

Integral forms of algebraic groups.

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Orthogonal groups.

$V \cong \mathbb{Q}^n$, $q : V \rightarrow \mathbb{Q}$ a positive definite quadratic form,

$b_q(X, Y) := q(X + Y) - q(X) - q(Y)$

the associated symmetric bilinear form.

A **lattice** L in V is a finitely generated \mathbb{Z} -submodule of full rank.

$$L = \left\{ \sum_{i=1}^n z_i B_i \mid z_i \in \mathbb{Z} \right\}, (B_1, \dots, B_n) \text{ a basis of } V.$$

L is called **even**, if $q(L) \subset \mathbb{Z}$.

$L^\# := \{X \in V \mid b_q(X, Y) \in \mathbb{Z} \text{ for all } Y \in L\}$ **dual lattice**.

L is called **integral** if $L \subset L^\#$ and **unimodular** if $L = L^\#$.

If $L \subset L^\#$ then $|L^\# / L| = \det(L) = \det(b_q(B_i, B_j))$ **determinant** of L .

Equivalence of lattices.

Let L, M be lattices in (V, q) .

- ▶ $L \cong M$, L **isometric** to M , if there is $\varphi \in O(V, q)$ with $\varphi(L) = M$.

$$\text{Class}(L) = \{M \mid M \cong L\}.$$

- ▶ L and M are **in the same genus** if for all primes p there are $\varphi_p \in O(V, q)$ such that

$$\varphi_p(L \otimes \mathbb{Z}_{(p)}) = M \otimes \mathbb{Z}_{(p)}.$$

$$\text{Genus}(L) = \text{Class}(L_1) \dot{\cup} \dots \dot{\cup} \text{Class}(L_h)$$

h is called the **class number** of L .

Adelic formulation.

$$\mathbb{A} := \{x \in \mathbb{R} \times \prod_p \mathbb{Q}_p \mid x_p \in \mathbb{Z}_p \text{ for almost all } p\}$$

the **adele ring** of \mathbb{Q} .

The **adelic orthogonal group** $O(V \otimes \mathbb{A}, q)$ acts on the set of all \mathbb{Z} -lattices in V .

$g = (g_p) \in O(V \otimes \mathbb{A}, q)$, then $g(L) = M$ if $M \otimes \mathbb{Z}_p = g_p(L \otimes \mathbb{Z}_p)$ for all primes p .

$$O(V, q) \leq O(V \otimes \mathbb{A}, q).$$

$$\text{Class}(L) = O(V, q) \cdot L, \text{ and Genus}(L) = O(V \otimes \mathbb{A}, q) \cdot L.$$

Strong approximation.

Theorem.

Assume that L is an even lattice of dimension ≥ 3 such that $\det(L)$ is not a multiple of p .

Then every isometry class of lattices in the genus of L contains a lattice M such that

$$M \otimes \mathbb{Z}_\ell = L \otimes \mathbb{Z}_\ell \text{ for all } \ell \neq p.$$

$$\text{Genus}(L) = \cup \{ \text{Class}(M) \mid M \otimes \mathbb{Z}_\ell = L \otimes \mathbb{Z}_\ell \text{ for all } \ell \neq p \}$$

Kneser neighboring method.

$M, N \in \text{Genus}(L)$ are called **p -neighbors** if

$[M : (M \cap N)] = [N : (M \cap N)] = p$. Notation $M \xrightarrow{p} N$

Theorem (M. Kneser)

For any $M \in \text{Genus}(L)$ there is a lattice $M' \in \text{Class}(M')$ and a chain of successive p -neighbors connecting L and M in the genus of L .

$$L \xrightarrow{p} L_1 \xrightarrow{p} L_2 \xrightarrow{p} \dots \xrightarrow{p} L_k \xrightarrow{p} M$$

This yields a very useful algorithm to enumerate the genus.

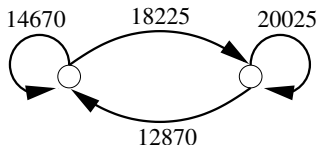
The **Kneser p -neighbor graph** is the multigraph with vertices $\{\text{Class}(M) \mid M \in \text{Genus}(L)\}$ and adjacency matrix

$$K_p : (\text{Class}(M), \text{Class}(N)) \mapsto |\{L \mid M \xrightarrow{p} L, L \cong N\}|.$$

Example for a Kneser p -neighbor graph.

The genus of the even unimodular lattices of dimension 16:

$$\text{Class}(E_8 \perp E_8) \cup \text{Class}(D_{16}^+)$$



$$K_2 = \begin{pmatrix} 14670 & 18225 \\ 12870 & 20025 \end{pmatrix}$$

The adjacency matrix K_p defines the action of a **Hecke-operator** on the subspace of modular forms generated by the theta-series of lattices in $\text{Genus}(L)$.

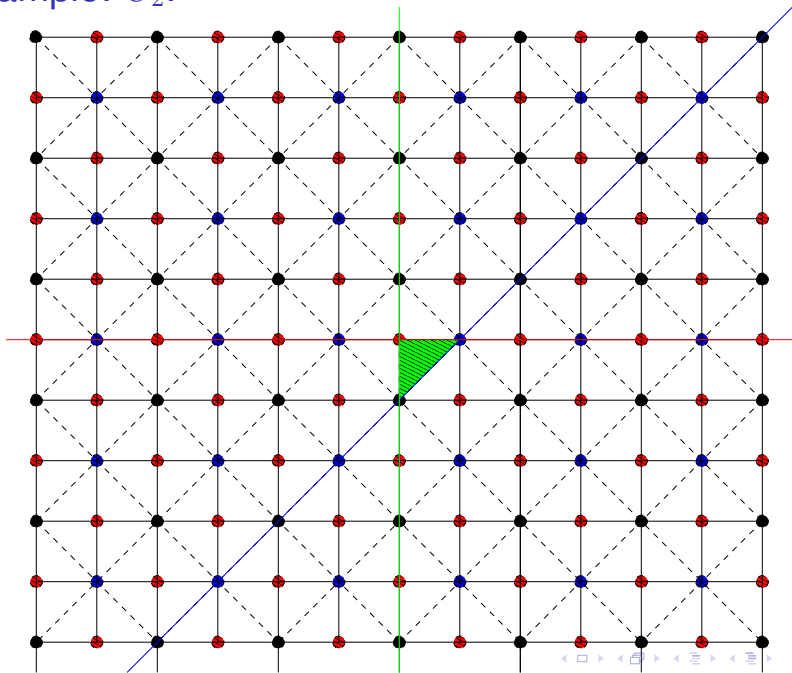
[Study such operators for other algebraic groups.](#)

For unitary groups, this is work in progress with A. Krieg.

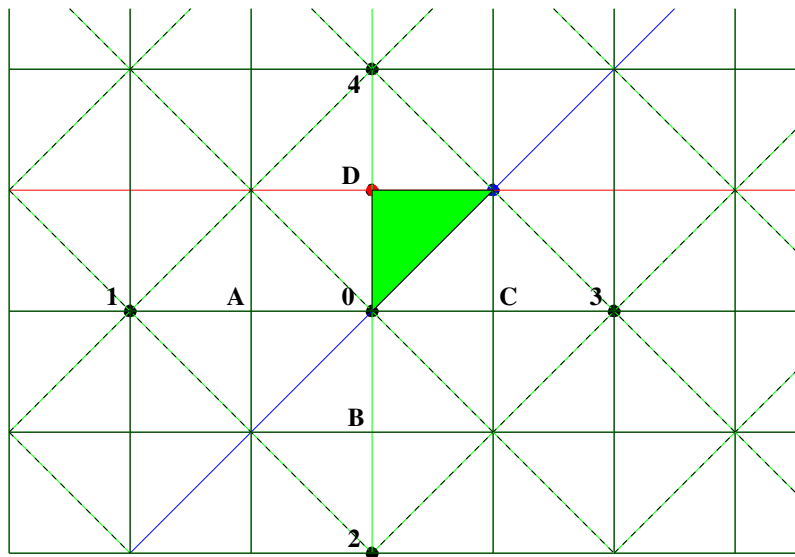
The p -adic affine building.

- ▶ The group $O(V \otimes \mathbb{Q}_p, q)$ is an algebraic group over a local field.
- ▶ The **Bruhat-Tits building** is a combinatorial object to organize the compact subgroups of $O(V \otimes \mathbb{Q}_p, q)$ that arise as intersections of stabilizers of p -elementary lattices.
- ▶ Points correspond to $\text{End}(L) \cap \text{End}(L^\#)$ with $pL^\# \subset L \subset L^\#$.
- ▶ If L is an even unimodular lattice, then $\text{Aut}(L \otimes \mathbb{Z}_p)$ is a **hyperspecial** maximal compact subgroup of $O(V \otimes \mathbb{Q}_p, q)$ for all primes p .
- ▶ If L is even and $L^\# / L$ has square-free exponent, then $\text{Aut}(L \otimes \mathbb{Z}_p)$ is a maximal compact subgroup of $O(V \otimes \mathbb{Q}_p, q)$ for all primes p and hyperspecial for all but finitely many.

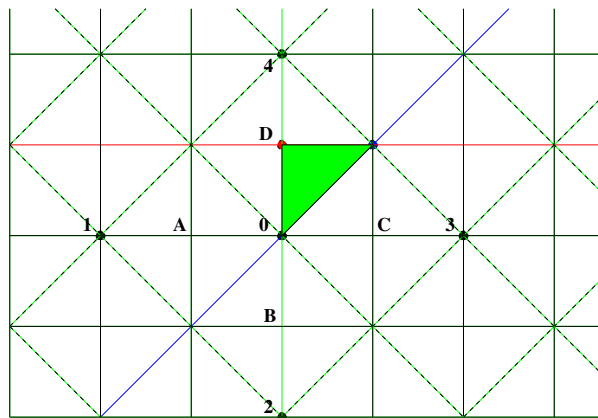
Example: \tilde{C}_2 .



\tilde{C}_2 : neighbors in apartment.



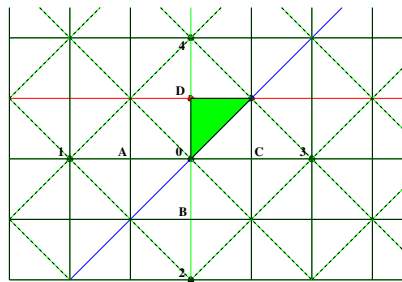
Neighbors: explicit bases.



Basis (e, f, e', f') : $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \perp \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

$L = \langle e, f, e', f' \rangle$, $M = \langle e, f, pe', f' \rangle$, $N = \langle pe, f, pe', f' \rangle$.
... $N^\# \supset M^\# \supset L = L^\# \supset M \supset N = pN^\# \supset pM^\# \supset pL \supset \dots$

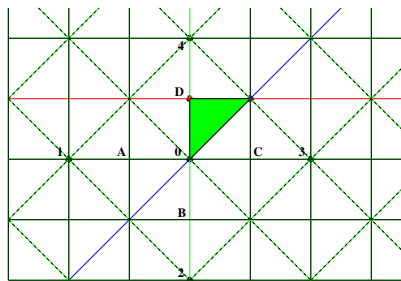
Fundamental reflections.



$$L = \langle e, f, e', f' \rangle, M = \langle e, f, pe', f' \rangle, N = \langle pe, f, pe', f' \rangle.$$

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1/p \\ 0 & 0 & p & 0 \end{pmatrix}$$

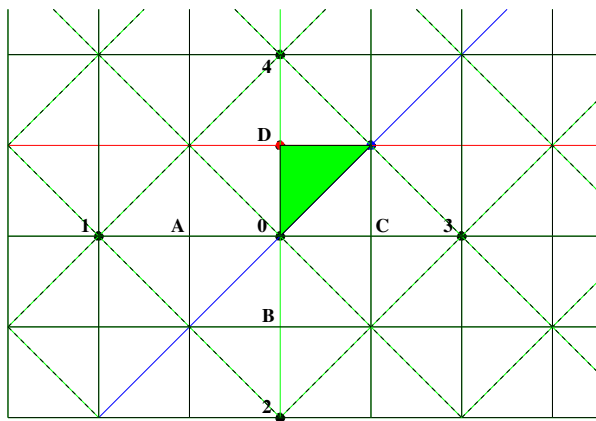
Neighbors: reflections.



$$A = \begin{pmatrix} 0 & 1/p & 0 & 0 \\ p & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad C = \begin{pmatrix} 0 & p & 0 & 0 \\ 1/p & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix},$$

$$B = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & p \\ 0 & 0 & 1/p & 0 \end{pmatrix}, \quad D = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1/p \\ 0 & 0 & p & 0 \end{pmatrix}$$

Neighbors: lattices.



$$L0 = L = \langle e, f, e', f' \rangle,$$

$$L1 = LA = \langle e, f, pe', 1/pf' \rangle, \quad L3 = LC = \langle e, f, 1/pe', pf' \rangle,$$

$$L2 = LB = \langle 1/pe, pf, e', f' \rangle, \quad L4 = LD = \langle pe, 1/pf, e', f' \rangle.$$

Global situation.

Isometric classes of lattices yield a “coloring” of the hyperspecial points in the genus of L .

Example. $p = 3$, $L = \begin{pmatrix} 18 & 1 \\ 1 & 36 \end{pmatrix} \perp \begin{pmatrix} 18 & 1 \\ 1 & 54 \end{pmatrix}$

Big class number and the lattices in the picture above are

$$L1 = \begin{pmatrix} 18 & 1 \\ 1 & 36 \end{pmatrix} \perp \begin{pmatrix} 162 & 1 \\ 1 & 6 \end{pmatrix}$$

$$L2 = \begin{pmatrix} 2 & 1 \\ 1 & 324 \end{pmatrix} \perp \begin{pmatrix} 18 & 1 \\ 1 & 54 \end{pmatrix}$$

$$L3 = \begin{pmatrix} 18 & 1 \\ 1 & 36 \end{pmatrix} \perp \begin{pmatrix} 2 & 1 \\ 1 & 486 \end{pmatrix}$$

$$L4 = \begin{pmatrix} 162 & 1 \\ 1 & 4 \end{pmatrix} \perp \begin{pmatrix} 18 & 1 \\ 1 & 54 \end{pmatrix}$$

and these are pairwise non-isometric lattices.

The mass formula.

If L_1, \dots, L_h is a system of representatives of isometry classes of lattices in the genus of L then

$$\sum_{i=1}^h |\text{Aut}(L_i)|^{-1} = \text{mass}(\text{Genus}(L))$$

where $\text{mass}(\text{Genus}(L))$ can be read off from the local stabilizers $\text{Stab}_{O(V \otimes \mathbb{Q}_p, q)}(L \otimes \mathbb{Z}_p)$ (local densities).

Idea: The isometry classes of lattices are the $O(V, q)$ -orbits in the $O(V \otimes \mathbb{A}, q)$ -orbit $\text{Genus}(L)$.

$$\text{Aut}(L) = \{g \in O(V, q) \mid g(L) = L\}$$

is the stabilizer of L in $O(V, q)$.

Proof of mass formula.

for finite sets: Finite group G acting on finite set M with orbits m_1G, \dots, m_hG and stabilizers $S_i := \text{Stab}_G(m_i)$. Then

$$|M| = \sum_{i=1}^h |m_iG| = \sum_{i=1}^h \frac{|G|}{|S_i|}$$

and hence

$$\sum_{i=1}^h \frac{1}{|S_i|} = \frac{|M|}{|G|}.$$

In our situation this reads as

$$\sum_{i=1}^h \frac{1}{|\text{Aut}(L_i)|} = \frac{|O(V \otimes \mathbb{A}, q) \cdot L|}{|O(V, q)|} = \frac{|O(V \otimes \mathbb{A}, q)|}{|\text{Aut}(L \otimes \mathbb{A})| |O(V, q)|}.$$

Proof of mass formula.

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In our situation this reads as

$$\sum_{i=1}^h \frac{1}{|\text{Aut}(L_i)|} = \mu(O(V, q) \setminus O(V \otimes \mathbb{A}, q) / \text{Aut}(L \otimes \mathbb{A})).$$

Linear algebraic groups.

- ▶ Let $G \leq GL_m$ be a reductive linear algebraic group defined over some number field K .
- ▶ Let O_K be the ring of integers in K .
- ▶ Then an **integral form** G of G is given as $G = \text{Stab}_G(\Lambda)$ for some O_K -lattice Λ in K^m .
- ▶ Two integral forms G and G' are called **isomorphic**, if they are conjugate under $G(K)$.
- ▶ They lie **in the same genus**, if they are conjugate under $G(\mathbb{A}_K)$ where \mathbb{A}_K is the adèle ring of K .
- ▶ Interesting genera are the **models** studied by **Dick Gross**:
 $O_K = \mathbb{Z}$ and $G(\mathbb{Z}_p)$ is hyperspecial for all primes p

The Type of a maximal integral form.

- ▶ With **Arjeh Cohen** and **Wilhelm Plesken** we generalized this notion to the notion of **maximal integral forms**, where $G(O_\wp)$ is maximal parahoric for all primes $\wp \trianglelefteq O_K$.
- ▶ Then the **Type** of the genus of a maximal integral form is the sequence of maximal parahoric subgroups

$$(G(O_\wp) \mid \wp \trianglelefteq O_K)$$

Cayley octonions.

Let $\mathbb{C}_{\mathbb{Q}} := \langle 1 = e_0, e_1, \dots, e_7 \rangle$ be the split alternative algebra of
Cayley octonions.

$$\begin{aligned} e_i^2 &= -e_0 && \text{for } i = 1, \dots, 7, \\ e_i e_j &= -e_j e_i = e_k && \text{if } (i, j, k) = (1 + \ell, 2 + \ell, 4 + \ell) \text{ for some } \ell, \\ e_0 e_j &= e_j e_0 = e_j && \text{for all } j. \end{aligned}$$

$G_2 := \text{Aut}(\mathbb{C})$ linear algebraic group defined over \mathbb{Q} .

The **norm form** N with

$$N\left(\sum_{i=0}^7 a_i e_i\right) := \sum_{i=0}^7 a_i^2$$

is a multiplicative positive definite quadratic form on $\mathbb{C}_{\mathbb{Q}}$.

$G_2 \hookrightarrow \text{Stab}_{O(N)}(e_0) \cong O_7$.

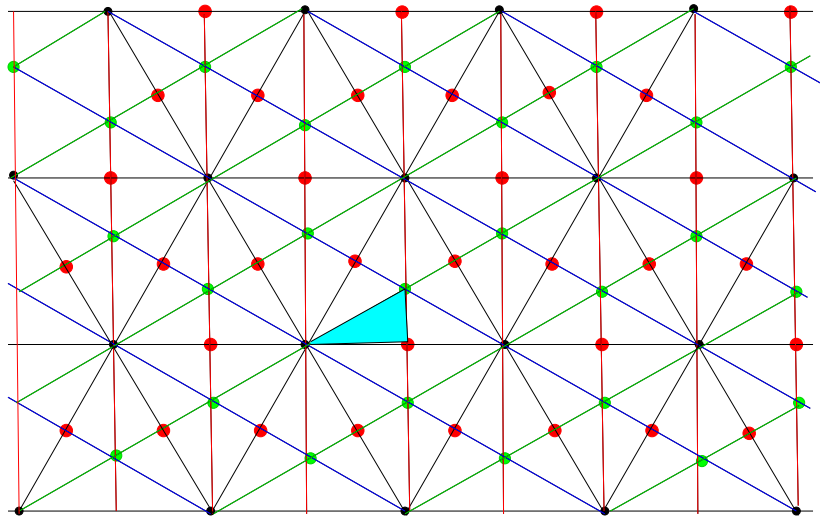
Integral forms of G_2 .

- ▶ Integral forms of G_2 arise as stabilizers of **Cayley orders**, these are lattices that are closed under multiplication.
- ▶ Maximal Cayley order

$$\mathcal{M} = \langle e_0, e_1, e_2, e_3, h, e_1h, e_2h, e_3h \rangle_{\mathbb{Z}} \text{ where } h = \frac{1}{2}(e_0 + e_1 + e_2 - e_4)$$

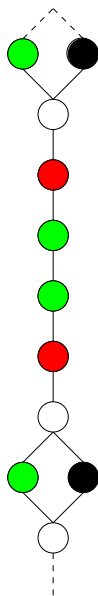
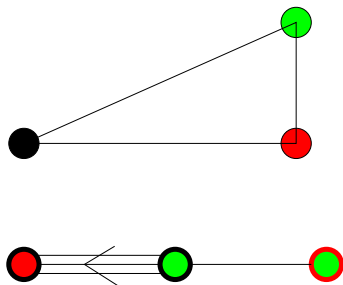
- ▶ $\text{Aut}(\mathcal{M}) \cong G_2(2)$.
- ▶ \mathcal{M} defines the unique model of G_2 , its genus has class number 1.

An apartment in the building of G_2 .



The local picture for $p \neq 2$.

Cayley orders for the maximal
parahoric subgroups
for primes not dividing 2



Maximal finite subgroups of G_2 .

- ▶ What are interesting maximal integral forms for G_2 ?
- ▶ Of course the model from above. Other models over totally real numberfields. Which fields ?
- ▶ **Theorem.** (Arjeh Cohen) Let F be a maximal finite Lie primitive subgroup of $G_2(\mathbb{C})$. Then F is one of $G_2(2)$, $2^3 \cdot \text{GL}_3(2)$, $\text{PSL}_2(8)$, $\text{PSL}_2(13)$.
- ▶ In all cases there is a minimal defining field K and
- ▶ each of these maximal finite groups defines a unique maximal integral form over O_K .

The type of these integral forms.

F	$G_2(2)$	$2^3 \cdot GL_3(2)$	$PSL_2(8)$	$PSL_2(13)$
K	\mathbb{Q}	\mathbb{Q}	$\mathbb{Q}[\zeta_9 + \zeta_9^{-1}]$	$\mathbb{Q}[\sqrt{13}]$
h	1	1	8	> 14372
$G(O_2)$	G_2	A_2	$A_1 + A_1$	G_2
$G(O_\varphi)$	hyperspecial for $2 \notin \varphi$			

