

Sudokus

What is a Sudoku?

Solving Sudokus

Conclusions

Backtrack search

Sudoku difficulty

Symmetry

How many grids?

Equivalent Sudokus

Symmetry Breaking

Open problems

16 Clues

How many clues?

Unavoidable Sets

The Hitting Set Problem

Backtrack Search

# Sudoku and Mathematics

Max Neunhöffer



University of St Andrews

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## Rule

Each row, column and  $3 \times 3$ -block contains the numbers 1 to 9 **each exactly once**.

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If there is a **unique solution**, this method will find it.

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⇒ Solving Sudokus is mathematics!

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This depends on how much one has to **try**.

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$\implies$  Solving Sudokus is **not** a difficult problem in **CompSci**.

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There is a **whole branch** of CompSci/Maths called **Constraint Satisfaction**: deals with **very hard problems similar to Solving Sudokus**

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## Number of $9 \times 9$ latin squares

There are altogether

$$5\,524\,751\,496\,156\,892\,842\,531\,225\,600 \approx 5.525 \cdot 10^{27}$$

latin squares of size  $9 \times 9$ .



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**Not by brute force**: If our computer enumerates **one** in **each clock cycle**, it would need **90000 years** to finish!

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# Counting full Sudoku grids

Consider only the first block row:

- 1 We can **renumber** to get this left hand  $3 \times 3$ -block:

1	2	3	?	?	?	?	?	?
4	5	6	?	?	?	?	?	?
7	8	9	?	?	?	?	?	?

Count those, multiply by  $9! = 362880$  in the end.

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4	5	6	?	?	?	?	?	?
7	8	9	?	?	?	?	?	?

Count those, multiply by  $9! = 362880$  in the end.

- 2 Distinguish more cases and **identify different cases**, which have the same number of completions.

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7	8	9	?	?	?	?	?	?

Count those, multiply by  $9! = 362880$  in the end.

- 2 Distinguish more cases and **identify different cases**, which have the same number of completions.
- 3 Finally, run a (backtrack) computer search for each case to **count the possibilities**.

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1	2	3	?	?	?	?	?	?
4	5	6	?	?	?	?	?	?
7	8	9	?	?	?	?	?	?

Count those, multiply by  $9! = 362880$  in the end.

- 2 Distinguish more cases and **identify different cases**, which have the same number of completions.
- 3 Finally, run a (backtrack) computer search for each case to **count the possibilities**.

## The answer

There are altogether

$$6\,670\,903\,752\,021\,072\,936\,960 \approx 6.671 \cdot 10^{21}$$

different full Sudoku grids. (Felgenhauer/Jarvis 2006)

<http://www.afjarvis.staff.shef.ac.uk/sudoku/>

# Equivalence of Sudokus

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7	9	3	6	8	4	5	1	2
4	8	6	5	1	2	9	3	7
1	2	5	9	7	3	8	4	6
9	3	2	7	5	1	6	8	4
5	7	8	2	4	6	3	9	1
6	4	1	3	9	8	7	2	5
3	1	9	4	6	5	2	7	8
8	5	7	1	2	9	4	6	3
2	6	4	8	3	7	1	5	9

Equivalence transformations:



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5	7	8	2	4	6	3	9	1
6	4	1	3	9	8	7	2	5
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## Equivalence transformations:

- **Permute:** rows in a block,

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6	4	1	3	9	8	7	2	5
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8	5	7	1	2	9	4	6	3
2	6	4	8	3	7	1	5	9

## Equivalence transformations:

- **Permute:** rows in a block, columns in a block,

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- **Permute**: rows in a block, columns in a block, block-rows,

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## Equivalence transformations:

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8	5	7	1	2	9	4	6	3
2	6	4	8	3	7	1	5	9

## Equivalence transformations:

- **Permute**: rows in a block, columns in a block, block-rows, block-columns
- **Renumber**: entries

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## Equivalence transformations:

- **Permute**: rows in a block, columns in a block, block-rows, block-columns
- **Renumber**: entries
- **Flip**: entire grid

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2	6	4	8	3	7	1	5	9

## Equivalence transformations:

- **Permute**: rows in a block, columns in a block, block-rows, block-columns
  - **Renumber**: entries
  - **Flip**: entire grid
- All concatenations of these form a **group**.

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# Equivalence of Sudokus

## Definition: Equivalent Sudokus

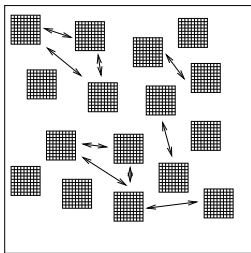
Two Sudoku grids/puzzles are called **equivalent** if one arises from the other by applying a sequence of equivalence transformations.



# Equivalence of Sudokus

## Definition: Equivalent Sudokus

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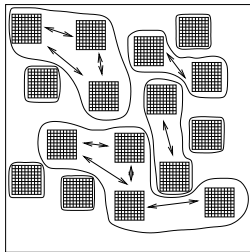
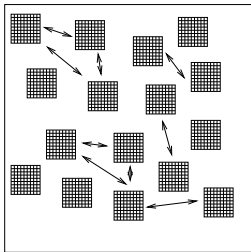
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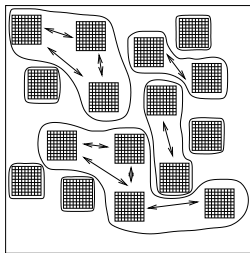
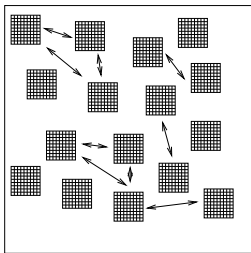


We form **equivalence classes** or **orbits**.

# Equivalence of Sudokus

## Definition: Equivalent Sudokus

Two Sudoku grids/puzzles are called **equivalent** if one arises from the other by applying a sequence of **equivalence transformations**.



We form **equivalence classes** or **orbits**.

→ There are **5 472 730 538** classes (Jarvis/Russell 2006)

<http://www.afjarvis.staff.shef.ac.uk/sudoku/>

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# Symmetry Breaking

We “break the symmetry” by considering **exactly one from each equivalence class.**

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# Symmetry Breaking

We “break the symmetry” by considering **exactly one from each equivalence class**. Consider only the first block row:

① We can **renumber** to get this left hand  $3 \times 3$ -block:

1	2	3	4	6	8	9	5	7
4	5	6	9	1	7	8	3	2
7	8	9	3	5	2	1	4	6

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- 2 Distinguish cases for first row:

(Type I)

1	2	3	{4,5,6}	{7,8,9}
4	5	6		
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- ② Distinguish cases for first row:

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1	2	3	{4,5,6}	{7,8,9}
4	5	6	{7,8,9}	{1,2,3}
7	8	9	{1,2,3}	{4,5,6}

(Type II)

1	2	3	{4,5,7}	{6,8,9}
4	5	6		
7	8	9		



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(Type II)

1	2	3	{4,5,7}	{6,8,9}
4	5	6	{8,9,a}	{7,b,c}
7	8	9	{6,b,c}	{4,5,a}

where  $\{a, b, c\} = \{1, 2, 3\}$ .

# Symmetry Breaking

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where  $\{a, b, c\} = \{1, 2, 3\}$ .

- 3 Some more such arguments ...

# Open problems about Sudoku

- How many **Sudoku-puzzles** are there?

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# Open problems about Sudoku

- How many **Sudoku-puzzles** are there?
- How many **essentially different Sudoku-puzzles** are there?

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# Open problems about Sudoku

- How many **Sudoku-puzzles** are there?
- How many **essentially different Sudoku-puzzles** are there?
- How many **Sudoku-puzzles in which no clue can be left out** are there?

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- How many **Sudoku-puzzles** are there?
- How many **essentially different** **Sudoku-puzzles** are there?
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- What is the **maximum number of clues** in an **irreducible Sudoku-puzzle**?



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- Given a conclusion strategy, **how many** **Sudoku-puzzles** can be solved with only conclusions?
- What is the **maximum number of clues** in an **irreducible Sudoku-puzzle**?
- What is the **minimum number of clues** in a **Sudoku-puzzle**?

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- How many **Sudoku-puzzles in which no clue can be left out** are there? (They are called **irreducible**.)
- Given a conclusion strategy, **how many** **Sudoku-puzzles** can be solved with only conclusions?
- What is the **maximum number of clues** in an **irreducible Sudoku-puzzle**?
- What is the **minimum number of clues** in a **Sudoku-puzzle**?
- We do not even have started to consider  **$16 \times 16$ -Sudokus**...

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# What is the smallest number of clues?

Of course: such that there is a **unique solution**.

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# What is the smallest number of clues?

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- **Gordon Royle** (University of Western Australia, Perth) maintains a collection of currently **49151 pairwise inequivalent** Sudoku puzzles with 17 clues.

<http://www.csse.uwa.edu.au/~gordon/sudokumin.php>

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- He **reckons** “that new 17-clue Sudoku puzzles are becoming **rarer** to find”.

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# What is the smallest number of clues?

Of course: such that there is a **unique solution**.

- **Gordon Royle** (University of Western Australia, Perth) maintains a collection of currently **49151 pairwise inequivalent** Sudoku puzzles with 17 clues.

<http://www.csse.uwa.edu.au/~gordon/sudokumin.php>

- He **reckons** “that new 17-clue Sudoku puzzles are becoming **rarer** to find”.
- There is a set of 16 clues which allows **exactly two solutions**.

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							1	
4								
	2							
				5		6		4
		8				3		
		1		9				
3			4			2		
	5		1					
			8		7			

This puzzle has 17 clues.

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This puzzle has 17 clues. **None of them** can be left out.



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3			4			2	
	5		1				
			8		7		

This puzzle has 17 clues. **None of them** can be left out.

**Question:**

Are there 16 clues which uniquely define a Sudoku grid?

**This is an unsolved problem!**

# Unavoidable Sets

## Question

Fix one Sudoku grid. Can it be the solution to a 16-clue Sudoku puzzle?

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Fix one Sudoku grid. Can it be the solution to a 16-clue Sudoku puzzle?

Number of ways to choose 16 out of 81:

$$\binom{81}{16} = 33\,594\,090\,947\,249\,085 \approx 33 \cdot 10^{15}$$

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**Idea:** We do not have to try all choices.

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⇒ **do not even think about trying all!**

**Idea:** We do not have to try all choices.

We need **constraints** that the selection of 16 has to fulfill.

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## Definition: Unavoidable Set

Let  $S$  be a filled Sudoku grid. A subset  $U$  of the 81 positions is called an **unavoidable set**, if **every** set of clues **uniquely defining  $S$**  has a number in at least one of the positions in  $U$ .

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- Are there unavoidable sets and if so how many?



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## Questions:

- Are there unavoidable sets and if so how many?
- How can we find them?
- How does this help?

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1	2	5	9	7	3	8	4	6
9	3	2	7	5	1	6	8	4
5	7	8	2	4	6	3	9	1
6	4	1	3	9	8	7	2	5
3	1	9	4	6	5	2	7	8
8	5	7	1	2	9	4	6	3
2	6	4	8	3	7	1	5	9

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Any set of 16 clues cannot avoid the yellow positions.

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3				6		2	7	8
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3				6		2	7	8
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Any set of 16 clues cannot avoid the yellow positions.  
Because this puzzle has **more than one solution**.



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# The Hitting Set Problem

## Problem: Hitting Set (resp. Set Covering)

Let  $M$  be a set and let  $A_1, \dots, A_k$  be subsets of  $M$ .

Find a **minimal** subset  $H$  of  $M$  which contains at **least one element of every  $A_i$**  for  $1 \leq i \leq k$ .

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This problem is **computationally hard**.

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It is one of Karp's 21 **NP-complete** problems ( $\rightarrow$  [1]).

[1] Richard M. Karp, *Reducibility Among Combinatorial Problems*, in R. E. Miller and J. W. Thatcher (editors).  
Complexity of Computer Computations, 1972, pp. 85–103.

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This problem is **computationally hard**.

It is one of Karp's 21 **NP-complete** problems ( $\rightarrow$  [1]).

We want to solve it to use lots of **unavoidable sets** to **reduce** the number of **16-clue sets** we need to consider.

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## Algorithm: Hitting Sets

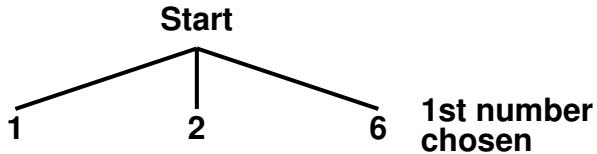
## An example Hitting Set Problem

Let  $M = \{1, 2, 3, \dots, 12\}$  and

$$\mathcal{A} := \left\{ \begin{array}{l} \{1, 2, 6\}, \\ \{2, 3, 4, 8\}, \\ \{1, 7, 8, 9\}, \\ \{3, 4, 6, 9\}, \\ \{4, 6, 10, 12\}, \\ \{2, 10, 11, 12\}, \\ \{5, 7, 8, 9\}, \\ \{5, 7, 10, 12\}, \\ \{1, 3, 4, 5, 11\} \end{array} \right\}$$

Find a 3-subset of  $M$  intersecting all members of  $\mathcal{A}$   
**non-trivially.**

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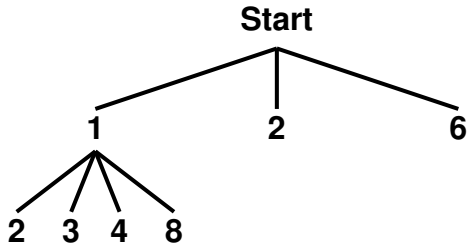
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**1st number  
chosen**

**2nd number  
chosen**

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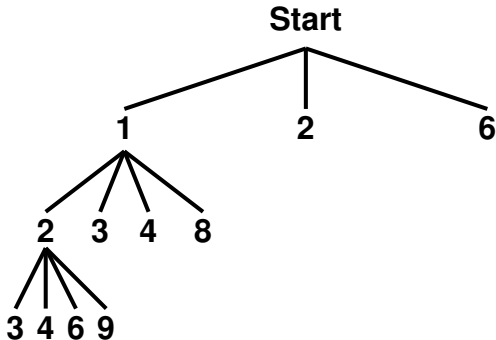
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**1st number  
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**3rd number  
chosen**



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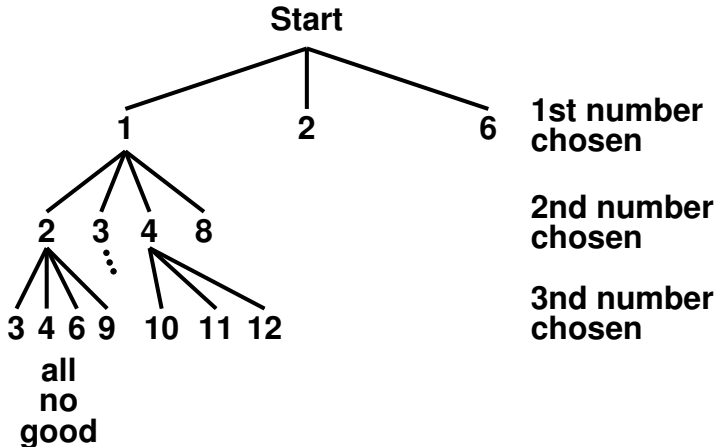
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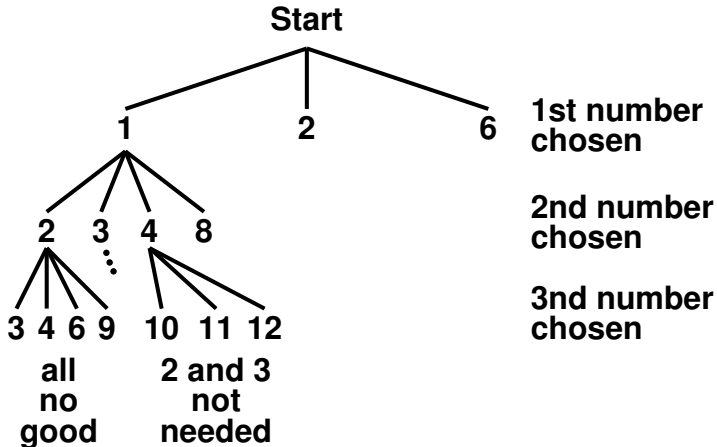
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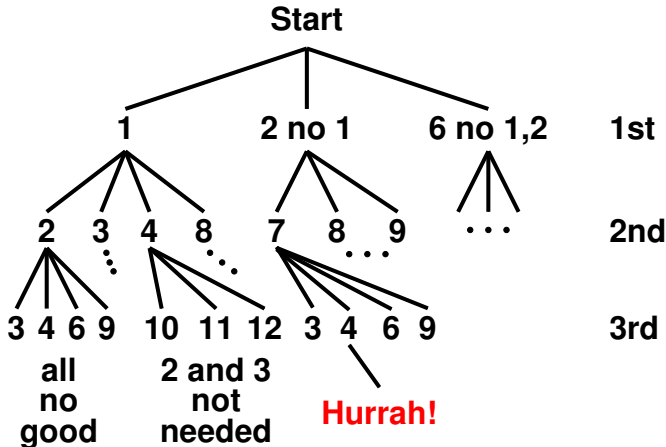
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# Performance for our problem

I have run this method on [all 49151](#) solutions of the 17-clue Sudoku puzzles collected by Gordon Royle.

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If I go on like this with the **5 472 730 538**,

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### The bad news:

If I go on like this with the **5 472 730 538**,

**I need another 300 000 CPU years.**