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## Finding normal subgroups

Max Neunhöffer



University of St Andrews

St Andrews, 24.3.2009

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#### Problem

Let  $1 < N \triangleleft G = \langle g_1, \ldots, g_k \rangle$  be a finite group and N be a normal subgroup.

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#### Problem

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## The problem

#### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the gi

Assume no more knowledge about G or N.

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### The problem

#### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.

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### The problem

#### Problem

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- Assume no more knowledge about G or N.
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- We are looking for a randomised algorithm.

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- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in G.

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### The problem

#### **Problem**

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in G.

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#### **Problem**

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the gi with "high probability".

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in G.
- "High probability" means for the moment "higher than 1/[G:N]".

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## Matrix groups . . .

Let  $\mathbb{F}_q$  be the field with q elements and

$$\operatorname{GL}_n(\mathbb{F}_q) := \{ M \in \mathbb{F}_q^{n \times n} \mid M \text{ invertible} \}$$

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It is finite, we have  $|GL_n(\mathbb{F}_q)| = q^{n(n-1)/2} \prod_{i=1}^n (q^i - 1)$ 

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### What do we want to determine about *G*?

The group order |G|

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- The group order |G|
- Membership test: Is  $M \in GL_n(\mathbb{F}_q)$  in G?

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- Kernels of homomorphisms? Is G simple?

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- . . . .

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## Constructive recognition

#### Problem

Let  $\mathbb{F}_q$  be the field with q elements und

$$M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$$

Find for  $G := \langle M_1, \dots, M_k \rangle$ :

- The group order |G| and
- an algorithm that, given  $M \in GL_n(\mathbb{F}_q)$ ,
  - decides, whether or not  $M \in G$ , and,
  - if so, expresses M as word in the  $M_i$ .

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- The runtime should be bounded from above by a polynomial in n, k and log q

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## Constructive recognition

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- The runtime should be bounded from above by a polynomial in n, k and log q
- A Monte Carlo Algorithm is enough.

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- The runtime should be bounded from above by a polynomial in n, k and log q
- A Monte Carlo Algorithm is enough. (Verification!)

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## Constructive recognition

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- The runtime should be bounded from above by a polynomial in n, k and log q
- A Monte Carlo Algorithm is enough. (Verification!)

If this problem is solved, we call

 $\langle M_1, \ldots, M_k \rangle$  recognised constructively.

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### What is a reduction?

Let 
$$G := \langle M_1, \ldots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q)$$
.

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### What is a reduction?

Let 
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q)$$
.

A reduction is a group homomorphism

$$\varphi: G \to H$$
 $M_i \mapsto P_i$  for all  $i$ 

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What is a reduction?

Let 
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q)$$
.

A reduction is a group homomorphism

$$\varphi: G \to H$$
 $M_i \mapsto P_i$  for all  $i$ 

with the following properties:

•  $\varphi(M)$  is explicitly computable for all  $M \in G$ 

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### What is a reduction?

Let 
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q)$$
.

A reduction is a group homomorphism

$$\varphi: G \to H$$
 $M_i \mapsto P_i$  for all  $i$ 

- $\varphi(M)$  is explicitly computable for all  $M \in G$
- $\varphi$  is surjective:  $H = \langle P_1, \dots, P_k \rangle$

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- $\varphi(M)$  is explicitly computable for all  $M \in G$
- $\varphi$  is surjective:  $H = \langle P_1, \dots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"

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### What is a reduction?

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- $\varphi(M)$  is explicitly computable for all  $M \in G$
- $\varphi$  is surjective:  $H = \langle P_1, \dots, P_k \rangle$
- H is in some sense "smaller"
- or at least "easier to recognise constructively"
- e.g.  $H \leq S_m$  or  $H \leq \operatorname{GL}_{n'}(\mathbb{F}_{q'})$  with  $n' \log q' < n \log q$

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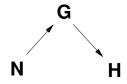
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# Recursion: composition trees We get a tree:



Up arrows: inclusions

Down arrows: homomorphisms

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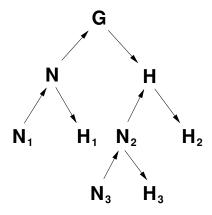
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# Recursion: composition trees We get a tree:



Up arrows: inclusions

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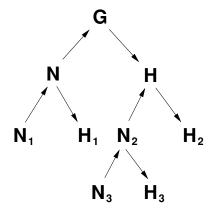
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## Recursion: composition trees

We get a tree:



Up arrows: inclusions

Down arrows: homomorphisms

Old idea, substantial improvements are still being made

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## Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

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## Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

#### Situation

Let  $G \leq GL_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible.

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## Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

#### Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_{N}=W_{1}\oplus W_{2}\oplus \cdots \oplus W_{k},$$

all  $W_i$  are invariant under N, and

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# Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

#### Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that *V* is irreducible. Assume there is *N* with  $Z(G) < N \triangleleft G$ such that

$$V|_{N} = W_{1} \oplus W_{2} \oplus \cdots \oplus W_{k},$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$ transitively. Then there is a reduction  $\varphi: G \to S_k$ .

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# Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

#### Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_{N} = W_{1} \oplus W_{2} \oplus \cdots \oplus W_{k},$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

We can compute the reduction once *N* is found.

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# Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

#### Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_{N} = W_{1} \oplus W_{2} \oplus \cdots \oplus W_{k},$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

We can compute the reduction once *N* is found.

Since we can compute normal closures, our initial problem is exactly, what we need to do.

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# Things we can do in matrix groups

#### We can efficiently:

store and compare elements

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# Things we can do in matrix groups

- store and compare elements
- form products and inverses,

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# Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,

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# Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders

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# Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements

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# Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations

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## Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

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# Things we can do in matrix groups

#### We can efficiently:

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

The latter means that for H < G, we can compute some elements that generate with high probability the smallest normal subgroup of G containing H.

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# Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

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# Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of x, y is contained in a non-trivial proper normal subgroup.

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# Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of x, y is contained in a non-trivial proper normal subgroup.

We do not know which!

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## Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of x, y is contained in a non-trivial proper normal subgroup.

We do not know which!

Aim: Produce  $1 \neq z \in G$  that is contained in a non-trivial proper normal subgroup.

• Consider  $c := [x, y] := x^{-1}y^{-1}xy$ , if  $c \ne 1$ , we take z := c.

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## Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of x, y is contained in a non-trivial proper normal subgroup.

We do not know which!

- Consider  $c := [x, y] := x^{-1}y^{-1}xy$ , if  $c \ne 1$ , we take z := c.
- If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.

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We do not know which!

- Consider  $c := [x, y] := x^{-1}y^{-1}xy$ , if  $c \ne 1$ , we take z := c.
- If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.
- **③** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .
  - If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.

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## Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of x, y is contained in a non-trivial proper normal subgroup.

We do not know which!

- Consider  $c := [x, y] := x^{-1}y^{-1}xy$ , if  $c \ne 1$ , we take z := c.
- If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.
- **3** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .
  - If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.
  - Otherwise  $g \in C_G(Y)$  but  $g \notin Z(G)$ , thus  $Y \neq G$ , we take z := y.

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# A first try

# Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ 

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# A first try

## Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ 

Repeat K times:

• y := RANDOMELEMENT(G)

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# A first try

# Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ 

#### Repeat K times:

- y := RANDOMELEMENT(G)
- o := ORDER(y)

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# A first try

### Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ 

#### Repeat K times:

- $\mathbf{0} \ y := \mathsf{RANDOMELEMENT}(G)$
- o := ORDER(y)
- $y' := y^{o/p}$  has order p

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# A first try

## Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ 

#### Repeat *K* times:

- $\mathbf{0}$   $y := \mathsf{RANDOMELEMENT}(G)$
- o := ORDER(y)
- 3 p :=some prime divisor of o
- $y' := y^{o/p}$  has order p
- x := BLINDDESCENT(x, y')

#### Return x

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## What is the Involution Jumper?

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# What is the Involution Jumper?

```
Input: G = \langle g_1, \dots, g_k \rangle and an involution x \in G.
repeat
      y := RANDOMELEMENT(G)
      c := x^{-1}y^{-1}xy and o := ORDER(c)
      if o is even then
             return c^{o/2}
      else
             z := v \cdot c^{(o-1)/2} and o' := ORDER(z)
             if o' is even then
                   return z^{o'/2}
until patience lost
return FAIL
```

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# What is the Involution Jumper?

Input: 
$$G = \langle g_1, \dots, g_k \rangle$$
 and an involution  $x \in G$ . repeat  $y := \mathsf{RANDOMELEMENT}(G)$   $c := x^{-1}y^{-1}xy$  and  $o := \mathsf{ORDER}(c)$  if  $o$  is even then return  $c^{o/2}$  else  $z := y \cdot c^{(o-1)/2}$  and  $o' := \mathsf{ORDER}(z)$  if  $o'$  is even then return  $z^{o'/2}$  until patience lost return  $F$ AIL

Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y.

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# What is the Involution Jumper?

Input: 
$$G = \langle g_1, \dots, g_k \rangle$$
 and an involution  $x \in G$ . repeat  $y := \mathsf{RANDOMELEMENT}(G)$   $c := x^{-1}y^{-1}xy$  and  $o := \mathsf{ORDER}(c)$  if  $o$  is even then return  $c^{o/2}$  else  $z := y \cdot c^{(o-1)/2}$  and  $o' := \mathsf{ORDER}(z)$  if  $o'$  is even then return  $z^{o'/2}$  until patience lost return  $F$ AIL

Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y. But this happens rarely.

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## What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

• If it does not fail, it returns an involution  $\tilde{x} \in G$ .

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## What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

- If it does not fail, it returns an involution  $\tilde{x} \in G$ .
- Every involution of  $C_G(x)$  occurs with probability > 0.

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## What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

- If it does not fail, it returns an involution  $\tilde{x} \in G$ .
- $\bullet \ \ X\tilde{X} = \tilde{X}X$
- Every involution of  $C_G(x)$  occurs with probability > 0.
- Using product replacement to produce random elements, this is a practical method for
  - permutation groups,
  - matrix groups and
  - projective groups,

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if nothing goes wrong.

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# What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

- If it does not fail, it returns an involution  $\tilde{x} \in G$ .
- Every involution of  $C_G(x)$  occurs with probability > 0.
- Using product replacement to produce random elements, this is a practical method for
  - permutation groups,
  - matrix groups and
  - projective groups,

if nothing goes wrong.

• It needs an involution to start with.

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# Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

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# Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

### Lemma

Let  $x, a \in G$  be involutions and  $g \in G$ . Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

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# Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

### Lemma

Let  $x, a \in G$  be involutions and  $g \in G$ . Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

or equivalently

### Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

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# Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

### Lemma

Let  $x, a \in G$  be involutions and  $g \in G$ . Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

or equivalently

## Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

Proof: 
$$f(x, y) :=$$

$$\begin{cases}
[x, y]^k & \text{if } \mathsf{ORDER}([x, y]) = 2k \\
(y[x, y]^k)^l & \text{if } \mathsf{ORDER}([x, y]) = 2k + 1 > 1 \text{ and} \\
& \mathsf{ORDER}([y[x, y]^k]) = 2l \\
y^k & \text{if } xy = yx \text{ and } \mathsf{ORDER}(y) = 2k
\end{cases}$$

and we have  $f(x^g, y^g) = f(x, y)^g$  whenever f is defined.

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## A Markov chain $\mathcal{M}$

The states are the conjugacy classes of involutions in *G*.

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## A Markov chain $\mathcal{M}$

The states are the conjugacy classes of involutions in *G*.

The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

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- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of x.

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The states are the conjugacy classes of involutions in *G*.

The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of x.

### Theorem

The above Markov chain  $\mathcal{M}$  is irreducible and aperiodic and thus has a stationary distribution in which every state has non-zero probability.

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# Back to the original question

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

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# Back to the original question

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

- If we find an involution in G to start with
- and N contains at least one involution class,

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### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

- If we find an involution in G to start with
- and N contains at least one involution class,

the IJ will eventually jump onto an involution class in N.

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# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

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# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat *K* times:

- $\bigcirc$  y := RANDOMELEMENT(G)
- o := ORDER(y)
- For a few prime divisors p of o do:
  - $y' := y^{o/p}$  has order p
  - x := BLINDDESCENT(x, y')

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# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat *K* times:

- $\bigcirc$  y := RANDOMELEMENT(G)
- o := ORDER(y)
- For a few prime divisors p of o do:
  - $y' := y^{o/p}$  has order p
  - x := BLINDDESCENT(x, y')

### Return x

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# Examples

### In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3) \wr S_6 < \operatorname{GL}(18,3)$	$GL(3,3)^{\times 6}$	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	Sp(6, 3) ⊗ 1	1.83

<sup>\*</sup> average number of IJ hops needed to reach N.

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## Running Algorithm 2 (with K = 5) also works nicely:

G	N	succ.
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	100%
$\operatorname{GL}(3,3) \wr S_6 < \operatorname{GL}(18,3)$	$GL(3,3)^{\times 6}$	100%
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	Sp(6, 3) ⊗ 1	100%

(here we have done 100 runs)

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# Reductions for imprimitive matrix groups

### Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_{N} = W_{1} \oplus W_{2} \oplus \cdots \oplus W_{k},$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

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We use Algorithm 2, for the result x, do:

- compute the normal closure  $M := \langle x^G \rangle$ ,
- use the MeatAxe to check whether  $V|_M$  is reducible,
- if  $x \in N$ , we find a reduction.

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# Possible problems

The InvolutionJumper is in trouble, if at least one of the following happens:

• we do not easily find an involution in *G* (like for example in  $SL(2, 2^n)$  for big *n*),

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- we do not easily find an involution in G
   (like for example in SL(2, 2<sup>n</sup>) for big n),
- the involution classes of N have a small probability in the limit distribution (when does this happen?),

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- N has odd order.

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- N has odd order.

Fortunately: Centralisers of involutions seem to contain enough involutions.