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Matrix group recognition

Max Neunhöffer



University of St Andrews

St Andrews, 26.11.2007

Matrix groups . . .

Let \mathbb{F}_q be the field with q elements and

$$\mathrm{GL}_n(\mathbb{F}_q) := \{M \in \mathbb{F}_q^{n \times n} \mid M \text{ invertible}\}$$

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Permutation groups and matrix groups

Let $n \in \mathbb{N}$ and S_n be the **symmetric group**:

$$S_n = \{\pi : \{1, \dots, n\} \rightarrow \{1, \dots, n\} \mid \pi \text{ bijective}\}.$$

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Given: $\pi_1, \dots, \pi_k \in S_n$

Then the π_i generate a group $G \leq S_n$.

It is **finite**, we have $|S_n| = n!$.

We can determine about G algorithmically (e.g.):

- The group order $|G|$
- Membership test: Is $M \in S_n$ in G ?
- Homomorphisms $\varphi : G \rightarrow H$?
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Constructive recognition — first formulation

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Find for $G := \langle M_1, \dots, M_k \rangle$:

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If this problem is solved, we call

$\langle M_1, \dots, M_k \rangle$ **recognised constructively.**

Complexity of algorithms

To measure the **efficiency** of an algorithm, we consider a class \mathcal{P} of problems, that the algorithm can solve.

We assign to each $P \in \mathcal{P}$ its size $g(P)$,

and prove an upper bound for the runtime $L(P)$ of the algorithm for P :

$$L(P) \leq f(g(P))$$

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Example (Constructive matrix group recognition)

- **Problem** given by $M_1, \dots, M_k \in \text{GL}_n(\mathbb{F}_q)$.
- **Size** determined by n , k and $\log q$.
- **Runtime** should be \leq a **polynomial** in n , k and $\log q$.

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Definition (Monte Carlo algorithms)

A Monte Carlo algorithm with error probability ϵ is an algorithm, that is **guaranteed** to terminate after a finite time, such that the **probability** that it returns a **wrong result** is at most ϵ .

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Example: Comp. of $|G| = 4\,089\,470\,473\,293\,004\,800$ for permutation group $G = \langle \pi_1, \pi_2 \rangle$ ($n = 137\,632$):

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Monte Carlo $\epsilon = 1\%$: 6s

Saving: 95% of runtime

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The discrete logarithm problem

If $M_1 = [z] \in \mathbb{F}_q^{1 \times 1}$ with z a primitive root of \mathbb{F}_q . Then:

Given $0 \neq [x] \in \mathbb{F}_q^{1 \times 1}$, **find** $i \in \mathbb{N}$ such that $[x] = [z]^i$.

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In practice q is small \Rightarrow no problem.

We ignore both!

What is a reduction?

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What is a reduction?

Let $G := \langle M_1, \dots, M_k \rangle \leq \mathrm{GL}_n(\mathbb{F}_q)$.

A **reduction** is a group homomorphism

$$\begin{array}{ccc} \varphi & : & G \rightarrow H \\ & & M_i \mapsto P_i \end{array} \quad \text{for all } i$$

with the following properties:

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- e.g. $H \leq S_m$ or $H \leq \text{GL}_{n'}(\mathbb{F}_{q'})$ with $n' \log q' < n \log q$

Computing the kernel

Let $\varphi : G \rightarrow H$ be a reduction and assume that H is already recognised constructively.

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→ Monte Carlo algorithm to compute N

Recognising image and kernel suffices

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$$M' = \prod \text{ in the } M_i, \quad M \cdot M'^{-1} = \prod \text{ in the } N_j$$

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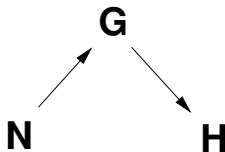
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- 7 If $M \notin G$, then **at least** one step does not work.

Recursion: composition trees

We get a tree:



Up arrows: inclusions
Down arrows: homomorphisms

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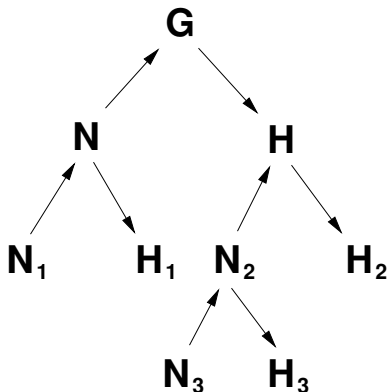
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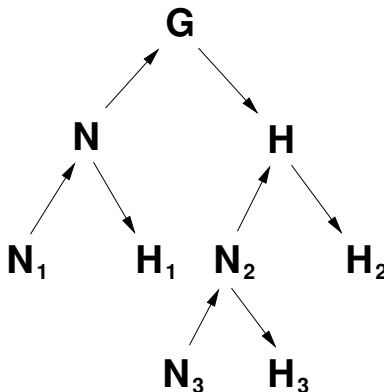
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Old idea, substantial improvements are still being made

Example: invariant subspace

Let $V = \mathbb{F}_q^n$, then G acts on V .

Let $W \leq V$ be an **invariant subspace**, i.e.:

$$MW = W \quad \text{for all } M \in G$$

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Choose basis (w_1, \dots, w_d) of W and extend to a basis

$$(w_1, \dots, w_d, w_{d+1}, \dots, w_n)$$

of V . After a **base change** the matrices in G look like this:

$$\left[\begin{array}{c|c} A & B \\ \hline \mathbf{0} & D \end{array} \right] \quad \text{with } A \in \mathbb{F}_q^{d \times d}, B \in \mathbb{F}_q^{d \times (n-d)}, D \in \mathbb{F}_q^{(n-d) \times (n-d)}$$

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and

$$G \rightarrow \mathrm{GL}_{n-d}(\mathbb{F}_q), \quad \left[\begin{array}{c|c} A & B \\ \hline \mathbf{0} & D \end{array} \right] \mapsto D$$

is a homomorphism of groups.

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$$G \rightarrow \mathrm{GL}_{n-d}(\mathbb{F}_q), \begin{bmatrix} A & B \\ \mathbf{0} & D \end{bmatrix} \mapsto D$$

is a homomorphism of groups, its kernel is

$$N := \left\{ \begin{bmatrix} A & B \\ \mathbf{0} & D \end{bmatrix} \in G \mid D = \mathbf{1} \right\}.$$

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Together with a reduction additional information is gained!

How to find reductions?

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Theorem (Aschbacher, 1984)

Let $G \leq GL_n(\mathbb{F}_q)$ and $Z := G \cap Z(GL_n(\mathbb{F}_q))$ the subgroup of scalar matrices. Then G lies in *at least one* of the classes C1 to C8 *or* we have:

- $T \subseteq G/Z \subseteq \text{Aut}(T)$
for a non-abelian simple group T , *and*
- G acts absolutely irreducibly on $V = \mathbb{F}_q^n$.

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- the *classification of finite simple groups*
- the *modular representation theory of simple groups*

Approach for leaves of the tree

If none of the algorithms for C1 to C8 has succeeded:

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- 2 **Determine**, for which (simple) group $T \leq G/Z \leq \text{Aut}(T)$ holds.
- 3 **Find** an explicit isomorphism onto a “standard copy” of an intermediate group S .

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Approach for leaves of the tree

If none of the algorithms for C1 to C8 has succeeded:

- 1 For “small” groups compute **direct isomorphism** onto a permutation group.
- 2 **Determine**, for which (simple) group $T \leq G/Z \leq \text{Aut}(T)$ holds.
- 3 **Find** an explicit isomorphism onto a “standard copy” of an intermediate group S .
- 4 Finally **use** information about S to **recognise** G **constructively**.

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This uses:

- the classification of **finite simple groups**
- information about their **automorphism groups**
- information about **element orders**
- information about **conjugacy classes**
- classifications of the **irreducible representations**
- information about the **subgroup structure**

Non-constructive recognition

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Methods for non-constructive recognition:

- Knowledge about representations narrows down the possibilities

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Methods for non-constructive recognition:

- Knowledge about representations narrows down the possibilities
- Statistics about orders of random elements

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Usually this leads to **Monte Carlo algorithms**.

Verification

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Everywhere we used randomised methods:
Las Vegas and **Monte Carlo**.

⇒ **We have to check whether our result is correct!**

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Idea:

- Find **(short) presentations** for the leaf-groups,

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- put these together to one for the whole group.

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- Check the **relations** and thus prove the result.