Clifford-Weil groups of quotient representations.

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Abstract This note gives an explicit proof that the scalar subgroup of the Clifford-Weil group remains unchanged when passing to the quotient representation filling a gap in [3]. For other current and future errata to [3] see
http://www.research.att.com/∼njas/doc/cliff2.html/.

1 Introduction

All notations in this paper are introduced in detail in [3] and we refer to this book for their definitions. One main goal of the book is to introduce a unified language to describe the Type of self-dual codes combining the different notions of self-duality and Types, that are well established in coding theory. The Type of a code is a finite representation $\rho = (V, \rho_M, \rho_\Phi, \beta)$ of a finite form ring $\mathcal{R} = (R, M, \psi, \Phi)$. The finite alphabet V is a left module for the ring R and the biadditive form $\beta : V \times V \to \mathbb{Q}/\mathbb{Z}$ defines the notion of duality. A code C of length N is then an R-submodule of V^N and the dual code is

$$C^\perp = \{v \in V^N \mid \sum_{i=1}^{N} \beta(v_i, c_i) = 0 \ \forall c \in C\}.$$

Additional properties of codes of a given Type are encoded in the R-qmodule $\rho_\Phi(\Phi)$ which is a certain subgroup of the group of quadratic mappings $V \to \mathbb{Q}/\mathbb{Z}$. A code $C \leq V^N$ is

isotropic, if $C \leq C^\perp$ and

$$\sum_{i=1}^{N} \rho_\Phi(\phi)(c_i) = 0 \quad \text{for all } \phi \in \Phi \text{ and for all } c \in C.$$

Given a finite representation ρ, one associates a finite subgroup $\mathcal{C}(\rho)$ of $\mathrm{GL}(\mathbb{C}[V])$, called the associated Clifford-Weil group (see Section 2). For certain finite form rings (including direct products of matrix rings over finite Galois rings) it is shown in [3, Theorem 5.5.7] that the ring of polynomial invariants of $\mathcal{C}(\rho)$ is spanned by the complete weight-enumerators of self-dual isotropic codes of Type ρ. We conjecture that this theorem holds for arbitrary finite form rings. It is shown in [3, Theorem 5.4.13, 5.5.3] that in general the order of the scalar subgroup

$$\mathcal{S}(\mathcal{C}(\rho)) = \mathcal{C}(\rho) \cap \mathbb{C}^* \,\mathrm{id}_{\mathbb{C}[V]}$$

is exactly the greatest common divisor of the lengths of self-dual isotropic codes of Type ρ. The proof of this theorem uses the fact that the scalar subgroup of $\mathcal{C}(\rho)$ remains unchanged when passing to the quotient representation. The aim of the present note is to give a full proof of this statement, Theorem 1.

Throughout the note we fix an isotropic code $C \leq C^\perp \leq V$ in ρ. Then the quotient representation ρ/C is defined by

$$\rho/C := (C^\perp/C, \rho_M/C, \rho_\Phi/C, \beta/C),$$

where $(\rho_M/C(m))(v + C, w + C) = \rho_M(m)(v, w)$, $(\rho_\Phi/C(\phi))(v + C) = \rho_\Phi(\phi)(v)$, and $\beta/C(v + C, w + C) = \beta(v, w)$ for all $v, w \in C^\perp, m \in M, \phi \in \Phi$.

Theorem 1. *Let $\mathcal{R} = (R, M, \psi, \Phi)$ be a finite form-ring and let $\rho = (V, \rho_M, \rho_\Phi, \beta)$ be a finite representation of $\mathcal{R}$. Let C be an isotropic self-orthogonal code in ρ. Then*

$$\mathcal{S}(\mathcal{C}(\rho)) \cong \mathcal{S}(\mathcal{C}(\rho/C)).$$

2 Clifford-Weil groups and hyperbolic counitary groups

The Clifford-Weil group $\mathcal{C}(\rho)$ associated to the finite representation ρ acts linearly on the space $\mathbb{C}[V]$ with basis $[b_v : v \in V]$. It is generated by

$m_r : b_v \mapsto b_{rv}$	for $r \in R^*$
$d_\phi : b_v \mapsto \exp(2\pi i \rho_\Phi(\phi)(v)) b_v$	for $\phi \in \Phi$
$h_{e,u_e,v_e} : b_v \mapsto \frac{1}{\lvert eV\rvert^{1/2}} \sum_{w \in eV} \exp(2\pi i \beta(w, v_e v)) b_{w+(1-e)v}$	$e^2 = e \in R$ symmetric.

Recall that the form-ring structure defines an involution ^{J} on R. Then an idempotent $e \in R$ is called *symmetric*, if eR and e^JR are isomorphic as right R-modules, which means that there are $u_e \in eRe^J, v_e \in e^JRe$ such that $e = u_e v_e$ and $e^J = v_e u_e$.

The Clifford-Weil group $\mathcal{C}(\rho)$ is a projective representation of the hyperbolic counitary group

$$\mathcal{U}(R,\Phi) = U(\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \mathrm{Mat}_2(R), \Phi_2).$$

The elements of $\mathcal{U}(R,\Phi)$ are of the form

$$X = \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \begin{pmatrix} \phi_1 & m \\ & \phi_2 \end{pmatrix}\right) \in \mathrm{Mat}_2(R) \times \Phi_2 \tag{1}$$

such that

$$\begin{pmatrix} \gamma^J\alpha & \gamma^J\beta \\ \delta^J\alpha - 1 & \delta^J\beta \end{pmatrix} = \psi_2^{-1} \begin{pmatrix} \lambda(\phi_1) & m \\ \tau(m) & \lambda(\phi_2) \end{pmatrix}.$$

A more detailed definition of $\mathcal{U}(R,\Phi)$ can be found in [3, Chapter 5.2].

It is shown in the book that $\mathcal{U}(R,\Phi)$ is generated by the elements

$$d((r,\phi)) = \left(\begin{pmatrix} r^{-J} & r^{-J}\psi^{-1}(\lambda(\phi)) \\ 0 & r \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ & \phi \end{pmatrix}\right)$$

with $r \in R^*, \phi \in \Phi$ and

$$H_{e,u_e,v_e} = \left(\begin{pmatrix} 1-e^J & v_e \\ -\epsilon^{-1}u_e^J & 1-e \end{pmatrix}, \begin{pmatrix} 0 & \psi(-\epsilon e) \\ & 0 \end{pmatrix}\right),$$

where $e = u_e v_e$ runs through the symmetric idempotents of R.

To formalize the proofs we let $\mathcal{F}(R,\Phi)$ denote the free group on

$$\{\tilde{d}(r,\phi), \tilde{H}_{e,u_e,v_e} \mid r \in R^*, \phi \in \Phi, e = u_e v_e \text{ symmetric idempotent in } R\}.$$

On these generators there are two group epimorphism:

$$\pi : \mathcal{F}(R,\Phi) \to \mathcal{U}(R,\Phi), \tilde{d}(r,\phi) \mapsto d((r,\phi)), \tilde{H}_{e,u_e,v_e} \mapsto H_{e,u_e,v_e}$$

and

$$p : \mathcal{F}(R,\Phi) \to \mathcal{C}(\rho); \quad \tilde{d}(r,\phi) \mapsto m_r d_\phi, \quad \tilde{H}_{e,u_e,v_e} \mapsto h_{e,u_e,v_e}. \tag{2}$$

Theorem 2. $p(\ker(\pi)) \subseteq \mathcal{S}(\mathcal{C}(\rho))$.
If ρ is faithful (i.e. $\mathrm{Ann}_R(V) = 0 = \ker(\rho_\Phi)$*), then* $p(\ker(\pi)) = \mathcal{S}(\mathcal{C}(\rho))$.

This is essentially [3, Theorem 5.3.2]. However the calculations there were omitted so we take the opportunity to give them here for completeness (also since there are a few typos in the proof there). As in [3, Theorem 5.3.2] we define the associated Heisenberg group $\mathcal{E}(V) := V \times V \times \mathbb{Q}/\mathbb{Z}$ with multiplication

$$(z, x, q) \cdot (z', x', q') = (z + z', x + x', q + q' + \beta(x', z)).$$

Then $\mathcal{E}(V)$ acts linearly on $\mathbb{C}[V]$ by

$$(z, x, q) \cdot b_v = \exp(2\pi i(q + \beta(v, z)))b_{v+x}, \ \ (z, x, q) \in \mathcal{E}(V), \ v \in V.$$

This yields an absolutely irreducible faithful representation $\Delta : \mathcal{E}(V) \to GL_{|V|}(\mathbb{C})$.

Lemma 3. *The hyperbolic counitary group $\mathcal{U}(R, \Phi)$ acts as group automorphisms on $\mathcal{E}(V)$ via*

$$\left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \begin{pmatrix} \phi_1 & m \\ & \phi_2 \end{pmatrix}\right)(z, x, q)$$
$$= (\alpha z + \beta x, \gamma z + \delta x, q + \rho_\Phi(\phi_1)(z) + \rho_\Phi(\phi_2)(x) + \rho_M(m)(z, x)).$$

If ρ is a faithful representation, then this action is faithful.

Also the associated Clifford-Weil group $\mathcal{C}(\rho) \leq \mathrm{GL}(\mathbb{C}[V])$ acts on $\Delta(\mathcal{E}(V)) \cong \mathcal{E}(V)$ by conjugation.

Lemma 4. *For $r \in R^*, \phi \in \Phi$ and $(z, x, q) \in \mathcal{E}(V)$ we have*

$$\Delta(d((r, \phi))(z, x, q)) = (m_r d_\phi)\Delta((z, x, q))(m_r d_\phi)^{-1}.$$

Proof. The proof is an easy calculation.

$$d((r, \phi))(z, x, q) = (r^{-J}z + r^{-J}\psi^{-1}(\lambda(\phi))x, rx, q + \rho_\Phi(\phi)(x))$$

maps the basis element b_v ($v \in V$) to

$$\exp(2\pi i(q + \rho_\Phi(\phi)(x) + \beta(v, r^{-J}z + r^{-J}\psi^{-1}(\lambda(\phi))x)))b_{v+rx}.$$

On the other hand

$$(m_r d_\phi)\Delta((z, x, q))(m_r d_\phi)^{-1}(b_v) = m_r d_\phi \exp(2\pi i(q - \rho_\Phi(\phi)(r^{-1}v) + \beta(r^{-1}v, z))(b_{r^{-1}v+x}) =$$
$$\exp(2\pi i(q - \rho_\Phi(\phi)(r^{-1}v) + \beta(r^{-1}v, z) + \rho_\Phi(\phi)(r^{-1}v + x)))(b_{v+rx}) =$$
$$\exp(2\pi i(q + \beta(r^{-1}v, z) + \rho_M(\lambda(\phi))(r^{-1}v, x)))(b_{v+rx})$$

which is the same as the above, since $\beta(r^{-1}v, z) = \beta(v, r^{-J}z)$ by definition of the involution J and

$$\rho_M(\lambda(\phi))(r^{-1}v, x) = \beta(r^{-1}v, \psi^{-1}(\lambda(\phi))x) = \beta(v, r^{-J}\psi^{-1}(\lambda(\phi))x).$$

□

Lemma 5. *For $e = u_e v_e$ a symmetric idempotent in R and $(z, x, q) \in \mathcal{E}(V)$*

$$\Delta(H_{e,u_e,v_e}(z,x,q)) = h_{e,u_e,v_e}\Delta((z,x,q))h^{-1}_{e,u_e,v_e}.$$

Proof. The group $\mathcal{E}(V)$ is generated by $(z,0,0),(0,x,0),(0,0,q)$ where $z \in e^J V \cup (1-e^J)V$, $x \in eV \cup (1-e)V$, $q \in \mathbb{Q}/\mathbb{Z}$ and it is enough to check the lemma for these 5 types of generators. For $(0,0,q)$ this is clear. Similarly, if $z \in (1-e^J)V$ and $x \in (1-e)V$, then both sides yield $\Delta((z,x,q))$ as one easily checks. For $z \in e^J V$, $x \in eV$, $q \in \mathbb{Q}/\mathbb{Z}$

$$H_{e,u_e,v_e}(z,x,q) = (v_e x, -\epsilon^{-1} u_e^J z, q + \beta(z, -\epsilon x)).$$

To calculate the right hand side, we note that according to the decomposition

$$V = eV \oplus (1-e)V$$

the space $\mathbb{C}[V] = \mathbb{C}[eV] \otimes \mathbb{C}[(1-e)V]$ is a tensor product and

$$h_{e,u_e,v_e} = (h_{e,u_e,v_e})_{\mathbb{C}[eV]} \otimes \mathrm{id}_{\mathbb{C}[(1-e)V]}.$$

Moreover the permutation matrix $\Delta((0,x,0)) : b_v \mapsto b_{v+x}$ for $x \in eV$ is a tensor product $p_x \otimes \mathrm{id}$ and similarly the diagonal matrix $\Delta((z,0,0))$ for $z \in e^J V$ is a tensor product $d_z \otimes \mathrm{id}$. It is therefore enough to calculate the action on elements of $\mathbb{C}[eV]$. For $z = e^J z \in e^J V$, $x = ex \in eV$ and $v = ev \in eV$ we get

$$\begin{array}{l} h_{e,u_e,v_e} \circ \Delta((e^J z,0,0)) \circ h^{-1}_{e,u_e,v_e} b_v = \\ h_{e,u_e,v_e}(|eV|^{-1/2} \sum_{w \in eV} \exp(2\pi i(\beta(-\epsilon^{-1} v_e^J \epsilon v, w) + \beta(w, e^J z)))b_w) = \\ |eV|^{-1} \sum_{w' \in eV} \sum_{w \in eV} \exp(2\pi i(\beta(-\epsilon^{-1} v_e^J \epsilon v, w) + \beta(w, e^J z) + \beta(w', v_e w)))b_{w'}. \end{array}$$

Now $\beta(-\epsilon^{-1} v_e^J \epsilon v, w) + \beta(w, e^J z) + \beta(w', v_e w) = \beta(-\epsilon^{-1} v_e^J \epsilon v + \epsilon^{-1} z + \epsilon^{-1} v_e^J \epsilon w', w)$. Hence the sum over all w is non-zero, only if $-v_e^J \epsilon v + z + v_e^J \epsilon w' = 0$ which implies that $w' = v - \epsilon^{-1} u_e^J z$. Hence $h_{e,u_e,v_e} \circ \Delta((e^J z,0,0)) \circ h^{-1}_{e,u_e,v_e} b_v = b_{v - \epsilon^{-1} u_e^J z}$. A similar calculation yields

$$\begin{array}{l} h_{e,u_e,v_e} \circ \Delta((0,ex,0)) \circ h^{-1}_{e,u_e,v_e} b_v = \\ h_{e,u_e,v_e}(|eV|^{-1/2} \sum_{w \in eV} \exp(2\pi i(\beta(-\epsilon^{-1} v_e^J \epsilon v, w)))b_{w+ex}) = \\ h_{e,u_e,v_e}(|eV|^{-1/2} \sum_{w \in eV} \exp(2\pi i(\beta(-\epsilon^{-1} v_e^J \epsilon v, w - ex)))b_w) = \\ h_{e,u_e,v_e} \circ h^{-1}_{e,u_e,v_e}(\exp(2\pi i(\beta(\epsilon^{-1} v_e^J \epsilon v, ex)))b_v) = \exp(2\pi i(\beta(v, v_e x)))b_v. \end{array}$$

□

Proof. (of Theorem 2) That $p(\ker(\pi)) \subseteq \mathcal{S}(\mathcal{C}(\rho))$ follows from Lemma 4 and 5. Assume now that ρ is faithful. Then by Lemma 3 the action of $\mathcal{U}(R,\Phi)$ on $\mathcal{E}(V)$ is faithful: Let $s \in \mathcal{S}(\mathcal{C}(\rho))$. Then there is some $f \in \mathcal{F}(R,\Phi)$ with $p(f) = s$ since p is surjective. Moreover the action of $\pi(f) \in \mathcal{U}(R,\Phi)$ and $p(f) \in \mathcal{C}(\rho)$ on $\mathcal{E}(V)$ coincide, so $\pi(f)$ acts trivially on $\mathcal{E}(V)$ and therefore $f \in \ker(\pi)$. □

Remark 6. *Let ρ be faithful. Lemma 4 and 5 show that every element $a \in \mathcal{C}(\rho)$ induces an automorphism α on $\mathcal{E}(V)$ that is in $\mathcal{U}(R,\Phi)$. The latter group acts faithfully on $\mathcal{E}(V)$ by Lemma 3 hence $\alpha \in \mathcal{U}(R,\Phi)$ is uniquely determined. This defines a group epimorphism*

$$\nu : \mathcal{C}(\rho) \to \mathcal{U}(R,\Phi),\ a \mapsto \alpha.$$

The kernel of ν is precisely the scalar subgroup $\mathcal{S}(\mathcal{C}(\rho))$. The inverse homomorphism is

$$\theta : \mathcal{U}(R,\Phi) \to \mathcal{C}(\rho)/\mathcal{S}(\mathcal{C}(\rho)),\ u \mapsto p(\pi^{-1}(u))$$

which is well defined by Theorem 2.

For the calculations in Section 5 we need the following lemma.

Lemma 7. *Let $X \in \mathcal{U}(R,\Phi)$ be as in (1). If $\delta^2 = \delta$ then $\iota := 1-\delta$ is a symmetric idempotent of R.*

Proof. We define $u_\iota = -\iota\gamma^J\iota^J,\ v_\iota = \iota^J\beta\iota$ and calculate

$$\begin{aligned} u_\iota v_\iota &= -(1-\delta)\epsilon^{-1}\gamma^J(1-\delta^J)\beta(1-\delta) \\ &= -(1-\delta)\epsilon^{-1}\underbrace{\gamma^J\beta}_{=\alpha^J\epsilon\delta-\epsilon}(1-\delta) + (1-\delta)\epsilon^{-1}\gamma^J\underbrace{\delta^J\beta}_{=\beta^J\epsilon\delta}(1-\delta) \\ &= (1-\delta)\epsilon^{-1}\epsilon(1-\delta) = 1-\delta = \iota \end{aligned}$$

and

$$\begin{aligned} v_\iota u_\iota &= -(1-\delta^J)\beta(1-\delta)\epsilon^{-1}\gamma^J(1-\delta^J) \\ &= -(1-\delta^J)\underbrace{\beta\epsilon^{-1}\gamma^J}_{=\alpha\delta^J-1}(1-\delta^J) + (1-\delta^J)\beta\underbrace{\delta\epsilon^{-1}\gamma^J}_{=\gamma\delta^J}(1-\delta^J) \\ &= -(1-\delta^J)(-1)(1-\delta^J) = 1-\delta^J = \iota^J. \end{aligned}$$

□

3 $\mathcal{S}(\mathcal{C}(\rho)) \leq \mathcal{S}(\mathcal{C}(\rho/C))$

The Clifford-Weil group $\mathcal{C}(\rho/C)$ can be derived from $\mathcal{C}(\rho)$ by restricting the operation of $\mathcal{C}(\rho)$ to a submodule of $\mathbb{C}[V]$.

Lemma 8. *The group $\mathcal{C}(\rho)$ acts on a submodule of $\mathbb{C}[V]$ isomorphic to $\mathbb{C}[C^\perp/C]$. This yields a representation*

$$\mathrm{res} : \mathcal{C}(\rho) \to \mathrm{GL}(\mathbb{C}[C^\perp/C])$$

with $\mathrm{res}(\mathcal{C}(\rho)) \leq \mathcal{C}(\rho/C)$. For the scalar subgroups we get $\ker(\mathrm{res}) \cap \mathcal{S}(\mathcal{C}(\rho)) = \{1\}$ and hence $\mathcal{S}(\mathcal{C}(\rho))$ is isomorphic to a subgroup of $\mathcal{S}(\mathcal{C}(\rho/C))$.

Proof. Let Rep denote a set of coset representatives of $C^\perp/C$. We define a subspace

$$U := \{ \sum_{v\in \mathrm{Rep}} \sum_{c\in C} a_v b_{v+c} \mid a_v \in \mathbb{C}\} \leq \mathbb{C}[V].$$

This subspace is isomorphic to $\mathbb{C}[C^\perp/C]$ via

$$f : \mathbb{C}[C^\perp/C] \to U, \sum_{v\in \mathrm{Rep}} a_v b_{v+C} \mapsto \sum_{v\in \mathrm{Rep}} \sum_{c\in C} a_v b_{v+c}.$$

So we have

$$\mathrm{res}(x) = f \circ x \circ f^{-1} \in GL(U)$$

for $x \in \mathcal{C}(\rho)$. Particularly, if $x = s \cdot \mathrm{id}_{\mathbb{C}[V]}$ then $\mathrm{res}(x) = s \cdot \mathrm{id}_{\mathbb{C}[C^\perp/C]}$ and hence the restriction of res to the scalar subgroup of $\mathcal{C}(\rho)$ is injective.

We now will show that

$$\star_H \qquad f \circ p(\tilde{H}_{e,u_e,v_e}) \circ f^{-1} = p/C(\tilde{H}_{e,u_e,v_e})$$

and

$$\star_d \qquad f \circ p(\tilde{d}((r,\phi))) \circ f^{-1} = p/C(\tilde{d}((r,\phi)))$$

where $p : \mathcal{F}(R,\Phi) \to \mathcal{C}(\rho)$ and $p/C : \mathcal{F}(R,\Phi) \to \mathcal{C}(\rho/C)$ denote the group homomorphisms as defined (2). So we have $\mathrm{Im}(\mathrm{res}) \leq \mathcal{C}(\rho/C) = \mathrm{Im}(p/C)$ which shows the lemma.

To prove $\star_H$ let $v + C \in C^\perp/C$ and let T denote a set of coset representatives of $eC^\perp/eC \cong eC^\perp/C$. Then

$$\begin{aligned}
&f^{-1} \circ p(\tilde{H}_{e,u_e,v_e}) \circ f(b_{v+C}) = f^{-1} \circ p(\tilde{H}_{e,u_e,v_e})(\textstyle\sum_{c\in C} b_{v+c}) = \\
&f^{-1}(\textstyle\sum_{c\in C} |eV|^{-\frac{1}{2}} \sum_{w\in eV} \exp(2\pi i\beta(w, v_e(v+c))) b_{w+(1-e)(v+c)}) = \\
&f^{-1}(|eV|^{-\frac{1}{2}} \textstyle\sum_{w\in eV} \exp(2\pi i\beta(w,v_e v)) \sum_{c'\in(1-e)C} \underbrace{\sum_{c\in eC} \exp(2\pi i\beta(w,v_e c))}\, b_{w+(1-e)(v+c')}) = \\
&\qquad\qquad = \begin{cases} |eC|, & w \in eC^\perp, \\ 0 & \text{otherwise.} \end{cases} \\
&f^{-1}(\tfrac{|eC|}{|eV|^{\frac{1}{2}}} \textstyle\sum_{w\in eC^\perp} \sum_{c'\in(1-e)C} \exp(2\pi i\beta(w,v_e v)) b_{w+(1-e)(v+c')}) = \\
&f^{-1}(\tfrac{|eC|}{|eV|^{\frac{1}{2}}} \textstyle\sum_{w\in T} \sum_{c'\in(1-e)C} \sum_{c\in eC} \exp(2\pi i\beta(w,v_e v)) b_{w+c+(1-e)(v+c')}) = \\
&f^{-1}(\tfrac{|eC|}{|eV|^{\frac{1}{2}}} \textstyle\sum_{w\in T} \exp(2\pi i\beta(w,v_e v)) \sum_{c\in C} b_{w+(1-e)v} + c) = \\
&|eC^\perp/C|^{-\frac{1}{2}} \textstyle\sum_{w\in eC^\perp/C} \exp(2\pi i\beta/C(w, v_e(v+C))) b_{w+(1-e)(v+C)} = p/C(\tilde{H}_{e,u_e,v_e})(b_{v+C}).
\end{aligned}$$

To show $\star_d$ we note that $\rho_\Phi(\phi)(c) = 0$ for all $c \in C$ and for all $\phi \in \Phi$ and obtain

$$\begin{aligned}
&f^{-1} \circ p(\tilde{d}((r,\phi))) \circ f(b_{v+C}) = f^{-1} \circ p(\tilde{d}((r,\phi)))(\textstyle\sum_{c\in C} b_{v+c}) = \\
&f^{-1}(p(\tilde{d}((r,0))) \textstyle\sum_{c\in C} \exp(2\pi i\rho_\Phi(\phi)(v+c)) b_{v+c}) = f^{-1}(\textstyle\sum_{c\in C} \exp(2\pi i\rho_\Phi(\phi)(v)) b_{rv+rc}) = \\
&f^{-1}(\textstyle\sum_{c\in C} \exp(2\pi i\rho_\Phi(\phi)(v)) b_{rv+c}) = \exp(2\pi i\rho_\Phi/C(\phi)(v+C)) b_{r(v+C)}) = p/C(\tilde{d}((r,\phi)))(b_{v+C}).
\end{aligned}$$

□

4 The strategy.

Without loss of generality we now assume that ρ is faithful, that is,

$$\ker(\rho) = (\mathrm{Ann}_R(V), \ker(\rho_\Phi)) = (0,0)$$

and let $(I,\Gamma) = \ker(\rho/C)$. We then define $\overline{\mathrm{res}} : \mathcal{U}(R,\Phi) \to \mathcal{U}(R/I, \Phi/\Gamma)$ by

$$\overline{\mathrm{res}}(\left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \begin{pmatrix} \phi_1 & m \\ & \phi_2 \end{pmatrix}\right)) = \left(\begin{pmatrix} \alpha+I & \beta+I \\ \gamma+I & \delta+I \end{pmatrix}, \begin{pmatrix} \phi_1+\Gamma & m+\psi(I) \\ & \phi_2+\Gamma \end{pmatrix}\right).$$

By Remark 6 the epimorphism

$$\nu : \mathcal{C}(\rho) \to \mathcal{U}(R,\Phi) \text{ by } \nu(m_r d_\phi) = d((r,\phi)), \;\; \nu(h_{e,u_e,v_e}) = H_{e,u_e,v_e}$$

for $r \in R^*, \phi \in \Phi$ and symmetric idempotents $e = u_e v_e \in R$ is well defined and its kernel is $\mathcal{S}(\mathcal{C}(\rho))$. Similarly $\overline{\nu} : \mathcal{C}(\rho/C) \to \mathcal{U}(R/I, \Phi/\Gamma)$. Then $\nu \circ p = \pi$ and $\overline{\nu} \circ p/C = \pi/C$, where $\pi/C : \mathcal{F}(R/I,\Phi/\Gamma) \to \mathcal{U}(R/I,\Phi/\Gamma)$ is the analogous group epimorphism. Again the representation ρ/C of $(R/I,\Phi/\Gamma)$ is faithful so by Remark 6 the kernel of $\overline{\nu}$ is $\mathcal{S}(\mathcal{C}(\rho/C))$.

We then have the following commutative diagram with exact rows and columns

$$\begin{array}{ccccccccccc}
 & & & & 1 & & 1 & & & & \\
 & & & & \downarrow & & \downarrow & & & & \\
 & & 1 & \to & \ker(\mathrm{res}) & \overset{\nu|_{\ker(\mathrm{res})}}{\to} & \ker(\overline{\mathrm{res}}) & \to & \mathcal{Y}' & \to & 1 \\
 & & \downarrow & & \downarrow & & \downarrow & & & & \\
1 & \to & \mathcal{S}(\mathcal{C}(\rho)) & \to & \mathcal{C}(\rho) & \overset{\nu}{\to} & \mathcal{U}(R,\Phi) & \to & 1 & & \\
 & & \downarrow & & \downarrow \mathrm{res} & & \downarrow \overline{\mathrm{res}} & & & & \\
1 & \to & \mathcal{S}(\mathcal{C}(\rho/C)) & \to & \mathcal{C}(\rho/C) & \overset{\overline{\nu}}{\to} & \mathcal{U}(R/I,\Phi/\Gamma) & \to & 1 & & \\
 & & \downarrow & & \downarrow & & \downarrow & & & & \\
 & & \mathcal{Y} & & 1 & & 1 & & & & \\
 & & \downarrow & & & & & & & & \\
 & & 1 & & & & & & & &
\end{array}$$

To see that all sequences are exact, we note that $\nu_{|\ker(\mathrm{res})}$ is injective, since $\ker(\mathrm{res}) \cap \mathcal{S}(\mathcal{C}(\rho)) = 1$. The homomorphisms $\overline{\mathrm{res}}$ and res are surjective, since idempotents and units of R/I lift to idempotents and units of R. Moreover $\overline{\mathrm{res}} \circ \nu = \overline{\nu} \circ \mathrm{res}$ as one checks on the generators.

The claim of Theorem 1 is that $\mathcal{Y}$ is trivial. But this is fulfilled if and only if $\mathcal{Y}'$ is trivial, that is, if $\nu|_{\ker(\mathrm{res})}$ is an isomorphism since

$$|\mathcal{Y}| = \frac{|\mathcal{S}(\mathcal{C}(\rho/C))|}{|\mathcal{S}(\mathcal{C}(\rho))|} = \frac{|\mathcal{C}(\rho/C)| \cdot |\mathcal{U}(R,\Phi)|}{|\mathcal{U}(R/I,\Phi/\Gamma)| \cdot |\mathcal{C}(\rho)|} = \frac{|\ker(\overline{\mathrm{res}})|}{|\ker(\mathrm{res})|} = |\mathcal{Y}'|.$$

5 The surjectivity of $\nu|_{\ker(\mathrm{res})}$

During the proof of Theorem 1 some results on lifting symmetric idempotents are needed, which are stated in the next two lemmata.

Lemma 9. *Let R be an Artinian ring and I an ideal of R. If $e \in I + \operatorname{rad} R \subseteq R$ such that $e^2 \equiv e \mod \operatorname{rad} R$ then there exists an idempotent $e' \in I$ such that $e' \equiv e \mod \operatorname{rad} R$.*

Proof. We choose $x_0 \in \operatorname{rad} R$ such that $e_0 := e + x_0 \in I$. Then $e_0 + \operatorname{rad} R$ is an idempotent in $R/\operatorname{rad} R$. Since $\operatorname{rad} R$ is a nilpotent ideal of R [2, Theorem 4.9] constructs an idempotent $e' = f(e_0) \in I$ for some polynomial $f \in \mathbb{Z}[X]$ with $f(0) = 0$ such that $e' + \operatorname{rad} R = e_0 + \operatorname{rad} R$. □

By [2, Theorem 4.5] applied to an idempotent $e \in R$, the right-modules eR and $e^J R$ are isomorphic, if and only if their quotients modulo $\operatorname{rad} R$ are isomorphic. Hence we find

Lemma 10. *Let $e + \operatorname{rad} R \in R/\operatorname{rad} R$ be a symmetric idempotent such that*

$$e + \operatorname{rad} R = u_e v_e + \operatorname{rad} R, \;\; e^J + \operatorname{rad} R = v_e u_e + \operatorname{rad} R,$$

$u_e + \operatorname{rad} R \in (eRe^J) + \operatorname{rad} R$, $v_e \in (e^J Re) + \operatorname{rad} R$. If $e \in R$ is an idempotent then e is symmetric as well. More precisely, there exist $\tilde{u}_e \in eRe^J$, $\tilde{v}_e \in e^J Re$ such that

$$e = \tilde{u}_e \tilde{v}_e, \; e^J = \tilde{v}_e \tilde{u}_e$$

and $\tilde{v}_e \equiv v_e \mod \operatorname{rad} R$.

For the rest of this note, let

$$X := \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \begin{pmatrix} \phi_1 & m \\ & \phi_2 \end{pmatrix} \right) \in \ker(\overline{\mathrm{res}}) \tag{3}$$

and let $(I, \Gamma) := \ker(\rho/C)$. In particular, $\alpha, \delta \in 1 + I$, $\beta, \gamma \in I$, $\phi_1, \phi_2 \in \Gamma$ and $m \in \psi(I)$. We have to find some $x \in \ker(\mathrm{res})$ such that $\nu(x) = X$.

Lemma 11. *We have $d(P(R, \Phi)) \cap \ker(\overline{\mathrm{res}}) \subseteq \operatorname{Im}(\nu|_{\ker(\mathrm{res})})$.*

Proof. Let $r \in R^*, \phi \in \Phi$ such that $d((r, \phi)) = \nu(m_r d_\phi) \in \ker(\overline{\mathrm{res}})$. Then $r \in 1 + I$ and $\phi \in \Gamma$. In particular r acts as the identity on $C^\perp/C$ and $\rho_\Phi/C(\phi) = 0$. This implies that both m_r and $d_\phi \in \ker(\mathrm{res})$. □

Lemma 12. *Let δ be a unit. Then there exists $x \in \ker(\mathrm{res})$ such that $\nu(x) = X$.*

Proof. Since ker(res) is a normal subgroup of $\mathcal{C}(\rho)$ it suffices to show that X is contained in the normal subgroup of $\mathcal{U}(R,\Phi)$ generated by the elements $d(P(R,\Phi)) \cap \ker(\overline{\mathrm{res}})$. We show that there is $\phi \in \Gamma$ such that

$$X = d((\delta,\phi_2))H_{1,1,1}d((1,\phi))H_{1,1,1}^{-1}.$$

We have $d((\delta,\phi_2)) = \left(\begin{pmatrix} \delta^{-J} & \beta \\ 0 & \delta \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ & \phi_2 \end{pmatrix}\right)$ and hence

$$d((\delta,\phi_2))^{-1} = \left(\begin{pmatrix} \delta^{J} & -\delta^J\beta\delta^{-1} \\ 0 & \delta^{-1} \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ & -\phi_2[\delta^{-1}] \end{pmatrix}\right).$$

We therefore find

$$d((\delta,\phi_2))^{-1}X = \left(\begin{pmatrix} \delta^J\alpha - \delta^J\beta\delta^{-1}\gamma & 0 \\ \delta^{-1}\gamma & 1 \end{pmatrix}, \begin{pmatrix} -\phi_2[\delta^{-1}\gamma] + \phi_1 & \tilde{m} \\ & 0 \end{pmatrix}\right)$$

for some $\tilde{m} \in M$. Since the upper right entry in the first matrix of this element of $\mathcal{U}(R,\Phi)$ is 0 we obtain $\tilde{m} = 0$ and similarly $\delta^J\alpha - \delta^J\beta\delta^{-1}\gamma = 1$ and we get

$$d((\delta,\phi_2))^{-1}X = \left(\begin{pmatrix} 1 & 0 \\ \delta^{-1}\gamma & 1 \end{pmatrix}, \begin{pmatrix} -\phi_2[\delta^{-1}\gamma] + \phi_1 & 0 \\ & 0 \end{pmatrix}\right)$$

Furthermore,

$$H_{1,1,1} = \left(\begin{pmatrix} 0 & 1 \\ -\epsilon^J & 0 \end{pmatrix}, \begin{pmatrix} 0 & \psi(-\epsilon) \\ & 0 \end{pmatrix}\right),\ H_{1,1,1}^{-1} = \left(\begin{pmatrix} 0 & -\epsilon \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \psi(-\epsilon) \\ & 0 \end{pmatrix}\right).$$

Then we have

$$(d((\delta,\phi_2))^{-1}X)^{H_{1,1,1}} = \left(\begin{pmatrix} 1 & -\epsilon\delta^{-1}\gamma \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & m' \\ & \phi \end{pmatrix}\right),$$

with some $m' \in M$ and

$$\phi = \{\!\{\psi(-\epsilon\delta^{-1}\gamma)\}\!\} - \phi_2[\delta^{-1}\gamma] + \phi_1 \in \Gamma,$$

since $-\epsilon\delta^{-1}\gamma \in I$ and $\phi_1, \phi_2 \in \Gamma$. Again $m' = 0$ since the lower left entry in the first matrix is 0. Hence

$$H_{1,1,1}^{-1}d((\delta,\phi_2))^{-1}XH_{1,1,1} = d((1,\phi)) \in \ker(\overline{\mathrm{res}})$$

as claimed. □

We now conclude the proof of Theorem 1 by showing

Lemma 13. *The map $\nu|_{\ker(\mathrm{res})}$ is surjective, that is,* $\mathrm{Im}(\nu|_{\ker(\mathrm{res})}) = \ker(\overline{\mathrm{res}})$.

Proof. We show that there exists a symmetric idempotent $\iota \in I$ such that

$$X = \underbrace{\left(\begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix}, \begin{pmatrix} \phi_1' & \mu' \\ & \phi_2' \end{pmatrix}\right)}_{=:X'} H_{\iota,u_\iota,v_\iota}$$

and $\delta' \in R^*$. Since $\iota \in I = \ker(\rho/C)$ the set $\iota(C^\perp/C) = \{0\}$ and hence $h_{\iota,u_\iota,v_\iota} \in \ker(\mathrm{res})$. By Lemma 12 $X' \in \mathrm{Im}(\nu|_{\ker(\mathrm{res})})$, so the same holds for X.

Now let us construct ι. The ring $R/\operatorname{rad} R$ is a direct sum of matrix rings over skew fields. Thus there exist $u_1, u_2 \in R^*$ such that $u_1\delta u_2$ is an idempotent modulo $\operatorname{rad} R$. After conjugating with u_2 we obtain an idempotent $\tilde{u}\delta + \operatorname{rad} R \in R/\operatorname{rad} R$ with $\tilde{u} \in R^*$. Since $\tilde{u}\delta + (I + \operatorname{rad} R) \in R/(I + \operatorname{rad} R)$ is an idempotent as well and $\delta \in 1 + I$ is a unit modulo $I + \operatorname{rad} R$, it follows that $\tilde{u} \in 1 + (I + \operatorname{rad} R)$. We can even assume that $\tilde{u} \in 1 + I$. If $\tilde{u} = 1 + i + r$ with $i \in I$ and $r \in \operatorname{rad} R$ then $(1 + i)\delta = (\tilde{u} - r)\delta$ is an idempotent mod $\operatorname{rad} R$. Additionally, from $\tilde{u} \in R^*$ we get $1 + i \in R^*$, so we can assume $\tilde{u} = 1 + i$. Now $d((\tilde{u}, 0)) \in \ker(\overline{\mathrm{res}})$, thus

$$\begin{aligned} X \in \ker(\overline{\mathrm{res}}) &\Leftrightarrow d((\tilde{u},0))X \in \ker(\overline{\mathrm{res}}) \\ &\Leftrightarrow \left(\begin{pmatrix} \tilde{u}^{-J}\alpha & \tilde{u}^{-J}\beta \\ \tilde{u}\gamma & \tilde{u}\delta \end{pmatrix}, \begin{pmatrix} \phi_1 & \mu \\ & \phi_2 \end{pmatrix}\right) \in \ker(\overline{\mathrm{res}}) \end{aligned}$$

Thus we can assume that $\delta + \operatorname{rad} R \in R/\operatorname{rad} R$ is an idempotent.

In the hyperbolic counitary group $\mathcal{U}(R/\operatorname{rad} R, \Phi/\tilde{\Gamma})$ there is

$$\tilde{X} := \left(\begin{pmatrix} \alpha + \operatorname{rad} R & \beta + \operatorname{rad} R \\ \gamma + \operatorname{rad} R & \delta + \operatorname{rad} R \end{pmatrix}, \begin{pmatrix} \phi_1 + \tilde{\Gamma} & \mu + \psi(\operatorname{rad} R) \\ & \phi_2 + \tilde{\Gamma} \end{pmatrix}\right)$$

Lemma 7 says that $e := (1 - \delta) + \operatorname{rad} R$ is a symmetric idempotent of $R/\operatorname{rad} R$; more precisely, we may write $e = u_e v_e$ with

$$\begin{aligned} u_e &= -e\epsilon^{-1}\gamma^J e^J + \operatorname{rad} R, \\ v_e &= e^J \beta e^J + \operatorname{rad} R. \end{aligned}$$

By Lemma 9 we obtain a symmetric idempotent

$$\iota := e + x = 1 - \delta + x \in I$$

with $x \in \operatorname{rad} R \cap I$. We calculate the projection on the first component

$$\pi(XH_{\iota,u_\iota,v_\iota}^{-1}) = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \delta^J - x^J & -v_\iota^J \epsilon \\ u_\iota^J & \delta - x \end{pmatrix} = \begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix}$$

with $\delta' = -\gamma v_\iota^J \epsilon + \delta - \delta x$. It remains to show that $\delta' \in R^*$. Lemma 10 gives $v_\iota \equiv (1-\delta^J)\beta(1-\delta) \mod \operatorname{rad} R$. Also $\delta x \in \operatorname{rad}(R)$, so it remains to show that

$$\widetilde{\delta'} := -\gamma(1-\delta^J)\beta^J\epsilon(1-\delta) + \delta \in R^*.$$

We observe that $\widetilde{\delta'}\delta = -\gamma(1-\delta^J)\beta^J\epsilon \underbrace{(1-\delta)\delta}_{=0} + \delta^2 = \delta$ and

$$\begin{aligned}
(1-\delta)\widetilde{\delta'} &= -(1-\delta)\gamma(1-\delta^J)\beta^J\epsilon(1-\delta) = \\
-(1-\delta)\gamma\beta^J\epsilon(1-\delta) + \underbrace{(1-\delta)\gamma\delta^J\beta^J\epsilon(1-\delta)}_{=0,\text{ since } \gamma\delta^J=\delta\epsilon^J\gamma^J} &= -(1-\delta)\gamma\beta^J\epsilon + (1-\delta)\gamma\underbrace{\beta^J\epsilon\delta}_{=\delta^J\beta} = \\
-(1-\delta)\underbrace{\gamma\beta^J\epsilon}_{=\delta\epsilon^J\alpha^J\epsilon-1} + \underbrace{(1-\delta)\gamma\delta^J\beta}_{=0} &= 1-\delta.
\end{aligned}$$

Particularly, $(1-\delta)(2-\widetilde{\delta'}) = 1-\delta$. Now we see that $\widetilde{\delta'}$ is a unit since

$$\widetilde{\delta'}(2-\widetilde{\delta'}) = \widetilde{\delta'}(\delta+(1-\delta))(2-\widetilde{\delta'}) = \widetilde{\delta'} - \delta\widetilde{\delta'} + \delta = 1-\delta+\delta = 1.$$

□

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