

Low dimensional strongly perfect lattices IV: The dual strongly perfect lattices of dimension 16.

Sihuang Hu ^{*}; Gabriele Nebe [†]

May 31, 2019

ABSTRACT. We classify the dual strongly perfect lattices in dimension 16. There are four pairs of such lattices, the famous Barnes-Wall lattice Λ_{16} , the extremal 5-modular lattice N_{16} , the odd Barnes-Wall lattice O_{16} and its dual, and one pair of new lattices Γ_{16} and its dual. The latter pair belongs to a new infinite series of dual strongly perfect lattices, the sandwiched Barnes-Wall lattices, described by the authors in a previous paper. An updated table of all known strongly perfect lattices up to dimension 26 is available in the catalogue of lattices [15].

KEYWORDS. strongly perfect lattices; spherical designs; modular forms; locally densest lattices.

Contents

1	Introduction	2
2	Some basic facts on lattices	3
3	Strongly perfect lattices	4
4	Maximal even lattices	7
5	Dual strongly perfect lattices	9
6	Dual strongly perfect lattices of minimal type	14
7	Modular forms and ϑ-series	18
8	$r(\Lambda) = \frac{36}{5}$	23
9	The case $r(\Lambda) = 9$	24
10	The case $r(\Lambda) = 8$	25

^{*}husihuang@gmail.com, Humboldt fellow supported by the AvH foundation.

[†]nebe@math.rwth-aachen.de

1 Introduction

The notion of strongly perfect lattices has been introduced in the fundamental work [25] by Boris Venkov based on lecture series Venkov gave in Aachen, Bordeaux and Dortmund. Strongly perfect lattices are particularly nice examples of locally densest lattices, they even realize a local maximum of the sphere packing density on the space of all periodic packings (see [22]). Together with Boris Venkov the second author started a long term project to classify low dimensional strongly perfect lattices. The strongly perfect lattices up to dimension 9 and in dimension 11 are already classified in [25]. These are all root lattices and their duals. In dimension 10 there are two strongly perfect lattices, the lattice K'_{10} and its dual (see [16]) and in dimension 12 the Coxeter-Todd lattice K_{12} is the unique strongly perfect lattice ([17]). For all known strongly perfect lattices, with one exception in dimension 21, also the dual lattice is strongly perfect. Such lattices are called **dual strongly perfect** (see Section 5). They are classified in dimensions 13-15 ([18], [14]). The present paper continues the classification of low-dimensional (dual) strongly perfect lattices by treating the very interesting 16-dimensional case. In dimension 16 there are (up to similarity) six dual strongly perfect lattices (see Theorem 5.1), the famous Barnes-Wall lattice Λ_{16} realizing the maximal known sphere packing density, the odd Barnes-Wall lattice O_{16} and its dual, the unique extremal 5-modular lattice named N_{16} in [25] and two new lattices, Γ_{16} and its dual, first described in [10].

The overall strategy for the classification of dual strongly perfect lattices in a given dimension is already described in the introduction to [18]. Let Λ be a strongly perfect lattice of dimension n and put $s := s(\Lambda) = \frac{1}{2}|\text{Min}(\Lambda)| \in \mathbb{Z}$ to denote half of the kissing number of Λ and

$$r := r(\Lambda) = r(\Lambda^*) = \min(\Lambda) \min(\Lambda^*) \in \mathbb{Q}$$

the Bergé-Martinet invariant of Λ . As Λ is perfect, we obtain $s(\Lambda) \geq \frac{n(n+1)}{2}$ (see [12, Proposition 3.2.3 (2)]). Upper bounds on the kissing number are given for instance in [13] leading to finitely many possibilities of the integer s .

By [25, Théorème 10.4] (see Lemma 3.2) we have $r(\Lambda) \geq \frac{n+2}{3}$. As $r(\Lambda)$ is the product of the Hermite function evaluated at Λ and its dual Λ^* , we obtain $r \leq \gamma_n^2$, where γ_n is the Hermite constant (see Section 2). The best known upper bounds on γ_n are given in [4] so we obtain upper and lower bounds for the rational number r . To obtain a finite list of possible pairs (r, s) we apply the equations (2) to a minimal vector $\alpha \in \Lambda^*$. For instance (D2) and (D4) yield that sr/n and $3sr/(n(n+2))$ are integers and from $\frac{1}{12}(D4 - D2)$ we obtain that $\frac{sr}{12n}(\frac{3r}{n+2} - 1)$ is an integer, giving only finitely many possibilities for r . Using the general lemmas from Section 3 additionally narrows down the possibilities. In particular for $n = 16$ the possible values are listed in Theorem 3.11. So far we only used the fact that Λ is strongly perfect.

The fact that also the dual lattice is strongly perfect is then used to obtain bounds on the level of Λ : For each value of $r = r(\Lambda) = r(\Lambda^*)$ we now factor $r = m \cdot d$ such that the equations (2) allow to show that rescaled to minimum $\min(\Lambda^*) = m$, the lattice Λ^* is even and in particular contained in its dual lattice Λ (which is then of minimum d). For dual strongly perfect lattices we can use a similar argumentation to obtain a finite list of possibilities (s', r) for $s' = s(\Lambda^*)$ and in each case a factorization $r = m' \cdot d'$ such that Λ is even if rescaled to $\min(\Lambda) = m'$. But this allows to obtain the

exponent (in the latter scaling)

$$\exp(\Lambda^*/\Lambda) \text{ divides } \frac{m}{d'}$$

which either allows a direct classification of all such lattices Λ or at least the classification of all genera of such lattices and then the use of modular forms to exclude the existence of a theta series θ_Λ of level $\frac{m}{d'}$ and weight $\frac{n}{2}$ starting with $1 + 2sq^{m'} + \dots$, such that its image under the Fricke involution starts with $1 + 2s'q^m + \dots$ and both q -expansions have nonnegative integral coefficients. This computational technique using modular forms is described in more detail in Section 7.

Acknowledgements Sihuang Hu is supported by a fellowship of the Humboldt foundation.

2 Some basic facts on lattices

For a good introduction to the theory of lattices in Euclidean spaces in our context we refer to the book [12] by Jacques Martinet.

A *lattice* Λ is the integral span of a basis $B := (b_1, \dots, b_n)$ of Euclidean n -space $(\mathbb{R}^n, (\cdot, \cdot))$, i.e.

$$\Lambda = \left\{ \sum_{i=1}^n a_i b_i \mid a_i \in \mathbb{Z} \right\}.$$

The *dual* lattice of Λ is

$$\Lambda^* := \{v \in \mathbb{R}^n \mid (v, \lambda) \in \mathbb{Z} \text{ for all } \lambda \in \Lambda\},$$

the \mathbb{Z} -span of the dual basis of B . The two most important invariants of a lattice are its *minimum*

$$\min(\Lambda) := \min\{(\lambda, \lambda) \mid 0 \neq \lambda \in \Lambda\}$$

and its *determinant*

$$\det(\Lambda) := \det((b_i, b_j)_{1 \leq i, j \leq n}).$$

We clearly have $\det(\Lambda) \det(\Lambda^*) = 1$ and $\det(a\Lambda) = a^{2n} \det(\Lambda)$ for all $a \in \mathbb{R}_{>0}$.

A lattice Λ is called *integral*, if $(\lambda, \lambda') \in \mathbb{Z}$ for all $\lambda, \lambda' \in \Lambda$, i.e. $\Lambda \subseteq \Lambda^*$. The lattice Λ is called *even*, if $(\lambda, \lambda) \in 2\mathbb{Z}$ for all $\lambda \in \Lambda$. Clearly even lattices are integral. For an even lattice Λ the minimal natural number ℓ such that $\sqrt{\ell}\Lambda^*$ is even is called the *even level* of Λ .

Two n -dimensional lattices Λ and Γ are called *similar*, if there is a similarity $g \in \text{GL}_n(\mathbb{R})$, $(gx, gy) = a(x, y)$ (some $a \in \mathbb{R}_{>0}$) with $g\Lambda = \Gamma$. Similarities of norm $a = 1$ are called *isometries*. For a similarity of norm a we have $\det(g\Lambda) = a^n \det(\Lambda)$ and $\min(g\Lambda) = a \min(\Lambda)$, so the *Hermite function*

$$\begin{aligned} \gamma: \mathcal{L}_n &\rightarrow \mathbb{R} \\ [\Lambda] &\mapsto \gamma(\Lambda) := \frac{\min(\Lambda)}{\det(\Lambda)^{1/n}} \end{aligned}$$

is well defined on the set of similarity classes \mathcal{L}_n of all n -dimensional lattices. The density of a lattice is a strictly monotonous function of the Hermite function, so in particular the (local) maxima of γ provide the (locally) densest lattice sphere packings. It is well known ([12, Theorem 3.5.4]) that there are only finitely many local maxima of the Hermite function on \mathcal{L}_n , all of them are represented by rational lattices ([12, Proposition 3.2.11]), i.e. $(\lambda, \lambda') \in \mathbb{Q}$ for all $\lambda, \lambda' \in \Lambda$. In particular the *Hermite*

constant. $\gamma_n = \sup\{\gamma(\Lambda) \mid \Lambda \in \mathcal{L}_n\}$ is attained at some integral lattice. The densest lattices (and hence γ_n) are known in dimension ≤ 8 and in dimension 24 ([5]). The best known upper bounds on the Hermite constant are given in [4]. These also yield the best known upper bounds for the *Bergé-Martinet invariant* $r(\Lambda)$, where

$$r(\Lambda) := \gamma(\Lambda)\gamma(\Lambda^*) = \min(\Lambda)\min(\Lambda^*)$$

as $r(\Lambda) \leq \gamma_n^2$. By the definition of the Hermite constant, we obtain the following inequalities.

Lemma 2.1. ([18, Lemma 2.1]) *Let Λ be an n -dimensional lattice. Then*

$$\left(\frac{\gamma_n}{\min(\Lambda^*)}\right)^n \geq \det(\Lambda) \geq \left(\frac{\min(\Lambda)}{\gamma_n}\right)^n.$$

Lemma 2.2. ([19, Lemma 2.1.12]) *Let Λ be an integral lattice in dimension n . If there exists some rational number c such that $\sqrt{c}\Lambda^*$ is integral, then c is an integer.*

Proof. As $\det(\Lambda) \cdot \det(\sqrt{c}\Lambda^*) = c^n$ is an integer, the number c is an integer. □

3 Strongly perfect lattices

For a lattice Λ and some $a \in \mathbb{R}$ we put

$$\Lambda_a := \{\lambda \in \Lambda \mid (\lambda, \lambda) = a\}.$$

This is always a finite set invariant under multiplication by -1 . Of particular interest is the set $\Lambda_m := \text{Min}(\Lambda)$ of minimal vectors in Λ , where $m = \min(\Lambda)$.

Definition 3.1. A lattice Λ is called *strongly perfect*, if $\text{Min}(\Lambda)$ forms a spherical 4-design.

It is well known ([25, Théorème 6.4], [12, Theorem 16.2.2]) that strongly perfect lattices are extreme, i.e. they realize a local maximum of the Hermite function on the space of similarity classes of n -dimensional lattices. In particular strongly perfect lattices are always similar to rational lattices.

We usually write $\text{Min}(\Lambda) = S(\Lambda) \sqcup -S(\Lambda)$ as a disjoint union and call $s := s(\Lambda) := |S(\Lambda)|$ the *half kissing number* of Λ . By [25, Théorème 3.2, Equation (5.2b)] the lattice Λ is strongly perfect, if and only if

$$(D4)(\alpha) : \sum_{x \in S(\Lambda)} (x, \alpha)^4 = \frac{3s(\Lambda)}{n(n+2)} \min(\Lambda)^2 (\alpha, \alpha)^2 \quad (1)$$

for all $\alpha \in \mathbb{R}^n$.

From $(D4)(\alpha)$ we obtain the following equations $(Di) = (Di)(\alpha)$ and $(Dij) = (Dij)(\alpha, \beta)$ for all $\alpha, \beta \in \mathbb{R}^n$:

$$\begin{aligned} (D2)(\alpha) : & \sum_{x \in S(\Lambda)} (x, \alpha)^2 = \frac{sm}{n}(\alpha, \alpha) \\ (D11)(\alpha, \beta) : & \sum_{x \in S(\Lambda)} (x, \alpha)(x, \beta) = \frac{sm}{n}(\alpha, \beta) \\ (D22)(\alpha, \beta) : & \sum_{x \in S(\Lambda)} (x, \alpha)^2(x, \beta)^2 = \frac{sm^2}{n(n+2)}(2(\alpha, \beta)^2 + (\alpha, \alpha)(\beta, \beta)) \\ (D13)(\alpha, \beta) : & \sum_{x \in S(\Lambda)} (x, \alpha)(x, \beta)^3 = \frac{3sm^2}{n(n+2)}(\alpha, \beta)(\beta, \beta) \\ \frac{1}{12}(D4 - D2)(\alpha) : & \frac{1}{12} \sum_{x \in S(\Lambda)} (x, \alpha)^4 - (x, \alpha)^2 = \frac{sm}{12n}(\alpha, \alpha)\left(\frac{3m}{n+2}(\alpha, \alpha) - 1\right) \end{aligned} \quad (2)$$

Note that $(D2)(\alpha)$, $(D22)(\alpha, \beta)$, $(D4)(\alpha)$, $\frac{1}{12}(D4 - D2)(\alpha)$ are non negative integers for all $\alpha, \beta \in \Lambda^*$. In particular for $\alpha \in \text{Min}(\Lambda^*)$ we obtain

$$\frac{1}{12}(D4 - D2)(\alpha) = \frac{s(\Lambda)}{12n}r(\Lambda)\left(\frac{3}{n+2}r(\Lambda) - 1\right) \in \mathbb{Z}_{\geq 0}$$

whence

Lemma 3.2. ([25, Théorème 10.4]) *Let Λ be a strongly perfect lattice of dimension n . Then the Bergé-Martinet invariant*

$$r(\Lambda) \geq \frac{n+2}{3}.$$

A strongly perfect lattice Λ is called *of minimal type* if the above equality holds, and *of general type* otherwise. Let Λ be a strongly perfect lattice of dimension n . Set $m = \min(\Lambda)$ and $s = s(\Lambda) = |S(\Lambda)|$.

Lemma 3.3. *Let $\alpha \in \mathbb{R}^n$ be such that $(x, \alpha) \in \mathbb{Z}$ for all $x \in S(\Lambda)$. Denote $\ell = \max\{(x, \alpha) : x \in \text{Min}(\Lambda)\}$. Let $N_i(\alpha) = \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = i\}$ for $i = 1, \dots, \ell$, and let*

$$c = \frac{sm}{6n} \left(\frac{3m}{n+2}(\alpha, \alpha) - 1 \right).$$

Then

$$\sum_{i=2}^{\ell} \sum_{x \in N_i(\alpha)} \frac{i(i^2 - 1)}{6} x = c\alpha \quad (3)$$

and

$$\sum_{i=2}^{\ell} \frac{i^2(i^2 - 1)}{6} |N_i(\alpha)| = c(\alpha, \alpha). \quad (4)$$

Proof. By (2) we obtain

$$\frac{1}{6}(D13 - D11)(\beta, \alpha) : \frac{1}{6} \sum_{x \in S(\Lambda)} ((x, \alpha)^3(x, \beta) - (x, \alpha)(x, \beta)) = c(\alpha, \beta) \quad (5)$$

where c and α are as in the lemma and $\beta \in \mathbb{R}^n$ is an arbitrary vector. Equation (5) is easily seen to be the inner product of Equation (3) with β . As β is arbitrary, we obtain Equation (3). Equation (4) is obtained by taking the inner product of Equation (3) with α . \square

Corollary 3.4. ([16, Lemma 2.1]) *Let $\alpha \in \mathbb{R}^n$ be such that $(x, \alpha) \in \{0, \pm 1, \pm 2\}$ for all $x \in \text{Min}(\Lambda)$. Let $N_2(\alpha) = \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = 2\}$ and put*

$$c = \frac{sm}{6n} \left(\frac{3m}{n+2}(\alpha, \alpha) - 1 \right).$$

Then $|N_2(\alpha)| = c(\alpha, \alpha)/2$ and

$$\sum_{x \in N_2(\alpha)} x = c\alpha.$$

Lemma 3.5. ([17, Lemma 2.6]) *Let Λ be a strongly perfect lattice and choose $\alpha \in \text{Min}(\Lambda^*)$ that satisfies the conditions of Corollary 3.4. If $n \geq 11$ then $|N_2(\alpha)| \neq 1$.*

Lemma 3.6. ([17, Lemma 2.4],[19, Lemma 2.7.18]) Suppose $\alpha \in \text{Min}(\Lambda^*)$. If $r(\Lambda) < 8$, then

$$|N_2(\alpha)| \leq \min \left\{ \frac{r(\Lambda)}{8-r(\Lambda)}, n \right\}.$$

The equality $|N_2(\alpha)| = \frac{r(\Lambda)}{8-r(\Lambda)}$ holds if and only if $N_2(\alpha)$ spans a rescaled root lattice $A_{|N_2(\alpha)|}$.

Definition 3.7. Let A be a subset of the interval $[-1, 1)$. A *spherical A -code* is a non-empty subset X of the unit sphere in \mathbb{R}^n , satisfying that $(x, y) \in A$, for all $x \neq y \in X$.

Lemma 3.8. ([8, Example 4.6]) For a given number a , with $0 \leq a < n^{-1/2}$, let A be any subset of $[-1, a]$, and let X be a spherical A -code in \mathbb{R}^n . Then

$$|X| \leq \frac{n(1-a)(2+(n+1)a)}{1-na^2}.$$

Lemma 3.9. Let Λ be a strongly perfect lattice of dimension n with $r(\Lambda) \geq 8$. Let $\alpha \in \text{Min}(\Lambda^*)$, and $N_2(\alpha) = \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = 2\}$. Denote $a = (r(\Lambda) - 8)/(2r(\Lambda) - 8)$. If $a < (n-1)^{-1/2}$, then

$$|N_2(\alpha)| \leq \frac{(n-1)(1-a)(2+na)}{1-(n-1)a^2}.$$

Proof. Without loss of generality, we rescale Λ such that $\min(\Lambda) = 1$, and $\min(\Lambda^*) = r(\Lambda) =: r$. Define

$$\overline{N_2}(\alpha) = \left\{ \sqrt{\frac{r}{r-4}} \left(x - \frac{2}{r} \alpha \right) \mid x \in N_2(\alpha) \right\}.$$

Then $|N_2(\alpha)| = |\overline{N_2}(\alpha)|$, and for any two elements $\bar{x}, \bar{y} \in \overline{N_2}(\alpha)$, we have $(\bar{x}, \alpha) = 0$, and

$$(\bar{x}, \bar{y}) = \frac{r}{r-4} \left(x - \frac{2}{r} \alpha, y - \frac{2}{r} \alpha \right) \begin{cases} = 1 & \text{if } \bar{x} = \bar{y} \\ \leq \frac{r-8}{2r-8} & \text{if } \bar{x} \neq \bar{y}. \end{cases}$$

Hence $\overline{N_2}(\alpha)$ is a spherical $[-1, \frac{r-8}{2r-8}]$ -code in \mathbb{R}^{n-1} , now the assertion follows from Lemma 3.8 directly. \square

Corollary 3.10. ([18, Lemma 2.8]) If $r(\Lambda) = 8$ and $\alpha \in \text{Min}(\Lambda)$ then

$$|N_2(\alpha)| \leq 2(n-1).$$

If equality holds then the sublattice of Λ generated by $N_2(\alpha)$ is similar to the root lattice D_n .

We now apply the above equations to obtain a finite list of pairs $(r(\Lambda), s(\Lambda))$ for dimension $n = 16$.

Theorem 3.11. Let Λ be a strongly perfect lattice of dimension 16. Then for $r(\Lambda)$ and $s(\Lambda)$ only the values in the following table occur or Λ is of minimal type, i.e. $r(\Lambda) = 6$.

r	192/31	144/23	32/5	72/11	192/29	20/3	48/7	7
s	$961 \cdot a$	2116	$450 \cdot a$	968	841	1296	$196 \cdot a$	1152
a	2, 3	–	2, 3, 4	–	–	–	2, ..., 6	–
r	64/9	36/5	22/3	96/13	15/2	144/19	192/25	54/7
s	729	$400 \cdot a$	1296	$338 \cdot a$	$512 \cdot a$	1444	$625 \cdot a$	784
a	–	1, 2, 3	–	1, ..., 4	1, 2, 3	–	1, 2	–
r	8	384/47	90/11	33/4	192/23	42/5	144/17	128/15
s	$72 \cdot a$	2209	$968 \cdot a$	2048	$529 \cdot a$	$400 \cdot a$	$1156 \cdot a$	2025
a	2, ..., 30	–	1, 2	–	1, ..., 4	1, ..., 5	1, 2	–
r	60/7	26/3	96/11	150/17	384/43	9	64/7	
s	$784 \cdot a$	$648 \cdot a$	$242 \cdot a$	2312	1849	$128 \cdot a$	$441 \cdot a$	
a	1, 2	1, 2, 3	1, ..., 9	–	–	2, ..., 26	1, ..., 8	

Proof. In [13] Mittelmann and Vallentin computed that the kissing number in dimension 16 is upper bounded by 7355, so $s(\Lambda) \leq 3677$. On the other hand, by the lower bound on the cardinality of spherical-5 designs [8, Theorem 5.12], we have $s(\Lambda) \geq 136$. The Cohn–Elkies bound (see [4, Table 3]) implies that the Hermite constant $\gamma_{16} \leq 3.027$, hence

$$6 \leq r(\Lambda) = \min(\Lambda) \min(\Lambda^*) \leq \gamma_{16}^2 \leq 9.162729.$$

Now we compute all solutions of

$$6|N_3(\alpha)| + |N_2(\alpha)| = \frac{s(\Lambda)r(\Lambda)}{12 \cdot 16} \left(\frac{r(\Lambda)}{6} - 1 \right)$$

where $6|N_3(\alpha)| + |N_2(\alpha)|$ is integral and $r(\Lambda)$ is rational. The table lists all solutions that satisfy Lemma 3.5, Lemma 3.6, Lemma 3.9 and Lemma 3.10. \square

4 Maximal even lattices

During the classification of strongly perfect lattices we often know that a strongly perfect lattice Γ is even of a bounded even level ℓ , and that $\min(\Gamma^*) \geq d$. Then Γ is contained in a maximal even lattice M ,

$$\Gamma \subseteq M \subseteq M^* \subseteq \Gamma^*$$

such that the even level of M divides ℓ and $\min(M^*) \geq \min(\Gamma^*) \geq d$. Therefore it is helpful to know all such maximal even lattices M . Then we may construct the lattice Γ as a sublattice of M .

The set of all maximal lattices can be partitioned into genera, where two lattices belong to the same genus, if they are isometric locally everywhere. Any genus consists of finitely many isometry classes the number of which is called the class number of the genus. To find all maximal lattices of a given determinant we first list all possible genera and then construct all lattices in the genus using the Kneser neighbouring method [11] (see also [20]). To check completeness we additionally compute the mass of the genus and use the mass formula.

Proposition 4.1. *The following table lists all genera of maximal even lattices M such that $\det M = 2^a 3^b$ for some nonnegative integers a and b . The first column gives the genus symbol as explained in [6, Chapter 15], followed by the class number h . Then we give one representative of the genus which is usually a root lattice, in which \perp denotes the orthogonal sum. The last column gives the mass of the genus.*

genus	level	h	repr.	mass
II_{16}	1	2	$E_8 \perp E_8$	$691/(2^{30}3^{10}5^47^2 \cdot 11 \cdot 13)$
$\text{II}_{16}(2_3^{-1}4_1^1)$	8	14	$E_7 \perp D_9$	$691 \cdot 24611/(2^{27}3^95^37^2 \cdot 11 \cdot 13)$
$\text{II}_{16}(2_2^{-2}3^{-1})$	12	17	$A_2 \perp D_{14}$	$691 \cdot 1801/(2^{27}3^95^37^2)$
$\text{II}_{16}(2_2^23^1)$	12	19	$E_6 \perp D_{10}$	$691 \cdot 1801/(2^{27}3^95^37^2)$
$\text{II}_{16}(2_3^{-1}4_7^13^{-1})$	24	60	$A_2 \perp E_7 \perp D_7$	$73 \cdot 193 \cdot 691 \cdot 1103/(2^{27}3^85^37^2 \cdot 11 \cdot 13)$
$\text{II}_{16}(2_3^{-1}4_3^{-1}3^1)$	24	57	$E_6 \perp E_7 \perp D_3$	$73 \cdot 193 \cdot 691 \cdot 1103/(2^{27}3^85^37^2 \cdot 11 \cdot 13)$
$\text{II}_{16}(2_{II}^{-2}3^2)$	6	45	$A_2 \perp A_2 \perp D_{12}$	$17 \cdot 41 \cdot 127 \cdot 691 \cdot 1093/(2^{28}3^{10}5^27^2 \cdot 11 \cdot 13)$
$\text{II}_{16}(2_3^{-1}4_5^{-1}3^2)$	24	294	$A_2 \perp A_2 \perp E_7 \perp D_5$	$17 \cdot 193 \cdot 547 \cdot 691 \cdot 14611/(2^{27}3^95^37^2 \cdot 11 \cdot 13)$

Proof. Let M be a maximal even lattice. Then

$$q : M^*/M \rightarrow \mathbb{Q}/\mathbb{Z}, q(x+M) := \frac{1}{2}(x, x) + \mathbb{Z}$$

defines an anisotropic quadratic form on the discriminant group. Clearly $(M^*/M, q)$ is the orthogonal sum of its Sylow p -subgroups. For $p > 2$ the Sylow p -subgroup is elementary abelian of order 1, p , or p^2 (see [21, Section 5.1]). For $p = 2$ [16, Lemma 2.5] lists the orthogonal summands of anisotropic 2-groups, from which we conclude that the order of the Sylow 2-subgroup of M^*/M is bounded by 8. So we are left to enumerate all genus symbols of 16-dimensional even lattices of determinant dividing 72, construct one lattice in each genus, check maximality and then compute representatives for all isometry classes in the genus with the Kneser neighbouring method. \square

Lemma 4.2. *Let Λ be a strongly perfect even lattice of dimension 16. If $\det(\Lambda) = 2^a 3^b$ for some nonnegative integers a, b and $\min(\Lambda^*) \geq 3/2$, then Λ is similar to one of $\Lambda_{16}, \Gamma_{16}$, or O_{16}^* as given in Theorem 5.1.*

Proof. Starting with the lattices M from Proposition 4.1 we successively construct sublattices L of index 2 and 3 such that $\min(L^*) \geq 3/2$. The total number of isometry classes of such lattices is 63, only three of them are strongly perfect. \square

Lemma 4.3. *Let Λ be a strongly perfect even lattice of dimension 16. If the even level of Λ divides 6 and $\min(\Lambda^*) \geq 1$, then $\Lambda \cong \Lambda_{16}$.*

Proof. As in the proof of Lemma 4.2 we start with the maximal even lattices and successively compute sublattices L of even level dividing 6 with $\min(L^*) \geq 1$. There are in total 49552 isometry classes of such lattices. Among those lattices there is only one strongly perfect lattice Λ_{16} . \square

5 Dual strongly perfect lattices

A lattice $\Lambda \subset \mathbb{R}^n$ is called *dual strongly perfect* if both Λ and its dual Λ^* are strongly perfect. As both lattices Λ and Λ^* are extreme and the characterization of dual extreme lattices in [12, Section 10.5] allows to deduce that dual strongly perfect lattices realize a local maximum of the Bergé-Martinet invariant $r(\Lambda) = \min(\Lambda) \min(\Lambda^*)$ on the space of similarity classes of n -dimensional lattices.

The aim of the rest of this paper is to prove the following main result.

Theorem 5.1. *Let (Λ, Λ^*) be a pair of dual strongly perfect lattices in dimension 16. Then, up to similarity and interchanging Λ and Λ^* , the lattices are as given in the following table.*

name	m	d	s	t	smith
Λ_{16}	4	2	2160	2160	2^8
N_{16}	6	6/5	1200	1200	5^8
O_{16}	3	2	256	1008	2^6
Γ_{16}	4	3/2	432	768	$2^8 4^2$

The first column gives the name of the lattice Λ , rescaled such that Λ is integral and primitive. The lattices in the first three rows are already in [25, Table 19.1]. The lattice Γ_{16} is a sublattice of Λ_{16} and described as $\Gamma_{\{2\}}$ in [10, Section 9]. The other columns give $m = \min(\Lambda)$, $d = \min(\Lambda^*)$, $s = s(\Lambda)$ and $t = s(\Lambda^*)$. The last column displays the Smith invariant of the finite abelian group Λ^*/Λ .

Let Λ be a dual strongly perfect lattice. Clearly $r(\Lambda) = r(\Lambda^*)$ and for both lattices we are hence in the same of the 32 cases listed in Theorem 3.11.

A purely computational argument allowing to exclude quite a few cases from Theorem 3.11 is provided by the following result proved in the thesis of Elisabeth Nossek.

Lemma 5.2. *([19, Lemma 2.7.20]) Let Λ be a dual strongly perfect lattice of dimension n . Put $r = r(\Lambda) = r(\Lambda^*)$, $s = s(\Lambda)$, and $t = s(\Lambda^*)$. Then*

$$\frac{s \cdot t \cdot r}{(6n)^2} \left(\frac{3r}{n+2} - 1 \right)^2 \in \mathbb{Z}.$$

Proof. Rescale Λ such that $\min(\Lambda) = 1$ and $\min(\Lambda^*) = r$. Denote $l = \max\{(x, \alpha) : x \in \text{Min}(\Lambda), \alpha \in \text{Min}(\Lambda^*)\}$. Let $x \in \text{Min}(\Lambda)$ and $\alpha \in \text{Min}(\Lambda^*)$. For $i = 1, \dots, l$, set $N_{i,\Lambda}(\alpha) = \{y \in \text{Min}(\Lambda) \mid (y, \alpha) = i\}$, and $N_{i,\Lambda^*}(x) = \{\beta \in \text{Min}(\Lambda^*) \mid (x, \beta) = i\}$. Let

$$c = \frac{s}{6n} \left(\frac{3r}{n+2} - 1 \right),$$

$$c' = \frac{tr}{6n} \left(\frac{3r}{n+2} - 1 \right).$$

By Lemma 3.3,

$$\sum_{i=2}^l \sum_{y \in N_{i,\Lambda}(\alpha)} \frac{i(i^2-1)}{6} y = c\alpha,$$

$$\sum_{i=2}^l \sum_{\beta \in N_{i,\Lambda^*}(x)} \frac{i(i^2-1)}{6} \beta = c'x.$$

Hence

$$cc'\alpha = \sum_{i=2}^l \sum_{y \in N_{i,\Lambda}(\alpha)} \frac{i(i^2-1)}{6} c'y = \sum_{i=2}^l \sum_{y \in N_{i,\Lambda}(\alpha)} \frac{i(i^2-1)}{6} \sum_{j=2}^l \sum_{\beta \in N_{j,\Lambda^*}(y)} \frac{j(j^2-1)}{6} \beta$$

Write $cc' = [cc'] + \{cc'\}$ where $0 \leq \{cc'\} < 1$ is the fractional part of cc' . If cc' is not an integer, then $0 \neq \{cc'\}\alpha \in \Lambda^*$, which contradicts the minimality of α . Therefore

$$cc' = \frac{s \cdot t \cdot r}{(6n)^2} \left(\frac{3r}{n+2} - 1 \right)^2 \in \mathbb{Z}.$$

□

Remark 5.3. Applying Lemma 5.2 to the values provided in Theorem 3.11 we obtain that the triple $(r(\Lambda), s(\Lambda), s(\Lambda^*))$ of a dual strongly perfect lattice in dimension 16 that is not of minimal type is as listed in the following table.

r	32/5 (5.6)	20/3 (5.5)	48/7 (5.7)	7 (5.10)	36/5 (8)	22/3 (5.8)	96/13 (5.7)
s	$900 \cdot a$	1296	$196 \cdot a$	1152	$400 \cdot a$	1296	$676 \cdot a$
a	1, 2	–	2, 3, 4, 6	–	1, 2, 3	–	1, 2
$cond$	$2 \mid ab$	–	$12 \mid ab$	–	–	–	$2 \mid ab$
r	15/2 (5.7)	54/7 (5.8)	8 (10)	90/11 (5.8)	33/4 (5.7)	192/23 (5.7)	42/5 (5.7)
s	$512 \cdot a$	784	$72 \cdot a$	$968 \cdot a$	2048	2116	$400 \cdot a$
a	1, 2, 3	–	2, ..., 30	1, 2	–	–	1, ..., 5
$cond$	$3 \mid ab$	–	$2 \mid ab$	–	–	–	$3 \mid ab$
r	144/17 (5.9)	60/7 (5.7)	26/3 (5.8)	96/11 (7.5)	9 (9.6)	64/7 (7.6)	
s	2312	$784 \cdot a$	$648 \cdot a$	$242 \cdot a$	$128 \cdot a$	$882 \cdot a$	
a	–	1, 2	1, 2, 3	3, 4, 6, 8, 9	2, ..., 26	1, ..., 4	
$cond$	–	–	–	$24 \mid ab$	–	$4 \mid ab$	

Here the line s lists the possibilities for $s(\Lambda)$ =number $\cdot a$ and $s(\Lambda^*)$ =number $\cdot b$, where the possibilities for a and b are given in the line headed by a with respect to certain divisibility conditions deduced from Lemma 5.2 as given in the line headed $cond$. In brackets behind the value of $r(\Lambda)$ we give the reference to where this case is dealt with in this paper. Applying the next lemma, allows to exclude the first two values for $r(\Lambda)$ using an easy computation.

Lemma 5.4. [14, Theorem 2.9] *Let Λ be a dual strongly perfect lattice of dimension n with $r(\Lambda) = r(\Lambda^*) = r$. Assume that $(\alpha, x) \in \{0, \pm 1, \pm 2\}$ for all $\alpha \in \text{Min}(\Lambda^*), x \in \text{Min}(\Lambda)$. Put $n_i = |\{(\alpha, x) \in S(\Lambda^*) \times S(\Lambda) \mid (\alpha, x) = \pm i\}|$ for $i = 0, 1, 2$. Then*

$$\begin{aligned} n_2 &= \frac{tsr}{12n} \left(\frac{3r}{n+2} - 1 \right), \\ n_1 &= \frac{tsr}{n} - 4n_2, \\ n_0 &= st - n_1 - n_2 \end{aligned}$$

are non-negative integers satisfying $n_i/s \in \mathbb{Z}$ and $n_i/t \in \mathbb{Z}$ for $i = 0, 1, 2$. Moreover the quadratic polynomial,

$$\begin{aligned} P(b) = & (s+t)^2 \left(\frac{15}{n(n+2)(n+4)} + \frac{24b-3}{4n(n+2)} + \frac{2b^2-b}{2n} - \frac{b^2}{4} \right) \\ & - 2 \left(n_1 \left(\frac{1}{r} - \frac{1}{4} \right) \left(\frac{1}{r} + b \right)^2 + n_2 \left(\frac{4}{r} - \frac{1}{4} \right) \left(\frac{4}{r} + b \right)^2 - n_0 \frac{b^2}{4} \right) \\ & - \frac{3}{4} (s+t)(1+b)^2 \leq 0 \end{aligned}$$

is non positive for all $b \in \mathbb{R}$.

Corollary 5.5. *There is no dual strongly perfect lattice $\Lambda \in \mathbb{R}^{16}$ with $r(\Lambda) = 20/3$.*

Proof. By Theorem 3.11 we have $s(\Lambda) = s(\Lambda^*) = 1296$. The polynomial $P(b)$ from Lemma 5.4 with $s = t = 1296$ and $n = 16$ is $P(b) = -631800(b + 7/325)(b + 1/25)$ and satisfies $P(-8/325) > 0$, a contradiction. \square

Lemma 5.6. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 32/5$.*

Proof. By Remark 5.3 there are $a, b \in \{1, 2\}$ such that $s(\Lambda) = 900 \cdot a$, and $s(\Lambda^*) = 900 \cdot b$ such that ab is even. These cases yield a contradiction to Lemma 5.4. \square

We now apply Lemma 2.2 to exclude the following cases.

Lemma 5.7. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with*

$$r(\Lambda) \in \{48/7, 96/13, 15/2, 33/4, 192/23, 42/5, 60/7\}$$

Proof. Here we only present a proof for the case $r(\Lambda) = 15/2$, as all the other cases can be excluded similarly. By Theorem 3.11 there is some $a \in \{1, \dots, 3\}$ such that $s(\Lambda) = 512 \cdot a$. We scale Λ such that $\min(\Lambda) = 1$. Let $\alpha \in \Lambda^*$, and write $(\alpha, \alpha) = \frac{p}{q}$ with coprime integers p and q . Then

$$\begin{aligned} (D4)(\alpha) = \frac{a \cdot 2^4 \cdot p^2}{3 \cdot q^2} \in \mathbb{Z} & \Rightarrow q \mid 2^2, \\ \frac{1}{12}(D4 - D2)(\alpha) = \frac{a \cdot 2^2 \cdot p(p-6q)}{3^2 \cdot q^2} \in \mathbb{Z} & \Rightarrow q \mid 2, 3 \mid p. \end{aligned}$$

Let $\Gamma = \sqrt{\frac{22}{3}}\Lambda^*$. Then Γ is an even lattice with $\min(\Gamma) = 10$, $\min(\Gamma^*) = \frac{3}{2^2}$. Similarly $\sqrt{\frac{10 \cdot 22}{3}}\Gamma^*$ is also even, which is impossible by Lemma 2.2. \square

Next, we can exclude the following cases.

Lemma 5.8. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) \in \{22/3, 54/7, 90/11, 26/3\}$.*

Proof. Here we give a proof for the case $r(\Lambda) = 22/3$, as all other cases can be excluded similarly. Let Λ be a dual strongly perfect lattice with $r(\Lambda) = 22/3$. By Theorem 3.11 we have $s(\Lambda) = s(\Lambda^*) = 1296$. We scale Λ such that $\min(\Lambda) = 2/3$, and put $\Gamma = \Lambda^*$. Then $\min(\Gamma) = 11$, and for all $\alpha, \beta \in \Gamma$ holds

$$(D4)(\alpha) = 3(\alpha, \alpha)^2 \in \mathbb{Z},$$

$$\frac{1}{6}(D13 - D11)(\alpha, \beta) = (\alpha, \beta)((\beta, \beta) - 1) \in \mathbb{Z}.$$

So $(\alpha, \alpha) \in \mathbb{Z}$ for all $\alpha \in \Gamma$, and if (β, β) is even, then $(\alpha, \beta) \in \mathbb{Z}$. Let $\Gamma^{(e)} = \{\alpha \in \Gamma \mid (\alpha, \alpha) \in 2\mathbb{Z}\}$. By $\frac{1}{6}(D13 - D11)(\alpha, \beta)$ we see that $(\alpha, \beta) \in \mathbb{Z}$ for all $\beta \in \Gamma^{(e)}$, $\alpha \in \Gamma$. In particular $\Gamma^{(e)}$ is an even sublattice of Γ with $|\Gamma : \Gamma^{(e)}| = 2^c$, $c \in \{1, 2\}$ (see for instance [17, Lemma 2.8]). So $\det(\Gamma) = 2^{-2c} \det \Gamma^{(e)}$ and $\det \Gamma^{(e)}$ is an integer. Similarly $L = \sqrt{\frac{33}{2}}\Gamma^*$ has an even sublattice $L^{(e)} = \{\alpha \in L \mid (\alpha, \alpha) \in 2\mathbb{Z}\}$ with $|L : L^{(e)}| = 2^d$, $d \in \{1, 2\}$. Therefore

$$\det L^{(e)} = 2^{2d} \det L = \frac{2^{2(c+d)} \cdot 33^{16}}{2^{16} \cdot \det \Gamma^{(e)}} \notin \mathbb{Z},$$

which is impossible. □

Next we employ the k -point semidefinite programming (SDP) bound for spherical codes provided by de Laat et. al [7] to exclude the following case.

Lemma 5.9. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 144/17$.*

Proof. By Remark 5.3 we get $s := s(\Lambda) = s(\Lambda^*) = 2312$ and put $r := r(\Lambda) = 144/17$. Now fix some $\alpha \in \text{Min}(\Lambda^*)$ and let $N_2(\alpha) = \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = 2\}$. Then

$$|N_2(\alpha)| = \frac{sr}{12 \cdot 16} \left(\frac{r}{6} - 1 \right) = 42.$$

As in Lemma 3.9 put

$$\overline{N_2}(\alpha) = \left\{ \sqrt{\frac{r}{r-4}} \left(x - \frac{2}{r}\alpha \right) \mid x \in N_2(\alpha) \right\}.$$

Then $|\overline{N_2}(\alpha)| = |N_2(\alpha)| = 42$, and for any two distinct elements $\bar{x}, \bar{y} \in \overline{N_2}(\alpha)$, we have $(\bar{x}, \alpha) = 0$, $(\bar{x}, \bar{x}) = 1$, and

$$(\bar{x}, \bar{y}) = \frac{r}{r-4} \left(x - \frac{2}{r}\alpha, y - \frac{2}{r}\alpha \right) = \frac{r}{r-4} \left((x, y) - \frac{4}{r} \right) \leq 1/19.$$

Now using the 3-point SDP bound for spherical codes [7], we can compute that the cardinality of a spherical $[-1, 1/19]$ -code in S^{14} is upper bounded by 34, which contradicts the fact that $|N_2(\alpha)| = 42$. This concludes our proof. □

Now we use a different method to deal with the following case.

Lemma 5.10. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 7$.*

Proof. Let Λ be a dual strongly perfect lattice in dimension 16 with $r(\Lambda) = 7$. By Theorem 3.11 we have $s(\Lambda) = s(\Lambda^*) = 1152$. We scale Λ such that $\min(\Lambda) = 1/2$ and $\min(\Lambda^*) = 14$. Put $\Gamma := \Lambda^*$. Then for all $\alpha \in \Gamma$,

$$\frac{1}{12}(D4 - D2)(\alpha) = \frac{1}{4}(\alpha, \alpha)((\alpha, \alpha) - 12) \in \mathbb{Z}.$$

Thus (α, α) is an even number, and Γ is an even lattice; similarly $\sqrt{28}\Gamma^*$ is also even. For any $\alpha \in \text{Min}(\Gamma)$ and any $x \in \text{Min}(\Lambda)$, define

$$\begin{aligned} N_2(\alpha) &:= \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = 2\}, \text{ and} \\ N_2(x) &:= \{\alpha \in \text{Min}(\Gamma) \mid (x, \alpha) = 2\} \end{aligned}$$

respectively. Now fix $\alpha_1 \in \text{Min}(\Gamma)$ and assume that

$$\begin{aligned} N_2(\alpha_1) &= \{x_1, x_2, x_3, x_4, x_5, x_6, x_7\}, \\ N_2(x_1) &= \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \alpha_6, \alpha_7\}. \end{aligned}$$

By Corollary 3.4, we have $\sum_{j=1}^7 \alpha_j = 28x_1$ and $\sum_{j=1}^7 x_j = \alpha_1$. A simple calculation shows that $(x_i, x_j) = 1/4$ for $1 \leq i, j \leq 7$ and $i \neq j$, and $(\alpha_i, \alpha_j) = 7$ for $1 \leq i, j \leq 7$ and $i \neq j$.

We claim that $|N_2(\alpha_1) \cap N_2(\alpha_2)| \leq 1$. If not then there were two different vectors x and y in $N_2(\alpha_1) \cap N_2(\alpha_2)$. The Gram matrix formed by x, y, α_1, α_2 is

$$\begin{pmatrix} 1/2 & 1/4 & 2 & 2 \\ 1/4 & 1/2 & 2 & 2 \\ 2 & 2 & 14 & 7 \\ 2 & 2 & 7 & 14 \end{pmatrix},$$

whose determinant is $-7/16$; but this is impossible as the Gram matrix should be positive-semidefinite.

Since $|N_2(\alpha_1) \cap N_2(\alpha_j)| = 1$, we have $(x_i, \alpha_j) \in \{-2, -1, 0, 1\}$ for $2 \leq i, j \leq 7$. So $7 = (x_i, 28x_1) = \sum_{j=1}^7 (x_i, \alpha_j) = 2 + \sum_{j=2}^7 (x_i, \alpha_j) \leq 8$. Therefore, without loss of generality, we can assume that $(x_i, \alpha_i) = 0$ for $2 \leq i \leq 7$, and $(x_i, \alpha_j) = 1$ for $2 \leq i, j \leq 7$ and $i \neq j$. Because $(\alpha_2, x_1) = (\alpha_2, x_1 - x_2) = 2$, we can assume that $N_2(\alpha_2) = \{x_1, x_1 - x_2, y_3, y_4, y_5, y_6, y_7\}$. Hence $(x_1, y_i) = 1/4$ and $(x_2, y_i) = 0$ where $3 \leq i \leq 7$. Similarly, assume that $N_2(x_2) = \{\alpha_1, \alpha_1 - \alpha_2, \beta_3, \beta_4, \beta_5, \beta_6, \beta_7\}$. Hence $(\alpha_1, \beta_i) = 7$ and $(\alpha_2, \beta_i) = 0$ where $3 \leq i \leq 7$. By the above argument used for (x_i, α_j) , we can without loss of generality assume that $(x_i, \beta_i) = (y_i, \alpha_i) = 0$ for $3 \leq i \leq 7$, and $(x_i, \beta_j) = (y_i, \alpha_j) = 1$ for $3 \leq i, j \leq 7$ with $i \neq j$. For $3 \leq i, j \leq 7$ put $a_{ij} = (x_i, y_j)$, $b_{ij} = (\alpha_i, \beta_j)$, and $c_{ij} = (y_i, \beta_j)$. Also we readily check that $a_{ij} \in \{a/28 \mid a \text{ is an integer and } -7 \leq a \leq 7\}$, $b_{ij} \in \{-7, \dots, 7\}$, and $c_{ij} \in \{-2, \dots, 2\}$. Since every shortest vector α in $\text{Min}(\Gamma)$ is equal to the sum of vectors in $N_2(\alpha)$, the lattice generated by vectors $x_1, \dots, x_6, \alpha_1, \dots, \alpha_6, y_3, \dots, y_6, \beta_3, \dots, \beta_6$ is a sublattice of Γ^* ; obviously it has minimum $1/2$. The Gram matrix formed by vectors $x_1, \dots, x_6, \alpha_1, \dots, \alpha_6, y_3, \dots, y_6, \beta_3, \dots, \beta_6$ can be written as

	x_1	x_2	x_3	x_4	x_5	x_6	α_1	α_2	α_3	α_4	α_5	α_6	y_3	y_4	y_5	y_6	β_3	β_4	β_5	β_6
x_1	1/2	1/4	1/4	1/4	1/4	1/4	2	2	2	2	2	2	1/4	1/4	1/4	1/4	1	1	1	1
x_2	1/4	1/2	1/4	1/4	1/4	1/4	2	0	1	1	1	1	0	0	0	0	2	2	2	2
x_3	1/4	1/4	1/2	1/4	1/4	1/4	2	1	0	1	1	1	a_{33}	a_{34}	a_{35}	a_{36}	0	1	1	1
x_4	1/4	1/4	1/4	1/2	1/4	1/4	2	1	1	0	1	1	a_{43}	a_{44}	a_{45}	a_{46}	1	0	1	1
x_5	1/4	1/4	1/4	1/4	1/2	1/4	2	1	1	1	0	1	a_{53}	a_{54}	a_{55}	a_{56}	1	1	0	1
x_6	1/4	1/4	1/4	1/4	1/4	1/2	2	1	1	1	1	0	a_{63}	a_{64}	a_{65}	a_{66}	1	1	1	0
α_1	2	2	2	2	2	2	14	7	7	7	7	7	1	1	1	1	7	7	7	7
α_2	2	0	1	1	1	1	7	14	7	7	7	7	2	2	2	2	0	0	0	0
α_3	2	1	0	1	1	1	7	7	14	7	7	7	0	1	1	1	b_{33}	b_{34}	b_{35}	b_{36}
α_4	2	1	1	0	1	1	7	7	7	14	7	7	1	0	1	1	b_{43}	b_{44}	b_{45}	b_{46}
α_5	2	1	1	1	0	1	7	7	7	7	14	7	1	1	0	1	b_{53}	b_{54}	b_{55}	b_{56}
α_6	2	1	1	1	1	0	7	7	7	7	7	14	1	1	1	0	b_{63}	b_{64}	b_{65}	b_{66}
y_3	1/4	0	a_{33}	a_{43}	a_{53}	a_{63}	1	2	0	1	1	1	1/2	1/4	1/4	1/4	c_{33}	c_{34}	c_{35}	c_{36}
y_4	1/4	0	a_{34}	a_{44}	a_{54}	a_{64}	1	2	1	0	1	1	1/4	1/2	1/4	1/4	c_{43}	c_{44}	c_{45}	c_{46}
y_5	1/4	0	a_{35}	a_{45}	a_{55}	a_{65}	1	2	1	1	0	1	1/4	1/4	1/2	1/4	c_{53}	c_{54}	c_{55}	c_{56}
y_6	1/4	0	a_{36}	a_{46}	a_{56}	a_{66}	1	2	1	1	1	0	1/4	1/4	1/4	1/2	c_{63}	c_{64}	c_{65}	c_{66}
β_3	1	2	0	1	1	1	7	0	b_{33}	b_{43}	b_{53}	b_{63}	c_{33}	c_{43}	c_{53}	c_{63}	14	7	7	7
β_4	1	2	1	0	1	1	7	0	b_{34}	b_{44}	b_{54}	b_{64}	c_{34}	c_{44}	c_{54}	c_{64}	7	14	7	7
β_5	1	2	1	1	0	1	7	0	b_{35}	b_{45}	b_{55}	b_{65}	c_{35}	c_{45}	c_{55}	c_{65}	7	7	14	7
β_6	1	2	1	1	1	0	7	0	b_{36}	b_{46}	b_{56}	b_{66}	c_{36}	c_{46}	c_{56}	c_{66}	7	7	7	14

We attempt to complete this Gram matrix by adding the vectors $y_3, \dots, y_6, \beta_3, \dots, \beta_6$ each in turn. For each vector, we should check that the Gram matrix of the completed vectors is positive-semidefinite with rank ≤ 16 , and the lattice with this Gram matrix has minimum $1/2$. A brute-force search shows that there is no such Gram matrix. This finishes our proof. \square

Combining Theorem 3.11, Lemma 5.2, Corollary 5.5, and Lemmas 5.6-5.10, we obtain the following.

Theorem 5.11. *Let Λ be a dual strongly perfect lattice in dimension 16. Then*

$$r(\Lambda) \in \left\{ 6, \frac{36}{5}, 8, \frac{96}{11}, 9, \frac{64}{7} \right\}.$$

6 Dual strongly perfect lattices of minimal type

Let Λ be some dual strongly perfect lattice of minimal type in dimension 16, so

$$\Lambda \subset \mathbb{R}^{16}, \min(\Lambda) \min(\Lambda^*) = 6.$$

Put $m := \min(\Lambda)$ and $d := \min(\Lambda^*) = 6/m$. Let $s := s(\Lambda)$ and $t := s(\Lambda^*)$. The following arguments are only formulated to give restrictions on (s, t) . The same conditions of course also apply if we interchange s and t .

By the bounds on the kissing numbers we get $8 \cdot 17 \leq s \leq 3678$. Moreover by equation (D2) we have $smd/n = 3s/8 \in \mathbb{Z}$ so

Lemma 6.1. $8 \mid s$.

Lemma 6.2. *Write $s = 2^a A$ with A odd. If A is squarefree then $a \geq 7$.*

Proof. Rescale Λ such that $m = 3$ and $d = 2$. Write $s = 2^a A$ and assume that A is odd, squarefree, and $a < 7$. For $\alpha \in \Lambda^*$ write $(\alpha, \alpha) = \frac{p}{q}$ with $\gcd(p, q) = 1$. Then $\frac{1}{12}(D4 - D2)$ implies that

$$\frac{sp}{2^7 q^2}(p - 2q) = \frac{Ap}{2^{7-a} q^2}(p - 2q) \in \mathbb{Z}.$$

As p and q are coprime and A is squarefree this implies that $q = 1$ and p is even. So Λ^* is an even lattice with minimum 2 so that its dual lattice Λ has minimum 3. As $3 > 2$ and $\Lambda^* \subseteq \Lambda$ this is a contradiction. \square

Lemma 6.3. *Assume that $s = 2^3 b^2 A$ with A odd and squarefree, b odd. The 2^9 divides t and $b \geq 7$.*

Proof. Assume that $s = 2^3 b^2 A$ with A odd and squarefree, b odd. Rescale Λ such that $m = 6/b$ and $d = b$. For $\alpha \in \Lambda^*$ equation $\frac{1}{12}(D4 - D2)$ implies that

$$\frac{A}{4}(\alpha, \alpha)((\alpha, \alpha) - b) \in \mathbb{Z}.$$

As A is odd and squarefree this implies that $(\alpha, \alpha) \in \mathbb{Z}$ and $(\alpha, \alpha) \equiv 0$ or $b \pmod{4}$.

If $\alpha, \beta \in \text{Min}(\Lambda^*)$ then

$$(\alpha \pm \beta, \alpha \pm \beta) = 2b \pm 2(\alpha, \beta)$$

are both either b or 0 modulo 4. If $(\alpha, \beta) \in \frac{1}{2} + \mathbb{Z}$ then these are both odd and hence $b \pmod{4}$ so their difference $4(\alpha, \beta)$ is $0 \pmod{4}$ hence $(\alpha, \beta) \in \mathbb{Z}$ and $2b + 2(\alpha, \beta)$ is even, and hence $0 \pmod{4}$ implying that

$$(\alpha, \beta) \text{ is odd for all } \alpha, \beta \in \text{Min}(\Lambda^*).$$

As Λ^* is also strongly perfect and the fourth power of an odd integer is $1 \pmod{16}$ we compute, for any fixed $\alpha \in \text{Min}(\Lambda^*)$

$$t \equiv_{16} \sum_{\beta \in \text{Min}(\Lambda^*)/\pm 1} (\alpha, \beta)^4 = \frac{3tb^4}{16 \cdot 18} \equiv_{16} \frac{t}{2^5 3}.$$

So $32^5 t \equiv t \pmod{2^{5+4}}$ which implies that 2^9 divides t .

Moreover if $b \leq 5$ then $(\alpha, \beta) = \pm 1$ for all $\alpha \neq \pm \beta \in \text{Min}(\Lambda^*)$ and D2 gives us

$$\sum_{\beta \in \text{Min}(\Lambda^*)/\pm 1} (\alpha, \beta)^2 = b^2 + (t - 1) = \frac{b^2}{16} t$$

which yields contradiction for $b = 3, 5$. \square

Lemma 6.4. *If $3^2 \nmid s$ then $3^2 \mid t$.*

Proof. Assume that both s and t are not divisible by 3^2 . Rescale Λ such that $m = 1$. For $\alpha \in \Lambda^*$ put $(\alpha, \alpha) = \frac{p}{q}$, $\gcd(p, q) = 1$. Then $\frac{1}{12}(D4 - D2)(\alpha)$ yields that

$$\frac{sp}{2^7 3^2 q^2}(p - 6q) \in \mathbb{Z}$$

implying that $3 \mid p$. So there is some $a \in \mathbb{N}$ with $3 \nmid a$ such that $\sqrt{\frac{a}{3}}\Lambda^*$ is even. Interchanging the role of Λ and Λ^* we see that there is some $b \in \mathbb{N}$ with $3 \nmid b$ such that $\sqrt{b}\Lambda$ is an even lattice. Put $\Gamma := \sqrt{b}\Lambda$. Then Γ is an even lattice such that

$$\sqrt{\frac{ab}{3}}\Gamma^* = \sqrt{\frac{ab}{3}} \frac{1}{\sqrt{b}}\Lambda^* = \sqrt{\frac{a}{3}}\Lambda^*$$

is again even. This is a contradiction as $ab/3$ is not an integer. \square

Similarly we find

Lemma 6.5. *If $2^5 \nmid s$ then $2^5 \mid t$.*

Proof. Assume that both s and t are not divisible by 2^5 . Rescale Λ such that $m = 1$. For $\alpha \in \Lambda^*$ put $(\alpha, \alpha) = \frac{p}{q}$, $\gcd(p, q) = 1$. Then $\frac{1}{12}(D4 - D2)(\alpha)$ yields that

$$\frac{sp}{2^7 3^2 q^2}(p - 6q) \in \mathbb{Z}$$

implying that p is even. So there is some odd $a \in \mathbb{N}$ such that $\Gamma := \sqrt{a}\Lambda^*$ is even. Moreover $\frac{1}{6}(D13 - D11)(\alpha, \beta)$ shows that

$$\frac{s}{2^6 3^2}(\alpha, \beta)((\alpha, \alpha) - 6) \in \mathbb{Z}$$

for all $\alpha, \beta \in \Lambda^*$. In particular

$$\Gamma^{(e)} := \{\alpha \in \Gamma \mid (\alpha, \alpha) \in 4\mathbb{Z}\}$$

is a sublattice of Γ of index 1, 2, or 4 (see [17, Lemma 2.8]) and $\sqrt{1/2}\Gamma^{(e)}$ is even. So 2^{12} divides the determinant of the even lattice $\Gamma = \sqrt{a}\Lambda^*$.

Interchanging the role of Λ and Λ^* we find that there is some odd $b \in \mathbb{N}$ such that $\sqrt{6b}\Lambda$ is even and 2^{12} divides $\det(\sqrt{6b}\Lambda)$. All together

$$2^{24} \text{ divides } \det(\sqrt{a}\Lambda^*) \det(\sqrt{6b}\Lambda) = (6ab)^{16}$$

which contradicts the fact that ab is odd. \square

Lemma 6.6. *If $s = 2^a A$ with A odd and squarefree and $a \leq 8$, then Λ^* rescaled to minimum 4 is even and 36 divides t .*

Proof. Rescale Λ so that $m = 3/2$ and $d = 4$. Then for all $\alpha \in \Lambda^*$

$$\frac{3s}{2^7}(\alpha, \alpha)^2 \in \mathbb{Z} \text{ and } \frac{s}{2^9}((\alpha, \alpha)((\alpha, \alpha) - 4)) \in \mathbb{Z}$$

so $(\alpha, \alpha) \in 2\mathbb{Z}$. Moreover for any $\alpha \in \text{Min}(\Lambda^*)$ the set $N_2(\alpha) := \{\beta \in \text{Min}(\Lambda^*) \mid (\alpha, \beta) = 2\}$ has cardinality

$$\frac{5t}{36} - 20$$

which implies that $36 \mid t$. \square

Lemma 6.7. $s \neq 648 = 2^3 \cdot 3^4$.

Proof. Assume that $s = 2^3 3^4$ and rescale Λ so that $m = 2/3$ and $d = 9$. Then for all $\alpha \in \Lambda^*$ we get

$$\frac{1}{12}(D4 - D2)(\alpha) = \frac{1}{4}(\alpha, \alpha)((\alpha, \alpha) - 9) \in \mathbb{Z}.$$

In particular for all $\alpha, \beta \in \text{Min}(\Gamma)$

$$(\alpha \pm \beta, \alpha \pm \beta) = 18 \pm 2(\alpha, \beta) \equiv 0 \text{ or } 1 \pmod{4}$$

which implies that (α, β) is an odd integer for all $\alpha, \beta \in \text{Min}(\Gamma)$. As $|(\alpha, \beta)| \leq \frac{9}{2} = 4.5$ we find that

$$(\alpha, \beta) \in \{\pm 3, \pm 1\}.$$

As also $\text{Min}(\Gamma)$ is a 4-design, for any fixed $\alpha \in \text{Min}(\Gamma)$ the integers $t = |\text{Min}(\Gamma)|/2$, $n_i := |\{\beta \in \text{Min}(\Gamma) \mid (\alpha, \beta) = i\}|$ satisfy

$$\begin{aligned} 1 + n_1 + n_3 &= t \\ 9^2 + n_1 + 3^2 n_3 &= \frac{9^2}{16} t \\ 9^4 + n_1 + 3^4 n_3 &= \frac{3 \cdot 9^4}{16 \cdot 18} t \end{aligned}$$

This equation has a unique solution $(n_1, n_3, t) = \frac{1}{19}(2187, 1890, 4096)$ which is of course absurd. \square

Now an application of the above lemmas leads to the following list of 118 possible pair (s, t) of a dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ of minimal type. (WLOG we assume that $s \leq t$.)

- (1) $s = 144, t = 128 \cdot i, 2 \leq i \leq 26$.
- (2) $s = 144, t = 288 \cdot i, 1 \leq i \leq 11$.
- (3) $s = 144, t = 800 \cdot i, 1 \leq i \leq 3$.
- (4) $s = 144, t = 1568 \cdot i, 1 \leq i \leq 2$.
- (5) $s = 256, t = 144 \cdot i, 2 \leq i \leq 16$.
- (6) $s = 288, t = 128 \cdot i, 3 \leq i \leq 16$.
- (7) $s = 288, t = 144 \cdot i, 2 \leq i \leq 14$.
- (8) $s = 288, t = 400 \cdot i, 1 \leq i \leq 5$.
- (9) $s = 288, t = 784 \cdot i, 1 \leq i \leq 2$.
- (10) $s = 288, t = 1936$.
- (11) $s = 384, t = 144 \cdot i, 3 \leq i \leq 10$.
- (12) $s = 400, t = 288 \cdot i, 2 \leq i \leq 4$.
- (13) $s = 432, t \in \{512, 576, 640, 768, 800, 864, 896, 1024, 1152\}$.
- (14) $s = 512, t \in \{576, 720, 864\}$.

(15) $s = 576, t \in \{576, 640, 720, 768, 784, 800\}$.

(16) $s = 640, t = 720$.

Among those 118 possible pairs of values,

1. there are 54 possible pairs of values with the property that either Λ or Λ^* rescaled to minimum 4 is even and the even level of Λ (or Λ^*) divides 24. So one of Λ or Λ^* is an even lattice whose dual has minimum $\geq 3/2$. Then by Lemma 4.2 we know that Λ or Λ^* are similar to one of Γ_{16} and O_{16} .
2. there are 23 possible pairs of values with the following property: if we rescale Λ with minimum 6 then Λ is even and the even level of Λ divides 6. Then by Lemma 4.3 we know that there is no such Λ .
3. for the remaining 41 cases, a direct application of the modular form approach described in the next section shows that there is no such pair (Λ, Λ^*) .

In summary we have proved the following.

Theorem 6.8. *Let Λ be a dual strongly perfect lattice in dimension 16 and of minimal type. Then Λ is isomorphic to one of $\Gamma_{16}, \Gamma_{16}^*, O_{16}$ or O_{16}^* .*

7 Modular forms and ϑ -series

Let $\Lambda \leq \mathbb{R}^n$ be an even lattice. Throughout this section we will assume that $n = 2k, k \in \mathbb{Z}_{>0}$ for simplicity. We associate to Λ a holomorphic function on the upper half plane $\mathbb{H} = \{\tau \in \mathbb{C} \mid \text{Im } \tau > 0\} \subset \mathbb{C}$. For $\tau \in \mathbb{H}$ let $q = e^{2\pi i\tau}$. The *theta series* of Λ is the function

$$\vartheta_{\Lambda}(\tau) = \sum_{x \in \Lambda} q^{\frac{1}{2}(x,x)} \quad \text{for } \tau \in \mathbb{H}.$$

A nice introduction to the relevant theory is the book [9], from which we also borrow the notation. In particular we need the following theta transformation formula relating the theta series of a lattice and its dual lattice.

Lemma 7.1. [9, Proposition 2.1]

$$\vartheta_{\Lambda}\left(-\frac{1}{\tau}\right) = \left(\frac{\tau}{i}\right)^k \sqrt{\det \Lambda^*} \vartheta_{\Lambda^*}(\tau).$$

Theorem 7.2. ([9, Theorem 3.2]) *Let Λ be an even lattice of even level ℓ . Then the theta series of Λ is in the space of modular forms of weight k for the subgroup $\Gamma_0(\ell)$ to some character χ_{Λ} only depending on $\det(\Lambda)$*

$$\vartheta_{\Lambda}(\tau) \in \mathcal{M}_k(\Gamma_0(\ell), \chi_{\Lambda}), \quad \text{where } \chi_{\Lambda}(\cdot) = \left(\frac{(-1)^k \det(\Lambda)}{\cdot}\right).$$

The matrix

$$W_\ell = \begin{pmatrix} 0 & 1/\sqrt{\ell} \\ -\sqrt{\ell} & 0 \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R})$$

is called the ℓ -th *Atkin-Lehner operator*. The well-known action of the Atkin-Lehner operator on the theta series of an even lattice Λ of even level ℓ and dimension $n = 2k$ is directly obtained from Lemma 7.1.

Proposition 7.3.

$$\vartheta_\Lambda(\tau) |_k W_\ell = \left(-\frac{\sqrt{\ell}}{i} \right)^k \sqrt{\det(\Lambda^*)} \vartheta_{\sqrt{\ell}\Lambda^*}(\tau).$$

Theorem 7.4. [23, p.376][26] *Let Λ be an even lattice of even level ℓ and dimension $2k$. If lattices Λ and Λ' are in the same genus, then*

$$\vartheta_\Lambda(\tau) - \vartheta_{\Lambda'}(\tau) \in \mathcal{S}_k(\Gamma_0(\ell), \chi_\Lambda)$$

where, as usual, \mathcal{S}_k denotes the cuspidal subspace of the space of modular forms \mathcal{M}_k .

Now we describe how to employ the theory of modular forms to exclude the existence of a dual strongly perfect lattice. Let Λ be a dual strongly perfect lattice. Let $s = s(\Lambda) = \frac{1}{2}|\mathrm{Min}(\Lambda)|$ be half of the kissing number of Λ , let $s' = s(\Lambda^*) = \frac{1}{2}|\mathrm{Min}(\Lambda^*)|$ and $r(\Lambda) = \min(\Lambda)\min(\Lambda^*) = r(\Lambda^*)$ be the Bergé-Martinet invariant of Λ . We write $r(\Lambda) = m \cdot d$ such that when rescaled to minimum $\min(\Lambda^*) = m$ the lattice Λ^* is even and in particular contained in its dual lattice Λ (which is then of minimum d). We then interchange the roles of Λ and Λ^* to obtain a factorization $r(\Lambda) = m' \cdot d'$ such that Λ is even if rescaled to $\min(\Lambda) = m'$. In the latter scaling the even level of Λ divides m/d' and in particular

$$\exp(\Lambda^*/\Lambda) \text{ divides } \frac{m}{d'}.$$

We also obtain a finite list of possible determinants of Λ from the upper bound on the Hermite constant γ_n , more precisely a finite list of possible invariants of the finite abelian group Λ^*/Λ . For each invariant it is easy to read off all possible genera of lattices, given by the p -adic genus symbols for all primes p dividing $2\det(\Lambda)$ (see [6, Chapter 15]). As each genus only contains finitely many isometry classes of lattices, one might in principle enumerate all of them. But usually there are far too many classes.

Here the theory of modular forms comes into play. Rescale Λ with $\min(\Lambda) = m'$ such that Λ is even and denote $\ell = m/d'$. Then we know that

$$\begin{aligned} \vartheta_\Lambda(\tau) &= 1 + 2sq^{m'} + \dots, \\ \vartheta_{\sqrt{\ell}\Lambda^*}(\tau) &= 1 + 2s'q^m + \dots \end{aligned} \tag{6}$$

By Theorem 7.2 both $\vartheta_\Lambda(\tau)$ and $\vartheta_{\sqrt{\ell}\Lambda^*}(\tau)$ lie in the finite dimensional vector space $\mathcal{M}_k(\Gamma_0(\ell), \chi_\Lambda)$, of which one can explicitly compute a basis (for instance with MAGMA [3]). One can decompose $\vartheta_\Lambda(\tau)$ as

$$\vartheta_\Lambda(\tau) = E(\tau) + C(\tau)$$

where $E(\tau) = \sum_{j=0}^{\infty} a_E(j)q^j$ is an Eisenstein series, and $C(\tau) = \sum_{j=1}^{\infty} a_C(j)q^j$ is a cusp form.

Now for each genus, we can either find a representative lattice in this genus or compute the genus theta series, i.e., the weighted average over all theta series in the genus. The genus theta series is an Eisenstein series, and its Fourier coefficients $a_E(j)$ can be computed as a product

$$a_E(j) = \prod_{p \leq \infty} \beta_p(j)$$

of local densities $\beta_p(j)$. We use the formulas of Yang [27] to compute these local densities and then use the Sage computeralgebrasystem [24] to compute the Fourier coefficients $a_E(j)$.

Assume that the cusp forms subspace $\mathcal{S}_k(\Gamma_0(\ell), \chi_\Lambda)$ is of dimension h and it has a basis $\{B_i(\tau)\}_{i=1}^h$, where $B_i(\tau) = \sum_{j=0}^{\infty} a_{B_i}(j)q^j$. As $C(\tau) \in \mathcal{S}_k(\Gamma_0(\ell), \chi_\Lambda)$, we can write that

$$C(\tau) = \sum_{i=1}^h c_i B_i(\tau) = \sum_{j=0}^{\infty} \sum_{i=1}^h c_i a_{B_i}(j) q^j$$

as a linear combination of the basis $\{B_i(\tau)\}_{i=1}^h$. Hence

$$\vartheta_\Lambda(\tau) = E(\tau) + C(\tau) = \sum_{j=0}^{\infty} (a_E(j) + \sum_{i=1}^h c_i a_{B_i}(j)) q^j.$$

We write $E(\tau) |_k W_l = \sum_{j=0}^{\infty} a_{E^W}(j) q^j$ and $B_i(\tau) |_k W_l = \sum_{j=0}^{\infty} a_{B_i^W}(j) q^j$. Then

$$\vartheta_\Lambda(\tau) |_k W_l = E(\tau) |_k W_l + \sum_{i=1}^h c_i B_i(\tau) |_k W_l = \sum_{j=0}^{\infty} (a_{E^W}(j) + \sum_{i=1}^h c_i a_{B_i^W}(j)) q^j.$$

Note that these coefficients $a_{E^W}(j)$ and $a_{B_i^W}(j)$ can be very easily computed from those coefficients $a_E(j)$ and $a_{B_i}(j)$.

Set $\text{const} = \left(-\frac{\sqrt{\ell}}{i}\right)^k \sqrt{\det(\Lambda^*)}$. Now by Proposition 7.3 and the above discussion, we get the following linear restrictions on those variables c_i :

$$\begin{cases} a_E(0) + \sum_{i=1}^h c_i a_{B_i}(0) & = 1, \\ a_E(j) + \sum_{i=1}^h c_i a_{B_i}(j) & = 0, \text{ for } 1 \leq j \leq m' - 1, \\ a_E(m') + \sum_{i=1}^h c_i a_{B_i}(m') & = 2s, \\ a_E(j) + \sum_{i=1}^h c_i a_{B_i}(j) & \geq 0, \text{ for } j \geq m' + 1, \\ a_{E^W}(0) + \sum_{i=1}^h c_i a_{B_i^W}(0) & = 1 \cdot \text{const}, \\ a_{E^W}(j) + \sum_{i=1}^h c_i a_{B_i^W}(j) & = 0, \text{ for } 1 \leq j \leq m - 1 \\ a_{E^W}(m) + \sum_{i=1}^h c_i a_{B_i^W}(m) & = 2s' \cdot \text{const}, \\ a_{E^W}(j) + \sum_{i=1}^h c_i a_{B_i^W}(j) & \geq 0, \text{ for } j \geq m + 1. \end{cases} \quad (7)$$

Now we employ the lrs Version 7.0 [1] to check whether there is any feasible solution for those variables c_i . (In practice we will only use the coefficients up to degree 100.) If there is no feasible solution, then we conclude that there is no such lattice Λ with the corresponding genus symbol.

To illustrate the modular forms technique we will prove that there is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) \in \{96/11, 64/7\}$ in the following.

Lemma 7.5. *There is no dual strongly perfect lattice Λ with $r(\Lambda) = 96/11$.*

Proof. By Remark 5.3 there are $a, b \in \{3, 4, 6, 8, 9\}$ with $24 \mid ab$ such that $s(\Lambda) = 242 \cdot a$ and $s(\Lambda^*) = 242 \cdot b$. We scale Λ such that $\min(\Lambda) = 1$. Let $\alpha \in \Lambda^*$, and write $(\alpha, \alpha) = \frac{p}{q}$ with coprime integers p and q . Then

$$(D4)(\alpha) = \frac{a \cdot 11^2 \cdot p^2}{2^4 \cdot 3 \cdot q^2} \in \mathbb{Z},$$

$$\frac{1}{12}(D4 - D2)(\alpha) = \frac{a \cdot 11^2 \cdot p(p - 6q)}{2^6 \cdot 3^2 \cdot q^2} \in \mathbb{Z}.$$

Hence we have:

- (i) If $a = 9$, then $2^5 \mid p, q \mid 11$, whence $\sqrt{\frac{11}{24}}\Lambda^*$ is even with minimum 6.
- (ii) If $a \in \{4, 8\}$, then $6 \mid p, q \mid 11$, whence $\sqrt{\frac{11}{3}}\Lambda^*$ is even with minimum 32.
- (iii) If $a \notin \{4, 8, 9\}$, then $2^4 3 \mid p, q \mid 11$, whence $\sqrt{\frac{11}{23 \cdot 3}}\Lambda^*$ is even with minimum 4.

We first treat the case where $a \neq 9$ and $b \neq 9$. Then $\Gamma = \sqrt{\frac{11}{3}}\Lambda^*$ is even with $\min(\Gamma) = 32$. Similarly, $\sqrt{32 \cdot \frac{11}{3}}\Gamma^*$ is also even, which is impossible by Lemma 2.2. This leaves us only two cases $a = 8, b = 9$ or $a = 9, b = 8$. By symmetry we assume that $a = 8$ and $b = 9$. Then $\Gamma = \sqrt{\frac{11}{3}}\Lambda^*$ is even with $\min(\Gamma) = 32$. Similarly, $\sqrt{22}\Gamma^*$ is also even with minimum 6.

Denote $L = \sqrt{22}\Gamma^* = \sqrt{6}\Lambda$. Then $\det L = 2^a 11^b$, where $a, b \in \{0 \dots 16\}$. By Lemma 2.1, we get $\det L \in \{2^2 11^4, 2^3 11^4, 2^6 11^3, 2^9 11^2, 2^{13} 11, 2^{16}\}$. If $\det L = 2^{16}$ then $\frac{1}{\sqrt{2}}L$ is a unimodular lattice, and the minimum of it cannot exceed 2, therefore $\min(L) \leq 4$, which contradicts the fact that $\min(L) = 6$. Now by reading off all possible genera of L with $\det L \in \{2^2 11^4, 2^3 11^4, 2^6 11^3, 2^9 11^2, 2^{13} 11\}$, we find only two possible genera $g_1 = \text{II}_{16,0}(2^{+2} 11^{+4})$ and $g_2 = \text{II}_{16,0}(2^{-2} 11^{-4})$. We calculate the genus theta series of $g_i, 1 \leq i \leq 2$ and get

$$E_{g_1}(\tau) = 1 + \frac{14999208}{7591877}q + \frac{1950015144}{7591877}q^2 + \frac{32818267104}{7591877}q^3 + \frac{249632054952}{7591877}q^4 + O(q^5),$$

$$E_{g_2}(\tau) = 1 + \frac{1248806}{622285}q + \frac{157378598}{622285}q^2 + \frac{2732387528}{622285}q^3 + \frac{20141991974}{622285}q^4 + O(q^5).$$

Then $C_i(\tau) = \vartheta_L(\tau) - E_{g_i}(\tau) \in \mathcal{S}_8(\Gamma_0(22), \chi)$ if the genus symbol of L is g_i , where χ is the trivial character. The subspace $\mathcal{S}_8(\Gamma_0(22), \chi)$ is of 19 dimension. We also know that

$$\vartheta_L = 1 + 2 \cdot 242 \cdot 8q^3 + O(q^4),$$

$$\vartheta_{\sqrt{22}L^*} = 1 + 2 \cdot 242 \cdot 9q^{16} + O(q^{17}).$$

Now we use lrs to solve the linear restrictions (7), and find that there does not exist cusp forms $C_i(\tau)$ which satisfies those restrictions (7). This concludes our proof. \square

Lemma 7.6. *There is no dual strongly perfect lattice Λ with $r(\Lambda) = 64/7$.*

Proof. By Remark 5.3 there is some $a \in \{1, \dots, 4\}$ such that $s(\Lambda) = 882 \cdot a$. We scale Λ such that $\min(\Lambda) = 1/7$ and $\min(\Lambda^*) = 64$. For every $\alpha \in \Gamma = \Lambda^*$,

$$(D4)(\alpha) = \frac{3a}{2^4}(\alpha, \alpha)^2 \in \mathbb{Z} \implies (\alpha, \alpha) \in 2\mathbb{Z}.$$

Hence Γ is even. Now rescale Λ such that $\min(\Lambda) = 1$ and $\min(\Lambda^*) = 64/7$. Then $\sqrt{64}\Lambda$ is even, and hence for $x, y \in \text{Min}(\Lambda)$ with $x \neq \pm y$,

$$(x, y) \in \{a/64 : a \in \mathbb{Z}, -32 \leq a \leq 32\}.$$

Now fix $\alpha \in \text{Min}(\Lambda^*)$, and let $N_i(\alpha) = \{x \in \text{Min}(\Lambda) \mid (x, \alpha) = i\}$ for $i \in \{2, 3\}$. Note that

$$6|N_3(\alpha)| + |N_2(\alpha)| = 22 \cdot a. \quad (8)$$

We first prove that $N_3(\alpha) = \emptyset$. Assume that there is $\alpha \in \text{Min}(\Lambda^*)$ with $N_3(\alpha) \neq \emptyset$ and choose $x \in N_3(\alpha)$. Assume that there is $y \in N_2(\alpha) \cup N_3(\alpha) \setminus \{x\}$. Write the Gram matrix formed by those three vectors x, y, α as

$$G_e = \begin{pmatrix} 1 & (x, y) & 3 \\ (x, y) & 1 & e \\ 3 & e & 64/7 \end{pmatrix}$$

where $e = 2$ or $e = 3$. We compute

$$\det(G_3) = -64/7((x, y) - 1)((x, y) - 31/32) \text{ and } \det(G_2) = -64/7((x, y) - 3/4)((x, y) - 9/16)$$

So $\det(G_3) \geq 0$ only if $(x, y) \in [1, 31/32]$ contradicting the fact that x, y are distinct minimal vectors. Similarly $\det(G_2) \geq 0$ only if $(x, y) \in [9/16, 3/4]$ yields again a contradiction. Therefore $6|N_3(\alpha)| + |N_2(\alpha)| = 6$, but this contradicts Equation (8).

As $N_3(\alpha) = \emptyset$, by Lemma 3.9 we have $|N_2(\alpha)| \leq 61.9$, so $a \leq 2$. Similarly $b \leq 2$ and by Remark 5.3 we have $a = b = 2$.

Recall that we scaled Λ such that $\min(\Lambda) = 1$. For $\alpha \in \Lambda^*$ write $(\alpha, \alpha) = \frac{p}{q} \in \mathbb{Q}$. Then equation $(D4)(\alpha)$ and $\frac{1}{12}(D4 - D2)(\alpha)$ yield

$$\frac{3 \cdot 7^2 p^2}{2^3 q^2} \in \mathbb{Z} \text{ and } \frac{7^2 p}{2^5 q} \left(\frac{p}{q} - 6\right) \in \mathbb{Z}$$

whence $2^4 \mid p$ and $q \mid 7$. In particular $\Gamma := \sqrt{\frac{7}{2^3}}\Lambda^*$ is an even lattice with minimum 2^3 . Similarly, the lattice $\sqrt{7}\Gamma^*$ is also even. By Lemma 2.1, we have $\det \Gamma = 7^8$. As the even level of Γ is 7 the lattice Γ is in the genus of even 7-modular lattices represented by $L = E_8 \perp \sqrt{7}E_8$. The ϑ -series of L is

$$\vartheta_L = 1 + 240q + 2160q^2 + 6720q^3 + 17520q^4 + 30240q^5 + 60480q^6 + 82800q^7 + O(q^8).$$

Because of Theorem 7.2 we see that $\vartheta_L \in \mathcal{M}_8(\Gamma_0(7), \chi)$ where χ is trivial. With Theorem 7.4 it follows that $S = \vartheta_L - \vartheta_\Gamma \in \mathcal{S}_8(\Gamma_0(7), \chi)$. The subspace $\mathcal{S}_8(\Gamma_0(7), \chi)$ is of dimension 3. We know that

$$\begin{aligned} \vartheta_\Gamma &= 1 + 2 \cdot 882 \cdot 2q^4 + O(q^5), \\ \vartheta_{\sqrt{7}\Gamma^*} &= 1 + 2 \cdot 882 \cdot 2q^4 + O(q^5), \\ S \mid_8 W_7 &= \vartheta_L \mid_8 W_7 - \vartheta_\Gamma \mid_8 W_7 = (\vartheta_{\sqrt{7}L^*} - \vartheta_{\sqrt{7}\Gamma^*}). \end{aligned}$$

Then we get 8 relations on the coefficients of S . The MAGMA computation shows that there is no solution for these 8 relations. \square

$$\mathbf{8} \quad r(\Lambda) = \frac{36}{5}$$

Let Λ be some dual strongly perfect lattice of dimension 16 with $r(\Lambda) = 36/5$. By Theorem 3.11 $s(\Lambda), s(\Lambda^*) \in \{400, 800, 1200\}$. WLOG we assume that $s(\Lambda) \leq s(\Lambda^*)$. We first apply the modular form approach and obtain the following.

Lemma 8.1. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 36/5$ and*

1. $s(\Lambda) = 400, s(\Lambda^*) \in \{400, 800\}$.
2. $s(\Lambda) = 800, s(\Lambda^*) = 1200$.

Next we apply the technique from Lemma 5.10 to exclude two more pairs of values.

Lemma 8.2. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 36/5$ and $(s(\Lambda), s(\Lambda^*)) \in \{(400, 1200), (800, 800)\}$.*

Proof. Here we only give the proof for the case that $s(\Lambda) = s(\Lambda^*) = 800$, as the other case can be proved similarly. We scale Λ such that $\min(\Lambda) = 3/5, \min(\Lambda^*) = 12$. Then Λ^* is an even lattice; similarly $\sqrt{20}\Lambda$ is even. Thus for $x, y \in \Lambda$ we have $(x, y) \in \frac{1}{20}\mathbb{Z}$. Choose $\alpha_1 \in \Lambda$ and put $N_2(\alpha_1) = \{x_1, x_2, x_3, x_4, x_5, x_6\}$. We know that $\sum_{i=1}^6 x_i = \alpha_1$, so $3/5 + \sum_{i=2}^6 (x_1, x_i) = 2$. Combining this with $(x_1, x_i) \in \frac{1}{20}\mathbb{Z}$, we readily check there are only two possibilities for the multiset $\{(x_1, x_i) : i \in \{2 \dots 6\}\}$: $\{1/5, (3/10)^4\}$ and $\{(1/4)^2, (3/10)^3\}$, where the exponents indicate multiplicities. Using this observation, we easily find that there are totally four possible Gram matrix formed by vectors x_1, \dots, x_6 up to the permutation equivalence:

$$\begin{array}{c} \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \end{array} \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 3/5 & 1/5 & 3/10 & 3/10 & 3/10 & 3/10 \\ 1/5 & 3/5 & 3/10 & 3/10 & 3/10 & 3/10 \\ 3/10 & 3/10 & 3/5 & 1/5 & 3/10 & 3/10 \\ 3/10 & 3/10 & 1/5 & 3/5 & 3/10 & 3/10 \\ 3/10 & 3/10 & 3/10 & 3/10 & 3/5 & 1/5 \\ 3/10 & 3/10 & 3/10 & 3/10 & 1/5 & 3/5 \end{bmatrix} \end{array}, \begin{array}{c} \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \end{array} \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 3/5 & 1/5 & 3/10 & 3/10 & 3/10 & 3/10 \\ 1/5 & 3/5 & 3/10 & 3/10 & 3/10 & 3/10 \\ 3/10 & 3/10 & 3/5 & 1/4 & 1/4 & 3/10 \\ 3/10 & 3/10 & 1/4 & 3/5 & 3/10 & 1/4 \\ 3/10 & 3/10 & 1/4 & 3/10 & 3/5 & 1/4 \\ 3/10 & 3/10 & 3/10 & 1/4 & 1/4 & 3/5 \end{bmatrix} \end{array}, \\ \\ \begin{array}{c} \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \end{array} \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 3/5 & 1/4 & 1/4 & 3/10 & 3/10 & 3/10 \\ 1/4 & 3/5 & 1/4 & 3/10 & 3/10 & 3/10 \\ 1/4 & 1/4 & 3/5 & 3/10 & 3/10 & 3/10 \\ 3/10 & 3/10 & 3/10 & 3/5 & 1/4 & 1/4 \\ 3/10 & 3/10 & 3/10 & 1/4 & 3/5 & 1/4 \\ 3/10 & 3/10 & 3/10 & 1/4 & 1/4 & 3/5 \end{bmatrix} \end{array}, \begin{array}{c} \begin{array}{c} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \end{array} \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 3/5 & 1/4 & 1/4 & 3/10 & 3/10 & 3/10 \\ 1/4 & 3/5 & 3/10 & 1/4 & 3/10 & 3/10 \\ 1/4 & 3/10 & 3/5 & 3/10 & 1/4 & 3/10 \\ 3/10 & 1/4 & 3/10 & 3/5 & 3/10 & 1/4 \\ 3/10 & 3/10 & 1/4 & 3/10 & 3/5 & 1/4 \\ 3/10 & 3/10 & 3/10 & 1/4 & 1/4 & 3/5 \end{bmatrix} \end{array} \end{array}$$

Put $N_2(\alpha_1) = \{\alpha_1, \dots, \alpha_6\}$. We also have four possible Gram matrix $20A_1, \dots, 20A_4$ up to permutation equivalence. Considering the Gram matrix formed by vectors $x_1, \dots, x_6, \alpha_1, \dots, \alpha_6$, we find totally 20 possible such matrix up to relabelling of vectors x_2, \dots, x_6 and $\alpha_2, \dots, \alpha_6$, by checking whether it is positive-semidefinite and the lattice with this Gram matrix has minimum norm not less than $3/5$. Put $N_2(\alpha_2) = \{x_1, y_2, \dots, y_6\}$. We continue to investigate the Gram matrix formed by vectors $x_1, \dots, x_6, \alpha_1, \dots, \alpha_6, x_1, y_2, \dots, y_6$. Direct computation shows none of these 20 matrices can be completed to such a Gram matrix. This finishes our proof. \square

The only remaining situation is $s(\Lambda) = s(\Lambda^*) = 1200$. Here the proof of [2, Theorem 8.1] applies almost literally to obtain:

Lemma 8.3. *If Λ is a dual strongly perfect lattice of dimension 16 with $r(\Lambda) = 36/5$ and $s(\Lambda) = s(\Lambda^*) = 1200$, then $\Lambda \cong N_{16}$.*

9 The case $r(\Lambda) = 9$

Let Λ be some dual strongly perfect lattice so that $\Lambda \leq \mathbb{R}^{16}$, $\min(\Lambda) \min(\Lambda^*) = 9$. Rescale the situation so that

$$m := \min(\Lambda) = 3/2 \text{ and } r := \min(\Lambda^*) = 6$$

and put $\Gamma := \Lambda^*$. Then there are $a, b \in \{2, \dots, 28\}$ such that

$$s := |\text{Min}(\Lambda)|/2 = 2^7 a \text{ and } t := |\text{Min}(\Gamma)| = 2^7 b.$$

Then for all $\gamma, \gamma' \in \Gamma$ the following numbers are integers:

$$\begin{aligned} (D4)(\gamma) &: 3a(\gamma, \gamma)^2, \\ (D22)(\gamma, \gamma') &: a(2(\gamma, \gamma')^2 + (\gamma, \gamma)(\gamma', \gamma')), \\ \frac{1}{12}(D4 - D2)(\gamma) &: \frac{a}{4}(\gamma, \gamma)((\gamma, \gamma) - 4), \\ \frac{1}{6}(D13 - D11)(\gamma', \gamma) &: \frac{a}{2}(\gamma, \gamma')((\gamma, \gamma) - 4). \end{aligned}$$

Lemma 9.1. *If there is $\alpha \in \text{Min}(\Gamma)$ and $x \in \text{Min}(\Lambda)$ such that $(\alpha, x) = 3$, then $\alpha = 2x$, $N_3(\alpha) = \{x\}$, $N_2(\alpha) = \emptyset$ and $a = 2$.*

Proof. Clearly $\alpha = 2x$, so x is uniquely determined by α . Assume that there is $y \in \text{Min}(\Lambda)$ with $(y, \alpha) = 2$. Then $(y, x) = \frac{1}{2}(y, \alpha) = 1$ and $x - y \in \Lambda$ has norm $(x - y, x - y) = 3 - 2 = 1 < 3/2$ a contradiction to the fact that $\min(\Lambda) = 3/2$. Therefore $N_2(\alpha) = \emptyset$, $|N_3(\alpha)| = 1$ and hence

$$\frac{1}{12}(D4 - D2)(\alpha) = 6 = \frac{12a}{4}$$

implying $a = 2$. □

Lemma 9.2. *Assume that $N_3(\alpha) = \emptyset$. Then $a \leq 19$.*

Proof. Then $|N_2(\alpha)| = 3a$ and the set $\overline{N_2(\alpha)} := \{\bar{x} := x - \alpha/3 \mid x \in N_2(\alpha)\} \subseteq \alpha^\perp \cong \mathbb{R}^{15}$ satisfies

$$(\bar{x}, \bar{x}') = (x - \alpha/3, x' - \alpha/3) = (x, x') - 2/3 \begin{cases} = 5/6 & x = x' \\ \leq 1/12 & x \neq x' \end{cases}$$

so $\sqrt{6/5}\overline{N_2(\alpha)}$ is a $[-1, 1/10]$ -spherical code in S^{14} . By Lemma 3.8 the cardinality of such a code is upper bounded by $57 = 3 \cdot 19$. □

Lemma 9.3. *If a is squarefree then Γ is an even lattice of level involving only the primes 2 and 3.*

Proof. $\frac{1}{12}(D4 - D2)$ shows that $(\gamma, \gamma) \in 2\mathbb{Z}$ for all $\gamma \in \Gamma$. For the level, need to go through the possibilities for b . But p^2 does not divide b for $p \geq 5$ so this is easy. \square

Corollary 9.4. *a is not squarefree.*

Proof. By Lemma 9.3 $\det(\Gamma) = 2^a 3^b$ for some nonnegative integers a, b and $\min(\Gamma^*) = 3/2$. So by Lemma 4.2 Γ is isomorphic to one of $\Lambda_{16}, \Gamma_{16}$, or O_{16}^* , but none of them has Berge-Martinet invariant equal to 9. This concludes our proof. \square

So we are left with the cases $a \in \{4, 8, 9, 12, 16, 18\}$. By symmetry we also conclude that $b \in \{4, 8, 9, 12, 16, 18\}$. By the modular form approach we can prove that

Lemma 9.5. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 9$ and $s(\Lambda) = 2^7 a$ and $s(\Lambda^*) = 2^7 b$ for some $a, b \in \{4, 8, 9, 12, 16, 18\}$.*

In summary we have the following.

Theorem 9.6. *There is no dual strongly perfect lattice $\Lambda \subset \mathbb{R}^{16}$ with $r(\Lambda) = 9$.*

10 The case $r(\Lambda) = 8$

Throughout this section we assume that Λ is a dual strongly perfect lattice of dimension 16 with $r(\Lambda) = 8$. Rescale Λ so that $\min(\Lambda) = 2$ and $\min(\Lambda^*) = 4$. Put $\Gamma := \Lambda^*$. By Theorem 3.11 there are $a, b \in \{2, \dots, 30\}$ such that

$$s := |\text{Min}(\Lambda)|/2 = 2^3 3^2 a \text{ and } t := |\text{Min}(\Gamma)|/2 = 2^3 3^2 b.$$

Then for all $\gamma, \gamma' \in \Gamma$ the following numbers are integers:

$$\begin{aligned} (D4)(\gamma) &: 3a(\gamma, \gamma)^2, \\ (D22)(\gamma, \gamma') &: a(2(\gamma, \gamma')^2 + (\gamma, \gamma)(\gamma', \gamma')), \\ \frac{1}{12}(D4 - D2)(\gamma) &: \frac{a}{4}(\gamma, \gamma)((\gamma, \gamma) - 3), \\ \frac{1}{6}(D13 - D11)(\gamma', \gamma) &: \frac{a}{2}(\gamma, \gamma')((\gamma, \gamma) - 3). \end{aligned}$$

Lemma 10.1. *If a is squarefree then $(\gamma, \gamma) \in \mathbb{Z}$ for all $\gamma \in \Gamma$ and*

$$\Gamma^{(e)} := \{\gamma \in \Gamma \mid (\gamma, \gamma) \in 2\mathbb{Z}\} \subset \Gamma^* \cap \Gamma$$

is a sublattice of Γ with $|\Gamma : \Gamma^{(e)}| \in \{1, 2, 4\}$.

Proof. $\frac{1}{12}(D4 - D2)(\gamma)$ shows that $(\gamma, \gamma) \in \mathbb{Z}$ for all $\gamma \in \Gamma$. If $(\gamma, \gamma) \in 2\mathbb{Z}$, then $\frac{1}{6}(D13 - D11)$ implies that $(\gamma, \gamma') \in \mathbb{Z}$ for all $\gamma' \in \Gamma$, so $\Gamma^{(e)} \subset \Gamma^* \cap \Gamma$ is a sublattice of Γ . \square

Lemma 10.2. *If a is odd then $a \in \{9, 25\}$.*

Proof. If a is odd and squarefree then for $\alpha \in \text{Min}(\Gamma)$ equation $\frac{1}{6}(D13 - D11)$ shows that $\frac{a}{2}(\alpha, \gamma') \in \mathbb{Z}$ for all $\gamma' \in \Gamma$. This shows that $\frac{a}{2} \in \Gamma^* = \Lambda$ contradicting the fact that $\min(\Lambda) = 2 > 1 = (\frac{a}{2}, \frac{a}{2})$. \square

Corollary 10.3. *The argument above shows that $\frac{a}{2}\alpha \in \Gamma^*$ for all $\alpha \in \text{Min}(\Gamma)$.*

We now fix $\alpha \in \text{Min}(\Gamma)$ and consider the set

$$N_2(\alpha) := \{x \in \text{Min}(\Lambda) \mid (\alpha, x) = 2\}$$

Then $|N_2(\alpha)| = a$ and by [17, Lemma 2.10] we may write

$$N_2(\alpha) = E_1 \cup \dots \cup E_k$$

where E_i is minimal so that $\sum_{x \in E_i} x = \frac{|E_i|}{2}\alpha$ and k is maximal. Then

$$\dim\langle N_2(\alpha) \rangle = 1 + |N_2(\alpha)| - k \text{ and } |E_i| \geq 2 \text{ for all } i.$$

Lemma 10.4. $a \neq 25$.

Proof. If $a = 25$ then by the above $1 + 25 - k \leq 16$ implies that $k \geq 10 \geq 25/3$. So there is some i such that $|E_i| = 2$ which shows that $\alpha \in \Gamma^*$. By Corollary 10.3 we also have $\frac{25}{2}\alpha \in \Gamma^*$ so in total $\frac{a}{2} \in \Gamma^*$ contradicting the fact that $\min(\Gamma^*) = 2$. \square

So now we are left with the following cases:

$$a, b \in \{2, 4, 6, 8, 9, 10, 12, 14, 16, 18, 20, 22, 24, 26, 28, 30\}.$$

Lemma 10.5. (i) *If $a \in \{2, 4, 6, 8, 10, 12, 14, 20, 22, 24, 26, 28, 30\}$ then rescaling Γ yields an even lattice of minimum 8 (with dual minimum 1).*

(ii) *If $a \in \{9, 18\}$ then rescaling Γ yields an even lattice of minimum 24 (with dual minimum 1/3).*

(iii) *If $a = 16$ then rescaling Γ yields an even lattice of minimum 16 (with dual minimum 1/2).*

Lemma 10.6. *If $a = 30$ then $\Gamma \cong \Lambda_{16}$.*

Proof. Assume that $a = 30$. Then $16 \geq 1 + 30 - k$ and $k \leq 15$ implies that $k = 15$ and $|E_i| = 2$ for all i . So $N_2(\alpha) = \{x_1, \dots, x_{15}\} \cup \{\alpha - x_1, \dots, \alpha - x_{15}\}$ and $(x_i, x_j) = 1$ for all $i \neq j$. Hence the lattice $L := \langle N_2(\alpha) \rangle \subseteq \Lambda$. On the other hand, from Lemma 10.5 we know that $|\Lambda/L|$ has only the prime divisors 2 and 3. A complete search of the strongly perfect overlattices of L with minimum 2 and whose determinant only have the prime divisors 2 and 3 shows that $\Lambda \cong \Lambda_{16}^*$ and hence $\Gamma = \Lambda^* \cong \Lambda_{16}$. \square

By the modular form approach, we can prove the following.

Theorem 10.7. *There is no dual strongly perfect lattice with*

1. $a, b \in \{2, 4, 6, 8, 10, 12, 14, 16, 20, 22, 24, 26, 28\}$ except for $a = b = 28$;
2. $a \in \{9, 18\}$ and $b \in \{2, 4, 6, 8, 10, 12, 14, 20, 22, 24, 26, 28\}$

and vice versa.

Lemma 10.8. *There is no dual strongly perfect lattice with $a = b = 28$.*

Proof. By Lemma 10.5 we see that the level of Λ divides 8. Then by the modular form approach we find that only the case $\det(\Lambda) = 2^{-8}$ is possible. By the above $1 + 28 - k \leq 16$ implies that $k \geq 13$. WLOG we have the following three possible cases:

- (i) $k = 13$, $|E_i| = 2$ for $1 \leq i \leq 11$ and $|E_{12}| = |E_{13}| = 3$;
- (ii) $k = 13$, $|E_i| = 2$ for $1 \leq i \leq 12$ and $|E_{13}| = 4$;
- (iii) $k = 14$, $|E_i| = 2$ for $1 \leq i \leq 14$.

Case (i) can be easily excluded as the condition $|E_{12}| = 3$ implies that $\alpha/2 \in \Gamma^*$, which contradicts the fact that $\min(\Gamma^*) = 2$.

For Case (ii) we assume that $E_i = \{x_i, \alpha - x_i\}$ for $1 \leq i \leq 12$, and $E_{13} = \{x_{13}, x_{14}, x_{15}, x_{16}\}$. So $(x_i, x_i) = 2$ for $1 \leq i \leq 16$ and $(x_i, x_j) = 1$ for $1 \leq i \leq 12$, $1 \leq j \leq 16$ and $i \neq j$. On the other hand, we know that 2Λ is even and E_{13} is minimal so that $\sum_{x \in E_{13}} x = 2\alpha$, hence $(x_i, x_j) \in \{0, \pm 1/4, \pm 1/2, \pm 3/4, -1\}$ for $13 \leq i \neq j \leq 16$. A simple calculation shows that there is up to isomorphism only one possibility for the Gram matrix formed by vectors $x_{13}, x_{14}, x_{15}, x_{16}$:

$$G = \begin{pmatrix} 2 & 3/4 & 3/4 & 1/2 \\ 3/4 & 2 & 1/2 & 3/4 \\ 3/4 & 1/2 & 2 & 3/4 \\ 1/2 & 3/4 & 3/4 & 2 \end{pmatrix}.$$

But the the norm of the vector $(\alpha - x_{14} - x_{15})$ is equal to 1, contradicting the fact that $\min(\Gamma^*) = 2$. This excludes Case (ii).

For Case (iii) we assume that $E_i = \{x_i, \alpha - x_i\}$ for $1 \leq i \leq 14$. So $(x_i, x_j) = 1$ for $1 \leq i \neq j \leq 14$. Write $N_2(x_1) = \{\beta \in \text{Min}(\Gamma) \mid (x_1, \beta) = 2\}$. Similarly we can prove that

$$N_2(x_1) = F_1 \cup \dots \cup F_{14}$$

where F_i is minimal so that $\sum_{\beta \in F_i} \beta = 2x_1$. Set that $F_i = \{\alpha_i, 2x_1 - \alpha_i\}$ for $1 \leq i \leq 14$ where $\alpha_1 = \alpha$. A computer search by MAGMA shows that there is up to isomorphism only one possibility for the lattice $L := \langle x_1, \dots, x_{14}, \alpha_1, \dots, \alpha_{14} \rangle$, and its determinant is equal to 4. Then a complete search of the overlattices of L with minimum 2 and determinant 2^{-8} shows that up to isomorphism there is only one such lattice and it is isometric to Λ_{16}^* . This shows that Case (iii) is impossible. \square

Lemma 10.9. *There is no dual strongly perfect lattice with $a \in \{9, 18\}, b = 16$ or $a = 16, b \in \{9, 18\}$.*

Proof. By symmetry we may assume that $a \in \{9, 18\}$ and $b = 16$. If $a = 9$ and $b = 16$ then by the modular form approach we can prove that there is no such dual strongly perfect lattice. Now we assume that $a = 18$ and $b = 16$. We rescale Λ such that $\min(\Lambda) = \frac{1}{3}$ and $\min(\Lambda^*) = 24$. Set $\Gamma := \Lambda^*$. In

particular Γ is even by Lemma 10.5. Let $\alpha \in \Gamma$, and write $(\alpha, \alpha) = \frac{p}{q}$ with coprime integers p and q . Then

$$(D4)(\alpha) = \frac{3p^2}{2q^2} \in \mathbb{Z},$$

$$\frac{1}{6}(D13 - D11)(\alpha, \beta) = \frac{1}{2^3}(\alpha, \beta)((\beta, \beta) - 18).$$

Hence $\Gamma^{(e)} := \{\alpha \in \Gamma \mid (\alpha, \alpha) \in 4\mathbb{Z}\}$ is a sublattice of Γ with $|\Gamma : \Gamma^{(e)}| \in \{1, 2, 4\}$. We apply the modular form approach to Γ and $\Gamma^{(e)}$, and find that only the case $\det \Gamma = 2^{46}3^2$ and $|\Gamma : \Gamma^{(e)}| = 2$ is possible. Now from the linear restrictions (7) we find that Γ contains at most 2426 vectors of norm 36. On the other hand, as $\Lambda \subset (\Gamma^{(e)})^*$, we know that $(\Gamma^{(e)})^*$ contains at least $2 \cdot 72 \cdot 18$ vectors of norm $\frac{1}{3}$. Also as $\text{Min}(\Gamma) = \text{Min}(\Gamma^{(e)})$, $\Gamma^{(e)}$ is also strongly perfect, so $\min((\Gamma^{(e)})^*) \geq 1/4$. Now from the linear restrictions (7) and the condition that $(\Gamma^{(e)})^*$ contains at least $2 \cdot 72 \cdot 18$ vectors of norm $\frac{1}{3}$, we compute that $\Gamma^{(e)}$ contains at least 6172 vectors of norm 36, which is a contradiction. This concludes our proof. \square

Lemma 10.10. *There is no dual strongly perfect lattice with $a, b \in \{9, 18\}$.*

Proof. By Remark 5.3 we see that the case $a = b = 9$ is impossible. By symmetry we may assume that $a = 18$ and $b \in \{9, 18\}$. We rescale Λ such that $\min(\Lambda) = \frac{1}{3}$ and $\min(\Lambda^*) = 24$. Set $\Gamma := \Lambda^*$. As in Lemma 10.9 we see that Γ is an even lattice and $\Gamma^{(e)} := \{\alpha \in \Gamma \mid (\alpha, \alpha) \in 4\mathbb{Z}\}$ is a sublattice of Γ with $|\Gamma : \Gamma^{(e)}| \in \{1, 2, 4\}$ (see [17, Lemma 2.8]). Similarly we can prove that $L := \sqrt{72}\Lambda$ is even and hence the even level of Γ divides 72. If the even level of Γ divides 36 or 24, then we apply the modular form technique to the lattice Γ , and the computation shows that there does not exist such a lattice. So in the following we assume that the level of Γ is equal to 72. Then $\Gamma^{(e)}$ is a proper sublattice of Γ . As $\text{Min}(\Gamma) = \text{Min}(\Gamma^{(e)})$, $\Gamma^{(e)}$ is also strongly perfect, so $\min((\Gamma^{(e)})^*) \geq 1/4$. In total we find 1508 possible genus symbols for the lattice Γ . We apply the modular form technique to Γ if $\det(\Gamma) \notin \{2^{18}3^{20}, 2^{30}3^{12}, 2^{24}3^{16}\}$ and to its even sublattice $\Gamma^{(e)}$ otherwise. It turns out that none of the 1508 genus symbols is possible. This concludes our proof. \square

References

- [1] David Avis. A revised implementation of the reverse search vertex enumeration algorithm. In *Polytopes—combinatorics and computation (Oberwolfach, 1997)*, volume 29 of *DMV Sem.*, pages 177–198. Birkhäuser, Basel, 2000.
- [2] Christine Bachoc and Boris Venkov. Modular forms, lattices and spherical designs. In *Réseaux euclidiens, designs sphériques et formes modulaires*, volume 37 of *Monogr. Enseign. Math.*, pages 87–111. Enseignement Math., Geneva, 2001.
- [3] Wieb Bosma, John Cannon, and Catherine Playoust. The Magma algebra system. I. The user language. *J. Symbolic Comput.*, 24(3-4):235–265, 1997. Computational algebra and number theory (London, 1993).

- [4] Henry Cohn and Noam Elkies. New upper bounds on sphere packings. I. *Ann. of Math. (2)*, 157(2):689–714, 2003.
- [5] Henry Cohn and Abhinav Kumar. Optimality and uniqueness of the Leech lattice among lattices. *Ann. of Math. (2)*, 170(3):1003–1050, 2009.
- [6] J. H. Conway and N. J. A. Sloane. *Sphere packings, lattices and groups*, volume 290 of *Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences]*. Springer-Verlag, New York, 1988. With contributions by E. Bannai, J. Leech, S. P. Norton, A. M. Odlyzko, R. A. Parker, L. Queen and B. B. Venkov.
- [7] David de Laat, Fabrício Caluza Machado, Fernando Mário de Oliveira Filho, and Frank Vallentin. \mathbb{R}^n -point semidefinite programming bounds for equiangular lines. *arXiv e-prints*, page arXiv:1812.06045, Dec 2018.
- [8] P. Delsarte, J. M. Goethals, and J. J. Seidel. Spherical codes and designs. *Geometriae Dedicata*, 6(3):363–388, 1977.
- [9] Wolfgang Ebeling. *Lattices and codes*. Advanced Lectures in Mathematics. Springer Spektrum, Wiesbaden, third edition, 2013. A course partially based on lectures by Friedrich Hirzebruch.
- [10] Sihuang Hu and Gabriele Nebe. Strongly perfect lattices sandwiched between barnes-wall lattices. *submitted*.
- [11] Martin Kneser. Klassenzahlen definiter quadratischer Formen. *Arch. Math.*, 8:241–250, 1957.
- [12] Jacques Martinet. *Perfect lattices in Euclidean spaces*, volume 327 of *Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences]*. Springer-Verlag, Berlin, 2003.
- [13] Hans D. Mittelmann and Frank Vallentin. High-accuracy semidefinite programming bounds for kissing numbers. *Experiment. Math.*, 19(2):175–179, 2010.
- [14] Gabriele Nebe, Elisabeth Nossek, and Boris Venkov. Low dimensional strongly perfect lattices. II: Dual strongly perfect lattices of dimension 13 and 15. *J. Théor. Nombres Bordeaux*, 25(1):147–161, 2013.
- [15] Gabriele Nebe and Neil Sloane. *A Catalogue of lattices*, 2019. <http://www.math.rwth-aachen.de/~Gabriele.Nebe/LATTICES/>.
- [16] Gabriele Nebe and Boris Venkov. The strongly perfect lattices of dimension 10. *J. Théor. Nombres Bordeaux*, 12(2):503–518, 2000. Colloque International de Théorie des Nombres (Talence, 1999).
- [17] Gabriele Nebe and Boris Venkov. Low-dimensional strongly perfect lattices. I. The 12-dimensional case. *Enseign. Math. (2)*, 51(1-2):129–163, 2005.
- [18] Gabriele Nebe and Boris Venkov. Low-dimensional strongly perfect lattices. III. Dual strongly perfect lattices of dimension 14. *Int. J. Number Theory*, 6(2):387–409, 2010.

- [19] Elisabeth Nossek. *Dual Strongly Perfect Lattices*. PhD thesis, RWTH Aachen, 2013.
- [20] Rudolf Scharlau and Boris Hemkemeier. Classification of integral lattices with large class number. *Math. Comp.*, 67(222):737–749, 1998.
- [21] Winfried Scharlau. *Quadratic and Hermitian forms*, volume 270 of *Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences]*. Springer-Verlag, Berlin, 1985.
- [22] Achill Schürmann. Perfect, strongly eutactic lattices are periodic extreme. *Adv. Math.*, 225(5):2546–2564, 2010.
- [23] Carl Ludwig Siegel. *Gesammelte Abhandlungen. Bände I*. Herausgegeben von K. Chandrasekharan und H. Maass. Springer-Verlag, Berlin-New York, 1966.
- [24] The Sage Developers. *SageMath, the Sage Mathematics Software System (Version 8.7)*, 2019. <https://www.sagemath.org>.
- [25] Boris Venkov. Réseaux et designs sphériques. In *Réseaux euclidiens, designs sphériques et formes modulaires*, volume 37 of *Monogr. Enseign. Math.*, pages 10–86. Enseignement Math., Geneva, 2001.
- [26] Lynne H. Walling. A remark on differences of theta series. *J. Number Theory*, 48(2):243–251, 1994.
- [27] Tonghai Yang. An explicit formula for local densities of quadratic forms. *J. Number Theory*, 72(2):309–356, 1998.