

# A summary of MT5826 — Finite Fields

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## 1 Cyclic groups

A group  $G$  is called *cyclic*, if there is a  $g \in G$  with  $G = \{g^z \mid z \in \mathbb{Z}\} =: \langle g \rangle$ .

Let  $G = \langle g \rangle$  and  $|G| = m < \infty$ , then there is a bijection:

$$\begin{array}{ccc} \{\text{divisors of } m\} & \longleftrightarrow & \{\text{subgroups of } G\} \\ d & \longmapsto & \langle g^{m/d} \rangle \quad (\text{of order } d) \end{array}$$

If  $h \in G$  has order  $k$ , then  $h^\ell$  has order  $k/\gcd(\ell, k)$ .

## 2 Rings and fields

**Definition:** Ring, ring with identity, integral domain, division ring, field.

**Theorem:** Every finite integral domain is a field.

**Definition:** Let  $R$  be a commutative ring with identity and  $I$  an ideal in  $R$ , then

$$R/I := \{r + I \mid r \in R\} \quad \text{where} \quad r + I := \{r + i \mid i \in I\}.$$

We define  $(a + I) + (b + I) := (a + b) + I$  and  $(a + I) \cdot (b + I) := (a \cdot b) + I$ . In particular:  $\mathbb{Z}/(n)$  and  $F[x]/(f)$ , where  $(n) = \{nz \mid z \in \mathbb{Z}\}$  and  $(f) = \{fg \mid g \in F[x]\}$  and  $F$  a field.

**Lemma:**  $\mathbb{Z}/(n)$  is a field iff  $n$  is a prime and  $F[x]/(f)$  is an extension field of  $F$  iff  $f$  is irreducible.

**Definition/Proposition:** Let  $R$  be a ring, the smallest  $k \in \mathbb{N}$  with  $kr = \underbrace{r + r + \dots + r}_{k \text{ times}} = 0$  is called

the *characteristic* of  $R$ . If there is no such  $k$ , the characteristic is 0. If  $R$  is an integral domain, then the characteristic is 0 or a prime.  $\mathbb{F}_p := \mathbb{Z}/(p)$  has characteristic  $p$ , the rationals  $\mathbb{Q}$  have characteristic 0,  $F[x]/(f)$  has the same characteristic as  $F$ .

**Definition:** The *prime field* of a field  $F$  is the intersection of all its subfield, it is either  $\mathbb{F}_p$  or  $\mathbb{Q}$ , depending on the characteristic of  $F$ . A *prime field* is one with no proper subfields. The prime field of any field is a prime field.

## 3 Polynomials and roots

**Definition:** Let  $f \in F[x]$  and let  $E$  be an extension field of  $F$ . Then  $a \in E$  is called a *root* of  $f$ , if  $f(a) = 0$ . If  $a \in E$  is the root of some polynomial  $f \in F[x]$ , then  $a$  is called *algebraic over*  $F$ . In that case there is a unique monic polynomial  $g \in F[x]$  of least degree with  $g(a) = 0$ , it is called the *minimal polynomial* of  $a$  over  $F$  and it is always irreducible over  $F$ .

**Lemma:** In this situation,  $f(a) = 0$  for some  $f \in F[x]$  if and only if  $g$  divides  $f$  in  $F[x]$ . This covers the case that  $a \in F$ , then  $g = x - a$ .

**Definition:** A root  $a \in F$  of  $f \in F[x]$  has *multiplicity*  $k$ , if  $(x - a)^k$  divides  $f$  but  $(x - a)^{k+1}$  does not divide  $f$  in  $F[x]$ . It is called *simple*, if  $k = 1$  and *multiple* otherwise.

**Lemma:** A root  $a \in F$  of  $f \in F[x]$  is a multiple root if and only if  $x - a$  divides both  $f$  and  $f'$  (formal derivative).

## 4 Field Extensions

In the whole section,  $E$  is an extension field of  $F$  and  $L$  one of  $E$ .

**Observation:**  $E$  is an  $F$ -vector space, using addition and multiplication from  $E$ .

**Definition:** The *degree*  $[E : F]$  is the dimension of  $E$  as  $F$ -vector space.

And:  $[L : F] = [L : E] \cdot [E : F]$  if all are finite.

**Theorem:** If  $[E : F]$  is finite, then  $E$  is an *algebraic extension* of  $F$ : all  $a \in E$  are algebraic over  $F$ .

**Definition:** For any subset  $M \subseteq E$ , we denote by  $F(M)$  the smallest subfield of  $E$  that contains both  $F$  and  $M$ . It is the intersection of all such subfields and as such a subfield of  $E$ .

**Definition:** The *degree* of an element  $a \in E$  over  $F$  is equal to  $[F(a) : F]$ , it is finite if and only if  $a$  is algebraic over  $F$ .

**Theorem:** Let  $a \in E$  be algebraic over  $F$  and let  $g$  be its minimal polynomial. Then  $F[x]/(g)$  is isomorphic to  $F(a)$  via the isomorphism mapping every  $b \in F$  to itself and  $x + (g)$  to  $a$ . Therefore, the degree  $d$  of  $F(a)$  (and thus of  $a$ ) over  $F$  is equal to the degree of  $g$ , and  $(1, a, a^2, \dots, a^{d-1})$  is an  $F$ -basis of  $F(a)$ .

**Theorem (Kronecker):** Let  $f \in F[x]$  be an irreducible polynomial. Then there is a simple algebraic extension  $E$  of  $F$  with a root of  $f$  as defining element.

**Definition:** A polynomial  $f \in F[x]$  *splits* over  $E$ , if  $f = \prod_{i=1}^n (x - a_i)$  for some  $a_i \in E$ . The field  $E$  is called a *splitting field*, if  $f$  splits over  $E$  and  $E = F(a_1, \dots, a_n)$ . That is, a splitting field is a smallest possible extension field containing all roots of  $f$ .

**Theorem:** For every polynomial  $f \in F[x]$  there is a splitting field  $E$  and all such splitting fields are isomorphic.

## 5 Finite fields

**Theorem:** For every prime power  $q = p^n$  there is (up to isomorphism) exactly one finite field, denoted by  $\mathbb{F}_q$ . It has characteristic  $p$  and is the splitting field of  $x^q - x$  over  $\mathbb{F}_p$ .

**Theorem:** Let  $p$  and  $r$  be primes. Then  $\mathbb{F}_{p^m}$  is isomorphic to a subfield of  $\mathbb{F}_{r^n}$  if and only if  $p = r$  and  $m$  is a divisor of  $n$ . In this case  $\mathbb{F}_{p^n}$  has exactly one subfield (not up to isomorphism!) with  $p^m$  elements, namely the set of roots of  $x^{p^m} - x$ .

**Theorem:** Every finite subgroup  $G$  of the multiplicative group  $\mathbb{F}^*$  of a field  $\mathbb{F}$  is cyclic.

So in particular,  $\mathbb{F}_q^*$  is cyclic and has  $q - 1$  elements, the generators (as group) are called *primitive elements*. If  $q = p^n$ , they all have degree  $n$  over  $\mathbb{F}_p$ . Note that there can be elements  $\mathbb{F}_q$  of degree  $n$  but whose order is a proper divisor of  $q - 1$ .

**Corollary:** For every prime power  $q$  and every  $n \in \mathbb{N}$ , there is an irreducible polynomial  $f \in \mathbb{F}_q[x]$  of degree  $n$ . Just take the minimal polynomial over  $\mathbb{F}_q$  of a primitive element of  $\mathbb{F}_{q^n}$ .

## 6 Irreducible polynomials

Let  $f \in \mathbb{F}_q[x]$  be irreducible of degree  $n$ , then  $\mathbb{F}_q[x]/(f)$  is an extension field of  $\mathbb{F}_q$  of degree  $n$ , so  $\mathbb{F}_q[x]/(f) \cong \mathbb{F}_{q^n}$ . Let  $a \in \mathbb{F}_{q^n}$  be any root of  $f$ , then  $f$  is the minimal polynomial of  $a$  over  $\mathbb{F}_q$ .

**Theorem:** The roots of  $f$  all lie in  $\mathbb{F}_{q^n}$ , they are  $a, a^q, a^{q^2}, \dots, a^{q^{n-1}}$ . These elements are called the *conjugates of  $a$  over  $\mathbb{F}_q$* . This implies that  $\mathbb{F}_{q^n} = \mathbb{F}_q(a)$  and  $\mathbb{F}_{q^n}$  is the splitting field of  $f$  over  $\mathbb{F}_q$ .

**Theorem:**  $x^{q^n} - x$  is the product of all monic irreducible polynomials  $f \in \mathbb{F}_q[x]$  with  $\deg f \mid n$ .

**Definition:** The Moebius function  $\mu : \mathbb{N} \rightarrow \mathbb{N}$  is:  $\mu(n) = 0$  if  $n$  is divisible by a square of a prime and  $\mu(p_1 \cdots p_k) = (-1)^k$  if the  $p_i$  are pairwise distinct primes.

**Theorem:** (Moebius Inversion) For  $H, h : \mathbb{N} \rightarrow G$  we have

$$H(n) = \sum_{d|n} h(d) \quad \forall n \in \mathbb{N} \quad \iff \quad h(n) = \sum_{d|n} \mu(d)H(n/d) = \sum_{d|n} \mu(n/d)H(d) \quad \forall n \in \mathbb{N},$$

if  $G$  is written additively, and

$$H(n) = \prod_{d|n} h(d) \quad \forall n \in \mathbb{N} \quad \iff \quad h(n) = \prod_{d|n} H(n/d)^{\mu(d)} = \prod_{d|n} H(d)^{\mu(n/d)} \quad \forall n \in \mathbb{N},$$

if  $G$  is written multiplicatively.

**Theorem:** The product of all monic irreducible polynomials of degree  $n$  over  $\mathbb{F}_q$  is

$$I(q, n; x) = \prod_{d|n} (x^{q^d} - x)^{\mu(n/d)}.$$

**Corollary:** If  $N_q(n)$  is the number of monic irreducible polynomials over  $\mathbb{F}_q$ , then

$$N_q(n) = \frac{1}{n} \sum_{d|n} \mu(n/d) q^d.$$

## 7 Roots of unity

**Definition:** Let  $F$  be a field and  $n \in \mathbb{N}$ . Then  $F^{(n)}$  is the  $n$ -th cyclotomic field of  $F$  (the splitting field of  $x^n - 1$  over  $F$ ) and  $E^{(n)}$  is the set of  $n$ -th roots of unity over  $F$ . An element of  $E^{(n)}$  of order  $n$  is called a *primitive  $n$ -th root of unity*.

**Theorem:** If  $p = \text{char } F$  and  $p \nmid n$ , then  $E^{(n)}$  (with multiplication of  $F^{(n)}$ ) is a cyclic group of order  $n$ . If  $n = p^k \cdot m$  and  $p \nmid m$ , then  $F^{(n)} = F^{(m)}$  and  $E^{(n)} = E^{(m)}$ .

**Definition/Proposition:** Assume  $\text{char } F \nmid n \in \mathbb{N}$ . Then the  $n$ -th cyclotomic polynomial is defined as

$$Q_n(x) = \prod_{\substack{1 \leq s \leq n \\ \gcd(s, n) = 1}} (x - \zeta^s),$$

where  $\zeta$  is a primitive  $n$ -th root of unity.  $Q_n(x)$  has coefficients in the prime field of  $F$  (and in  $\mathbb{Z}$  if  $\text{char } F = 0$ ), and:

$$Q_n(x) = \prod_{d|n} (x^d - 1)^{\mu(n/d)}.$$

If  $F = \mathbb{F}_q$  and  $d = \text{ord}_n(q)$ , the polynomial  $Q_n$  factors over  $\mathbb{F}_q$  into a product of  $\phi(n)/d$  distinct monic irreducible factors of degree  $d$  and  $\mathbb{F}_q^{(n)}$  is the splitting field of any of these factors.

**Theorem:** We have  $I(q, n; x) = \prod_m Q_m(x)$  where  $m$  runs through the positive divisors of  $q^n - 1$  for which  $n = \text{ord}_m(q)$  and where  $Q_m(x)$  is the  $m$ -th cyclotomic polynomial over  $\mathbb{F}_q$ .

## 8 Automorphisms, traces and norms

**Definition:** Let  $E$  be an extension field of  $F$ . An *automorphism of  $E$  over  $F$*  is a field automorphism of  $E$  that fixes every single element of  $F$ .

**Theorem:** The field automorphisms of  $\mathbb{F}_{q^n}$  over  $\mathbb{F}_q$  are precisely the mappings  $\sigma_j : a \mapsto a^{q^j}$ . They form a cyclic group of order  $n$  under composition. This is the *Galois group* of the extension  $\mathbb{F}_{q^n}$  over  $\mathbb{F}_q$ .

**Definition/Proposition:** Let  $E = \mathbb{F}_{q^n}$  and  $F = \mathbb{F}_q$ . Then the *trace of  $E/F$*  is the map  $\text{Tr}_{E/F} : E \rightarrow F$  which maps  $a \in E$  to the sum  $a + a^q + a^{q^2} + \dots + a^{q^{n-1}}$  of its conjugates.  $\text{Tr}_{E/F}$  is a surjective  $F$ -linear map and  $(a, b) \mapsto \text{Tr}_{E/F}(a \cdot b)$  is a non-degenerate  $F$ -bilinear form on  $E$ .

**Definition/Proposition:** Let  $E = \mathbb{F}_{q^n}$  and  $F = \mathbb{F}_q$ . Then the *norm of  $E/F$*  is the map  $N_{E/F} : E \rightarrow F$  which maps  $a \in E$  to the product  $a \cdot a^q \cdot a^{q^2} \cdot \dots \cdot a^{q^{n-1}} = a^{\frac{q^n - 1}{q - 1}}$  of its conjugates.  $N_{E/F}$  is a surjective group homomorphism from  $E^*$  to  $F^*$ .

**Theorem:** If  $F \subseteq E \subseteq L$  are fields, then

$$\text{Tr}_{L/F}(a) = \text{Tr}_{E/F}(\text{Tr}_{L/E}(a)) \quad \text{and} \quad N_{L/F}(a) = N_{E/F}(N_{L/E}(a))$$

for all  $a \in L$ .