal{C}_f \cap \mathcal{D}_f = \{d_{e,1}\}$ . For  $c \in \mathcal{C}_f$  let  $c' := g_{e,1}^{a_c}$ , where  $a_c$  is chosen coprime to p for  $c \neq d_{e,1}$ , while for  $c = d_{e,1}$  we choose  $a_c$  such that  $1 + a_c$  is coprime to p. This implies that  $\varphi(d_{e,1})$  has order  $p^f$  and that  $\varphi$  is an epimorphism. Projecting g onto  $\langle g_{e,1} \rangle$  yields  $g_{e,1}^a$ , where  $a := 1 + \sum_{c \in \mathcal{C}_f} a_c$ . The latter element has order  $p^f$  if and only if a is coprime to p. If p is odd, this can be achieved by picking  $c \in \mathcal{C}_f$  and replacing  $a_c$  by  $a_c + 1$  or  $a_c 1$ , if necessary. If p = 2, then  $a_c$  is odd for all  $d_{e,1} \neq c \in \mathcal{C}_f$ , and  $1 + a_c$  is odd for  $c = d_{e,1}$ , which, since  $|\mathcal{C}_f| = x_f 1$  is odd, implies that a is odd.

## 5. Transforming to mainline integers

In this section we show how mainline integers, as introduced in Section 3, can be reconciled with the problem of determining the (reduced) genus spectrum of abelian p-groups and the results of Section 4.

- **5.1. Translating the reduced genus map.** Let still G be a non-trivial abelian p-group of exponent  $p^e$ .
- i) In order to reformulate the results of Section 4, we define  $\alpha: \mathbb{N}_0^{e+1} \longrightarrow \mathbb{N}_0^{e+1}$  by

$$\alpha(x_1,\ldots,x_e;x_0) := \left(\sum_{i=1}^e x_i + 2x_0, \sum_{i=2}^e x_i + 2x_0,\ldots,x_e + 2x_0,2x_0\right),\,$$

which is injective and has image, using the notation from (3.1),

$$\operatorname{im}(\alpha) = \mathcal{N}'(e+1) := \{(a_1, \dots, a_{e+1}) \in \mathcal{N}(e+1) : a_{e+1} \in 2\mathbb{N}_0\}.$$

The inverse map  $\alpha^{-1}: \mathcal{N}'(e+1) \longrightarrow \mathbb{N}_0^{e+1}$  is given by

$$\alpha^{-1}(a_1,\ldots,a_{e+1}) := (a_1 - a_2,\ldots,a_e - a_{e+1};\frac{a_{e+1}}{2}).$$

Letting  $D(G) \subset \mathbb{N}_0^{e+1}$  be the data spectrum of G as introduced in (2.4), let

$$A(G) := \alpha(D(G)) \subset \mathbb{N}_0^{e+1}.$$

Then the reduced genus map  $g_0: D(G) \longrightarrow \frac{1}{2} \cdot (\{-1\} \cup \mathbb{N}_0)$ , given by

$$g_0(x_1, \dots, x_e; h) = -p^e + \left(h + \frac{1}{2} \cdot \sum_{i=1}^e x_i\right) \cdot p^e - \frac{1}{2} \cdot \sum_{i=1}^e x_i p^{e-i},$$

can be rephrased as  $\gamma = g_0 \circ \alpha^{-1} : A(G) \longrightarrow \frac{1}{2} \cdot (\{-1\} \cup \mathbb{N}_0)$ , where explicitly

$$\gamma(a_1, \dots, a_{e+1}) = -p^e + \frac{a_{e+1}}{2} + \frac{p-1}{2} \cdot \wp(a_1, \dots, a_e).$$

ii) As will become clear below, elements of the form  $(x_1, \ldots, x_i, 0, \ldots, 0; h) \in D(G)$ , for some  $0 \le i \le e$ , are of particular importance. These translate into elements of the form  $(a_1, \ldots, a_i, 2a, \ldots, 2a) \in \mathcal{N}'(e+1)$ . For the latter we have

$$\gamma(a_1, \dots, a_i, 2a, \dots, 2a) = -p^e + a + \frac{p-1}{2} \cdot \wp(a_1, \dots, a_i, 2a, \dots, 2a),$$

where the argument of  $\wp$  is a sequence of length e, and yields

$$\wp(a_1, \dots, a_i, 2a, \dots, 2a) = p^{e-i} \cdot \sum_{j=1}^i a_j p^{i-j} + 2a \cdot \sum_{j=0}^{e-i-1} p^j.$$

From that we get

$$\gamma(a_1, \dots, a_i, 2a, \dots, 2a) = -p^e + p^{e-i} \cdot \left(a + \frac{p-1}{2} \cdot \wp(a_1, \dots, a_i)\right).$$

In particular, for i=0 we get  $\gamma(2a,\ldots,2a)=(a-1)\cdot p^e$ , while for i=e we recover  $\gamma(a_1,\ldots,a_e,2a)=-p^e+a+\frac{p-1}{2}\cdot\wp(a_1,\ldots,a_e)$ . Note that we have  $\gamma(a_1,\ldots,a_i,2a,\ldots,2a)\in\mathbb{Z}$ , unless p=2 and i=e and  $a_e$  odd, in which case we have  $\gamma(a_1,\ldots,a_e,2a)\in\frac{1}{2}\mathbb{Z}\setminus\mathbb{Z}$ .

**5.2. Translating Talu's Theorem.** Let again  $G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e}$ , where  $e \geq 1$ , and  $r_i \geq 0$  for  $1 \leq i \leq e-1$ , and  $r_e \geq 1$ . Moreover, for  $1 \leq i \leq e+1$  we fix

$$s_i := 1 + \sum_{j=i}^e r_j,$$

Hence we have  $\underline{s} := (s_1, \dots, s_{e+1}) \in \mathcal{N}(e+1)$  such that  $s_e \geq 2$  and  $s_{e+1} = 1$ . Having this in place, (4.4) and (4.5) can be rephrased as follows:

 $\mathbf{i}$ ) For p odd we have

$$A(G) := A_0 \cup A_1 \cup \cdots \cup A_e,$$

where for  $0 \le i \le e$  we let, setting  $a_0 := \infty$ ,

$$A_i := \{\underline{a} \in \mathcal{N}'(e+1) : (a_1, \dots, a_i) \ge (s_1, \dots, s_i),$$
  
$$a_{i+1} = \dots = a_{e+1} \ge s_{i+1} - 1, \ a_i - a_{i+1} \ge 2\}.$$

In particular, we have

$$A_0 = \{a \in \mathcal{N}'(e+1) : a_1 = \dots = a_{e+1} > s_1 - 1\}$$

and

$$A_e = \{\underline{a} \in \mathcal{N}'(e+1) : (a_1, \dots, a_e) \ge (s_1, \dots, s_e), a_e - a_{e+1} \ge 2\}.$$

For  $0 \le i < j \le e$  the sequences in  $A_i$  satisfy  $a_j = a_{j+1}$ , while those in  $A_j$  satisfy  $a_j - a_{j+1} \ge 2$ , hence  $A_i \cap A_j = \emptyset$ , thus A(G) is disjointly covered by the  $A_i$ .

ii) For p=2, letting  $0 \le e' \le e$  be as defined in (4.2), we get

$$A(G) := A_0 \cup A_1 \cup \cdots \cup A_{e'} \cup A'_{e'+1} \cup \cdots \cup A'_{e},$$

where for  $1 \leq i \leq e$  we let

$$A_i' := \{ \underline{a} \in A_i : a_i - a_{i+1} \in 2\mathbb{N} \}.$$

In particular, for i = e we get

$$A'_e := \{ \underline{a} \in A_e : a_e \in 2\mathbb{N} \}.$$

Note that we have  $\gamma(A_e) \subseteq \frac{1}{2}\mathbb{Z}$  and  $\gamma(A'_e) \subseteq \mathbb{Z}$ , thus we recover Kulkarni's Theorem (2.3) in the case of abelian p-groups.

**5.3. Towards the minimum genus.** This now gives a handle to compute the reduced minimum genus of G, which for p odd is given as

$$\mu_0(G) = \min\{\min \gamma(A_i) : 0 \le i \le e\},\$$

while for p = 2 we get

$$\mu_0(G) = \min \left( \{ \min \gamma(A_i) : 0 \le i \le e' \} \cup \{ \min \gamma(A'_i) : e' < i \le e \} \right).$$

i) We proceed to derive formulae, in terms of the sequence  $\underline{s} = (s_1, \ldots, s_{e+1})$  associated with G, to determine min  $\gamma(A_i)$ , for  $0 \le i \le e$ : To this end, let

$$\underline{s}^i := (s_1, \dots, s_i, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor, \dots, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor) \in \mathcal{N}'(e+1)$$

and

$$\underline{s}^{i+} := (s_1, \dots, s_{i-1}, s_i + \epsilon_i, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor, \dots, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor) \in \mathcal{N}'(e+1),$$

where  $\epsilon_i \in \{0, 1, 2\}$  is chosen minimal such that  $s_i + \epsilon_i - 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor \geq 2$ , that is

$$\epsilon_i := \left\{ \begin{array}{ll} 0, & \text{if } s_i - s_{i+1} \geq 2, \\ 0, & \text{if } s_i - s_{i+1} = 1, \, s_{i+1} \text{ odd,} \\ 1, & \text{if } s_i - s_{i+1} = 1, \, s_{i+1} \text{ even,} \\ 1, & \text{if } s_i = s_{i+1}, \, s_{i+1} \text{ odd,} \\ 2, & \text{if } s_i = s_{i+1}, \, s_{i+1} \text{ even.} \end{array} \right.$$

Note that for i = e we have  $s_{e+1} = 1$  and  $s_e \ge 2$ , and thus  $\epsilon_e = 0$ ; moreover, for i = 0 we let  $\epsilon_0 = 0$ .

It now follows from the description of  $A_i$ , and (3.2), that min  $\gamma(A_i)$  is attained precisely for the hull sequence

$$\underline{\tilde{s}}^{i+} = (\tilde{s}_1, \dots, \tilde{s}_i, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor, \dots, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor) \in \mathcal{N}'(e+1),$$

of  $\underline{s}^{i+}$ , where the prefix  $(\tilde{s}_1, \dots, \tilde{s}_i)$  of length i is determined as follows:

For  $i \ge 1$  let  $0 \le i'' \le i' < i$  be both maximal such that  $s_{i'} - s_i \ge 1$  and  $s_{i''} - s_i \ge 2$ ; hence, if i'' < i' then we have  $s_{i'} - s_{i'+1} = 1$ , and i' = 0 and i'' = 0 refer to the

cases  $s_1 = s_i$  and  $s_1 - s_i \le 1$ , respectively. Then  $(\tilde{s}_1, \dots, \tilde{s}_i)$  is given as

$$(s_1, \dots, s_i),$$
 if  $\epsilon_i = 0,$   $(s_1, \dots, s_{i'}, s_{i'+1} + 1, \dots, s_i + 1),$  if  $\epsilon_i = 1,$   $(s_1, \dots, s_{i''}, s_{i''+1} + 1, \dots, s_{i'} + 1, s_{i'+1} + 2, \dots, s_i + 2),$  if  $\epsilon_i = 2.$ 

Thus letting

$$\mu_i := \gamma(\underline{s}^i) = -p^e + p^{e-i} \cdot \left( \lfloor \frac{s_{i+1}}{2} \rfloor + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_i) \right),$$

we get

$$\min \gamma(A_i) = \gamma(\underline{\tilde{s}}^{i+}) = \begin{cases} \mu_i, & \text{if } \epsilon_i = 0, \\ \mu_i + \frac{1}{2} \cdot (p^{e-i'} - p^{e-i}), & \text{if } \epsilon_i = 1, \\ \mu_i + \frac{1}{2} \cdot (p^{e-i''} + p^{e-i'} - 2p^{e-i}), & \text{if } \epsilon_i = 2. \end{cases}$$

In particular, we have

min 
$$\gamma(A_e) = \mu_e = -p^e + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_e),$$

being attained precisely for  $(s_1, \ldots, s_e, 0)$ , and

$$\min \gamma(A_0) = \mu_0 = (\lfloor \frac{s_1}{2} \rfloor - 1) \cdot p^e,$$

being attained precisely for  $(2 \cdot \lfloor \frac{s_1}{2} \rfloor, \dots, 2 \cdot \lfloor \frac{s_1}{2} \rfloor)$ .

ii) It remains to consider min  $\gamma(A_i')$ , for  $e' < i \le e$ , in the case p = 2: For e' < i < e we have  $s_i = s_{i+1} = 2$ , hence  $\tilde{s}_i = 4$  and  $2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor = 2$ , while for e' < i = e we have  $s_e = 2$  and  $s_{e+1} = 1$ , hence  $\tilde{s}_e = 2$  and  $2 \cdot \lfloor \frac{s_{e+1}}{2} \rfloor = 0$ . Thus the above description for  $e' < i \le e$  yields

$$\min \gamma(A_i') = \min \gamma(A_i) = \gamma(\underline{\tilde{s}}^{i+}),$$

implying that the reduced minimum genus of G, just as for p odd, is given as

$$\mu_0(G) = \min\{\min \gamma(A_i) : 0 \le i \le e\}.$$

- **5.4. Further towards the minimum genus.** We turn to the question whether there are relations between the various  $\gamma(\underline{\tilde{s}}^{i+}) = \min \gamma(A_i)$ , for  $0 \le i \le e$ , which would allow to take the minimum determining  $\mu_0(G)$  over a smaller set. To this end, we consider the cases where  $\epsilon_i \ne 0$ ; hence we have  $1 \le i \le e 1$ :
- i) If  $s_{i+1}$  is even and  $s_i = s_{i+1}$ , then we have

$$\underline{s}^{i+} = (s_1, \dots, s_{i-1}, s_i + 2, s_i, \dots, s_i), 
\underline{s}^{(i-1)+} = (s_1, \dots, s_{i-1} + \epsilon_{i-1}, s_i, s_i, \dots, s_i),$$

where  $\epsilon_{i-1} = 0$  whenever  $s_{i-1} \ge s_i + 2$ , and  $s_{i-1} + \epsilon_{i-1} = s_i + 2$  otherwise.

ii) If  $s_{i+1}$  is even and  $s_i - s_{i+1} = 1$ , then we have

$$\underline{s}^{i+} = (s_1, \dots, s_{i-1}, s_i + 1, s_i - 1, \dots, s_i - 1), 
\underline{s}^{(i-1)+} = (s_1, \dots, s_{i-1} + \epsilon_{i-1}, s_i - 1, s_i - 1, \dots, s_i - 1),$$

where  $\epsilon_{i-1} = 0$  whenever  $s_{i-1} \ge s_i + 1$ , and  $s_{i-1} + \epsilon_{i-1} = s_i + 1$  otherwise.

iii) If  $s_{i+1}$  is odd and  $s_i = s_{i+1}$ , then we have

$$\underline{s}^{i+} = (s_1, \dots, s_{i-1}, s_i + 1, s_i - 1, \dots, s_i - 1), 
\underline{s}^{(i-1)+} = (s_1, \dots, s_{i-1} + \epsilon_{i-1}, s_i - 1, s_i - 1, \dots, s_i - 1),$$

where  $\epsilon_{i-1} = 0$  whenever  $s_{i-1} \ge s_i + 1$ , and  $s_{i-1} + \epsilon_{i-1} = s_i + 1$  otherwise.

Hence, in either of these cases, going over to hull sequences yields  $\underline{\tilde{s}}^{i+} \geq \underline{\tilde{s}}^{(i-1)+}$ , implying min  $\gamma(A_i) = \gamma(\underline{\tilde{s}}^{i+}) \geq \gamma(\underline{\tilde{s}}^{(i-1)+}) = \min \gamma(A_{i-1})$ . Thus min  $\gamma(A_i)$  need not be considered in finding  $\mu_0(G)$ . Hence we are left with the cases  $0 \leq i \leq e$  such that  $\epsilon_i = 0$ , that is min  $\gamma(A_i) = \mu_i$ .

Moreover, if  $s_1$  is even, then since  $s_1 \geq \cdots \geq s_e \geq 2$  we have

$$\mu_e = -p^e + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_e) \le -p^e + \frac{s_1}{2} \cdot (p^e - 1) < (\frac{s_1}{2} - 1) \cdot p^e = \mu_0,$$

hence in this case min  $\gamma(A_0)$  need not be considered in finding  $\mu_0(G)$ . Thus, in conclusion, we have proved the following:

**5.5. Theorem.** Keeping the above notation, we have

$$\mu_0(G) = \min\{\min \gamma(A_i) : i \in \mathcal{I}(G)\} = \min\{\mu_i : i \in \mathcal{I}(G)\},\$$

where, letting  $s_0 := \infty$ , we have

$$\mathcal{I}(G) := \{0 \le i \le e : s_i - s_{i+1} \ge 2\} \cup \{0 \le i \le e : s_i - s_{i+1} = 1, s_{i+1} \text{ odd}\}.$$

In particular, we always have  $\{0, e\} \subseteq \mathcal{I}(G)$ , but if  $s_1$  is even then to find  $\mu_0(G)$  it suffices to consider  $i \in \mathcal{I}(G) \setminus \{0\}$  only

In other words, finding  $\mu_0(G)$  is reduced to computing the minimum of  $|\mathcal{I}(G)| \leq e+1$  numbers, which are given explicitly in terms of known invariants of G. In particular, this machinery to determine  $\mu_0(G)$  will feature prominently in the proof of our main result (6.2). Moreover, to underline the effectiveness of these techniques, in Sections 8 and 9 we give detailed example treatments of the groups of rank at most 2, and of the groups of exponent at most  $p^2$ , respectively.

**5.6. Translating back.** We translate the results back, to express  $\mu_i = \min \gamma(A_i)$ , for  $i \in \mathcal{I}(G)$ , in terms of the *p*-datum giving rise to  $\mu_i$ , which by (5.1) is given as

$$\underline{x}^{i} = (x_{1}, \dots, x_{e}; h) := \alpha^{-1}(s_{1}, \dots, s_{i}, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor, \dots, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor).$$

i) If  $r_i = s_i - s_{i+1} \ge 2$  and  $s_{i+1}$  is even, then we have

$$\underline{x}^{i} = (r_{1}, \dots, r_{i}, 0, \dots, 0; \frac{s_{i+1}}{2}),$$

vielding

$$\mu_i = p^e \cdot \left(\frac{s_{i+1}}{2} - 1 + \frac{1}{2} \cdot \sum_{j=1}^i r_j (1 - \frac{1}{p^j})\right).$$

ii) If  $r_i = s_i - s_{i+1} \ge 1$  and  $s_{i+1}$  is odd, then we have

$$\underline{x}^{i} = (r_{1}, \dots, r_{i-1}, r_{i} + 1, 0, \dots, 0; \frac{s_{i+1} - 1}{2}),$$

vielding

$$\mu_i = p^e \cdot \left(\frac{s_{i+1} - 1}{2} - 1 + \frac{1}{2} \cdot \sum_{j=1}^i r_j (1 - \frac{1}{p^j}) + \frac{1}{2} \cdot (1 - \frac{1}{p^i})\right).$$

In particular, the case i=0 is encompassed by the above cases, depending on whether  $s_1$  is even or odd, respectively, by  $\underline{x}^0 = (0, \dots, 0; \lfloor \frac{s_1}{2} \rfloor)$ , where this case need not be considered if  $s_1$  is even. Moreover, the case i=e, since  $s_{e+1}=1$ , is subsumed in the second of the above cases, by  $\underline{x}^e = (r_1, \dots, r_{e-1}, r_e + 1; 0)$ .

Finally, the various  $\mu_i = \min \gamma(A_i)$  to be considered belong to pairwise distinct orbit genera, inasmuch the map

$$\mathcal{I}(G) \longrightarrow \mathbb{Z} : i \mapsto \lfloor \frac{s_{i+1}}{2} \rfloor$$

is strictly decreasing, hence in particular is injective: Indeed, if  $i-1, i \in \mathcal{I}(G)$ , then we have  $s_i - s_{i+1} \ge 1$  anyway; and if  $s_i$  is odd and  $s_{i+1}$  is even, then from  $s_i - s_{i+1} \ge 2$  we still get  $\lfloor \frac{s_i}{2} \rfloor = \frac{s_{i-1}}{2} > \frac{s_{i+1}}{2} = \lfloor \frac{s_{i+1}}{2} \rfloor$ .

**5.7.** Maclachlan's method. We compare our approach with the method to compute the minimum genus for arbitrary non-cyclic abelian groups given in [8]:

Let G be a non-cyclic abelian group, with sequence  $(n_1, \ldots, n_s)$  of invariants giving rise to the Smith normal form abelian group presentation of G; hence we have  $s \geq 2$ , and the exponent of G equals  $n_s$ . Let  $\nu_h \in \mathbb{N}_0$  be the reduced minimum genus afforded by all signatures of G with fixed orbit genus  $h \geq 0$ . Then, by [8, Thm.4], the reduced minimum genus of G equals

$$\mu_0(G) = \min\{\nu_h : 0 \le h \le \lfloor \frac{s}{2} \rfloor\},\$$

where the numbers  $\nu_h$  can be computed explicitly as

$$\nu_h = n_s \cdot \left(h - 1 + \frac{1}{2} \cdot \sum_{k=1}^{s-2h} \left(1 - \frac{1}{n_k}\right) + \frac{1}{2} \cdot \left(1 - \frac{1}{n_{s-2h}}\right)\right).$$

In our case of abelian p-groups this reads as follows: We have

$$(n_1, \ldots, n_s) = (p, \ldots, p, p^2, \ldots, p^2, \ldots, p^e, \ldots, p^e),$$

where the entry  $p^i$  occurs  $r_i$  times, for  $1 \le i \le e$ ; hence we have  $s = \sum_{i=1}^e r_i = s_1 - 1$ . Thus we are able to improve [8, Thm.4], for non-cyclic abelian p-groups, as follows: By the injectivity of the map  $\mathcal{I}(G) \longrightarrow \mathbb{Z} : i \mapsto \lfloor \frac{s_{i+1}}{2} \rfloor$ , for  $i \in \mathcal{I}(G)$  we have

$$\nu_{\lfloor \frac{s_{i+1}}{2} \rfloor} = \mu_i,$$

and thus by (5.5) we may compute  $\mu_0(G)$  as a minimum over a set of cardinality  $|\mathcal{I}(G)| \leq e+1$  instead of one of cardinality  $\lfloor \frac{s_1-1}{2} \rfloor +1$ , as

$$\mu_0(G) = \min\{\nu_{\lfloor \frac{s_{i+1}}{2} \rfloor} \ : \ i \in \mathcal{I}(G)\}.$$

Recall that whenever  $s_1$  is even the case i=0 need not be considered, so that we always get a subset of the indices used in [8]. From the formulae in (5.6) to compute  $\mu_i$  in terms of p-data, we recover the formulae for  $\nu_{\lfloor \frac{s_{i+1}}{2} \rfloor}$  given in [8]. Finally, we point out that our approach is also valid for cyclic p-groups, while cyclic groups are excluded in [8]. Moreover, since in [8] only genera  $g \geq 2$  are considered, the

case s = 2 and some small abelian groups have to be treated as exceptions; these reappear in (8.1), where we consider p-groups of non-positive minimum genus.

## 6. The main result

In view of the examples worked out in Sections 8 and 9, if G runs through all abelian p-groups, there seems to be a tendency that there are phenomena of 'exceptional' and 'generic' cases, where in the 'generic' region we have  $\mu_0(G) = \mu_e$ ; for an example illustration how this is to be understood see Table 5 (page 37). Our main result, to which we proceed in this section, can be seen as a verification of this observation for a large part of the 'generic' region.

We keep the notation introduced in Section 5, in particular let

$$G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e}$$

where  $e \ge 1$ , and  $r_i \ge 0$  for  $1 \le i \le e - 1$ , and  $r_e \ge 1$ .

## **6.1. Proposition.** Suppose that

$$\wp(r_{i+1},\ldots,r_e) \ge p^{e-i} - 1,$$

for all  $0 \le i \le e - 1$  such that  $s_{i+1}$  is odd. Then we have  $\mu_0(G) = \mu_e$ .

If  $s_i > s_{i+1}$  for all  $1 \le i \le e-1$  such that  $s_{i+1}$  is odd, then the converse also holds.

**Proof.** By (5.3), we have min  $\gamma(A_e) = \mu_e$  and min  $\gamma(A_0) = \mu_0$ , while for  $1 \le i \le e-1$  we have min  $\gamma(A_i) \ge \mu_i$ . Moreover, for p=2 and  $e' < i \le e$  we have min  $\gamma(A_i') = \min \gamma(A_i)$ . Thus it is sufficient to show that under the assumptions made we have  $\mu_i \ge \mu_e$ , for  $0 \le i \le e-1$ :

Now  $\mu_i \geq \mu_e$  is equivalent to saying

$$2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor \cdot p^{e-i} \ge (p-1) \cdot \wp(s_{i+1}, \dots, s_e).$$

The right hand side of this inequality being equal to

$$s_{i+1}p^{e-i} - s_e + \sum_{j=i+1}^{e-1} (s_{j+1} - s_j)p^{e-j} = s_{i+1}p^{e-i} - 1 - \wp(r_{i+1}, \dots, r_e),$$

we thus have  $\mu_i \geq \mu_e$  if and only if

$$(s_{i+1}-2\cdot\lfloor\frac{s_{i+1}}{2}\rfloor)\cdot p^{e-i}\leq 1+\wp(r_{i+1},\ldots,r_e).$$

The latter inequality clearly holds if  $s_{i+1}$  is even, while if  $s_{i+1}$  is odd then it holds if and only if  $\wp(r_{i+1},\ldots,r_e) \geq p^{e-i}-1$ . This proves the first assertion.

For the second assertion, let  $0 \le i \le e-1$  such that  $s_{i+1}$  is odd. Then for  $i \ne 0$  the assumption  $s_i - s_{i+1} \ge 1$  implies  $\epsilon_i = 0$ , using the notation of (5.3), while we have  $\epsilon_0 = 0$  anyway. Thus we get  $\mu_i = \min \gamma(A_i) \ge \mu_0(G) = \mu_e$ , which by the above observation implies the second assertion.

We are now in a position to prove our main result:

**6.2.** Main Theorem. Let G be a non-trivial abelian p-group of shape

$$G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \cdots \oplus \mathbb{Z}_{p^e}^{r_e},$$

such that

$$r_i \ge p - 1$$
 for  $1 \le i \le e - 1$ , and  $r_e \ge \max\{p - 2, 1\}$ .

a) Then the reduced minimum and stable upper genera of G are given as

$$\mu_0(G) = \sigma_0(G) = \frac{1}{2} \cdot \left( -1 - p^e + \sum_{i=1}^e (p^e - p^{e-i}) \cdot r_i \right).$$

**b)** Letting  $0 \le j \le e$  be chosen smallest such that  $(r_{j+1}, \ldots, r_e) = (p-1, \ldots, p-1)$ , where j = e refers to the case  $r_e \ne p-1$ , the reduced minimum genus  $\mu_0(G)$  is afforded precisely by the p-data

$$\left(r_1,\ldots,r_{i-1},r_i+1,0,\ldots,0;\frac{1}{2}(e-i)(p-1)\right),$$

where  $j \leq i \leq e$  is arbitrary for p odd, but restricted to the cases where e - i is even for p = 2. In particular,  $\mu_0(G)$  is always afforded by

$$(r_1,\ldots,r_{e-1},r_e+1;0).$$

**Proof.** a) By (5.3) and (5.6) we have

$$\frac{1}{2} \cdot \left( -1 - p^e + \sum_{i=1}^e (p^e - p^{e-i}) \cdot r_i \right) = -p^e + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_e) = \mu_e.$$

Note that  $\mu_e \in \frac{1}{2}\mathbb{Z}$ , where  $\mu_e \in \frac{1}{2}\mathbb{Z} \setminus \mathbb{Z}$  if and only if p = 2 and  $s_e$  is odd. Since  $\mu_0(G) \leq \sigma_0(G)$  anyway, it suffices to prove  $\sigma_0(G) \leq \mu_e$  and  $\mu_e \leq \mu_0(G)$ :

i) We first show  $\sigma_0(G) \leq \mu_e$ : By assumption, we have  $s_i - s_{i+1} = r_i \geq p-1$  for  $1 \leq i \leq e-1$ , that is  $||(s_1, \ldots, s_e)|| \geq p-1$ . Hence for any  $m \in \mathbb{N}_0$ , by (3.5), there is a sequence  $(a_1, \ldots, a_e) \in \mathcal{N}(e)$  such that  $(a_1, \ldots, a_e) \geq (s_1, \ldots, s_e)$  and  $\wp(a_1, \ldots, a_e) = \wp(s_1, \ldots, s_e) + m$ .

Let first p be odd, and  $\sigma \in \mathbb{Z}$  such that  $\sigma \geq \mu_e$ . Then there are  $m \in \mathbb{N}_0$  and  $r \in \mathbb{N}_0$  such that  $r < \frac{p-1}{2}$  and

$$\sigma = \mu_e + m \cdot \frac{p-1}{2} + r = -p^e + \frac{p-1}{2} \cdot (\wp(s_1, \dots, s_e) + m) + r.$$

Let  $(a_1, ..., a_e)$  as above such that  $\wp(a_1, ..., a_e) = \wp(s_1, ..., s_e) + m$ , and  $a_{e+1} := 2r$ , then  $a_e - a_{e+1} \ge (r_e + 1) - 2 \cdot \frac{p-3}{2} \ge 2$  implies  $(a_1, ..., a_{e+1}) \in A_e$ . Since  $\gamma(a_1, ..., a_{e+1}) = -p^e + r + \frac{p-1}{2} \cdot \wp(a_1, ..., a_e) = \sigma$ , from (5.2) we get  $\sigma \in \text{sp}_0(G)$ .

Let now p=2, and  $\sigma\in\frac{1}{2}\mathbb{Z}$  such that  $\sigma\geq\mu_e$ . Let  $m:=2(\sigma-\mu_e)\in\mathbb{N}_0$ . Let  $(a_1,\ldots,a_e)$  be as above such that  $\wp(a_1,\ldots,a_e)=\wp(s_1,\ldots,s_e)+m$ , and  $a_{e+1}:=0$ , then  $a_e-a_{e+1}\geq r_e+1\geq 2$  implies  $(a_1,\ldots,a_{e+1})\in A_e$ . Since  $\gamma(a_1,\ldots,a_{e+1})=-2^e+\frac{1}{2}\cdot\wp(a_1,\ldots,a_e)=\sigma$ . Thus, if e'=e from (5.2) we get  $\sigma\in\mathrm{sp}_0(G)$ .

If e' < e, then we have e' = e - 1 and  $s_e = 2$ , and hence  $\gamma(A(G)) = \gamma(A_0) \cup \gamma(A_1) \cup \cdots \cup \gamma(A_{e-1}) \cup \gamma(A'_e) \subseteq \mathbb{Z}$ . Since  $\mu_e = \min \gamma(A'_e)$  we may assume that  $\sigma \in \mathbb{Z}$ , thus  $m := 2(\sigma - \mu_e) \in \mathbb{N}_0$  is even. Hence we get

$$a_e \equiv \wp(a_1, \dots, a_e) = \wp(s_1, \dots, s_e) + m \equiv s_e + m \equiv 0 \pmod{2},$$

implying that  $(a_1, \ldots, a_{e+1}) \in A'_e$ , and from (5.2) we get  $\sigma \in \operatorname{sp}_0(G)$ .

ii) We show  $\mu_e \leq \mu_0(G)$ : Since  $s_i - s_{i+1} = r_i \geq 1$  for all  $1 \leq i \leq e-1$ , by (6.1) we have to show  $\wp(r_{i+1}, \ldots, r_e) \geq p^{e-i} - 1$ , for all  $0 \leq i \leq e-1$  such that  $s_{i+1}$  is odd.

For p odd we have  $r_j \ge p-1$  for  $1 \le j \le e-1$ , and  $r_e \ge p-2$ , where  $\sum_{j=i+1}^e r_j = s_{i+1}-1$  being even implies that  $(r_{i+1},\ldots,r_{e-1},r_e) \ne (p-1,\ldots,p-1,p-2)$ . Thus

$$\wp(r_{i+1},\ldots,r_e) > -1 + (p-1) \cdot \sum_{j=i+1}^{e} p^{e-j} = p^{e-i} - 2.$$

For p=2 we have  $r_j \geq 1$  for  $1 \leq j \leq e$ , directly yielding

$$\wp(r_{i+1},\ldots,r_e) = \sum_{j=i+1}^e r_j \cdot 2^{e-j} \ge \sum_{j=i+1}^e 2^{e-j} = 2^{e-i} - 1.$$

**b)** We determine when  $\mu_0(G)$  is attained: By (5.3), min  $\gamma(A_e) = \mu_e$  is attained precisely for  $(s_1, \ldots, s_e, 0)$ , corresponding to the *p*-datum  $(r_1, \ldots, r_{e-1}, r_e + 1; 0)$ .

Now, for  $0 \le i \le e-1$ , by the proof of (6.1) we have  $\mu_i \ge \mu_e$ . Moreover, replacing inequalities by equalities in the proof of (6.1) shows that  $\mu_i = \mu_e$  is equivalent to  $s_{i+1}$  being odd and  $\wp(r_{i+1},\ldots,r_e) = p^{e-i}-1$ . Since  $(r_{i+1},\ldots,r_{e-1},r_e) \ge (p-1,\ldots,p-1,\max\{p-2,1\})$ , the latter equality holds if and only if  $(r_{i+1},\ldots,r_e) = (p-1,\ldots,p-1)$ . Since in this case  $s_{i+1}-1=\sum_{j=i+1}^e r_j=(e-i)(p-1)$ , we have  $s_{i+1}$  odd if and only if p is odd or e-i is even. Hence we conclude, by (5.3) again, that in these cases min  $\gamma(A_i) = \mu_i$  is attained precisely for

$$(s_1, \ldots, s_i, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor, \ldots, 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor) = (s_1, \ldots, s_i, s_{i+1} - 1, \ldots, s_{i+1} - 1),$$

corresponding to the p-datum, using the notation of (5.6),

$$\underline{x}^{i} = (r_{1}, \dots, r_{i-1}, r_{i} + 1, 0, \dots, 0; \frac{1}{2}(e-i)(p-1)).$$

Note that we have  $\mathcal{I}(G) = \{0, \dots, e\}$  for p odd, while for p = 2 we at least get  $\{0\} \cup \{e - 2 \cdot \lfloor \frac{e - j}{2} \rfloor, \dots, e - 2, e\} \subseteq \mathcal{I}(G)$ , hence the indices  $0 \le i \le e$  affording  $\mu_0(G)$  are indeed elements of the index set  $\mathcal{I}(G)$ , in accordance with (5.5).

**6.3. Example. i)** For p odd and  $(r_1, \ldots, r_{e-1}, r_e) = (p-1, \ldots, p-1, p-2)$ , that is the extremal case, we get, recovering [14, Cor.3.7],

$$\mu_0(G) = \sigma_0(G) = \frac{1}{2} \cdot (((e(p-1)-3) \cdot p^e + 1).$$

ii) For p arbitrary and  $(r_1, ..., r_{e-1}, r_e) = (p-1, ..., p-1, p-1)$  we get

$$\mu_0(G) = \sigma_0(G) = \frac{1}{2} \cdot (e(p-1) - 1) \cdot p^e,$$

which for p=2 specializes to  $\mu_0(G)=\frac{e-2}{2}\cdot 2^e$ .

As an immediate consequence of (6.2), invoking Kulkarni's Theorem (2.3), we are able to describe the complete (reduced) spectrum of the groups in question:

**6.4.** Corollary. a) The reduced spectrum of G is given as

$$\mathrm{sp}_0(G) = \left\{ \begin{array}{ll} \mu_0(G) + \mathbb{N}_0, & \text{if } p \text{ odd or } r_e = 1, \\ \mu_0(G) + \frac{1}{2}\mathbb{N}_0, & \text{if } p = 2 \text{ and } r_e \geq 2. \end{array} \right.$$

**b)** Letting  $\delta = \delta(G) := \sum_{i=1}^{e} (ir_i - 1)$  be the cyclic deficiency of G, then the minimum genus and the spectrum of G are given as  $\mu(G) = 1 + p^{\delta} \cdot \mu_0(G)$  and

$$\operatorname{sp}(G) = \left\{ \begin{array}{ll} 1 + p^{\delta} \cdot \mu_0(G) + p^{\delta} \cdot \mathbb{N}_0, & \text{if } p \text{ odd or } r_e = 1, \\ 1 + 2^{\delta} \cdot \mu_0(G) + 2^{\delta - 1} \cdot \mathbb{N}_0, & \text{if } p = 2 \text{ and } r_e \ge 2. \end{array} \right.$$

Moreover, for certain suitable co-finite sets of positive integers we are conversely able to provide abelian p-groups having the specified set as their reduced spectrum:

**6.5.** Theorem. Let p be a prime, let  $e \geq 1$ , and let  $m \in \mathbb{N}$  such that

$$m \ge \begin{cases} (2e-1)p^e - 2 \cdot \frac{p^e - 1}{p-1} + 1, & \text{if } p \text{ odd,} \\ (e-1) \cdot 2^{e+1} + 2, & \text{if } p = 2. \end{cases}$$

Then there is a group G of exponent  $p^e$  such that  $\mu_0(G) = -p^e + \frac{p-1}{2} \cdot m$  and

$$\operatorname{sp}_0(G) = \left\{ \begin{array}{ll} \mu_0(G) + \mathbb{N}_0, & \text{if } p \text{ odd or } m \text{ even,} \\ \mu_0(G) + \frac{1}{2} \mathbb{N}_0, & \text{if } p = 2 \text{ and } m \text{ odd.} \end{array} \right.$$

**Proof.** We consider the sequence  $(a_1, \ldots, a_e) \in \mathcal{N}$  given by  $a_e := \max\{p-1, 2\}$ , and  $a_{e-i} := a_e + i \cdot 2(p-1)$  for  $1 \le i \le e-1$ .

i) We first show that the lower bound for m given above coincides with  $\wp(a_1,\ldots,a_e)$ :

To this end, we first observe that  $s_e(p) := \sum_{i=1}^e ip^i = \frac{p}{p-1} \cdot (ep^e - \sum_{i=0}^{e-1} p^i)$ , which in turn is seen by induction: This formula being correct for e=1, we get  $s_{e+1}(p) = (e+1)p^{e+1} + s_e(p) = \frac{p}{p-1} \cdot \left((e+1)(p-1)p^e + ep^e - \sum_{i=0}^{e-1} p^i\right) = \frac{p}{p-1} \cdot \left((e+1)p^{e+1} - \sum_{i=0}^e p^i\right)$ . In particular, for p=2 we get  $s_e(2) = (e-1) \cdot 2^{e+1} + 2$ . Now, for p odd we have

$$\wp(a_1,\ldots,a_e) = (p-1) \cdot \sum_{i=1}^{e} (2(e-i)+1)p^{e-i} = \frac{2(p-1)}{p} \cdot \sum_{i=1}^{e} ip^i - (p-1) \cdot \sum_{i=0}^{e-1} p^i,$$

which using the above expression for  $s_e(p)$  can be rewritten as

$$\wp(a_1, \dots, a_e) = 2 \cdot \left( ep^e - \sum_{i=0}^{e-1} p^i \right) - p^e + 1 = (2e - 1)p^e + 1 - 2 \cdot \sum_{i=0}^{e-1} p^i.$$

For p = 2 we get  $\wp(a_1, \dots, a_e) = 2 \cdot \sum_{i=1}^e (e - i + 1) \cdot 2^{e - i} = \sum_{i=1}^e i \cdot 2^i = s_e(2)$ .

ii) The strategy of proof now is reminiscent of the proof of (3.5): Given  $m \geq \wp(a_1,\ldots,a_e)$ , then we write  $m-\wp(a_1,\ldots,a_e)$  in a partial p-adic expansion as  $m-\wp(a_1,\ldots,a_e)=\sum_{i=1}^e b_i p^{e-i}$ , where  $b_i\geq 0$  such that  $b_2,\ldots,b_e< p$ , but  $b_1$  might be arbitrarily large. Hence letting  $s_i:=a_i+b_i$  for  $1\leq i\leq e$ , we have  $m=\sum_{i=1}^e s_i p^{e-i}$ . Thus for  $1\leq i\leq e-1$  we get

$$r_i := s_i - s_{i+1} = 2(p-1) + (b_i - b_{i+1}) \ge p-1,$$

and  $r_e := s_e - 1 \ge a_e - 1 = \max\{p - 2, 1\}$ . Hence, by (6.2), for the abelian group of shape  $G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2} \oplus \ldots \oplus \mathbb{Z}_{p^e}^{r_e}$  we have

$$\sigma_0(G) = \mu_0(G) = \mu_e = -p^e + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_e) = -p^e + \frac{p-1}{2} \cdot m.$$

Moreover, for p=2 we have  $a_e=2$ , and thus if m is even we get  $b_e=0$  and hence  $r_e=1$ , while if m is odd we get  $b_e=1$  and hence  $r_e=2$ . Thus the statement on  $\mathrm{sp}_0(G)$  follows from (6.4).

## 7. Talu's Conjecture

In general, we might wonder which invariants of a non-trivial abelian p-group G are determined by its spectrum. Given the latter, this determines the Kulkarni invariant N = N(G), and hence the cyclic deficiency  $\delta = \delta(G) = \log_p(N)$  is known as well whenever p is odd, while  $\delta \in \{\log_p(N), 1 + \log_p(N)\}$  for p = 2. Thus the spectrum also determines the reduced minimum and stable upper genera whenever p is odd, while the latter are known up to a factor of 2 for p = 2.

In this spirit, Talu's Conjecture says that, if p is odd, then even the isomorphism type of G is determined by its spectrum. We are tempted to include the case p=2 as well by expecting this to hold true up to finitely many finite sets of exceptions; we cannot possibly expect more, for example in view of the sets of groups  $\{\mathbb{Z}_2, \mathbb{Z}_4, \mathbb{Z}_2^2, \mathbb{Z}_8\}$  and  $\{\mathbb{Z}_2 \oplus \mathbb{Z}_4, \mathbb{Z}_2^3, \mathbb{Z}_2 \oplus \mathbb{Z}_8\}$  discussed in (8.5).

As for evidence, restricting to certain classes of abelian p-group, Talu's Conjecture (including the case p=2) holds within the class of cyclic p-groups with the only exception of  $\{\mathbb{Z}_2, \mathbb{Z}_4, \mathbb{Z}_8\}$ , see (8.3); within the class of elementary abelian p-groups with the only exception of  $\{\mathbb{Z}_2, \mathbb{Z}_2^2\}$ , see (9.1); and within the class of p-groups of exponent  $p^2$ , see (9.3). We proceed to prove a further positive result:

**7.1.** A finiteness result. We show that, as long as we stick to groups fulfilling the assumptions of (6.2), given the spectrum of G there are only finitely many groups having the same spectrum, up to isomorphism. Actually, just keeping the reduced minimum genus fixed leaves only finitely possibilities:

Note first that the only admissible cyclic groups are  $\mathbb{Z}_2$  and  $\mathbb{Z}_3$ , hence we may assume that the groups we are looking for are non-cyclic, that is have an associated sequence  $(s_1, \ldots, s_e) \neq (2, \ldots, 2)$ . We now show that, given any  $m \geq 0$ , there are only finitely many  $e \geq 1$  and sequences  $s_1 \geq \cdots \geq s_e \geq 2$ , where  $s_1 \geq 3$ , such that

$$\mu_e = -p^e + \frac{p-1}{2} \cdot \wp(s_1, \dots, s_e) \le m.$$

This is seen as follows: The above inequality is equivalent to

$$\wp(s_1-2,\ldots,s_e-2)=\wp(s_1,\ldots,s_e)-2\cdot\frac{p^e-1}{p-1}\leq \frac{2(m+1)}{p-1}.$$

This implies  $(s_1-2) \cdot p^{e-1} \leq \frac{2(m+1)}{p-1}$ , hence since  $s_1 \geq 3$  we infer that e is bounded. Fixing e, we get  $(s_i-2) \cdot p^{e-i} \leq \frac{2(m+1)}{p-1}$ , bounding  $s_i$  as well, for  $1 \leq i \leq e$ .

In view of this, there necessarily are groups fulfilling the assumptions of (6.2) whose reduced minimum genus exceeds any given bound. Hence the point of (6.5) is to add some precision to this observation. But here positive results come to an end:

In (7.2) and (7.3), we are going to construct counterexamples to Talu's Conjecture (both for p odd and p=2), consisting of pairs of groups having the same order exponent, and pairs where these invariants are different, respectively. Even worse, by the results in (7.2), there cannot be an absolute bound on the cardinality of a set of abelian p-groups having the same spectrum, not even if we restrict to groups having the same order and exponent.

**7.2. Counterexamples with fixed exponent.** We construct non-isomorphic abelian groups G and  $\tilde{G}$  having the same order, exponent, and spectrum, thus in particular having the same Kulkarni invariant, cyclic deficiency, minimum genus and reduced minimum genus.

In view of the results in (9.1) and (9.3), we let e:=3, and look at groups  $G\cong \mathbb{Z}_p^{r_1}\oplus\mathbb{Z}_{p^2}^{r_2}\oplus\mathbb{Z}_{p^3}^{r_3}$  and  $\tilde{G}\cong\mathbb{Z}_p^{\tilde{r}_1}\oplus\mathbb{Z}_{p^2}^{\tilde{r}_2}\oplus\mathbb{Z}_{p^3}^{\tilde{r}_3}$  of exponent  $p^3$  fulfilling the assumptions of (6.2), that is coming from sequences  $\underline{r}=(r_1,r_2,r_3)$  and  $\underline{\tilde{r}}=(\tilde{r}_1,\tilde{r}_2,\tilde{r}_3)$  such that  $r_1,r_2,\tilde{r}_1,\tilde{r}_2\geq p-1$  and  $r_3,\tilde{r}_3\geq \max\{p-2,1\}$ . Then, by (6.4), the groups G and  $\tilde{G}$  are as desired if and only if they are non-isomorphic such that  $|G|=|\tilde{G}|$  and  $\mu_0(G)=\mu_0(\tilde{G})$ , and in case p=2 we have  $r_3=1$  if and only if  $\tilde{r}_3=1$ .

Now  $|G| = |\tilde{G}|$  translates into

$$r_1 + 2r_2 + 3r_3 = \log_p(|G|) = \log_p(|\tilde{G}|) = \tilde{r}_1 + 2\tilde{r}_2 + 3\tilde{r}_3,$$

and  $\mu_0(G) = \mu_0(\tilde{G})$  translates into

$$\sum_{i=1}^{3} (p^3 - p^{3-i}) \cdot r_i = \sum_{i=1}^{3} (p^3 - p^{3-i}) \cdot \tilde{r}_i.$$

Hence we conclude that we have  $|G| = |\tilde{G}|$  and  $\mu_0(G) = \mu_0(\tilde{G})$  if and only if  $\underline{\tilde{r}} - \underline{r} \in \mathbb{Z}^3$  is an element of the row kernel of the matrix

$$P := \begin{bmatrix} 1 & p^3 - p^2 \\ 2 & p^3 - p \\ 3 & p^3 - 1 \end{bmatrix} \in \mathbb{Z}^{3 \times 2} \subseteq \mathbb{Q}^{3 \times 2}.$$

Now P has Q-rank 2, and its row kernel is given as  $\ker(P) = \langle \rho \rangle_{\mathbb{Q}}$ , where

$$\rho := (p+2, -2p-1, p) \in \mathbb{Z}^3.$$

Since gcd(p+2, -2p-1, p) = 1 we conclude that  $ker(P) \cap \mathbb{Z}^3 = \langle \rho \rangle_{\mathbb{Z}}$ .

In conclusion, we have  $|G| = |\tilde{G}|$  and  $\mu_0(G) = \mu_0(\tilde{G})$  if and only if  $\underline{\tilde{r}} = \underline{r} + k \cdot \underline{\rho}$  for some  $k \in \mathbb{Z}$ , where G and  $\tilde{G}$  are non-isomorphic if and only if  $k \neq 0$ . Thus this provides a complete picture of the counterexamples to Talu's Conjecture in the realm of abelian groups of exponent  $p^3$  fulfilling the assumptions of (6.2). In particular, for any  $l \in \mathbb{N}$  there is a set of isomorphism types of cardinality at least l+1 consisting of groups having the same order and reduced minimum genus: Given  $r_1 \geq p-1$  and  $r_3 \geq p-2$ , such that  $r_3 \geq 2$  for p=2, and letting  $r_2 := (p-1) + l \cdot (2p+1)$ , all the sequences  $\underline{r} + k \cdot \rho$ , where  $0 \leq k \leq l$ , give rise to groups

as desired. The smallest counterexamples, in terms of group order, are given by choosing r as small as possible for the case l = 1:

i) For p odd this yields

$$\underline{r} = (p-1, 3p, p-2)$$
 and  $\underline{\tilde{r}} := \underline{r} + \rho = (2p+1, p-1, 2p-2),$ 

giving rise to groups such that

$$|G| = |\tilde{G}| = p^{10p-7}$$
 and  $\mu_0(G) = \mu_0(\tilde{G}) = \frac{1}{2} \cdot (5p^4 - 5p^3 - 2p^2 - p + 1)$ .

Hence in particular for p=3 we get  $\underline{r}=(2,9,1)$  and  $\underline{\tilde{r}}=(7,2,4)$ , giving rise to groups such that  $|G|=|\tilde{G}|=3^{23}$  and  $\mu_0(G)=\mu_0(\tilde{G})=125$ .

ii) In order to cover the case p=2 as well, for p arbitrary we may let

$$\underline{r} = (p-1, 3p, p)$$
 and  $\underline{\tilde{r}} = (2p+1, p-1, 2p),$ 

giving rise to groups such that

$$|G| = |\tilde{G}| = p^{10p-1}$$
 and  $\mu_0(G) = \mu_0(\tilde{G}) = \frac{1}{2} \cdot (5p^4 - 3p^3 - 2p^2 - p - 1).$ 

Hence in particular for p=2 we get  $\underline{r}=(1,6,2)$  and  $\underline{\tilde{r}}=(5,1,4)$ , giving rise to groups such that  $|G|=|\tilde{G}|=2^{19}$  and  $\mu_0(G)=\mu_0(\tilde{G})=\frac{45}{2}$ .

7.3. Counterexamples with varying exponent. We construct non-isomorphic abelian groups G and  $\tilde{G}$  just having the same spectrum, thus in particular having the same Kulkarni invariant and minimum genus; hence for p odd also having the same cyclic deficiency and reduced minimum genus. We might wonder whether in this situation, possibly further assuming that G and  $\tilde{G}$  belong to the class of groups described in (6.2), the groups necessarily have the same exponent, or equivalently the same order whenever p odd; if this was the case then the examples in (7.2) would be the typical or even the only ones.

We look at groups afforded by sequences  $\underline{r}=(r_1,\ldots,r_e)$  and  $\underline{\tilde{r}}=(\tilde{r}_1,\ldots,\tilde{r}_{\tilde{e}})$ , where  $e,\tilde{e}\geq 1$ , fulfilling the assumptions of (6.2), that is  $r_i\geq p-1$  for  $1\leq i\leq e-1$ , and  $\tilde{r}_i\geq p-1$  for  $1\leq i\leq e-1$ , as well as  $r_e,\tilde{r}_{\tilde{e}}\geq \max\{p-2,1\}$ . We are going to present a series of counterexamples to Talu's Conjecture fulfilling  $e\neq \tilde{e}$ , where this subsection deals with the case p odd, while the case p=2 is treated in (7.4). But before doing so, we would like to indicate the heuristics we have used to find them:

Let  $\delta \geq -2e + \frac{e(e+1)}{2} \cdot (p-1)$  whenever p is odd, and  $\delta \geq \frac{e(e-1)}{2}$  for p=2, in each case the lower bound being the cyclic deficiency associated with the smallest admissible sequence  $(p-1,\ldots,p-1,\max\{p-2,1\})$ ; note that smaller values of  $\delta$  are not achieved at all. We now aim at varying  $\underline{r}$  within the set of admissible sequences, such that  $\log_p(|G|) = \delta + e = \sum_{i=1}^e ir_i$  is kept fixed, but

$$2\mu_e + 1 = -p^e + \sum_{i=1}^e (p^e - p^{e-i}) \cdot r_i = -p^e + \sum_{i=1}^e \frac{p^e - p^{e-i}}{i} \cdot ir_i$$

is maximized and minimized, respectively.

To this end, we observe that the arithmetic mean of the first i entries of the sequence  $(p^{e-1},\ldots,p,1)$  is given as  $\frac{1}{i}\cdot\sum_{j=e-i}^{e-1}p^j=\frac{1}{i}\cdot\frac{p^e-p^{e-i}}{p-1}$ , for  $1\leq i\leq e$ , hence the sequence  $(\frac{p^e-p^{e-1}}{1},\frac{p^e-p^{e-2}}{2},\ldots,\frac{p^e-1}{e})$  is strictly decreasing. Thus  $2\mu_e+1$  becomes

largest (respectively smallest) by choosing the last (respectively first) e-1 entries of  $\underline{r}$  as small as possible, and adjusting the first (respectively last) entry such that r has cyclic deficiency  $\delta$  associated with it.

For the remainder of this subsection let p be odd. Then maximizing yields  $2\mu_e + 1 \le 2\mu_e(a, p - 1, \dots, p - 1, p - 2) + 1$ , where

$$a := \delta + 2e - \frac{(e+2)(e-1)}{2} \cdot (p-1).$$

Note that by the choice of  $\delta$  we conclude that  $a \geq p-1$ , hence the right hand side of the above inequality is achieved. By a straightforward computation we get

$$\begin{array}{lcl} 2\mu_{e}+1 & \leq & \left(\delta+\frac{(e-1)(e+6)}{2}-\frac{e(e-1)(p-1)}{2}\right)\cdot p^{e} \\ & -\left(\delta+\frac{e(e+5)}{2}\right)\cdot p^{e-1}+2 \end{array}$$

Similarly, minimizing yields  $2\mu_e + 1 \ge 2\mu_e(p-1, p-1, \dots, p-1, b) + 1$ , where

$$b:=\frac{\delta}{e}-\frac{e-1}{2}\cdot(p-1)+1.$$

Note that here b in general is not integral, so that the right hand side of the above inequality might not be achieved; it is possible to determine explicitly the sequence giving rise to the actual minimum of  $2\mu_e + 1$ , but this will not be needed. By a straightforward computation we get

$$2\mu_e + 1 \ge \left(\frac{\delta}{e} + \frac{(e-1)(p-1)}{2} - 1\right) \cdot p^e + \frac{(e+1)(p-1)}{2} - \frac{\delta}{e}.$$

Hence we have to ensure that the above upper bound for  $2\mu_{\tilde{e}} + 1$ , applied to some  $1 \leq \tilde{e} < e$ , is at least as large as the lower bound for  $2\mu_e + 1$ . Viewing the upper and lower bounds as linear functions in  $\delta$ , in order to have an unbounded range of candidates  $\delta$  to check, the slope of the upper bound function should exceed the slope of the lower bound function. This yields

$$(p-1)p^{\tilde{e}-1} \ge \frac{p^e - 1}{e},$$

in other words

$$e \ge \sum_{i=1}^{e} p^{(e-i)-(\tilde{e}-1)} = \sum_{i=0}^{e-\tilde{e}} p^i + \sum_{i=1}^{\tilde{e}-1} p^{-i} = \frac{p^{e-\tilde{e}+1}-1}{p-1} + \sum_{i=1}^{\tilde{e}-1} p^{-i},$$

implying

$$e \ge \frac{p^{e-\tilde{e}+1}-1}{p-1} + 1.$$

Thus we are led to consider the case  $\tilde{e} = e - 1$ , where the smallest possible choices are e := p + 2 and  $\tilde{e} := p + 1$ . This yields the following specific examples: Let

$$r := (p-1, \dots, p-1, p, p^3 + p^2 - 2),$$

thus having p consecutive entries p-1, and for  $p \geq 5$  let

$$\tilde{\underline{r}} := (p^4 + 3p^3 + 2p^2 - p - 1, p - 1, \dots, p - 1, p, p, p - 1, p - 2),$$

thus having p-4 consecutive entries p-1, while for p=3 let

$$\underline{\tilde{r}} := (p^4 + 3p^3 + 2p^2 - p, p, p - 1, p - 2)|_{p=3} = (177, 3, 2, 1);$$

Table 1. Counterexamples with varying exponent.

p	<u>r</u>	$ ilde{\underline{ ilde{r}}}$
3	(2, 2, 2, 3, 34)	(177, 3, 2, 1)
5	$(4,\ldots,4,5,148)$	(1044, 4, 5, 5, 4, 3)
7	$(6,\ldots,6,7,390)$	(3520, 6, 6, 6, 7, 7, 6, 5)
11	$(10,\ldots,10,11,1450)$	$(18864, 10, \dots, 10, 11, 11, 10, 9)$
13	$(12,\ldots,12,13,2364)$	$(35476, 12, \dots, 12, 13, 13, 12, 11)$
17	$(16,\ldots,16,17,5200)$	$(98820, 16, \dots, 16, 17, 17, 16, 15)$

p	e	$\delta$	$\mu_e$
3	5	189	4964
5	7	1119	6679613
7	9	3725	8817262934
11	13	19629	27083067676913144
13	15	36719	64775747609331851801
17	19	101535	655895227302212659718161655

a few explicit cases are given in Table 1. Then, by a straightforward computation, we indeed have

$$\delta = \tilde{\delta} = p^4 + \frac{7}{2}p^3 + 3p^2 - \frac{5}{2}p - 6,$$

and

$$\mu_e(\underline{r}) = \mu_{\tilde{e}}(\underline{\tilde{r}}) = \frac{1}{2} \cdot \left( (p^3 + 2p^2 - 4) \cdot p^{p+2} - p^3 - p^2 + 1 \right).$$

We remark that, had we carried out the analysis on minimizing  $2\mu_e + 1$ , we would have found  $\underline{r}$  as the minimizing sequence associated with  $\delta$ . Thus  $\underline{r}$  and  $\underline{\tilde{r}}$  give rise to groups G and  $\tilde{G}$ , respectively, by (6.4) having the same spectrum, but having distinct exponents  $p^{p+2}$  and  $p^{p+1}$ , respectively.

Actually, the above series has been found by running an explicit search for odd  $p \leq 11$ , using the computer algebra system GAP [3], and observing the pattern arising. We suspect that these in general are the counterexamples to Talu's Conjecture with smallest possible cyclic deficiency  $\delta$  for groups of exponents  $p^{p+2}$  and  $p^{p+1}$ , respectively; but we have not attempted to prove this in general, and only checked it explicitly for  $3 \leq p \leq 23$  using GAP.

The above analysis also implies that counterexamples consisting of groups of exponent  $p^e$  and  $p^{\tilde{e}}$ , respectively, such that  $\tilde{e} < e \le p+1$  can possibly exist only for finitely many values of  $\delta$ . Actually, we suspect that counterexamples such that  $\tilde{e} \le p$  do not exist at all; but we have not thoroughly investigated into this, and only made a few unsuccessful explicit searches for  $3 \le p \le 23$  using GAP.

- **7.4.** Counterexamples with varying exponent for p=2. We keep the setting of (7.3), but let now p=2. Since our approach involves sequences  $\underline{r}$  such that  $r_e \geq 2$ , for  $\underline{\tilde{r}}$  we distinguish the cases  $\tilde{r}_{\tilde{e}} \geq 2$  and  $\tilde{r}_{\tilde{e}} = 1$ :
- i) Let first  $\tilde{r}_{\tilde{e}} \geq 2$ . Then, by (6.4), the groups G and  $\tilde{G}$  associated with these sequences have the same spectrum if and only if they have the same cyclic deficiency

and reduced minimum genus. Thus a similar analysis as the one in the odd prime case yields  $2\mu_e + 1 \le 2\mu_e(a, 1, \dots, 1) + 1$ , where  $a := \delta - \frac{(e-2)(e+1)}{2}$ , hence we get

$$2\mu_e + 1 \le \left(\delta - \frac{(e-2)(e-3)}{2} - 1\right) \cdot 2^{e-1} + 1.$$

Similarly, we get  $2\mu_e + 1 \ge 2\mu_e(1, \dots, 1, b) + 1$ , where  $b := \frac{\delta}{e} - \frac{e-3}{2}$ , yielding

$$2\mu_e + 1 \ge \left(\frac{\delta}{e} + \frac{e-3}{2}\right) \cdot 2^e + \frac{e+1}{2} - \frac{\delta}{e}.$$

Again comparing slopes with respect to  $\delta$  of the upper and lower bound functions yields  $2^{\tilde{e}-1} \geq \frac{2^e-1}{e}$ , which is the same formula as in the odd prime case, specialized to p=2. Hence here we obtain the condition  $e\geq 2^{e-\tilde{e}+1}$ . Moreover, it turns out that for  $1\leq \tilde{e}< e\leq 3$  and any  $\delta\geq 0$  the upper bound for  $2\mu_{\tilde{e}}+1$  is smaller than the lower bound for  $2\mu_e+1$ , excluding these choices of  $\tilde{e}< e$ . Hence we are led to consider the case  $\tilde{e}=e-1$ , with smallest possible choices e:=4 and  $\tilde{e}=3$ :

An explicit search using GAP yields the smallest counterexamples, with respect to cyclic deficiency  $\delta$ , as

$$\underline{r} := (1, 1, 1, 18)$$
 and  $\tilde{\underline{r}} := (69, 1, 2)$ .

Then we get  $\delta = \tilde{\delta} = 74$  and  $\mu_e(\underline{r}) = \mu_{\tilde{e}}(\underline{\tilde{r}}) = \frac{287}{2}$ , where again we remark that  $\underline{r}$  is the minimizing sequence associated with  $\delta$ . Thus  $\underline{r}$  and  $\underline{\tilde{r}}$  give rise to groups G and  $\tilde{G}$ , respectively, by (6.4) having the same spectrum, and both fulfilling the 'e' = e' property, but having distinct exponents 16 and 8, respectively.

ii) Let now  $\tilde{r}_{\tilde{e}} = 1$ . Then, by (6.4) the groups G and  $\tilde{G}$  associated with the sequences  $\underline{r}$  and  $\tilde{\underline{r}}$  have the same spectrum if and only if for the associated cyclic deficiency and reduced minimum genus we have

$$\tilde{\delta} = \delta - 1$$
 and  $\mu_{\tilde{e}}(\underline{\tilde{r}}) = 2\mu_e(\underline{r}).$ 

Considering again the slopes with respect to  $\delta$  of the upper and lower bound functions, from  $2\mu_{\tilde{e}}(\tilde{\underline{r}})+1=4\mu_e(\underline{r})+1=2\cdot(2\mu_e(\underline{r})+1)-1$  we this time get  $2^{\tilde{e}-1}\geq 2\cdot\frac{2^e-1}{e}$ , implying  $e\geq 2^{e-\tilde{e}+2}$ , thus leading us to consider the case  $\tilde{e}=e-1$  with smallest possible choices e:=8 and  $\tilde{e}=7$ :

An explicit search using GAP yields the smallest counterexamples, with respect to cyclic deficiency  $\delta$ , as

$$\underline{r} := (1, 1, 1, 1, 1, 1, 1, 1025)$$
 and  $\underline{\tilde{r}} := (8199, 1, 1, 1, 1, 1, 1)$ .

Then we get  $\delta=8220=\tilde{\delta}+1$  and  $\mu_e(\underline{r})=131328=\frac{1}{2}\cdot\mu_{\tilde{e}}(\tilde{r})$ , where again we remark that  $\underline{r}$  is the minimizing sequence associated with  $\delta$ , and  $\tilde{r}$  is the maximizing sequence associated with  $\tilde{\delta}$ . Thus  $\underline{r}$  and  $\tilde{r}$  give rise to groups G and  $\tilde{G}$ , respectively, by (6.4) having the same spectrum, precisely one of them fulfilling the 'e'=e' property, and having distinct exponents 256 and 128, respectively. Moreover, although we have not thoroughly investigated into this, unsuccessful explicit searches using GAP lead us to suspect that such counterexamples with  $\tilde{e} \leq 6$  do not exist.

Finally, we remark that the above approach can also be used to find counterexamples fulfilling  $\tilde{e} = e$ : Actually, by (9.1) and (9.3), there cannot be counterexamples for  $1 \leq \tilde{e} = e \leq 2$ , except the groups  $\{\mathbb{Z}_2, \mathbb{Z}_2^2\}$ ; note that the latter indeed is a single counterexample, for  $\delta = 1$ , while our approach is aiming at finding  $\tilde{e} \leq e$  allowing

for an infinite range of candidates  $\delta$ . Moreover, it turns out that for  $\tilde{e}=e=3$  and any  $\delta \geq 0$  the upper bound for  $2\mu_e+1$  is smaller than the lower bound for  $2\cdot(2\mu_e+1)-1$ , excluding this case. Hence we are led to consider the case e:=4:

An explicit search using GAP yields the smallest examples, with respect to cyclic deficiency  $\delta$ , as

$$\underline{r} := (1, 1, 1, 21)$$
 and  $\underline{\tilde{r}} := (80, 1, 1, 1)$ .

Then we get  $\delta = 86 = \tilde{\delta} + 1$  and  $\mu_e(\underline{r}) = 166 = \frac{1}{2} \cdot \mu_{\tilde{e}}(\underline{\tilde{r}})$ , where again we remark that  $\underline{r}$  is the minimizing sequence associated with  $\delta$ , and  $\underline{\tilde{r}}$  is the maximizing sequence associated with  $\tilde{\delta}$ . Thus  $\underline{r}$  and  $\underline{\tilde{r}}$  give rise to groups G and  $\tilde{G}$ , respectively, by (6.4) having the same spectrum, precisely one of them fulfilling the 'e' = e' property, and having the same exponent 16.

## 8. Examples: Small rank

In the remaining two sections, in order to show that the combinatorial machinery developed in Section 5 actually is an efficient technique to find  $\mu_0(G)$ , and in suitable cases even all of  $\operatorname{sp}_0(G)$ , we explicitly work out some 'small' examples. Moreover, we show that Talu's Conjecture (including the case p=2) holds within the various classes of p-groups considered. In this section, now, we deal with the abelian p-groups of minimum genus at most 1, and those of rank at most 2, where in particular we are interested in finding the smallest positive reduced genus of these groups.

**8.1. Non-positive reduced minimum genus.** We determine the non-trivial abelian p-groups G such that  $\mu(G) \in \{0,1\}$ , that is  $\mu_0(G) \in \{-1,-\frac{1}{2},0\}$ .

We have  $\mu_i \leq 0$ , for  $i \in \mathcal{I}(G)$ , if and only if

$$\frac{p-1}{2} \cdot \wp(s_1, \dots, s_i) \le p^i - \lfloor \frac{s_{i+1}}{2} \rfloor.$$

From  $s_1 \ge \cdots \ge s_i \ge 2 \cdot \lfloor \frac{s_{i+1}}{2} \rfloor + 2$  we get

$$\left(\left\lfloor \frac{s_{i+1}}{2}\right\rfloor+1\right)\cdot\left(p^{i}-1\right)\leq\frac{p-1}{2}\cdot\wp(s_{1},\ldots,s_{i}),$$

hence assuming  $\mu_i \leq 0$  yields

$$(\lfloor \frac{s_{i+1}}{2} \rfloor + 1) \cdot (p^i - 1) \le p^i - \lfloor \frac{s_{i+1}}{2} \rfloor,$$

that is  $\lfloor \frac{s_{i+1}}{2} \rfloor \cdot p^i \leq 1$ , a contradiction for  $1 \leq i \leq e-1$ . We consider the remaining cases: For i=0 we get  $\mu_0 \leq 0$  if and only if  $\lfloor \frac{s_1}{2} \rfloor \leq 1$ , or equivalently  $2 \leq s_1 \leq 3$ , yielding the cases as indicated in the first table in Table 2, where  $1 \leq e' < e$ . For i=e we get  $\mu_e \leq 0$  if and only if  $\frac{p-1}{2} \cdot \wp(s_1,\ldots,s_e) \leq p^e$ , hence, since  $s_1 \geq \cdots \geq s_e \geq 2$  implies  $p^e-1=\frac{p-1}{2} \cdot \wp(2,\ldots,2) \leq \frac{p-1}{2} \cdot \wp(s_1,\ldots,s_e)$ , we get the cases indicated in the second table in Table 2.

In conclusion, we have  $\mu_0(G) < 0$ , that is  $\mu(G) = 0$ , if and only if

$$G \cong \mathbb{Z}_{p^e}$$
 or  $G \cong \mathbb{Z}_2^2$ ,

and  $\mu_0(G) = 0$ , that is  $\mu(G) = 1$ , if and only if

$$G \cong \mathbb{Z}_{p^e}' \oplus \mathbb{Z}_{p^e}$$
 for  $e' < e$ , or  $G \cong \mathbb{Z}_{p^e}^2$  for  $p^e \neq 2$ , or  $G \cong \mathbb{Z}_2^3$ .

Table 2. Non-positive reduced minimum genus.

	G	$\mu_0$	p-datum
$s_1 = s_e = 2$	$\mathbb{Z}_{p^e}$	0	$(0,\ldots,0;1)$
$s_1 = s_e = 3$	$\mathbb{Z}_{p^e}^2$	0	$(0,\ldots,0;1)$
$s_1 = 3 > s_e = 2$	$\mathbb{Z}_{p^{e'}} \oplus \mathbb{Z}_{p^e}$	0	$(0,\ldots,0;1)$

		G	$\mu_e$	p-datum
	$s_1 = s_e = 2$	$\mathbb{Z}_{p^e}$	-1	$(0,\ldots,0,2;0)$
p = 3, e = 1,	$s_1 = 3$	$\mathbb{Z}_3^2$	0	(3;0)
p = 2, e = 1,	$s_1 = 3$	$\mathbb{Z}_2^2$	$-\frac{1}{2}$	(3;0)
p = 2, e = 1,	$s_1 = 4$	$\mathbb{Z}_2^3$	0	(4;0)
p = 2, e = 2,	$s_1 = 3 > s_2 = 2$	$\mathbb{Z}_2 \oplus \mathbb{Z}_4$	0	(1,2;0)

This also yields all abelian p-groups having a genus  $g \leq 1$ . Note that the explicit cases for p = 2 and p = 3 are precisely the non-cyclic abelian groups of order at most 9, which are treated as exceptional cases in [8, Thm.4].

These results compare to the well-known description of finite group actions on compact Riemann surfaces of genus  $g \leq 1$ , see [12, App.] or [2, Sect.6.7], as follows: The cases of  $\mu_e < 0$  are precisely the abelian p-groups amongst the groups with signature of positive curvature, and belong to branched self-coverings of the Riemann sphere. The cases of  $\mu_0 = 0$  and  $\mu_e = 0$  are precisely the abelian p-groups being smooth epimorphic images of the groups with finite signature of zero curvature, the former belong to unramified coverings of surfaces of genus 1, the latter belong to branched coverings of the Riemann sphere by surfaces of genus 1.

**8.2.** Groups of rank at most 2. The cases occurring in (8.1) consist of all the non-trivial abelian p-groups of rank at most 2, and the group  $G \cong \mathbb{Z}_2^3$ . The latter being covered by (6.4), we proceed to consider the former in more detail, and determine their smallest positive reduced genus  $\mu_0^+(G)$ , and thus their smallest genus  $\mu^+(G) \geq 2$ . The results are collected in Table 3, grouped into three infinite series, where  $1 \leq e' < e$ , and finitely many exceptional cases for p = 2 and p = 3. The proofs for the cyclic cases and the cases of rank 2 are given in (8.3) and (8.4), respectively; the cases with  $e \leq 2$  will reappear in Section 9.

For the cyclic cases we recover the results in [4] and [6, Prop.3.3]. Moreover, we conclude that a cyclic p-group is uniquely determined by its smallest genus  $\mu^+(G) \geq 2$ , with the single exception of the groups  $\{\mathbb{Z}_2, \mathbb{Z}_4, \mathbb{Z}_8\}$ , which indeed have the same spectrum  $\mathbb{N}_0$ . In particular, Talu's Conjecture (including the case p=2) holds within the class of cyclic p-groups.

For the cases of rank 2 the sharp bound derived here improves the general bound given in [6, Prop.3.4]; and for the cases of cyclic deficiency  $\delta = 1$ , where p is odd, we recover the relevant part of [9, Thm.5.4] and [9, Cor.5.5]. Moreover, we conclude that an abelian p-groups of rank 2 is uniquely determined by its smallest genus

Table 3. Groups of rank at most 2.

G	condition	$\mu_0^+(G)$	$\mu^+(G)$
$\mathbb{Z}_{p^e}$	$p^e \neq 2, 3, 4$	$\frac{1}{2} \cdot (p^e - p^{e-1}) - 1$	$\frac{1}{2} \cdot (p^e - p^{e-1})$
$\mathbb{Z}_{p^{e'}} \oplus \mathbb{Z}_{p^e}$	$(p^{e'}, p^e) \neq (2, 4)$	$\frac{1}{2} \cdot (p^e - p^{e-e'}) - 1$	$\frac{1}{2} \cdot p^{e'} \cdot (p^e - p^{e-e'} - 2) + 1$
$\mathbb{Z}_{p^e}^2$	$p^e \neq 2,3$	$\frac{1}{2} \cdot (p^e - 3)$	$\frac{1}{2} \cdot p^e \cdot (p^e - 3) + 1$

G	$\mu_0^+(G)$	$\mu^+(G)$
$\mathbb{Z}_2$	1	2
$\mathbb{Z}_4$	1	2
$\mathbb{Z}_4$ $\mathbb{Z}_2^2$	$\frac{1}{2}$	2
$\mathbb{Z}_2 \oplus \mathbb{Z}_4$	1	3

G	$\mu_0^+(G)$	$\mu^+(G)$
$\mathbb{Z}_3$	1	2
$\mathbb{Z}_3^2$	1	4

 $\mu^+(G) \geq 2$ , with the single exception of the groups  $\{\mathbb{Z}_2 \oplus \mathbb{Z}_4, \mathbb{Z}_2 \oplus \mathbb{Z}_8, \mathbb{Z}_4^2\}$ ; it will be shown in (8.5) that  $\mathbb{Z}_2 \oplus \mathbb{Z}_4$  and  $\mathbb{Z}_2 \oplus \mathbb{Z}_8$  indeed have the same spectrum  $1 + 2\mathbb{N}_0$ , which differs from the one of  $\mathbb{Z}_4^2$ . In particular, Talu's Conjecture (including the case p = 2) holds within the class of abelian p-groups of rank 2.

**8.3.** Cyclic groups. Let  $G \cong \mathbb{Z}_{p^e}$ , that is  $(s_1, \ldots, s_e) = (2, \ldots, 2)$ ; hence we have  $\mathcal{I}(G) = \{0, e\}$ . By (8.1), we have min  $\gamma(A_0) = \mu_0 = 0$  and min  $\gamma(A_e) = \mu_e = -1$ , hence both g = 0 are g = 1 are genera of G.

We proceed to determine  $\mu_0^+(G)$ : We have

$$A_0 = \{(2a, \dots, 2a) : a \ge 1\},\$$

and hence  $\gamma(2a, \dots, 2a) = (a-1) \cdot p^e$  yields

$$\min (\gamma(A_0) \setminus \{0\}) = p^e.$$

For  $1 \le i \le e - 1$ , using the notation of (5.3), we have i' = i'' = 0 and  $\epsilon_i = 2$ , thus we have  $\mu_i = 0$  and

$$\min \ \gamma(A_i) = p^e - p^{e-i} \ge p^e - p^{e-1} = \min \ \gamma(A_1).$$

Moreover, for p=2 we have e'=0 and min  $\gamma(A_i)=\min \gamma(A_i)$ . Now let i=e:

i) Let first p be odd. Then we have

$$A_e = \{(a_1, \dots, a_e, 2a) : a_1 \ge \dots \ge a_e \ge 2(a+1)\},\$$

hence comparing  $\gamma(a_1,\ldots,a_e,2a)=-p^e+a+\frac{p-1}{2}\cdot\wp(a_1,\ldots,a_e)$  with  $\gamma(2,\ldots,2,0)=\mu_e=-1$  yields

$$\min (\gamma(A_e) \setminus \{-1\}) = \frac{1}{2} \cdot p^{e-1} \cdot (p-1) - 1 \ge 0,$$

being attained precisely for (3, 2, ..., 2, 0). We have  $p^{e-1} \cdot (p-1) = 2$  if and only if p = 3 and e = 1. Thus, if  $G \not\cong \mathbb{Z}_3$ , then we have  $\mu_0^+(G) = \frac{1}{2} \cdot p^{e-1} \cdot (p-1) - 1$ . The case  $G \cong \mathbb{Z}_3$  is covered by (6.4).

ii) Let now p = 2. We have

$$A'_e = \{(a_1, \dots, a_e, 2a) : a_1 \ge \dots \ge a_e \ge 2(a+1), a_e \text{ even}\}.$$

We first assume that  $e \ge 3$ . Comparing  $\gamma(a_1, \ldots, a_e, 2a) = -2^e + a + \frac{1}{2} \cdot \wp(a_1, \ldots, a_e)$  with  $\gamma(2, \ldots, 2, 0) = \mu_e = -1$  we get

$$\min (\gamma(A'_e) \setminus \{-1\}) = 2^{e-2} - 1 > 0,$$

being attained precisely for  $(3, 2, \dots, 2, 0)$ . Hence we conclude  $\mu_0^+(G) = 2^{e-2} - 1$ .

In particular, for e=3, that is  $G\cong \mathbb{Z}_8$ , we have  $\gamma(a_1,2,2,0)=2a_1-5$  for  $a_1\geq 2$ , and  $\gamma(a_1,3,2,0)=2a_1-4$  for  $a_1\geq 3$ , implying that  $\gamma(A_3')=\{-1\}\cup\mathbb{N}$ . Hence the reduced spectrum equals  $\operatorname{sp}_0(\mathbb{Z}_8)=\{-1\}\cup\mathbb{N}_0$ , yielding the spectrum  $\operatorname{sp}(\mathbb{Z}_8)=\mathbb{N}_0$ ; hence in particular we recover a special case of [7, Cor.6.3].

The case  $G \cong \mathbb{Z}_2$  being covered by (6.4), it remains to consider  $G \cong \mathbb{Z}_4$ : We have

$$A_2' = \{(a_1, a_2, 2a) : a_1 \ge a_2 \ge 2(a+1), a_2 \text{ even}\}$$

and  $\gamma(a_1, a_2, 2a) = -4 + a + a_1 + \frac{a_2}{2}$ . This yields min  $(\gamma(A_2') \setminus \{-1\}) = 0$ , being attained precisely for (3, 2, 0), and min  $(\gamma(A_2') \setminus \{-1, 0\}) = 1$ , being attained precisely for (4, 2, 0). Thus we have  $\mu_0^+(\mathbb{Z}_4) = 1$ . From  $\gamma(a_1, 2, 0) = a_1 - 3$ , for  $a_1 \geq 2$ , we conclude that  $\gamma(A_2') = \{-1\} \cup \mathbb{N}_0$ , thus the reduced spectrum is  $\operatorname{sp}_0(\mathbb{Z}_4) = \{-1\} \cup \mathbb{N}_0$ , yielding the spectrum  $\operatorname{sp}(\mathbb{Z}_4) = \mathbb{N}_0$ .

**8.4. Groups of rank** 2. Let  $G \cong \mathbb{Z}_{p^{e'}} \oplus \mathbb{Z}_{p^e}$  for some  $1 \leq e' \leq e$ , where for e' = e we get  $G \cong \mathbb{Z}_{p^e}^2$ ; hence  $(s_1, \ldots, s_{e'}, s_{e'+1}, \ldots, s_e) = (3, \ldots, 3, 2, \ldots, 2)$  and  $\mathcal{I}(G) = \{0, e\}$ . By (8.1), we have min  $\gamma(A_0) = \mu_0 = 0$ , while min  $\gamma(A_e) = \mu_e < 0$  only for  $G \cong \mathbb{Z}_2^2$ . Hence g = 1 is a genus, while g = 0 is so if and only if  $G \cong \mathbb{Z}_2^2$ .

We proceed to determine  $\mu_0^+(G)$ : We have

$$A_0 = \{(2a, \dots, 2a) : a \ge 1\},\$$

and hence  $\gamma(2a,\ldots,2a)=(a-1)\cdot p^e$  yields

$$\min (\gamma(A_0) \setminus \{0\}) = p^e.$$

Let  $1 \le i \le e - 1$ . Using the notation of (5.3), for  $1 \le i \le e'$  we have

$$\mu_i = -p^e + p^{e-i} \cdot (1 + \frac{3}{2} \cdot (p^i - 1)) = \frac{1}{2} \cdot p^{e-i} \cdot (p^i - 1),$$

hence from i' = 0 and  $\epsilon_i = 1$  we get

min 
$$\gamma(A_i) = \mu_i + \frac{1}{2} \cdot p^{e-i} \cdot (p^i - 1) = p^{e-i} \cdot (p^i - 1).$$

For  $e' < i \le e - 1$  we have

$$\mu_i = -p^e + p^{e-i} \cdot (p^i + \frac{1}{2} \cdot p^{i-e'} \cdot (p^{e'} - 1)) = \frac{1}{2} \cdot p^{e-e'} \cdot (p^{e'} - 1),$$

hence from i' = e' and i'' = 0, as well as  $\epsilon_i = 2$ , we get

$$\min \ \gamma(A_i) = \mu_i + \frac{1}{2} \cdot p^{e-e'} \cdot (p^{e'} + 1) - p^{e-i} = p^{e-i} \cdot (p^i - 1).$$

Thus for all  $1 \le i \le e - 1$  we have

$$\min \ \gamma(A_i) = p^e - p^{e-i} \ge p^e - p^{e-1} = \min \ \gamma(A_1).$$

Moreover, for p = 2 and  $e' < i \le e - 1$  we have min  $\gamma(A'_i) = \min \gamma(A_i)$ .

Hence let i = e. We have

min 
$$\gamma(A_e) = \mu_e = -1 + \frac{1}{2} \cdot p^{e-e'} \cdot (p^{e'} - 1),$$

where  $\mu_e \leq 0$  if and only if  $p^{e-e'} \cdot (p^{e'} - 1) \leq 2$ , which holds if and only if e' = 1 and  $p^e \in \{2, 3, 4\}$ . Hence for  $p^e > 4$ , or  $p^e = 4$  and e' = e, we have  $\mu_e > 0$ .

Assume that  $p^e-p^{e-1}<\mu_e=-1+\frac{1}{2}\cdot(p^e-p^{e-e'})$ , then we have  $p^e\cdot(1-\frac{2}{p}+\frac{1}{p^{e'}})<-2$ , implying that  $1-\frac{2}{p}+\frac{1}{p^{e'}}<0$ , or equivalently  $\frac{2}{p}-\frac{1}{p^{e'}}>1$ , a contradiction.

Thus for  $1 \le e' < e$  and  $(p^{e'}, p^e) \ne (2, 4)$  we conclude that

$$\mu_0^+(\mathbb{Z}_{p^{e'}} \oplus \mathbb{Z}_{p^e}) = -1 + \frac{1}{2} \cdot p^{e-e'} \cdot (p^{e'} - 1),$$

and for  $p^e \geq 4$  we have

$$\mu_0^+(\mathbb{Z}_{p^e}^2) = \frac{1}{2} \cdot (p^e - 3).$$

The exceptional cases  $G \cong \mathbb{Z}_2 \oplus \mathbb{Z}_4$  and  $G \cong \mathbb{Z}_2^2$  and  $G \cong \mathbb{Z}_3^2$  are covered by (6.4).

- **8.5.** Small 2-groups. As it turns out, the above results already cover all non-trivial abelian 2-groups of order at most 8. We observe that in all of these cases there is no spectral gap. But this is different for the groups of order 16, where we have the following cases not covered by (6.4):
- i) Let  $G \cong \mathbb{Z}_4^2$ , hence e' = e = 2, that is  $(s_1, s_2) = (3, 3)$ . We have seen in (8.4) that  $\gamma(A_0) = 4\mathbb{N}_0$  and min  $\gamma(A_1) = 2$ . Moreover, we have min  $\gamma(A_2) = \frac{1}{2}$ , where

$$A_2 = \{(a_1, a_2, 2a) : a_1 \ge a_2 \ge \max\{3, 2(a+1)\}\}\$$

and  $\gamma(a_1, a_2, 2a) = \frac{1}{2} \cdot (-8 + 2a + 2a_1 + a_2)$ . Writing  $m \in \mathbb{N}$  as

$$m = \begin{cases} -8 + 2 \cdot \frac{m+5}{2} + 3, & \text{if } m \text{ odd,} \\ -8 + 2 \cdot \frac{m+4}{2} + 4, & \text{if } m \text{ even,} \end{cases}$$

shows that any  $m \in \mathbb{N} \setminus \{2\}$  is of the form  $m = -8 + 2a_1 + a_2$  for some  $a_1 \ge a_2 \ge 3$ , while 2 is not of the form  $-8 + 2a + 2a_1 + a_2$  for any  $(a_1, a_2, 2a) \in A_2$ . Thus we have  $\gamma(A_2) = (\frac{1}{2}\mathbb{N}) \setminus \{1\}$ , hence we conclude that

$$\operatorname{sp}_0(\mathbb{Z}_4^2) = (\frac{1}{2}\mathbb{N}_0) \setminus \{1\} \quad \text{and} \quad \operatorname{sp}(\mathbb{Z}_4^2) = (1+2\mathbb{N}_0) \setminus \{5\}.$$

ii) Let  $G \cong \mathbb{Z}_2 \oplus \mathbb{Z}_8$ , hence we have e' = 1 and e = 3, that is  $(s_1, s_2, s_3) = (3, 2, 2)$ . We have seen in (8.4) that -1 is not a reduced genus, and that  $\gamma(A_0) = 8\mathbb{N}_0$ . Moreover, we have min  $\gamma(A'_3) = 1$ , where

$$A_3' = \{(a_1, a_2, a_3, 2a) : a_1 \ge \max\{3, a_2\}, a_2 \ge a_3 \ge 2(a+1), a_3 \text{ even}\},\$$

and  $\gamma(a_1, a_2, a_3, 2a) = -8 + a + 2a_1 + a_2 + \frac{a_3}{2}$ . Writing  $m \in \mathbb{N}$  as

$$m = \begin{cases} -7 + 2 \cdot \frac{m+5}{2} + 2, & \text{if } m \text{ odd,} \\ -7 + 2 \cdot \frac{m+4}{2} + 3, & \text{if } m \text{ even,} \end{cases}$$

shows that  $m = -8 + 2a_1 + a_2 + \frac{2}{2}$  for some  $a_1 \ge a_2 \ge 2$  such that  $a_1 \ge 3$ . Thus we have  $\gamma(A_3) = \mathbb{N}$ , hence we conclude that

$$\operatorname{sp}_0(\mathbb{Z}_2 \oplus \mathbb{Z}_8) = \mathbb{N}_0$$
 and  $\operatorname{sp}(\mathbb{Z}_2 \oplus \mathbb{Z}_8) = 1 + 2\mathbb{N}_0$ .

iii) Let  $G \cong \mathbb{Z}_{16}$ , hence we have e' = 0 and e = 4, that is  $(s_1, s_2, s_3, s_4) = (2, 2, 2, 2)$ . We have seen in (8.3) that  $\gamma(A_0) = 16\mathbb{N}_0$ , and min  $\gamma(A_i') = \min \ \gamma(A_i) = 16 - 2^{4-i}$  for  $1 \leq i \leq 3$ . Moreover, we have min  $\gamma(A_4') = -1$ , where

$$A'_4 = \{(a_1, a_2, a_3, a_4, 2a) : a_1 \ge a_2 \ge a_3 \ge a_4 \ge 2(a+1), a_4 \text{ even}\},\$$

and  $\gamma(a_1, a_2, a_3, a_4, 2a) = -16 + a + 4a_1 + 2a_2 + a_3 + \frac{a_4}{2}$ . Writing  $m \in \{-1\} \cup \mathbb{N}_0$  as

$$m = \begin{cases} -15 + 4 \cdot \frac{m+9}{4} + 2 \cdot 2 + 2, & \text{if } m \equiv 3 \pmod{4}, \\ -15 + 4 \cdot \frac{m+7}{4} + 2 \cdot 3 + 2, & \text{if } m \equiv 1 \pmod{4}, \\ -15 + 4 \cdot \frac{m+6}{4} + 2 \cdot 3 + 3, & \text{if } m \equiv 2 \pmod{4}, \\ -15 + 4 \cdot \frac{m+4}{4} + 2 \cdot 4 + 3, & \text{if } m \equiv 0 \pmod{4}, \end{cases}$$

shows that any  $m \in (\{-1\} \cup \mathbb{N}_0) \setminus \{0,1,2,4,8\}$  is of the form  $m = -16 + 4a_1 + 2a_2 + a_3 + \frac{2}{2}$  for some  $a_1 \geq a_2 \geq a_3 \geq 2$ , while none of  $\{0,1,2,4,8\}$  is of the form  $-16 + a + 4a_1 + 2a_2 + a_3 + \frac{a_4}{2}$  for any  $(a_1,a_2,a_3,a_4,2a) \in A_4'$ . Thus we have  $\gamma(A_4') = (\{-1\} \cup \mathbb{N}_0) \setminus \{0,1,2,4,8\}$ , hence we conclude that

$$\operatorname{sp}_0(\mathbb{Z}_{16}) = (\{-1\} \cup \mathbb{N}_0) \setminus \{1, 2, 4\} \text{ and } \operatorname{sp}(\mathbb{Z}_{16}) = \mathbb{N}_0 \setminus \{2, 3, 5\};$$

hence in particular we recover a special case of [7, Cor.6.3].

For completeness, the remaining cases are dealt with using (6.4), and we get

$$\mathrm{sp}_0(\mathbb{Z}_2^4) = \frac{1}{2}\mathbb{N} \quad \mathrm{and} \quad \mathrm{sp}_0(\mathbb{Z}_2^2 \oplus \mathbb{Z}_4) = \mathbb{N}, \quad \mathrm{hence} \quad \mathrm{sp}(\mathbb{Z}_2^4) = \mathrm{sp}(\mathbb{Z}_2^2 \oplus \mathbb{Z}_4) = 5 + 4\mathbb{N}_0.$$

Collecting the results for all non-trivial abelian 2-groups of order at most 16 yields

$$\operatorname{sp}(\mathbb{Z}_2) = \operatorname{sp}(\mathbb{Z}_4) = \operatorname{sp}(\mathbb{Z}_2^2) = \operatorname{sp}(\mathbb{Z}_8) = \mathbb{N}_0$$

and

$$\operatorname{sp}(\mathbb{Z}_2 \oplus \mathbb{Z}_4) = \operatorname{sp}(\mathbb{Z}_2^3) = \operatorname{sp}(\mathbb{Z}_2 \oplus \mathbb{Z}_8) = 1 + 2\mathbb{N}_0.$$

Thus these provide examples of 2-groups having the same spectrum, where neither the order, the exponent, the cyclic deficiency nor the 'e' < e' property coincide.

**8.6. Small** 3-groups. By the results above, and (6.4), we have

$$sp(\mathbb{Z}_3) = \mathbb{N}_0$$
 and  $sp(\mathbb{Z}_3^2) = 1 + 3\mathbb{N}_0$  and  $sp(\mathbb{Z}_3^3) = 10 + 9\mathbb{N}_0$ .

We again observe that in all of these cases there is no spectral gap, but this picture already changes for the next 3-groups springing to mind, as soon as we avoid the realm of (6.4). We present a couple of examples, showing that going over to reduced spectra tends to unify and straighten out the computations necessary:

i) Let  $G \cong \mathbb{Z}_9$ , that is we have e = 2 and  $(s_1, s_2) = (2, 2)$ . We have seen in (8.3) that  $\gamma(A_0) = 9\mathbb{N}_0$  and min  $\gamma(A_1) = 6$ . Moreover, we have min  $\gamma(A_2) = -1$ , where

$$A_2 = \{(a_1, a_2, 2a) : a_1 \ge a_2 \ge 2(a+1)\}$$

and  $\gamma(a_1, a_2, 2a) = -9 + a + 3a_1 + a_2$ . Writing  $m \in \mathbb{N}_0$  as

$$m = \begin{cases} -9 + 3 \cdot \frac{m+7}{3} + 2, & \text{if } m \equiv 2 \pmod{3}, \\ -9 + 3 \cdot \frac{m+6}{3} + 3, & \text{if } m \equiv 0 \pmod{3}, \\ -9 + 3 \cdot \frac{m+5}{3} + 4, & \text{if } m \equiv 1 \pmod{3}, \end{cases}$$

shows that any  $m \in \mathbb{N} \setminus \{1,4\}$  can be written as  $m = -9 + 3a_1 + a_2$  for some  $a_1 \geq a_2 \geq 2$ , while none of  $\{0,1,4\}$  is of the form  $-9 + a + 3a_1 + a_2$  for any  $(a_1,a_2,2a) \in A_2$ . Thus we have  $\gamma(A_2) = \{-1\} \cup (\mathbb{N} \setminus \{1,4\})$ , hence we conclude

$$\mathrm{sp}_0(\mathbb{Z}_9) = (\{-1\} \cup \mathbb{N}_0) \setminus \{1,4\} \quad \mathrm{and} \quad \mathrm{sp}(\mathbb{Z}_9) = \mathbb{N}_0 \setminus \{2,5\};$$

hence in particular we recover a special case of [7, Cor.5.3].

ii) We determine the spectrum of  $G \cong \mathbb{Z}_3 \oplus \mathbb{Z}_9$ , thus recovering [9, Cor.5.5]: We have e' = 1 and e = 2, that is  $(s_1, s_2) = (3, 2)$ , and thus we have seen in (8.4) that  $\gamma(A_0) = 9\mathbb{N}_0$  and min  $\gamma(A_1) = 6$ . Moreover, we have min  $\gamma(A_2) = 2$ , where

$$A_2 = \{(a_1, a_2, 2a) : a_1 \ge \max\{3, a_2\}, a_2 \ge 2(a+1)\}$$

and  $\gamma(a_1, a_2, 2a) = -9 + a + 3a_1 + a_2$ . As above, writing  $m \in \mathbb{N}$  as

$$m = \begin{cases} -9 + 3 \cdot \frac{m+7}{3} + 2, & \text{if } m \equiv 2 \pmod{3}, \\ -9 + 3 \cdot \frac{m+6}{3} + 3, & \text{if } m \equiv 0 \pmod{3}, \\ -9 + 3 \cdot \frac{m+5}{3} + 4, & \text{if } m \equiv 1 \pmod{3}, \end{cases}$$

shows that any  $m \in \mathbb{N} \setminus \{1,4\}$  can be written as  $m = -9 + 3a_1 + a_2$  for some  $a_1 \ge \max\{3, a_2\}$  and  $a_2 \ge 2$ , while none of  $\{1,4\}$  is of the form  $-9 + a + 3a_1 + a_2$  for any  $(a_1, a_2, 2a) \in A_2$ . Thus we have  $\gamma(A_2) = \mathbb{N} \setminus \{1,4\}$ . Hence we conclude that

$$\operatorname{sp}_0(\mathbb{Z}_3 \oplus \mathbb{Z}_9) = \mathbb{N}_0 \setminus \{1, 4\} \quad \text{and} \quad \operatorname{sp}(\mathbb{Z}_3 \oplus \mathbb{Z}_9) = (1 + 3\mathbb{N}_0) \setminus \{4, 13\}.$$

## 9. Examples: Small exponents

In this section we consider abelian p-groups of exponent at most  $p^2$ . In particular, we ask ourselves whether the description of the reduced minimum genus in terms of the defining invariants of the group in question lends itself to a 'generic' description.

**9.1. Elementary abelian groups.** Let  $G \cong \mathbb{Z}_p^r$  be an elementary abelian p-group, that is e = 1, and let  $s := r + 1 \geq 2$ . We have and  $\mathcal{I}(G) = \{0, 1\}$ , where (5.5) says that  $0 \in \mathcal{I}(G)$  can be ignored whenever s is even. Still, we have

$$\min \gamma(A_0) = \mu_0 = \begin{cases} \frac{ps}{2} - p, & \text{if } s \text{ even,} \\ \frac{ps}{2} - \frac{3p}{2}, & \text{if } s \text{ odd,} \end{cases}$$

and

$$\min \, \gamma(A_1) = \mu_1 = \frac{ps}{2} - \frac{s}{2} - p.$$

Thus we have  $\mu_0 < \mu_1$  if and only if s is odd and s < p, with equality if and only if s = p is odd. Hence we get  $\mu_0(G) = \mu_0$  if s is odd and s < p, otherwise we have  $\mu_0(G) = \mu_1$ . In particular, for p odd we thus recover, and at the same time correct [9, Sect.7, Rem.], where  $\mu_0(G)$  is erroneously stated for s < p.

We are tempted to call the cases where s is odd such that s < p the 'exceptional' ones, and the remaining the 'generic' ones; then there are only finitely many 'exceptional' cases, which do not occur at all for p = 2. In particular, as part of the 'generic' region we have  $\mu_0(G) = \mu_1$  for  $s \ge \max\{p-1, 2\}$ , in accordance with (6.2).

i) For p odd, viewing  $\mu_0$  and  $\mu_1$  as linear functions in s, with positive slope  $\frac{p}{2}$  and  $\frac{p-1}{2}$ , respectively, and since  $\mu_0(s+1) - \mu_1(s) = \frac{s}{2} > 0$ , for  $2 \le s < p$  even, we

Table 4. Elementary abelian groups

											p+1
$\mu_0(G)$	-1	0	p-2	p	 $\frac{p(p-6)+3}{2}$	p(p)	$\frac{(-5)}{2}$	p(p-	$\frac{-4)+1}{2}$	$\frac{p(p-3)}{2}$	$\frac{p(p-2)-1}{2}$
				s	2 3 4	5	6	7	8		

s	2	3	4	5	6	7	8
$\mu_0(G)$	-1	$-\frac{1}{2}$	0	$\frac{1}{2}$	1	$\frac{3}{2}$	2
$\mu(G)$	0	0	1	5	17	49	129

conclude that the reduced minimum genus  $\mu_0(G)$  is strictly increasing with s, and thus the minimum genus  $\mu(G) = 1 + p^{s-2} \cdot \mu_0(G)$  is as well.

ii) For p=2 we have  $\mu_0(G)=\mu_1=\frac{s}{2}-2$  for all  $s\geq 2$ , thus the reduced minimum genus  $\mu_0(G)$  is strictly increasing with s, and hence the minimum genus  $\mu(G)=1+2^{s-2}\cdot\mu_0(G)$ , for  $s\geq 3$ , is as well.

A few values are given in the first and second table in Table 4, respectively. We conclude that G is uniquely determined by its minimum genus  $\mu(G)$ , with the single exception of  $\{\mathbb{Z}_2, \mathbb{Z}_2^2\}$ ; indeed, as we have already noted in (8.5), the latter have the same spectrum. Hence in particular Talu's Conjecture (including the case p=2) holds within the class of elementary abelian p-groups.

Note that for p odd this would also be a consequence of [9, Cor.7.3], but due to the erroneous [9, Sect.7, Rem.] the results [9, Thm.7.2, Cor.7.3] are at stake; only [9, Cor.7.3(1)] can be verified independently by (6.2).

**9.2. Groups of exponent**  $p^2$ . Let  $G \cong \mathbb{Z}_p^{r_1} \oplus \mathbb{Z}_{p^2}^{r_2}$ , that is we have e = 2. Let  $s := s_1 = r_1 + r_2 + 1$  and  $t := s_2 = r_2 + 1$ , hence  $s \ge t \ge 2$ . Moreover, we have  $\{0,2\} \subseteq \mathcal{I}(G) \subseteq \{0,1,2\}$ , where  $1 \in \mathcal{I}(G)$  if and only if  $s - t \ge 2$ , or s - t = 1 and t is odd; additionally, (5.5) says that  $0 \in \mathcal{I}(G)$  can be ignored whenever s is even.

Still, in order to obtain a complete overview, we explicitly have

min 
$$\gamma(A_0) = \mu_0 = \begin{cases} \frac{p^2 s}{2} - p^2, & \text{if } s \text{ even,} \\ \frac{p^2 s}{2} - \frac{3p^2}{2}, & \text{if } s \text{ odd,} \end{cases}$$

and

$$\min \gamma(A_1) = \begin{cases} \frac{p^2s}{2} - \frac{p(s-t)}{2} - p^2, & \text{if } t \text{ even, } s-t \geq 2, \\ \frac{p^2s}{2} - \frac{p(s-t)}{2} - \frac{p}{2} - p^2, & \text{if } t \text{ odd, } s-t \geq 2, \\ \frac{p^2s}{2} - p - \frac{p^2}{2}, & \text{if } t \text{ even, } s-t = 1, \\ \frac{p^2s}{2} - p - p^2, & \text{if } t \text{ odd, } s-t = 1, \\ \frac{p^2s}{2} - p, & \text{if } t \text{ even, } s = t, \\ \frac{p^2s}{2} - p - \frac{p^2}{2}, & \text{if } t \text{ odd, } s = t, \end{cases}$$

and

$$\min \gamma(A_2) = \mu_2 = \frac{p^2 s}{2} - \frac{p(s-t)}{2} - \frac{t}{2} - p^2.$$

Thus we have  $\mu_0 < \mu_2$  if and only if s is odd and  $p(s-t) + t < p^2$ , with equality if and only if s is odd and  $p(s-t) + t = p^2$ ; and  $(\min \gamma(A_1)) < \mu_2$  if and only if t

is odd and  $t < \min\{p, s\}$ , with equality if and only if t = p is odd and t < s; and  $\mu_0 < (\min \gamma(A_1))$  if and only if

$$\left\{ \begin{array}{l} s = t \text{ even,} \\ s \text{ odd, } t \text{ even, } s - t < p, \\ s \text{ odd, } t \text{ odd, } s - t < p - 1 \end{array} \right.$$

with equality if and only if s is odd, and s-t=p odd or s-t=p-1 even.

In particular, we have equality  $\mu_0 = (\min \gamma(A_1)) = \mu_2$  throughout if and only if t = p odd and s = 2p - 1. Anyway, there are three cases in which  $\mu_0(G)$  coincides with either of  $\mu_0$ ,  $\mu_1$  and  $\mu_2$  in turn, where the mutual intersection of these cases is described by equating the associated  $\mu_i$ :

i) Let s be odd such that  $p(s-t)+t \leq p^2$ , thus  $\mu_0 \leq \mu_2$ . Moreover, we have s-t < p, implying  $\mu_0 \leq (\min \gamma(A_1))$ , hence we get

$$\mu_0(G) = \mu_0 = \frac{p^2}{2} \cdot (s-3).$$

ii) Let t be odd such that  $t \leq p$ , and let s be even or  $s - t \geq p - 1$ . Then we have  $(\min \gamma(A_1)) \leq \mu_2$  and  $(\min \gamma(A_1)) \leq \mu_0$ , hence we get

$$\mu_0(G) = \mu_1 = \frac{p^2}{2} \cdot (s-2) - \frac{p}{2} \cdot (s-t+1).$$

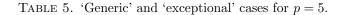
iii) Let s be even or  $p(s-t)+t \ge p^2$ , and let t be even or t=s or  $t \ge p$ . Then we have  $\mu_2 \le \mu_0$  and  $\mu_2 \le (\min \gamma(A_1))$ , hence we get

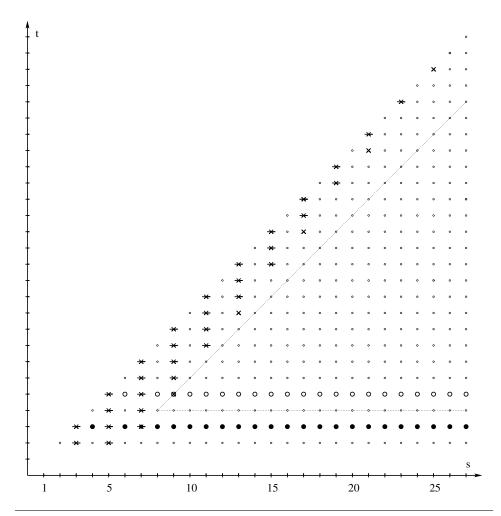
$$\mu_0(G) = \mu_2 = \frac{p^2}{2} \cdot (s-2) - \frac{p}{2} \cdot (s-t) - \frac{t}{2}.$$

Note that case i) consists of finitely many pairs (s,t), while in case ii) s is unbounded but t is still bounded. Hence we are again tempted to call these the 'exceptional' cases, as opposed to the 'generic' case iii), where both s and t are unbounded. In particular, as part of the 'generic' region we have  $\mu_0(G) = \mu_2$  for  $t \ge \max\{p-1,2\}$  and  $s-t \ge p-1$ , which we will recover as a special case of (6.2). In particular, for p=2 case i) consists of the pairs (s,t)=(3,3) and (s,t)=(3,2), that is  $G \cong \mathbb{Z}_4^2$  and  $G \cong \mathbb{Z}_2 \oplus \mathbb{Z}_4$ , respectively, case ii) does not occur at all, and all pairs except (s,t)=(3,3) belong to case iii).

To further illustrate the idea of distinguishing between 'generic' and 'exceptional' pairs, the various cases for p=5 and  $2 \le t \le s \le 27$  are visualized in Table 5: The cases i), ii) and iii) are depicted by '\*', '•' and '·', respectively, the intersections 'i) $\cap$ iii)' and 'ii) $\cap$ iii)' are indicated by '×' and 'o', respectively, and 'i) $\cap$ ii)', consisting of  $(s,t) \in \{(7,3),(9,5)\}$ , is indicated by ' $\circledast$ ' and ' $\otimes$ ', where the latter icon refers to 'i) $\cap$ ii) $\cap$ iii)', which is (s,t)=(9,5).

The closed interior of the cone emanating from (s,t)=(8,4) indicates the realm of applicability of (6.2); actually, this turns out to be the largest cone being contained in the 'generic' region, saying that in a certain sense this result is best possible, at least for the cases considered here. Moreover, within this cone, the 'generic' case iii) refers to the case j=2 in the notation of (6.2), while the 'exceptional' intersection 'ii) refers to  $j \leq 1$ , that is the pairs (s,5) such that  $s \geq 9$ , and finally the intersection 'i)  $\cap$  ii)  $\cap$  iii) refers to j=0, that is (s,t)=(9,5).





**9.3. Recovering groups of exponent**  $p^2$ . Keeping the notation of (9.2), we show that G is uniquely determined by its Kulkarni invariant N = N(G) and its minimum genus  $\mu(G)$ , with the single exception of the groups  $\{\mathbb{Z}_4^2, \mathbb{Z}_2 \oplus \mathbb{Z}_4\}$ ; the latter groups can be distinguished by their spectrum, see (8.5). In particular we conclude that Talu's Conjecture (including the case p=2) holds within the class of abelian p-groups of exponent  $p^2$ ; thus for p odd we recover [14, Thm.3.8]:

Let first p be odd. The cyclic deficiency  $\delta = \delta(G)$  and the reduced minimum genus  $\mu_0(G)$  of G are known from  $\delta = \log_p(N)$  and  $\mu_0(G) = \frac{\mu(G)-1}{p^\delta}$ . We have  $\delta = r_1 + 2r_2 - 2 = s + t - 4$ , thus we may view  $\mu_0$  in case (9.2.i),  $\mu_1$  in case (9.2.ii), and  $\mu_2$  in case (9.2.iii) as linear functions in s, depending on the parameter  $\delta$ :

$$\begin{array}{rcl} \mu_0 & = & \frac{p^2}{2} \cdot s - \frac{3p^2}{2}, \\ \mu_1 & = & (\frac{p^2}{2} - p) \cdot s + \frac{p(\delta + 3)}{2} - p^2, \\ \mu_2 & = & \frac{(p-1)^2}{2} \cdot s + \frac{(p-1)(\delta + 4)}{2} - p^2. \end{array}$$

As these functions have positive slope, they are strictly increasing, hence we look for coincidences across cases:

- i) Let first  $\mu_1(s,t) = \mu_0(\tilde{s},\tilde{t})$ , where (s,t) belongs to case (9.2.ii), and  $(\tilde{s},\tilde{t})$  belongs to case (9.2.i). Then we conclude that  $\tilde{s} = s \frac{s t + 1}{p} + 1$ , hence we have s t = kp 1 for some  $k \geq 1$ . From this get  $s = \frac{1}{2} \cdot (\delta + 3 + kp)$  and  $t = \frac{1}{2} \cdot (\delta + 5 kp)$ , implying  $\tilde{s} = s k + 1 = \frac{1}{2} \cdot (\delta + 5 2k + kp)$  and  $\tilde{t} = \delta + 4 \tilde{s} = \frac{1}{2} \cdot (\delta + 3 + 2k kp)$ . Thus we get  $\tilde{s} \tilde{t} = 1 + k(p 2)$ . Hence  $\tilde{s} \tilde{t} \leq p 1$  yields k = 1, and thus  $\tilde{s} = s$  and  $\tilde{t} = t$ . Note that in this case both s and t are odd such that  $t \leq p$  and s t = p 1, indeed yielding  $\mu_1(s,t) = \mu_0(s,t)$ .
- ii) Let next  $\mu_2(s,t) = \mu_0(\tilde{s},\tilde{t})$ , where (s,t) belongs to case (9.2.iii), and  $(\tilde{s},\tilde{t})$  belongs to case (9.2.i). Then we conclude that  $\tilde{s} = s + \frac{(p-1)t-ps}{p^2} + 1$ , hence we have t = kp for some  $k \geq 1$ . Thus we infer that p divides k(p-1) s, hence we get s = k(p-1) + lp for some  $l \geq 1$ . This yields  $\tilde{s} = (k+l)(p-1) + 1$  and  $\tilde{t} = s \tilde{s} + t = kp + l 1$ . Hence we have  $p(\tilde{s} \tilde{t}) + \tilde{t} = l(p-1)^2 + 2p 1 \leq p^2$ , implying l = 1, thus  $\tilde{s} = s = (k+1)p k$  and hence  $\tilde{t} = t$ . Note that in this case s is odd, where s t = p k and  $t = kp \geq p$ , hence  $p(s-t) + t = p^2$ , indeed yielding  $\mu_2(s,t) = \mu_0(s,t)$ .
- iii) Let finally  $\mu_2(s,t) = \mu_1(\tilde{s},\tilde{t})$ , where (s,t) belongs to case (9.2.iii), and  $(\tilde{s},\tilde{t})$  belongs to case (9.2.ii). Then we conclude that  $(p-1)\tilde{s}+\tilde{t}-1=(p-1)s+\frac{p-1}{p}\cdot t$ , hence we have t=kp for some  $k\geq 1$ , and thus  $\tilde{t}-1=(p-1)(s+k-\tilde{s})\geq p-1$ . This yields  $\tilde{s}=s+k-1$  and  $\tilde{t}=p$ . Hence we get  $s+kp=s+t=\delta+4=\tilde{s}+\tilde{t}=s+k-1+p$ , implying (k-1)p=k-1, thus k=1, and hence  $\tilde{s}=s$  and  $\tilde{t}=t$ . Note that in this case t=p is odd, and s is even or  $s\geq 2p-1$ , in particular yielding  $\mu_2(s,t)=\mu_1(s,t)$ .

This concludes our treatment of the case p odd, hence let now p=2.

i) We first consider case (9.2.iii), where, using  $s + t = \delta - 4$  again, we have

$$\mu_2 = s + \frac{t}{2} - 4 = \frac{s}{2} + \frac{\delta}{2} - 2.$$

We distinguish the cases t=2 and t>2: If t=2, then we have  $\log_2(N)=\delta=s-2=\mu_2+1$ , thus

$$\mu(G) = \mu_2 \cdot 2^{\delta} + 1 = (\log_2(N) - 1) \cdot N + 1,$$

while if t > 2, then we have  $\log_2(N) = \delta - 1$ , thus

$$\mu(G) = \mu_2 \cdot 2^{\delta} + 1 = (\log_2(N) + s - 3) \cdot N + 1.$$

Hence we are able to decide in which of these cases we are, and to determine  $\delta$  and subsequently s, in the former case from N, in the latter case from N and  $\mu(G)$ .

ii) Finally, we consider the pair (3,3), that is  $G \cong \mathbb{Z}_4^2$ , which is the only pair not belonging to case (9.2.iii), but just to case (9.2.i): We have  $\mu_0(\mathbb{Z}_4^2) = \mu_0(3,3) = 0$ , hence its minimum genus equals  $\mu(\mathbb{Z}_4^2) = 1$ . For pairs (s,t) belonging to case (9.2.iii), the statement  $\mu(G) = 1$  translates into  $\mu_2(s,t) = 0$ , that is  $s + \frac{t}{2} = 4$ , being equivalent to (s,t) = (3,2), that is  $G \cong \mathbb{Z}_2 \oplus \mathbb{Z}_4$ ; note that (3,2) is the other pair belonging to case (9.2.i). Moreover, for  $G \cong \mathbb{Z}_4^2$  we have  $\log_2(N) = \delta - 1 = 1$ , and for  $G \cong \mathbb{Z}_2 \oplus \mathbb{Z}_4$  we also have  $\log_2(N) = \delta = 1$ . Thus  $\{\mathbb{Z}_4^2, \mathbb{Z}_2 \oplus \mathbb{Z}_4\}$  are the only groups under consideration which cannot be distinguished by N and  $\mu(G)$ .

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