

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and
recogmethods
- Help is appreciated

Recognising Matrix Groups

Max Neunhöffer

Lehrstuhl D für Mathematik
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Tucson 2006

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All of this is joint work with Ákos Seress.

The Problem

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Some Solutions

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State of implementation

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Lots of others contributed ideas, results, and code.

The Problem

$\mathbb{F}_q$ field with q elements

The Problem

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- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
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- An example: Low index
- Aschbacher classes
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State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

The Problem

$\mathbb{F}_q$ field with q elements

$$\{M_1, M_2, \dots, M_k\} \subseteq \mathrm{GL}_d(\mathbb{F}_q)$$

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
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State of implementation

- GAP packages recog and recogmethods
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$$\{M_1, M_2, \dots, M_k\} \subseteq \mathrm{GL}_d(\mathbb{F}_q)$$

$$G := \langle M_1, M_2, \dots, M_k \rangle \text{ finite}$$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

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- What is $|G|$?

The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

$\mathbb{F}_q$ field with q elements

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The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

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The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

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- What is $|G|$?
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(or in terms of some “nice” generating set of G).

The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

$\mathbb{F}_q$ field with q elements

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The Problem

Constructive recognition

Straight line programs

Efficiency

Discrete logarithm problem

History

Some Solutions

What one can do

The composition tree

An example: Low index

Aschbacher classes

Leaves

State of implementation

GAP packages recog and
recogmethods

Help is appreciated

The Problem

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We call this “constructive recognition of G ”.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

The Problem

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(or in terms of some “nice” generating set of G).
- Do all this “efficiently”.

We call this “constructive recognition of G ”.

Variant: $\{\bar{M}_1, \dots, \bar{M}_k\} \subseteq \mathrm{PGL}_d(\mathbb{F}_q)$, $G := \langle \bar{M}_1, \dots, \bar{M}_k \rangle$

Straight line programs

Example:

```
# input:
r:= [ a, b, c ];
# program:
r[4]:= r[1]^2*r[2]*r[1]^(-2);
r[5]:= r[4]*r[3]^7;
# return values:
[ r[4], r[5]^5 ]
```

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Straight line programs

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Executed with input (a, b, c) this returns:

$(a^2ba^{-2}, a^2ba^{-2}c^7a^2ba^{-2}c^7a^2ba^{-2}c^7a^2ba^{-2}c^7a^2ba^{-2}c^7)$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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# return values:
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Straight line programs (SLPs)

- only reference earlier results,

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Straight line programs

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Straight line programs (SLPs)

- only reference earlier results,
- do not contain loops, branches or subroutines, and

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Straight line programs (SLPs)

- only reference earlier results,
- do not contain loops, branches or subroutines, and
- can express long products memory efficiently.

Efficiency

What does “efficiently” mean?

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

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What does “efficiently” mean?

The maximal number of operations necessary is bounded by a (fixed) polynomial in the “input size”.

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The maximal number of operations necessary is bounded by a (fixed) polynomial in the “input size”.

The input size is measured by

- d : size of matrices,
- k : number of matrices, and
- $\log(q)$: size of a field element.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of
implementation

GAP packages recog and
recogmethods
Help is appreciated

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This is called “in polynomial time”.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Also the length of the resulting straight line programs should be decent.

⇒ we use a “nice” generating set

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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⇒ this decision shortened SLPs from 500 000 steps down to 500 in examples

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

Nasty special case

Is there hope?

Nasty special case

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

Is there hope?

q large, $d = k = 1$, $M_1 = [\zeta]$ with ζ a primitive root of $\mathbb{F}_q$

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

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Then our task is the **Discrete Logarithm Problem**

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

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to which there is currently

NO SOLUTION KNOWN in polynomial time in $\log(q)$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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$\implies$ We work “modulo” this problem.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

The Matrix Group Recognition Project:

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

The Matrix Group Recognition Project:

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

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How to decide, whether $G = GL_d(q)$?
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

The Matrix Group Recognition Project:

- 1988, Oberwolfach, Joachim Neubüser:
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Algorithm to decide whether $SL_d(q) \leq G$.
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

The Matrix Group Recognition Project:

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Algorithm to decide whether $SL_d(q) \leq G$.
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“Recognising Matrix Groups”
- 2001, William Kantor, Ákos Seress:
“Computing with Matrix Groups”
- Eamonn O’Brien: Implementation in Magma
- Lots of other people ...

Our Goals:

- A new implementation in GAP

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

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“Computing with Matrix Groups”
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- Lots of other people ...

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- Go for **completely analysed** polynomial-time algorithms

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

History

The Matrix Group Recognition Project:

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Our Goals:

- A new implementation in GAP
- Go for **completely analysed** polynomial-time algorithms
- Improve algorithms

What one can do with matrices

With a matrix group $G = \langle M_1, \dots, M_k \rangle \leq \text{GL}_d(q)$ we can

- multiply, invert, compare, power up matrices

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

What one can do with matrices

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- determine the order of a matrix M ,
i.e. $\min\{n \in \mathbb{N} \mid M^n = 1\}$

What one can do with matrices

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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i.e. $\min\{n \in \mathbb{N} \mid M^n = 1\}$
- determine the projective order of a matrix M ,
i.e. $\min\{n \in \mathbb{N} \mid M^n \in \mathbb{F} \cdot \mathbf{1}_d\}$ (scalar matrices)

What one can do with matrices

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

With a matrix group $G = \langle M_1, \dots, M_k \rangle \leq \text{GL}_d(q)$ we can

- multiply, invert, compare, power up matrices
- execute straight line programs on matrices
- determine the order of a matrix M ,
i.e. $\min\{n \in \mathbb{N} \mid M^n = 1\}$
- determine the projective order of a matrix M ,
i.e. $\min\{n \in \mathbb{N} \mid M^n \in \mathbb{F} \cdot \mathbf{1}_d\}$ (scalar matrices)
- find invariant subspaces $0 < W < \mathbb{F}^{1 \times d}$ with
 $Wg \subseteq W$ for all $g \in G$ or prove irreducibility:
“MEATAXE”

What one can do with matrices

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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 $Wg \subseteq W$ for all $g \in G$ or prove irreducibility:
“MEATAXE”
- create (pseudo-) random elements
- act with matrices on vectors or on subspaces
→ gives homomorphisms to permutation groups

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Homomorphisms

Try reduction: For $G = \langle M_1, \dots, M_k \rangle \leq \text{GL}_d(q)$

find a **homomorphism** $\varphi : G \rightarrow H$ which is

- explicitly computable

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Assume we can **constructively recognise** H .

Set $N := \ker(\varphi)$. Then:

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Assume we can **constructively recognise** H .

Set $N := \ker(\varphi)$. Then:

- create a (pseudo-) random element g in G
- map g to H via φ

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Assume we can **constructively recognise** H .

Set $N := \ker(\varphi)$. Then:

- create a (pseudo-) random element g in G
- map g to H via φ
- express $\varphi(g)$ as an SLP S in $\varphi(M_1), \dots, \varphi(M_k)$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- create a (pseudo-) random element g in G
- map g to H via φ
- express $\varphi(g)$ as an SLP S in $\varphi(M_1), \dots, \varphi(M_k)$
- execute S on $M_1, \dots, M_k$, get $g' \in G$ s.t. $\varphi(g) = \varphi(g')$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- execute S on $M_1, \dots, M_k$, get $g' \in G$ s.t. $\varphi(g) = \varphi(g')$
- $\implies g^{-1} \cdot g' \in N$
→ this creates a (pseudo-) random element in N

Composition trees

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree**
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

Produce generators of $N := \ker(\varphi)$ and recognise.

Composition trees

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

Produce generators of $N := \ker(\varphi)$ and recognise.

Assume that we have recognised H and N constructively.

Composition trees

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

Produce generators of $N := \ker(\varphi)$ and recognise.

Assume that we have recognised H and N constructively.

What does this help for G ?

Composition trees

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Produce generators of $N := \ker(\varphi)$ and recognise.

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- $|G| = |H| \cdot |N|$

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Composition trees

Produce generators of $N := \ker(\varphi)$ and recognise.

Assume that we have recognised H and N constructively.

What does this help for G ?

- $|G| = |H| \cdot |N|$
- G has a subgroup N and a factor group H

Composition trees

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Produce generators of $N := \ker(\varphi)$ and recognise.

Assume that we have recognised H and N constructively.

What does this help for G ?

- $|G| = |H| \cdot |N|$
- G has a subgroup N and a factor group H
- We have recognised G constructively!

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Choose as “nice generators” $M'_1, \dots, M'_{k'}$ for G :

- preimages under φ of the nice generators of H plus
- the nice generators of N

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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Choose as “nice generators” $M'_1, \dots, M'_{k'}$ for G :

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Given $g \in G$, find an SLP S expressing g in the M'_i :

- map g via φ to $\varphi(g) \in H$

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Given $g \in G$, find an SLP S expressing g in the M'_i :

- map g via φ to $\varphi(g) \in H$
- express $\varphi(g)$ as SLP S' in the nice gens of H

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- execute S' on the preimages, get g'

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- express $\varphi(g)$ as SLP S' in the nice gens of H
- execute S' on the preimages, get g'
- express $g'^{-1} \cdot g \in N$ as SLP S'' in N

Get the recursion going ...

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- express $\varphi(g)$ as SLP S' in the nice gens of H
- execute S' on the preimages, get g'
- express $g'^{-1} \cdot g \in N$ as SLP S'' in N
- put together S from S' and S'' plus one multiplication

A Composition Tree

The Problem

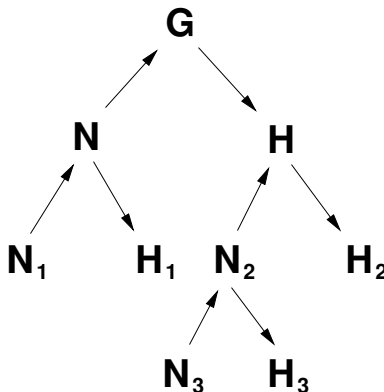
- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated



Upward arrows: monomorphisms
Downward arrows: epimorphisms

Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Assume:

- G has a maximal subgroup K of low index
- G acts irreducibly
- K leaves a subspace $0 < W < \mathbb{F}_q^{1 \times d}$ invariant

Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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Try to find a homomorphism in the following way:

- **create** random elements, hope they generate K

Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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Try to find a homomorphism in the following way:

- **create** random elements, hope they generate K
- **find** an invariant subspace for these elements

Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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Try to find a homomorphism in the following way:

- **create** random elements, hope they generate K
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Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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This works amazingly well!

Low index

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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This works amazingly well!

Unfortunately, it is not yet analysed to be polynomial-time!

Aschbacher's Theorem

Aschbacher classified the maximal subgroups of $GL_d(q)$.

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes**
- Leaves

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of
implementation

GAP packages recog and
recogmethods
Help is appreciated

Aschbacher's Theorem

Aschbacher classified the maximal subgroups of $GL_d(q)$.

Theorem (Aschbacher, 1984)

If $G < GL_d(q)$ then it falls under **at least one** of:

- C1 G leaves invariant a subspace $0 < W < \mathbb{F}_q^{1 \times d}$
- C2 G preserves a decomposition $\mathbb{F}_q^{1 \times d} \cong V_1 \oplus \cdots \oplus V_j$
- C3 G comes from a bigger field (semilinear)
- C4 G preserves a decomposition $\mathbb{F}_q^{1 \times d} \cong V_1 \otimes V_2$
- C5 G is realizable over a subfield
- C6 $G \leq N_{GL}(r^{1+2k})$ where r^{1+2k} is an extraspecial group
- C7 G is tensor-induced
- C8 G contains a “classical group” like $SL_d(q)$ or $Sp_d(q)$
- C9 G is a quasi-simple group

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- C8 G contains a “classical group” like $SL_d(q)$ or $Sp_d(q)$
- C9 G is a quasi-simple group

All classes C1 to C7 are defined “geometrically” and promise some kind of **homomorphism** or “simplification”.

Problem children

The leaves are a problem: Need **representation theory**.

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
- History

Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves**

State of implementation

- GAP packages recog and recogmethods
- Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Problem children

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Classify: Irred. modular representations of finite groups.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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This is ongoing research, but there are many results.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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We try to

- **recognise** the “defining characteristic” of the group

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Classify: Irred. modular representations of finite groups.

This is ongoing research, but there are many results.

We try to

- **recognise** the “defining characteristic” of the group
- **recognise** the group for example by looking at **distribution of element orders** of random elements (“**non-constructive recognition**”)

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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We try to

- **recognise** the “defining characteristic” of the group
- **recognise** the group for example by looking at **distribution of element orders** of random elements (“**non-constructive recognition**”)
- **use** collected data about **representations** or
- **use** collected data about **subgroups**

Problem children

The leaves are a problem: Need **representation theory**.

Classify: Irred. modular representations of finite groups.

This is ongoing research, but there are many results.

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- **recognise** the group for example by looking at **distribution of element orders** of random elements (“**non-constructive recognition**”)
- **use** collected data about **representations** or
- **use** collected data about **subgroups**
- directly **recognise** the group **constructively**:
 - **use base and strong generating sets**
(matrix Schreier-Sims)
 - **use** tricks involving **involution centralisers**

The GAP package recog

GAP already provides:

- the infrastructure for SLPs, matrix handling, etc.

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

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Aschbacher classes
Leaves

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and recogmethods
Help is appreciated

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
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Authors: MN and Ákos Seress

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

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recogmethods
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The GAP package recogmethods

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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Authors: (currently)

Peter Brooksbank, Maska Law, Steve Linton, MN,
Alice Niemeyer, Eamonn O'Brien, Ákos Seress.

Still missing

- analysis of the low index procedure

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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- analysis of the low index procedure
- some cases in C4 and C7

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

Still missing

The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
Help is appreciated

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The Problem

Constructive recognition
Straight line programs
Efficiency
Discrete logarithm problem
History

Some Solutions

What one can do
The composition tree
An example: Low index
Aschbacher classes
Leaves

State of implementation

GAP packages recog and
recogmethods
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- a whole lot of documentation
- higher level algorithms after recognition (Sylow subgroups, maximal subgroups, centralisers, normalisers, etc.)

The Problem

- Constructive recognition
- Straight line programs
- Efficiency
- Discrete logarithm problem
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Some Solutions

- What one can do
- The composition tree
- An example: Low index
- Aschbacher classes
- Leaves

State of implementation

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Everybody is welcome to contribute.

We need ideas, code, and analysis.