

## Golden lattices

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*This paper is dedicated to Boris Venkov.*

ABSTRACT. A golden lattice is an even unimodular  $\mathbb{Z}[\frac{1+\sqrt{5}}{2}]$ -lattice of which the Hilbert theta series is an extremal Hilbert modular form. We construct golden lattices from extremal even unimodular lattices and obtain families of dense modular lattices.

### 1. Introduction

**1.1. Even unimodular  $R$ -lattices.** Let  $R$  be the ring of integers in a totally real number field  $K$ . Let  $\Lambda$  be a full  $R$ -lattice in Euclidean  $n$ -space  $(K^n, Q)$ , so  $\Lambda$  is a finitely generated  $R$ -submodule of  $K^n$  that spans  $K^n$  over  $K$  and  $Q : K^n \rightarrow K$  is a totally positive definite quadratic form. The *polar form* of  $Q$  is the positive definite symmetric  $K$ -bilinear form  $B$  defined by  $B(x, y) := Q(x+y) - Q(x) - Q(y)$ . The lattice  $(\Lambda, Q)$  is called *even* if  $Q : \Lambda \rightarrow R$  is an  $R$ -valued quadratic form and *unimodular* over  $R$ , if

$$\Lambda = \Lambda^\# := \{x \in K^n \mid B(x, \lambda) \in R \text{ for all } \lambda \in \Lambda\}.$$

For small dimension and small fields even unimodular  $R$ -lattices have been classified in [2], [8], [9].

**1.2. Trace lattices.** Any  $R$ -lattice  $(\Lambda, Q)$  and any totally positive  $\alpha \in K_+$  give rise to a positive definite  $\mathbb{Z}$ -lattice

$$L_\alpha := (\Lambda, \text{tr}_{K/\mathbb{Q}}(\alpha Q))$$

of dimension  $n[K : \mathbb{Q}]$ .  $L_\alpha$  will be called a *trace lattice* of  $(\Lambda, Q)$ , since the quadratic form

$$q := q_\alpha : L_\alpha \rightarrow \mathbb{Q}, x \mapsto \text{tr}_{K/\mathbb{Q}}(\alpha Q(x))$$

is obtained as a trace. In this way an  $R$ -lattice  $(\Lambda, Q)$  defines family of positive definite  $\mathbb{Z}$ -lattices  $\{L_\alpha \mid \alpha \in K_+\}$  where  $\alpha$  varies over the  $[K : \mathbb{Q}]$ -dimensional rational cone  $K_+$  of totally positive elements in  $K$ . The most important invariants of all the lattices  $L_\alpha$  in this family, like minimum and determinant, and also the

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2010 *Mathematics Subject Classification.* Primary 11F41, 11H50; Secondary 11H56, 11H31.

*Key words and phrases.* Extremal even unimodular lattice, golden ratio, Hilbert modular forms.

theta series may be read off from the corresponding invariants of the lattice  $(\Lambda, Q)$  and its Hilbert theta series. For instance the dual lattice

$$(1.1) \quad L_\alpha^* := \{x \in K^n \mid \text{tr}_{K/\mathbb{Q}}(\alpha B(x, \lambda)) \in \mathbb{Z} \text{ for all } \lambda \in \Lambda\} = \alpha^{-1} R^* \Lambda^\#$$

where  $R^* := \{s \in K \mid \text{tr}_{K/\mathbb{Q}}(sR) \subseteq \mathbb{Z}\}$  is the *inverse different* of  $R$ .

**1.3. Extremal even unimodular lattices.** In particular if  $(\Lambda, Q)$  is an even unimodular  $R$ -lattice and  $\alpha$  is a totally positive generator of  $R^* = \alpha R$  then the trace lattice  $L_\alpha$  is an even unimodular integral lattice of dimension  $N = n[K : \mathbb{Q}]$ . It is well known that the minimum

$$\min(L, q) = \min\{q(x) \mid 0 \neq x \in L\}$$

of an even unimodular  $\mathbb{Z}$ -lattice  $L$  of dimension  $N$  is bounded by  $\min(L, q) \leq 1 + \lfloor \frac{N}{24} \rfloor$  (see [17, end of proof of Satz 2]). Lattices that achieve equality are called *extremal*. If the dimension  $N$  is a multiple of 24 then the known extremal even unimodular lattices are densest known lattices (see [15]). There are 4 such lattices known, the Leech lattice  $\Lambda_{24}$  in dimension 24, three lattices  $P_{48p}$ ,  $P_{48q}$ , and  $P_{48n}$  of dimension 48 and one lattice  $\Gamma_{72}$  of dimension 72.

**1.4. Golden lattices.** This article considers the situation where  $R = \mathbb{Z}[\vartheta]$  and  $1 + \vartheta = \frac{1+\sqrt{5}}{2}$  is the golden ratio. Then  $K$  is a real quadratic number field of minimal possible discriminant 5,  $R$  is a principal ideal domain and

$$R^* = \eta^{-1}R \text{ where } \eta = 3 + \vartheta = \frac{5 + \sqrt{5}}{2}$$

is a totally positive generator of the prime ideal of norm 5. In Section 3 the extremal even unimodular lattices  $\Lambda_{24}$ ,  $P_{48n}$  and  $\Gamma_{72}$  are obtained as trace lattices  $L_{\eta^{-1}}$  of even unimodular  $\mathbb{Z}[\vartheta]$ -lattices. This structure allows to construct interesting families of dense modular lattices (Section 2.4).

## 2. Hilbert theta series of golden lattices

**2.1. Symmetric Hilbert modular forms.** Let  $R := \mathbb{Z}[\vartheta]$  be the ring of integers in the real quadratic number field  $K := \mathbb{Q}[\sqrt{5}]$ . Then the Hilbert theta series (Definition 2.1) of an  $n$ -dimensional even unimodular  $R$ -lattice  $(\Lambda, Q)$  is a Hilbert modular form of weight  $n/2$  (see [5, Section 5.7]). If  $(\Lambda, Q)$  is Galois invariant, then so is its theta series and hence this Hilbert modular form is *symmetric*. Hilbert modular forms for  $R$  are holomorphic functions on the direct product  $\mathbb{H}_K := \mathbb{H} \times \mathbb{H}$  of 2 copies of the upper half plane

$$\mathbb{H} := \{z \in \mathbb{C} \mid \Im(z) > 0\}.$$

If  $\sigma_1, \sigma_2$  denote the two embeddings of  $K$  into  $\mathbb{R} \subset \mathbb{C}$  then  $\text{SL}_2(R)$  acts on  $\mathbb{H}_K$  by

$$(z_1, z_2) \begin{pmatrix} a & b \\ c & d \end{pmatrix} := \left( \frac{\sigma_1(a)z_1 + \sigma_1(b)}{\sigma_1(c)z_1 + \sigma_1(d)}, \frac{\sigma_2(a)z_2 + \sigma_2(b)}{\sigma_2(c)z_2 + \sigma_2(d)} \right)$$

and the Galois automorphism just interchanges the two copies of  $\mathbb{H}$ . The ring of symmetric Hilbert modular forms for the group  $\text{SL}_2(R)$  is a polynomial ring

$$\mathcal{H} := \mathbb{C}[A_2, B_6, C_{10}]$$

graded by the weight. The explicit generators of weight 2, 6, and 10 have been obtained in [6] (see [5, Corollary 5.4]). We denote by

$$\mathcal{H}_w := \{f \in \mathcal{H} \mid \text{weight of } f = w\}$$

the space of symmetric Hilbert modular forms of weight  $w$ .

DEFINITION 2.1. Let  $(\Lambda, Q)$  be an even  $R$ -lattice. Then the *Hilbert theta series* of  $\Lambda$  is

$$\Theta(\Lambda, Q) := \sum_{\lambda \in \Lambda} \exp(2\pi i \operatorname{tr}_{K/\mathbb{Q}}(zQ(\lambda))) = 1 + \sum_{X \in R_+} A_X \exp(2\pi i \operatorname{tr}_{K/\mathbb{Q}}(zX))$$

where  $A_X := |\{\lambda \in \Lambda \mid Q(\lambda) = X\}|$  and  $\operatorname{tr}_{K/\mathbb{Q}}(zX) := z_1\sigma_1(X) + z_2\sigma_2(X)$  for  $z = (z_1, z_2) \in \mathbb{H}_K$ .

Since  $(1, \eta^{-1})$  is a  $\mathbb{Q}$ -basis of  $K$  the traces of  $Q(\lambda)$  and  $\eta^{-1}Q(\lambda)$  uniquely determine the value  $Q(\lambda) \in K$ . So  $\Theta(\Lambda, Q)$  is determined by the  $(q_0, q_1)$ -*expansion*

$$\Theta(\Lambda, Q) := \sum_{\lambda \in \Lambda} q_0^{\operatorname{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\lambda))} q_1^{\operatorname{tr}_{K/\mathbb{Q}}(Q(\lambda))} \in \mathbb{C}[[q_0, q_1]]$$

which is very convenient for computations. Replacing  $q_1$  by 1 yields the usual theta series of the trace lattice  $L_{\eta^{-1}}$  and substituting  $q_0$  by 1 gives the theta series of  $L_1$ . Explicit computations with Magma [1] show that  $A_2(q_0, 1) = \Theta(E_8)$  the Eisenstein series of weight 4,  $B_6(q_0, 1) = \Delta$ , the cusp form of weight 12, and  $C_{10}(q_0, 1) = 0$ . In particular replacing  $q_1$  by 1 yields a surjective ring homomorphism from  $\mathcal{H}$  onto the ring of elliptic modular forms of weight divisible by 4 for the full modular group  $\operatorname{SL}_2(\mathbb{Z})$ .

EXAMPLE 2.2. The  $(q_0, q_1)$ -expansion of the three generators of  $\mathcal{H}$  start with

$$\begin{aligned} A_2 &= 1 + (120q_1^2 + 120q_1^3)q_0 + (120q_1^3 + 600q_1^4 + 720q_1^5 + 600q_1^6 + 120q_1^7)q_0^2 + O(q_0^3) \\ B_6 &= (q_1^2 + q_1^3)q_0 + (q_1^3 + 20q_1^4 - 90q_1^5 + 20q_1^6 + q_1^7)q_0^2 + O(q_0^3) \\ C_{10} &= (q_1^4 - 2q_1^5 + q_1^6)q_0^2 + (-2q_1^5 - 18q_1^6 + 20q_1^7 + 20q_1^8 - 18q_1^9 - 2q_1^{10})q_0^3 + O(q_0^4) \end{aligned}$$

**2.2. Extremal Hilbert modular forms.**

DEFINITION 2.3. Define a valuation on the field of fractions of  $\mathbb{C}[[q_0, q_1]]$  by

$$\nu : \mathbb{C}((q_0, q_1)) \setminus \{0\} \rightarrow \mathbb{Z} \times \mathbb{Z}, \nu\left(\sum_{(i,j)=(s,t)}^{(\infty,\infty)} A_{(i,j)} q_0^i q_1^j\right) := \min\{(i, j) \mid A_{(i,j)} \neq 0\}$$

where the total ordering on  $\mathbb{Z} \times \mathbb{Z}$  is lexicographic, so

$$(s, t) \leq (s', t') \text{ if } s < s' \text{ or } s = s' \text{ and } t \leq t'.$$

This gives rise to a valuation on the ring of Hilbert modular forms via the  $(q_0, q_1)$ -expansion. A symmetric Hilbert modular form  $f \in \mathcal{H}_w$  is called an *extremal Hilbert modular form of weight  $w$* , if

$$\nu(f - 1) \geq \nu(f' - 1) \text{ for all } f' \in \mathcal{H}_w.$$

With Magma [1] one computes

$$\nu(A_2 - 1) = (1, 2), \nu(B_6) = (1, 2), \nu(C_{10}) = (2, 4), \nu(X_{12}) = (2, 5)$$

where  $X_{12} = \frac{1}{4}(A_2C_{10} - B_6^2)$ . The valuations of the first few extremal Hilbert modular forms are given in the table in Example 2.7 below.

### 2.3. Golden lattices.

DEFINITION 2.4. An even unimodular  $R$ -lattice  $(\Lambda, Q)$  is called a *golden lattice*, if its Hilbert theta series is an extremal symmetric Hilbert modular form.

PROPOSITION 2.5. Let  $(L, q)$  be an even unimodular  $\mathbb{Z}$ -lattice of dimension  $N$  and  $\vartheta \in \text{End}_{\mathbb{Z}}(L)$  be a self-adjoint endomorphism of  $L$  with minimal polynomial  $X^2 + X - 1$ . Then  $L$  is a  $\mathbb{Z}[\vartheta]$ -lattice  $\Lambda$  and  $L = L_{\eta^{-1}}$  for the even unimodular  $\mathbb{Z}[\vartheta]$ -lattice  $(\Lambda, Q)$  with quadratic form

$$Q : \Lambda \rightarrow R, Q(\lambda) := \frac{1}{2}(q(\lambda) + q(\vartheta\lambda)) + \frac{1}{2}(q(\vartheta\lambda) - q(\lambda))\sqrt{5}.$$

Assume that there is some automorphism  $\sigma \in \text{Aut}(L, q)$  such that  $\sigma\vartheta = (-1 - \vartheta)\sigma$ . Then for  $N = 8, 16, 24, 32, 48, 56, 72$  the lattice  $(\Lambda, Q)$  is a golden lattice, if and only if  $(L, q)$  is an extremal even unimodular lattice.

PROOF. Clearly any such endomorphism  $\vartheta$  defines a  $\mathbb{Z}[\vartheta]$ -structure on the  $\mathbb{Z}$ -lattice  $L$ . Since  $\vartheta$  is a self-adjoint with respect to the polar form of  $q$ , the form  $q$  is a trace form,  $q(\lambda) = \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\lambda))$  for some  $K$ -valued quadratic form  $Q$  for all  $\lambda \in L$ . If  $Q(\lambda) = a + b\sqrt{5}$ , then

$$q(\lambda) = \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\lambda)) = a - b \text{ and } q(\vartheta\lambda) = \text{tr}_{K/\mathbb{Q}}(\vartheta^2\eta^{-1}Q(\lambda)) = a + b$$

and hence  $Q(\lambda) = \frac{1}{2}(q(\lambda) + q(\vartheta\lambda)) + \frac{1}{2}(q(\vartheta\lambda) - q(\lambda))\sqrt{5}$ . Clearly if  $q(\lambda) \in \mathbb{Z}$  and  $q(\vartheta\lambda) \in \mathbb{Z}$  then also  $Q(\lambda) \in R$ , therefore  $(\Lambda, Q)$  is even. By Equation (1.1) the lattice  $(\Lambda, Q)$  is unimodular.

The Galois invariance of  $\Theta(\Lambda, Q)$  follows from the fact that  $Q(\vartheta\sigma(\lambda)) = \overline{Q(\lambda)}$  for all  $\lambda \in \Lambda$ :

To see this write  $Q(\lambda) = a + b\sqrt{5}$  and  $Q(\sigma(\lambda)) = a' + b'\sqrt{5}$ , so

$$Q(\vartheta\sigma(\lambda)) = \vartheta^2 Q(\sigma(\lambda)) = \frac{3a' - 5b'}{2} + \frac{3b' - a'}{2}\sqrt{5}.$$

Then

$$\begin{aligned} \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\lambda)) &= \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\sigma(\lambda))) && \text{yields } a - b = a' - b' \\ \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\vartheta\lambda)) &= \text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q(\sigma(\vartheta\lambda))) \Leftrightarrow \\ \text{tr}_{K/\mathbb{Q}}(\eta^{-1}\vartheta^2 Q(\lambda)) &= \text{tr}_{K/\mathbb{Q}}(\eta^{-1}\vartheta^2 Q(\sigma(\lambda))) && \text{yields } 2a - 4b = a' + b' \end{aligned}$$

so in total  $a' = \frac{3a-5b}{2}, b' = \frac{a-3b}{2}$  which gives

$$Q(\sigma(\lambda)) = \overline{\vartheta^2 Q(\lambda)} \text{ for all } \lambda \in \Lambda.$$

Therefore the mapping  $\lambda \mapsto \vartheta\sigma(\lambda)$  gives a bijection between

$$\{\lambda \in \Lambda \mid Q(\lambda) = \alpha\} \text{ and } \{\lambda \in \Lambda \mid Q(\lambda) = \overline{\alpha}\}$$

so the Hilbert theta series of  $(\Lambda, Q)$  is symmetric.

The last statement follows from explicit computations in the ring of Hilbert modular forms. These show that for weight  $2, 4, 6, 8, 12, 14$ , and  $18$  the condition that  $f(q_0, 1)$  be an extremal elliptic modular form and that  $f(q_0, q_1)$  has non negative coefficients imply that  $f$  is an extremal Hilbert modular form. The opposite direction follows from the table in Example 2.7.  $\square$

**2.4. Associated modular lattices.** Generalising unimodular lattices Quebbemann [16] introduced the notion of  $p$ -modular lattices.

DEFINITION 2.6. An even  $\mathbb{Z}$ -lattice  $L$  in euclidean space is called  $p$ -modular, if there is a similarity  $\sigma$  of norm  $N$  (so  $(\sigma(v), \sigma(w)) = p(v, w)$  for all  $v, w \in L$ ) such that  $\sigma(L^*) = L$ .

If  $p$  is one of the 6 primes for which  $p + 1$  divides 24, then the theta series of  $p$ -modular lattices generate a polynomial ring with 2 generators from which one obtains a similar notion of extremality as for unimodular lattices: Let  $k := \frac{24}{p+1}$ . Then any  $p$ -modular lattice  $L$  of dimension  $N$  satisfies  $\min(L) \leq 1 + \lfloor \frac{N}{2k} \rfloor$ .  $p$ -modular lattices achieving this bound are called *extremal*.

EXAMPLE 2.7. For the first few weights the extremal Hilbert modular forms  $f$  turn out to be unique and start with non-negative integral coefficients. The valuation  $\nu(f - 1) = (s, t)$  can be read off from the following table. Any golden lattice  $\Lambda$  with theta series  $f$  defines an even unimodular  $\mathbb{Z}$ -lattice  $L_{\eta^{-1}}$  of minimum  $s$  and a 5-modular lattice  $L_1$  of minimum  $t$ . The Hilbert modular form  $f$  also gives us information about the minimal vectors  $\text{Min}(L, q) := \{v \in L \mid q(v) = \min(L)\}$ . The kissing number  $s_{\eta^{-1}} = |\text{Min}(L_{\eta^{-1}})|$  and  $s_1 = |\text{Min}(L_1)|$  of these two lattices can be read off from column 3 and 4 of the table. That all minimal vectors of  $L_1$  are also minimal vectors of  $L_{\eta^{-1}}$  is indicated by a + in the last column. A - means that only half of the minimal vectors of  $L_1$  are also contained in  $\text{Min}(L_{\eta^{-1}})$ . The table summarizes the results of explicit computations in Magma (V2.18-8) using  $(q_0, q_1)$ -series expansions of  $A_2, B_6$  and  $C_{10}$ . Only for the extremal Hilbert modular forms where the weight is marked as gold we could also construct golden lattices (see Section 3).

weight	$\nu(f - 1)$	$s_{\eta^{-1}}$	$s_1$	$\subset$
2 (gold)	(1, 2)	240	120	+
4 (gold)	(1, 2)	480	240	+
6 (gold)	(2, 4)	196560	37800	+
8 (gold)	(2, 4)	146880	21600	+
10	(2, 5)	39600	79200	-
12 (gold)	(3, 6)	52416000	2620800	+
14	(3, 6)	15590400	537600	+
16	(3, 7)	2611200	2611200	-
18 (gold)	(4, 8)	6218175600	75411000	+
20	(4, 9)	1250172000	609840000	-
24	(5, 10)	565866362880	1655821440	+
30	(6, 13)	45792819072000	3217294080000	-

Note that [13, Proposition 3.3] applied to an even unimodular  $R$ -lattice  $(\Lambda, Q)$  gives that

$$\frac{5}{2} \min(L_{\eta^{-1}}) \geq \min(L_1) \geq 2 \min(L_{\eta^{-1}}).$$

The argument is that  $\eta = 1 + \bar{\vartheta}^2$  and  $5\eta^{-1} = 1 + \vartheta^2$  so for all  $\lambda \in \Lambda$

$$(2.1) \quad \begin{aligned} Q(\lambda) &= (1 + \bar{\vartheta}^2)\eta^{-1}Q(\lambda) = \eta^{-1}Q(\lambda) + \eta^{-1}Q(\bar{\vartheta}\lambda) \quad \text{and} \\ 5\eta^{-1}Q(\lambda) &= (1 + \vartheta^2)Q(\lambda) = Q(\lambda) + Q(\vartheta\lambda) \end{aligned}$$

Imitating the proof of this proposition we find the following bound.

PROPOSITION 2.8. *Let  $f$  be a symmetric Hilbert modular form of weight  $w$ ,  $s := 1 + \lfloor \frac{w}{6} \rfloor$  and  $t := \lfloor \frac{5s}{2} \rfloor$ . Then  $\nu(f - 1) \leq (s, t)$ . More precisely put  $\nu(f - 1) = (s', t')$ . Then  $s' \leq s$  and  $\lfloor \frac{5s'}{2} \rfloor \geq t' \geq 2s'$ .*

PROOF. Let  $\nu(f - 1) = (s', t')$ . Since  $f(q_0, 1)$  is an elliptic modular form of weight  $2w$  we get that  $s' \leq s$ . To obtain the other two inequalities write

$$f = 1 + \sum_{X \in R_+} A_X q_0^{\text{tr}(\eta^{-1}X)} q_1^{\text{tr}(X)}.$$

Then  $f$  is invariant under the transformation by  $\text{diag}(u, u^{-1}) \in \text{SL}_2(R)$  for any  $u \in R^*$ . This shows that

$$A_X = A_{\vartheta^2 X} = A_{\bar{\vartheta}^2 X}.$$

To see that  $t' \geq 2s'$  choose some totally positive  $X = a + b\sqrt{5} \in R$  such that  $t' = 2a$  and  $A_X = A_{\bar{\vartheta}^2 X} \neq 0$ . Then

$$a - b = \text{tr}(\eta^{-1}X) \geq s' \text{ and } a + b = \text{tr}(\eta^{-1}\bar{\vartheta}^2 X) \geq s'$$

and so  $t' = 2a = (a - b) + (a + b) \geq 2s'$ . To see that  $t' \leq \frac{5s'}{2}$  we let  $X := a + b\sqrt{5} \in R$  be a totally positive element with  $\text{tr}_{K/\mathbb{Q}}(\eta^{-1}X) = a - b = s'$  and  $A_X \neq 0$ . Then

$$2a = \text{tr}_{K/\mathbb{Q}}(X) \geq t' \text{ and } 3a - 5b = \text{tr}_{K/\mathbb{Q}}(\vartheta^2 X) \geq t'$$

and hence  $5s' = 5a - 5b \geq 2t'$ . □

The minimum of an extremal 5-modular lattice is  $1 + \lfloor \frac{N}{8} \rfloor$  and for  $N \geq 80$  this is strictly bigger than  $\frac{5}{2}(1 + \lfloor \frac{N}{24} \rfloor)$  which yields:

COROLLARY 2.9. *Let  $L$  be a golden lattice of dimension  $N$ . Then  $L_1$  is an extremal 5-modular lattice if and only if  $N = 8$  or  $N = 24$ .*

Any golden lattice defines a family of modular lattices:

THEOREM 2.10. *Let  $(\Lambda, Q)$  a golden lattice of dimension  $n$  and  $(s, t) := \nu(\Theta(\Lambda, Q)) - 1$ . For  $a \in \mathbb{N}_0$  the trace lattice  $L_{1+a\eta^{-1}}$  is an  $(a^2 + 5a + 5)$ -modular lattice of minimum  $\geq t + as$ .*

PROOF. Recall that  $L_{1+a\eta^{-1}} = (\Lambda, \text{tr}_{K/\mathbb{Q}}((1 + a\eta^{-1})Q))$ . Since  $\text{tr}_{K/\mathbb{Q}}(\eta^{-1}Q)$  and  $\text{tr}_{K/\mathbb{Q}}(Q)$  are positive definite and take integral values on  $\Lambda$ , the lattice  $L_{1+a\eta^{-1}}$  is even and positive definite for any  $a \in \mathbb{Z}_{\geq 0}$ . Clearly  $\min(L_{1+a\eta^{-1}}) \geq \min(L_1) + a \min(L_{\eta^{-1}}) = t + as$ . Now  $(\Lambda, Q)$  is unimodular, so Equation (1.1) yields that the  $\mathbb{Z}$ -dual of  $L_{1+a\eta^{-1}}$  is

$$L_{1+a\eta^{-1}}^* = \eta^{-1}(1 + a\eta^{-1})^{-1}\Lambda^\# = \eta^{-1}(1 + a\eta^{-1})^{-1}L_{1+a\eta^{-1}}.$$

The element  $\eta(1 + a\eta^{-1}) \in K$  hence defines a similarity between  $L_{1+a\eta^{-1}}^*$  and  $L_{1+a\eta^{-1}}$  or norm  $N(\eta + a) = a^2 + 5a + 5$ . □

### 3. Examples

All even unimodular  $\mathbb{Z}[\vartheta]$ -lattices are classified in dimension 4, 8, and 12 [7], [2]. In each of these dimensions there is a unique golden lattice. For the other dimensions 16 to 36 we inspect automorphisms of some known extremal even unimodular lattice to find a golden lattice with the method from Proposition 2.5. The tensor symbol  $\otimes$  denotes the Kronecker product of matrix groups, which is group theoretically the central product.

**3.1. Dimension 4.** Already Maass [11] has shown that there is a unique golden lattice,  $F_4$ , of dimension 4. It can be constructed from the maximal order  $\mathcal{M}$  in the definite quaternion algebra with center  $K$  that is only ramified at the two infinite places. Its  $R$ -automorphism group is

$$\text{Aut}_R(F_4) \cong (\text{SL}_2(5) \otimes \text{SL}_2(5)) : 2.$$

**3.2. Dimension 8.** Maass also showed that the only 8-dimensional even unimodular  $R$ -lattice is the orthogonal sum  $F_4 \perp F_4$ .

**3.3. Dimension 12.** The golden lattices of dimension 12 are exactly the  $\mathbb{Z}[\vartheta]$ -structures of the unique extremal even unimodular  $\mathbb{Z}$ -lattice of dimension 24, the Leech lattice. [2] shows that there is a unique such golden lattice  $\Lambda$ . Its automorphism group is

$$\text{Aut}_R(\Lambda) \cong 2.J_2 \otimes \text{SL}_2(5).$$

**3.4. Dimension 16.** By [7, Table (1.2)] the mass of all even unimodular  $R$ -lattices of rank 16 is  $> 10^6$ , so a complete classification seems to be out of reach. Here it would be desirable to have a mass formula for the lattices without roots in analogy to the classical case of even unimodular  $\mathbb{Z}$ -lattices [10].

There are several known extremal even unimodular lattices in dimension 32 which have a fairly big automorphism group. In particular there are two golden lattices  $\Lambda_1$  and  $\Lambda_2$  that have are  $\mathcal{M}$ -lattices for  $\mathcal{M}$  as in 3.1 (see [3] and [13, Table 2] for the automorphism group). The automorphism groups  $G_i = \text{Aut}_{\mathbb{Z}[\vartheta]}(\Lambda_i)$  are

$$G_1 \cong (\otimes^4 \text{SL}_2(5)) : S_4, \quad G_2 \cong \text{SL}_2(5) \otimes 2_1^{+6}.O_6^-(2).$$

**3.5. Dimension 20.** No golden lattice of dimension 20 is known. It is an interesting problem to construct such a golden lattice or to prove its non-existence, since this is the smallest dimension for which  $\min(L_1) > 2 \min(L_{\eta^{-1}})$ .

From the extremal even unimodular lattice  $L$  in [15] with automorphism group  $(U_5(2) \times 2_1^{+4}.Alt_5).2$  and an automorphism  $z \in L$  of order 5 with irreducible minimal polynomial one obtains a Galois invariant  $\mathbb{Z}[z + z^{-1}]$ -lattice  $(\Lambda, Q)$  for which the 5-modular trace lattice  $L_1$  has 19800 minimal vectors of norm 4 and no vectors of norm 5.

**3.6. Dimension 24.** The extremal even unimodular lattice  $P_{48n}$  constructed in [12, Theorem 5.3] has an obvious structure as a  $\mathbb{Z}[\vartheta]$ -lattice with automorphism group  $\text{SL}_2(13).2 \otimes \text{SL}_2(5)$ . This provides one example of a golden lattice of  $\mathbb{Z}[\vartheta]$ -dimension 24.

**3.7. Dimension 28.** Any extremal even unimodular 56-dimensional  $\mathbb{Z}$ -lattice that has a Galois invariant structure over  $\mathbb{Z}[\vartheta]$  gives rise to a golden lattice. It should not be too difficult to construct such a lattice.

**3.8. Dimension 32.** No golden lattice of dimension 32 is known. There is one extremal even unimodular lattice  $L$  of dimension 64 constructed in [12, p. 496] of which the extremality is proven in [13, Proposition 4.2]. The subgroup  $G := \text{SL}_2(17) \otimes \text{SL}_2(5)$  of the automorphism group of  $L$  has endomorphism ring  $\mathbb{Z}[(1 + \sqrt{17})/2, \vartheta]$  and with Proposition 2.5 the structure over  $\mathbb{Z}[\vartheta]$  yields a lattice  $(\Lambda, Q)$  whose Hilbert theta series is symmetric,  $L = L_{\eta^{-1}}$  is extremal, but the minimum of the 5-modular lattice  $L_1$  is only 6 (and not 7, as required for a golden lattice).

**3.9. Dimension 36.** In [14] an extremal even unimodular lattice  $\Gamma_{72}$  of dimension 72 is constructed. Any Galois invariant  $\mathbb{Z}[\vartheta]$ -structure on  $\Gamma_{72}$  will give rise to a golden lattice of rank 36. The lattice  $\Gamma_{72}$  can be obtained has a Hermitian tensor product [4]

$$\Gamma_{72} = P_b \otimes_{\mathbb{Z}[\frac{1+\sqrt{-7}}{2}]} P$$

where  $P$  is the  $\mathbb{Z}[\frac{1+\sqrt{-7}}{2}]$ -structure of the Leech lattice with automorphism group  $\mathrm{SL}_2(25)$ . The group  $\mathrm{SL}_2(25) \leq \mathrm{GL}_{24}(\mathbb{Z})$  contains an element  $\zeta$  of order 5 with irreducible minimal polynomial. Put  $\vartheta := \zeta + \zeta^{-1}$ . Then  $P$  is a  $\mathbb{Z}[\vartheta, \frac{1+\sqrt{-7}}{2}]$ -lattice with automorphism group  $U := (C_5 \times C_5) : C_4$ . This yields a  $\mathbb{Z}[\vartheta]$ -structure on the Hermitian tensor product  $\Gamma_{72}$  which defines a golden lattice of rank 36 whose automorphism group contains  $U \times \mathrm{PSL}_2(7)$ .

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