

# Chapter 5

## Automorphisms and bases

### 10 Automorphisms

In this chapter, we will once again adopt the viewpoint that a finite extension  $F = \mathbb{F}_{q^m}$  of a finite field  $K = \mathbb{F}_q$  is a vector space of dimension  $m$  over  $K$ .

In Theorem 7.3 we saw that the set of roots of an irreducible polynomial  $f \in \mathbb{F}_q[x]$  of degree  $m$  is the set of  $m$  distinct elements  $\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{m-1}}$  of  $\mathbb{F}_{q^m}$ .

#### Definition 10.1

Let  $\mathbb{F}_{q^m}$  be an extension of  $\mathbb{F}_q$  and let  $\alpha \in \mathbb{F}_{q^m}$ . The elements  $\alpha, \alpha^q, \dots, \alpha^{q^{m-1}}$  are called the *conjugates* of  $\alpha$  with respect to  $\mathbb{F}_q$ .

#### Remark 10.2

- The conjugates of  $\alpha \in \mathbb{F}_{q^m}$  with respect to  $\mathbb{F}_q$  are distinct if and only if the minimal polynomial  $g$  of  $\alpha$  over  $\mathbb{F}_q$  has degree  $m$ .
- Otherwise, the degree  $d$  of the minimal polynomial  $g$  of  $\alpha$  over  $\mathbb{F}_q$  is a proper divisor of  $m$ , and in this case the conjugates of  $\alpha$  with respect to  $\mathbb{F}_q$  are the distinct elements  $\alpha, \alpha^q, \dots, \alpha^{q^{d-1}}$ , each repeated  $m/d$  times.

#### Theorem 10.3

The conjugates of  $\alpha \in \mathbb{F}_q^*$  with respect to any subfield of  $\mathbb{F}_q$  have the same order in the group  $\mathbb{F}_q^*$ .

**Proof.** Apply Theorem 1.13 to the cyclic group  $\mathbb{F}_q^*$ , using the fact that every power of the characteristic of  $\mathbb{F}_q$  is coprime to the order  $q - 1$  of  $\mathbb{F}_q^*$ . ■

This immediately implies the following observation.

#### Corollary 10.4

If  $\alpha$  is a primitive element of  $\mathbb{F}_{q^m}$ , then so are all its conjugates with respect to  $\mathbb{F}_q$ .

#### Example 10.5

Expressing  $\mathbb{F}_4$  as  $\mathbb{F}_2(\theta) = \{0, 1, \theta, \theta + 1\}$ , where  $\theta^2 + \theta + 1 = 0$ , we saw in Example 6.11 that  $\theta$  is a primitive element of  $\mathbb{F}_4$ . The conjugates of  $\theta \in \mathbb{F}_4$  with respect to  $\mathbb{F}_2$  are  $\theta$  and  $\theta^2$ ; from Example 6.11,  $\theta^2 = \theta + 1$  is also a primitive element.

#### Example 10.6

Let  $\alpha \in \mathbb{F}_{16}$  be a root of  $f = x^4 + x + 1 \in \mathbb{F}_2[x]$ . Then the conjugates of  $\alpha$  with respect to  $\mathbb{F}_2$  are  $\alpha, \alpha^2, \alpha^4 = \alpha + 1, \alpha^8 = \alpha^2 + 1$ , and all of these are primitive elements of  $\mathbb{F}_{16}$ . The conjugates of  $\alpha$  with respect to  $\mathbb{F}_4$  are  $\alpha$  and  $\alpha^4 = \alpha + 1$ .

We next explore the relationship between conjugate elements and certain automorphisms of a finite field.

**Definition 10.7**

An *automorphism of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$*  is an automorphism  $\sigma$  of  $\mathbb{F}_{q^m}$  which fixes the elements of  $\mathbb{F}_q$  pointwise. Thus,  $\sigma$  is a one-to-one mapping from  $\mathbb{F}_{q^m}$  onto itself with

$$\sigma(\alpha + \beta) = \sigma(\alpha) + \sigma(\beta)$$

and

$$\sigma(\alpha\beta) = \sigma(\alpha)\sigma(\beta)$$

for all  $\alpha, \beta \in \mathbb{F}_{q^m}$  and

$$\sigma(a) = a \text{ for all } a \in \mathbb{F}_q.$$

This definition may look familiar to anyone who has studied Galois theory!

**Theorem 10.8**

The distinct automorphisms of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$  are precisely the mappings  $\sigma_0, \sigma_1, \dots, \sigma_{m-1}$  defined by

$$\sigma_j(\alpha) = \alpha^{q^j}$$

for  $\alpha \in \mathbb{F}_{q^m}$  and  $0 \leq j \leq m-1$ .

**Proof.** We first establish that the mappings  $\sigma_j$  are automorphisms of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

- For each  $\sigma_j$  and all  $\alpha, \beta \in \mathbb{F}_{q^m}$ , we have  $\sigma_j(\alpha\beta) = \sigma_j(\alpha)\sigma_j(\beta)$  and  $\sigma_j(\alpha + \beta) = \sigma_j(\alpha) + \sigma_j(\beta)$  by Freshmen's Exponentiation, so clearly  $\sigma_j$  is an endomorphism of  $\mathbb{F}_{q^m}$ .
- Since  $\sigma_j(\alpha) = 0 \Leftrightarrow \alpha = 0$ ,  $\sigma_j$  is injective. Since  $\mathbb{F}_{q^m}$  is a finite set,  $\sigma_j$  is also surjective, and hence is an automorphism of  $\mathbb{F}_{q^m}$ .
- We have  $\sigma_j(a) = a$  for all  $a \in \mathbb{F}_q$  by Lemma 6.3, and so each  $\sigma_j$  is an automorphism of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .
- The mappings  $\sigma_1, \dots, \sigma_{m-1}$  are distinct as they return distinct values for a primitive element of  $\mathbb{F}_{q^m}$ .

Now, suppose  $\sigma$  is an arbitrary automorphism of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ ; we show that it is in fact  $\sigma_j$  for some  $0 \leq j \leq m-1$ .

Let  $\beta$  be a primitive element of  $\mathbb{F}_{q^m}$  and let  $f = x^m + a_{m-1}x^{m-1} + \dots + a_0 \in \mathbb{F}_q[x]$  be its minimal polynomial over  $\mathbb{F}_q$ . Then

$$\begin{aligned} 0 &= \sigma(\beta^m + a_{m-1}\beta^{m-1} + \dots + a_0) \\ &= \sigma(\beta)^m + a_{m-1}\sigma(\beta)^{m-1} + \dots + a_0, \end{aligned}$$

so that  $\sigma(\beta)$  is a root of  $f$  in  $\mathbb{F}_{q^m}$ . By Theorem 7.3, we must have  $\sigma(\beta) = \beta^{q^j}$  for some  $j$ ,  $0 \leq j \leq m-1$ . Since  $\sigma$  is a homomorphism and  $\beta$  primitive, we get that  $\sigma(\alpha) = \alpha^{q^j}$  for all  $\alpha \in \mathbb{F}_{q^m}$ . ■

Hence the conjugates of  $\alpha \in \mathbb{F}_{q^m}$  are obtained by applying all automorphisms of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$  to the element  $\alpha$ .

**Remark 10.9**

The automorphisms of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$  form a group under composition of mappings, called the Galois group of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$  and denoted  $\text{Gal}(\mathbb{F}_{q^m}/\mathbb{F}_q)$ . From Theorem 10.8, this group of automorphisms is a cyclic group of order  $m$ , generated by  $\sigma_1$ .

## 11 Traces and Norms

Let  $F = \mathbb{F}_{q^m}$  and  $K = \mathbb{F}_q$ . We introduce a mapping from  $F$  to  $K$  which turns out to be  $K$ -linear.

### Definition 11.1

For  $\alpha \in F$ , the *trace*  $\text{Tr}_{F/K}(\alpha)$  of  $\alpha$  over  $K$  is defined by

$$\begin{aligned}\text{Tr}_{F/K}(\alpha) &= \text{sum of conjugates of } \alpha \text{ w.r.t. } K \\ &= \alpha + \alpha^q + \alpha^{q^2} + \cdots + \alpha^{q^{m-1}}\end{aligned}$$

If  $K$  is the prime subfield of  $F$ , i.e.  $K = \mathbb{F}_p$  where  $p$  is the characteristic of  $F$ , then  $\text{Tr}_{F/K}(\alpha)$  is called the absolute trace of  $\alpha$  and denoted simply by  $\text{Tr}(\alpha)$ .

A useful alternative way to think of the trace is as follows.

### Definition 11.2

Let  $\alpha \in F$  and  $f \in K[x]$  be the minimal polynomial of  $\alpha$  over  $K$ ; its degree  $d$  is a divisor of  $m = [F : K]$ . Then  $g = f^{m/d} \in K[x]$  is called the *characteristic polynomial* of  $\alpha$  over  $K$ .

By Theorem 7.3, the roots of  $f$  in  $F$  are  $\alpha, \alpha^q, \dots, \alpha^{q^{d-1}}$ ; from Remark 10.2, the roots of  $g$  in  $F$  are precisely the conjugates of  $\alpha$  with respect to  $K$ . So

$$\begin{aligned}g &= x^m + a_{m-1}x^{m-1} + \cdots + a_0 \\ &= (x - \alpha)(x - \alpha^q) \cdots (x - \alpha^{q^{m-1}}).\end{aligned}$$

Comparing coefficients we see that

$$\text{Tr}_{F/K}(\alpha) = -a_{m-1}.$$

In particular,  $\text{Tr}_{F/K}(\alpha)$  must be an element of  $K$ .

### Theorem 11.3

Let  $K = \mathbb{F}_q$  and let  $F = \mathbb{F}_{q^m}$ . Then the trace function  $\text{Tr}_{F/K}$  satisfies the following properties.

- (i)  $\text{Tr}_{F/K}(\alpha + \beta) = \text{Tr}_{F/K}(\alpha) + \text{Tr}_{F/K}(\beta)$  for all  $\alpha, \beta \in F$ ;
- (ii)  $\text{Tr}_{F/K}(c\alpha) = c\text{Tr}_{F/K}(\alpha)$  for all  $c \in K, \alpha \in F$ ;
- (iii)  $\text{Tr}_{F/K}$  is a linear transformation from  $F$  onto  $K$  (both viewed as  $K$  vector spaces);
- (iv)  $\text{Tr}_{F/K}(a) = ma$  for all  $a \in K$ ;
- (v)  $\text{Tr}_{F/K}(\alpha^q) = \text{Tr}_{F/K}(\alpha)$  for all  $\alpha \in F$ .

**Proof.** (i) For  $\alpha, \beta \in F$ , Freshmen's Exponentiation yields

$$\begin{aligned}\text{Tr}_{F/K}(\alpha + \beta) &= \alpha + \beta + (\alpha + \beta)^q + \cdots + (\alpha + \beta)^{q^{m-1}} \\ &= \alpha + \beta + \alpha^q + \beta^q + \cdots + \alpha^{q^{m-1}} + \beta^{q^{m-1}} \\ &= \text{Tr}_{F/K}(\alpha) + \text{Tr}_{F/K}(\beta).\end{aligned}$$

(ii) By Lemma 6.3, for  $c \in K$  we have  $c^{q^i} = c$  for all  $i \geq 0$ . Then for  $\alpha \in F$ ,

$$\begin{aligned}\text{Tr}_{F/K}(c\alpha) &= c\alpha + c^q\alpha^q + \cdots + c^{q^{m-1}}\alpha^{q^{m-1}} \\ &= c\alpha + c\alpha^q + \cdots + c\alpha^{q^{m-1}} \\ &= c\text{Tr}_{F/K}(\alpha).\end{aligned}$$

(iii) For all  $\alpha \in F$  we have  $\text{Tr}_{F/K}(\alpha) \in K$ ; this follows from the discussion above, or immediately from

$$\begin{aligned} (\text{Tr}_{F/K}(\alpha))^q &= (\alpha + \alpha^q + \cdots + \alpha^{q^{m-1}})^q \\ &= \alpha^q + \cdots + \alpha^{q^{m-1}} + \alpha \\ &= \text{Tr}_{F/K}(\alpha). \end{aligned}$$

Combining this with (i) and (ii) shows that  $\text{Tr}_{F/K}$  is a  $K$ -linear transformation from  $F$  into  $K$ . To show that it is surjective, it suffices to demonstrate that there exists some  $\alpha \in F$  with  $\text{Tr}_{F/K}(\alpha) \neq 0$ . We have  $\text{Tr}_{F/K}(\alpha) = 0 \Leftrightarrow \alpha$  is a root of  $x^{q^{m-1}} + \cdots + x^q + x \in K[x]$  in  $F$ ; since this polynomial has at most  $q^{m-1}$  roots in  $F$  whereas  $F$  has  $q^m$  elements, the result follows.

(iv) By Lemma 7.3,  $a^{q^i} = a$  for all  $a \in K$  and  $i \geq 0$ , and the result follows.

(v) For  $\alpha \in F$  we have  $\alpha^{q^m} = \alpha$ , and so

$$\text{Tr}_{F/K}(\alpha^q) = \alpha^q + \alpha^{q^2} + \cdots + \alpha^{q^m} = \text{Tr}_{F/K}(\alpha).$$

■

In fact, the trace function provides a description for all linear transformations from  $F$  into  $K$ , in the following sense.

#### Theorem 11.4

Let  $F$  be a finite extension of the finite field  $K$  (both viewed as vector spaces over  $K$ ). Then the  $K$ -linear transformations from  $F$  into  $K$  are precisely the mappings  $L_\beta$  ( $\beta \in F$ ) given by  $L_\beta(\alpha) = \text{Tr}_{F/K}(\beta\alpha)$  for all  $\alpha \in F$ . Moreover, if  $\alpha, \beta$  are distinct elements of  $F$  then  $L_\alpha \neq L_\beta$ .

**Proof.** Omitted. Idea:  $(\alpha, \beta) \mapsto \text{Tr}_{F/K}(\alpha\beta)$  is a symmetric non-degenerate bilinear form on the  $K$ -vectorspace  $F$ . ■

For a chain of extensions, we have the following rule.

#### Theorem 11.5 (Transitivity of trace)

Let  $K$  be a finite field, let  $F$  be a finite extension of  $K$  and  $E$  a finite extension of  $F$ . Then

$$\text{Tr}_{E/K}(\alpha) = \text{Tr}_{F/K}(\text{Tr}_{E/F}(\alpha))$$

for all  $\alpha \in E$ .

**Proof.** Let  $K = \mathbb{F}_q$ , let  $[F : K] = m$  and let  $[E : F] = n$ , so that  $[E : K] = mn$  by Theorem 5.6. For  $\alpha \in E$ ,

$$\begin{aligned} \text{Tr}_{F/K}(\text{Tr}_{E/F}(\alpha)) &= \sum_{i=0}^{m-1} \text{Tr}_{F/K}(\alpha)^{q^i} \\ &= \sum_{i=0}^{m-1} \left( \sum_{j=0}^{n-1} \alpha^{q^{jm}} \right)^{q^i} \\ &= \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} \alpha^{q^{jm+i}} \\ &= \sum_{k=0}^{mn-1} \alpha^{q^k} = \text{Tr}_{E/K}(\alpha). \end{aligned}$$

■

The multiplicative analogue of the trace function is called the norm.

**Definition 11.6**

For  $\alpha \in F = \mathbb{F}_{q^m}$  and  $K = \mathbb{F}_q$ , the *norm*  $N_{F/K}(\alpha)$  of  $\alpha$  over  $K$  is defined by

$$\begin{aligned} N_{F/K}(\alpha) &= \text{product of conjugates of } \alpha \text{ w.r.t. } K \\ &= \alpha \cdot \alpha^q \cdots \alpha^{q^{m-1}} \\ &= \alpha^{(q^m-1)/(q-1)}. \end{aligned}$$

Comparing this definition with the characteristic polynomial  $g$  of  $\alpha$  over  $K$ , as before, we see that

$$N_{F/K}(\alpha) = (-1)^m a_0.$$

In particular,  $N_{F/K}(\alpha)$  is always an element of  $K$ .

**Theorem 11.7**

Let  $K = \mathbb{F}_q$ , and  $F$  its degree  $m$  extension. The norm function  $N_{F/K}$  satisfies the following properties:

- (i)  $N_{F/K}(\alpha\beta) = N_{F/K}(\alpha)N_{F/K}(\beta)$  for all  $\alpha, \beta \in F$ ;
- (ii)  $N_{F/K}$  maps  $F$  onto  $K$  and  $F^*$  onto  $K^*$ ;
- (iii)  $N_{F/K}(a) = a^m$  for all  $a \in K$ ;
- (iv)  $N_{F/K}(\alpha^q) = N_{F/K}(\alpha)$  for all  $\alpha \in F$ .

**Proof.** (i) Immediate from definition of norm.

(ii) From above,  $N_{F/K}$  maps  $F$  into  $K$ ; since  $N_{F/K}(\alpha) = 0 \Leftrightarrow \alpha = 0$ , we have that  $N_{F/K}$  maps  $F^*$  into  $K^*$ .

We must now show that  $N_{F/K}$  is surjective. By (i),  $N_{F/K}$  is a homomorphism between the multiplicative groups  $F^*$  and  $K^*$ . The elements of the kernel are the roots of  $x^{\frac{q^m-1}{q-1}} - 1 \in K[x]$  in  $F$ ; denoting the order of the kernel by  $d$ , we have  $d \leq \frac{q^m-1}{q-1}$ . By the First Isomorphism Theorem, the image has order  $(q^m - 1)/d$ , which is at least  $q - 1$ . So  $N_{F/K}$  maps  $F^*$  onto  $K^*$  and hence  $F$  onto  $K$ .

(iii) Result is immediate upon noting that, for  $a \in K$ , all conjugates of  $a$  are equal to  $a$ .

(iv) By (i),  $N_{F/K}(\alpha^q) = N_{F/K}(\alpha)^q$ ; by (ii),  $N_{F/K}(\alpha) \in K$  and so  $N_{F/K}(\alpha)^q = N_{F/K}(\alpha)$ . ■

**Theorem 11.8 (Transitivity of Norm)**

Let  $K$  be a finite field, let  $F$  be a finite extension of  $K$  and let  $E$  be a finite extension of  $F$ . Then

$$N_{E/K}(\alpha) = N_{F/K}(N_{E/F}(\alpha))$$

for all  $\alpha \in E$ .

**Proof.** Let  $[F : K] = m$  and  $[E : F] = n$ . Then for  $\alpha \in E$ ,

$$\begin{aligned} N_{F/K}(N_{E/F}(\alpha)) &= N_{F/K}\left(\alpha^{\frac{q^{mn}-1}{q^m-1}}\right) \\ &= \left(\alpha^{\frac{q^{mn}-1}{q^m-1}}\right)^{\frac{q^m-1}{q-1}} \\ &= \alpha^{\frac{q^{mn}-1}{q-1}} = N_{E/K}(\alpha). \end{aligned}$$

■

## 12 Bases and the Normal Basis Theorem

We first consider two important, and very natural, kinds of bases.

Recall that  $\mathbb{F}_{q^m} = \mathbb{F}_q(\alpha) \cong \mathbb{F}_q[x]/(f)$ , where  $f$  is an irreducible polynomial of degree  $m$  and  $\alpha$  is a root of  $f$  in  $\mathbb{F}_{q^m}$ . So, every element of  $\mathbb{F}_{q^m}$  can be uniquely expressed as a polynomial in  $\alpha$  over  $\mathbb{F}_q$  of degree less than  $m$  and hence, for any defining element  $\alpha$ , the set  $\{1, \alpha, \dots, \alpha^{m-1}\}$  is a basis for  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

### Definition 12.1

Let  $K = \mathbb{F}_q$  and  $F = \mathbb{F}_{q^m}$ .

A *polynomial basis* of  $F$  over  $K$  is a basis of the form  $\{1, \alpha, \alpha^2, \dots, \alpha^{m-1}\}$ , where  $\alpha$  is a defining element of  $F$  over  $K$ .

We can always insist that the element  $\alpha$  is a primitive element of  $F$ , since by Theorem 6.12, every primitive element of  $F$  can serve as a defining element of  $F$  over  $K$ .

### Example 12.2

Let  $K = \mathbb{F}_3$  and  $F = \mathbb{F}_9$ . Then  $F$  is a simple algebraic extension of  $K$  of degree 2, obtained by adjoining an appropriate  $\theta$  to  $K$ . Let  $\theta$  be a root of the irreducible polynomial  $x^2 + 1 \in K[x]$ ; then  $\{1, \theta\}$  is a polynomial basis for  $F$  over  $K$ . However,  $\theta$  is not primitive since  $\theta^4 = 1$ . Now let  $\alpha$  be a root of  $x^2 + x + 2$ ; then  $\{1, \alpha\}$  is another polynomial basis for  $F$  over  $K$ , and  $\alpha$  is a primitive element of  $F$ .

### Definition 12.3

Let  $K = \mathbb{F}_q$  and  $F = \mathbb{F}_{q^m}$ . A *normal basis* of  $F$  over  $K$  is a basis of the form  $\{\alpha, \alpha^q, \dots, \alpha^{q^{m-1}}\}$ , consisting of a suitable element  $\alpha \in F$  and all its conjugates with respect to  $K$ . Such an  $\alpha$  is called a *free* or *normal* element.

### Example 12.4

Let  $K = \mathbb{F}_2$  and  $F = \mathbb{F}_8$ . Let  $\alpha \in \mathbb{F}_8$  be a root of the irreducible polynomial  $x^3 + x^2 + 1$  in  $\mathbb{F}_2[x]$ . Then  $B = \{\alpha, \alpha^2, 1 + \alpha + \alpha^2\}$  is a basis of  $\mathbb{F}_8$  over  $\mathbb{F}_2$ . Since  $\alpha^4 = 1 + \alpha + \alpha^2$ , this is in fact a normal basis for  $F$  over  $K$ . To the contrary, let  $\beta \in F$  be a root of the irreducible polynomial  $x^3 + x + 1 \in K[x]$ . Then the conjugates  $\{\beta, \beta^2, \beta^2 + \beta\}$  of  $\beta$  do not form a basis of  $K$ .

We now ask: does a normal basis exist for every  $F$  and  $K$ ?

We require two lemmas before proving the main result.

### Lemma 12.5 (Artin Lemma)

Let  $\chi_1, \dots, \chi_m$  be distinct homomorphisms from a group  $G$  into the multiplicative group  $F^*$  of an arbitrary field  $F$ , and let  $a_1, \dots, a_m \in F$ , not all zero. Then for some  $g \in G$  we have

$$a_1\chi_1(g) + \dots + a_m\chi_m(g) \neq 0.$$

**Proof.** The proof is by induction on  $m$ . We omit the details. ■

Next, we recall a few concepts from linear algebra.

### Definition 12.6

- If  $T$  is a linear operator on a finite dimensional vector space  $V$  over an arbitrary field  $K$ , then a polynomial  $f = a_nx^n + \dots + a_1x + a_0 \in K[x]$  is said to *annihilate*  $T$  if  $a_nT^n + \dots + a_1T + a_0I = 0$ , where  $I$  and  $0$  are the identity and zero operator on  $V$ , respectively.
- The uniquely determined monic polynomial of least degree with this property is called the *minimal polynomial* for  $T$ . It divides any other polynomial in  $K[x]$  which annihilates  $T$ .

- The characteristic polynomial  $g$  for  $T$  is given by  $g := \det(xI - T)$ . It is a monic polynomial of degree  $n = \dim(V)$ ; by the Cayley-Hamilton theorem it annihilates  $T$  (and hence is divisible by the minimal polynomial). In fact, the roots of the two polynomials are the same up to multiplicity.
- A vector  $\alpha \in V$  is called a *cyclic vector* for  $T$  if the vectors  $T^k\alpha$ ,  $k = 0, 1, \dots$  span  $V$ .

We are now ready for the second lemma.

**Lemma 12.7**

Let  $T$  be a linear operator on the finite-dimensional vector space  $V$ . Then  $T$  has a cyclic vector if and only if the characteristic and minimal polynomials for  $T$  are identical.

**Proof.** Omitted. ■

**Theorem 12.8 (Normal Basis Theorem)**

For any finite field  $K$  and any finite extension  $F$  of  $K$ , there exists a normal basis of  $F$  over  $K$ .

**Proof.** Let  $K = \mathbb{F}_q$  and  $F = \mathbb{F}_{q^m}$  with  $m \geq 2$ .

- From Theorem 10.8, the distinct automorphisms of  $F$  over  $K$  are given by

$$\epsilon, \sigma, \sigma^2, \dots, \sigma^{m-1},$$

where  $\epsilon$  is the identity map on  $F$ ,  $\sigma(\alpha) = \alpha^q$  for  $\alpha \in F$  and  $\sigma^i$  means composing  $\sigma$  with itself  $i$  times.

- Since  $\sigma(\alpha + \beta) = \sigma(\alpha) + \sigma(\beta)$  and  $\sigma(c\alpha) = c\sigma(\alpha)$  for  $\alpha, \beta \in F$  and  $c \in K$ , we can think of  $\sigma$  as a linear operator on the vector space  $F$  over  $K$ .
- Since  $\sigma^m = \epsilon$ , the polynomial  $x^m - 1 \in K[x]$  annihilates  $\sigma$ . Consider  $\epsilon, \sigma, \sigma^2, \dots, \sigma^{m-1}$  as endomorphisms of  $F^*$ , and apply the Artin Lemma; this tells us that no nonzero polynomial in  $K[x]$  of degree less than  $m$  annihilates  $\sigma$ . Thus,  $x^m - 1$  is the minimal polynomial for the linear operator  $\sigma$ .
- Since the characteristic polynomial for  $\sigma$  is a monic polynomial of degree  $m$  divisible by the minimal polynomial for  $\sigma$ , we must have that  $x^m - 1$  is the characteristic polynomial also.
- By Lemma 12.7, there must exist a cyclic vector for  $V$ ; i.e. there exists some  $\alpha \in F$  such that  $\alpha, \sigma(\alpha), \sigma^2(\alpha), \dots$  span  $F$ .
- Dropping repeated elements, this says that  $\alpha, \sigma(\alpha), \dots, \sigma^{m-1}(\alpha)$  span  $F$ , and hence form a basis of  $F$  over  $K$ . Since this basis consists of an element and its conjugates with respect to  $K$ , it is a normal basis, as required! ■

In fact, it turns out that this result can be strengthened, in the following way.

**Theorem 12.9 (Primitive Normal Basis Theorem)**

For any finite extension  $F$  of a finite field  $K$ , there exists a normal basis of  $F$  over  $K$  that consists of primitive elements of  $F$ .

**Proof.** Beyond the scope of this course! ■

**Example 12.10**

Let  $K = \mathbb{F}_2$  and  $F = \mathbb{F}_8 = K(\alpha)$ , where  $\alpha^3 + \alpha^2 + 1 = 0$ . We saw in Example 12.4 that the basis  $B = \{\alpha, \alpha^2, 1 + \alpha + \alpha^2 = \alpha^4\}$  from Example 12.4 is a normal basis for  $F$  over  $K$ . In fact,  $\alpha$  is a primitive element of  $F$  ( $F^*$  is the cyclic group of order 7 and hence any non-identity element is a generator). So this is a primitive normal basis for  $F$  over  $K$ .