Max Neunhöffer

#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## Finding normal subgroups

### Max Neunhöffer



University of St Andrews

Birmingham, 26.3.2009

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# The problem

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# The problem

### Problem

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# The problem

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$ 

• Assume no more knowledge about G or N.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.
- "High probability" means for the moment "higher than 1/[G:N]".

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Matrix groups ...

Let  $\mathbb{F}_q$  be the field with q elements and

$$\operatorname{GL}_n(\mathbb{F}_q) := \{ M \in \mathbb{F}_q^{n \times n} \mid M \text{ invertible} \}$$

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (uttimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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• The group order |G|

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- The group order |G|
- Membership test: Is  $M \in \operatorname{GL}_n(\mathbb{F}_q)$  in *G*?

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (uttimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- Kernels of homomorphisms? Is G simple?

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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## What do we want to determine about G?

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- (Maximal) subgroups?

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# Constructive recognition

### Problem

The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

Let  $\mathbb{F}_q$  be the field with q elements and

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$ 

- The group order |G| and
- an algorithm that, given  $M \in GL_n(\mathbb{F}_q)$ ,
  - decides, whether or not  $M \in G$ , and,
  - if so, expresses *M* as word in the *M*<sub>i</sub>.

# Constructive recognition

### Problem

The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.

# Constructive recognition

### Problem

The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough.

# Constructive recognition

### Problem

The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

# Constructive recognition

### Problem

The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

Let  $\mathbb{F}_q$  be the field with q elements and

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$ 

Find for  $G := \langle M_1, \ldots, M_k \rangle$ :

- The group order |G| and
- an algorithm that, given  $M \in \operatorname{GL}_n(\mathbb{F}_q)$ ,
  - decides, whether or not  $M \in G$ , and,
  - if so, expresses *M* as word in the *M*<sub>i</sub>.
- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

If this problem is solved, we call  $\langle M_1, \ldots, M_k \rangle$  recognised constructively.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## What is a reduction?

Let  $G := \langle M_1, \ldots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$ 

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## What is a reduction?

Let 
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$$

## A reduction is a group homomorphism

$$\varphi : G \to H$$
  
 $M_i \mapsto P_i$  for all  $i$ 

with the following properties:

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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•  $\varphi(M)$  is explicitly computable for all  $M \in G$ 

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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$$\varphi$$
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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

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- $\varphi$  is surjective:  $H = \langle P_1, \ldots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- $\varphi$  is surjective:  $H = \langle P_1, \dots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"
- e.g.  $H \leq S_m$  or  $H \leq \operatorname{GL}_{n'}(\mathbb{F}_{q'})$  with  $n' \log q' < n \log q$

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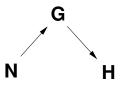
#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Recursion: composition trees We get a tree:



### Up arrows: inclusions Down arrows: homomorphisms

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

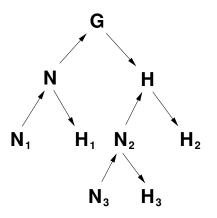
#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

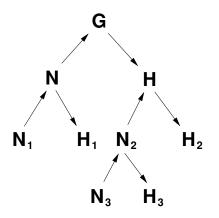
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Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## Recursion: composition trees We get a tree:



### Up arrows: inclusions Down arrows: homomorphisms

Old idea, substantial improvements are still being made

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

## Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$\mathcal{V}|_{\mathcal{N}} = \mathcal{W}_1 \oplus \mathcal{W}_2 \oplus \cdots \oplus \mathcal{W}_k,$$

all  $W_i$  are invariant under N, and

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The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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 $V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$ 

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

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The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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We can compute the reduction once *N* is found.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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We can compute the reduction once *N* is found.

Since we can compute normal closures, our initial problem is exactly, what we need to do.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Things we can do in matrix groups

We can efficiently:

• store and compare elements

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Things we can do in matrix groups

- store and compare elements
- form products and inverses,

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Things we can do in matrix groups

- store and compare elements
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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Things we can do in matrix groups

- store and compare elements
- form products and inverses,
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- use previously assembled data about groups and representations

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
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- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Things we can do in matrix groups

We can efficiently:

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

The latter means that for H < G, we can compute some elements that generate with high probability the smallest normal subgroup of *G* containing *H*.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of *x*, *y* is contained in a non-trivial proper normal subgroup.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Let  $1 \neq x, y \in G$  and G non-abelian.

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We do not know which!

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Aim: Produce  $1 \neq z \in G$  that is contained in a non-trivial proper normal subgroup.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Aim: Produce  $1 \neq z \in G$  that is contained in a non-trivial proper normal subgroup.

Consider 
$$c := [x, y] := x^{-1}y^{-1}xy$$
,  
if  $c \neq 1$ , we take  $z := c$ .

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Consider 
$$c := [x, y] := x^{-1}y^{-1}xy$$
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if  $c \neq 1$ , we take  $z := c$ .

If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.

**③** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .

• If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

```
Involution Jumper
What's that?
Jumping classes
Back to our question
```

Applications

Possible problems

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$$c := [x, y] := x^{-1}y^{-1}xy$$
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if  $c \neq 1$ , we take  $z := c$ .

If 
$$c = 1$$
, the elements x and y commute.  
If  $x \in Z(G)$ , take  $z := x$ .

**③** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .

- If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.
- Otherwise  $g \in C_G(Y)$  but  $g \notin Z(G)$ , thus  $Y \neq G$ , we take z := y.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# A first try

### Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := \mathsf{RANDOMELEMENT}(G)$ 

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# A first try

### Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ Repeat *K* times:

y := RANDOMELEMENT(G)

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# A first try

### Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
```

### Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# A first try

### Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
Repeat K times:
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- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- p := some prime divisor of o
- $y' := y^{o/p}$  has order p

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

#### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# A first try

### Algorithm 1 (Babai, Beals)

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Initialize 1 \neq x := RANDOMELEMENT(G)
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- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
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- $y' := y^{o/p}$  has order p
- x := BLINDDESCENT(x, y')

### Return x

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## What is the Involution Jumper?

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### What is the Involution Jumper?

**Input:**  $G = \langle g_1, \ldots, g_k \rangle$  and an involution  $x \in G$ . repeat

y := RANDOMELEMENT(G) $c := x^{-1}y^{-1}xy$  and o := ORDER(c)

if o is even then

return c<sup>o/2</sup>

### else

 $z := y \cdot c^{(o-1)/2}$  and o' := ORDER(z)if o' is even then return  $z^{o'/2}$ 

until patience lost return FAIL

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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 $z := y \cdot c^{(o-1)/2}$  and o' := ORDER(z)if o' is even then return  $z^{o'/2}$ 

until patience lost return FAIL

Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y. But this happens rarely.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### What does the Involution Jumper do?

Input:  $G = \langle g_1, \ldots, g_k \rangle$  and an involution  $x \in G$ .

• If it does not fail, it returns an involution  $\tilde{x} \in G$ .

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

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Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

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xx̃ = x̃x

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

### What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

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- Every involution of  $C_G(x)$  occurs with probability > 0.

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# What does the Involution Jumper do?

- Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .
  - If it does not fail, it returns an involution  $\tilde{x} \in G$ .
  - $X\tilde{X} = \tilde{X}X$
  - Every involution of  $C_G(x)$  occurs with probability > 0.
  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# What does the Involution Jumper do?

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  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,
    - if nothing goes wrong.

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#### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# What does the Involution Jumper do?

- Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .
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  - Every involution of  $C_G(x)$  occurs with probability > 0.
  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,

### if nothing goes wrong.

• It needs an involution to start with.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

### Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

## Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

## Lemma

Let  $x, a \in G$  be involutions and  $g \in G$ . Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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## or equivalently

### Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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### Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

Proof: f(x, y) :=  $\begin{cases}
[x, y]^k & \text{if ORDER}([x, y]) = 2k \\
(y[x, y]^k)^l & \text{if ORDER}([x, y]) = 2k + 1 > 1 \text{ and} \\
ORDER([y[x, y]^k]) = 2l \\
y^k & \text{if } xy = yx \text{ and ORDER}(y) = 2k
\end{cases}$ 

and we have  $f(x^g, y^g) = f(x, y)^g$  whenever f is defined.

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#### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## A Markov chain ${\cal M}$

The states are the conjugacy classes of involutions in G.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# A Markov chain $\mathcal{M}$

The states are the conjugacy classes of involutions in G.

The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of *x*.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# A Markov chain $\mathcal{M}$

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The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
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By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of *x*.

### Theorem

The above Markov chain  $\mathcal{M}$  is irreducible and aperiodic and thus has a stationary distribution in which every state has non-zero probability.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# Back to the original question

## Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# Back to the original question

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Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

- If we find an involution in *G* to start with
- and N contains at least one involution class,

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# Back to the original question

## Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

If we find an involution in *G* to start with
and *N* contains at least one involution class,
the IJ will eventually jump onto an involution class in *N*.

~

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

### Blind descent

- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

# A better try

## Algorithm 2

# Initialize $1 \neq x := \text{RANDOMELEMENT}(G)$ and z := RANDOMINVOLUTION(G)

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)

Sor a few prime divisors *p* of *o* do:

```
• y' := y^{o/p} has order p
```

```
• x := BLINDDESCENT(x, y')
```

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- Solution For a few prime divisors *p* of *o* do:
  - $y' := y^{o/p}$  has order p
  - $x := \mathsf{BLINDDESCENT}(x, y')$
- z := INVOLUTIONJUMPER(G, z)
- x := BLINDDESCENT(x, z)

## Return x

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

## Examples

## In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	GL(3,3)×6	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	1.83

\* average number of IJ hops needed to reach N.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

### Applications

Possible problems

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## In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	GL(3,3)×6	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	1.83

\* average number of IJ hops needed to reach N.

Running Algorithm 2 (with K = 5) also works nicely:

G	N	SUCC.
$S_5 \wr S_{10}$	$S_5^{ imes 10}$	100%
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	$GL(3,3)^{ imes 6}$	100%
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	100%

(here we have done 100 runs)

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

### Blind descent

Involution Jumper What's that? Jumping classes Back to our question

### Applications

Possible problems

# Reductions for imprimitive matrix groups

## Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

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Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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We use Algorithm 2, for the result *x*, do:

- compute the normal closure  $M := \langle x^G \rangle$ ,
- use the MeatAxe to check whether  $V|_M$  is reducible,
- if  $x \in N$ , we find a reduction.

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### The problem

Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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The InvolutionJumper is in trouble, if at least one of the following happens:

 we do not easily find an involution in G (like for example in SL(2, 2<sup>n</sup>) for big n),

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### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

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The InvolutionJumper is in trouble, if at least one of the following happens:

- we do not easily find an involution in G (like for example in SL(2, 2<sup>n</sup>) for big n),
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(when does this happen?),

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### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

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### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our guestion
- Applications
- Possible problems

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### The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our guestion
- Applications
- Possible problems

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- N has odd order.

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Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do

Blind descent

Involution Jumper What's that? Jumping classes Back to our question

Applications

Possible problems

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Fortunately: Centralisers of involutions seem to contain enough involutions.