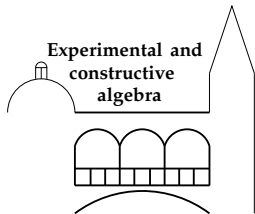


# Algebraic Groups II

Summer School

Representations of Algebraic Groups and Lie Algebras in Characteristic  $p$

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## Some basic structure theory

$K$  algebraically closed field,  $\mathbb{G} \leq \mathrm{GL}_n(K)$  connected linear algebraic group

### Definition

- $\mathbb{G}$  *almost simple*  $\Leftrightarrow \mathbb{G}$  has no non-trivial connected closed normal subgroup.
- $R(\mathbb{G})$  (the) maximal solvable connected normal subgroup, the *radical* of  $\mathbb{G}$ .
- $\mathbb{G}$  *semisimple*  $\Leftrightarrow R(\mathbb{G}) = \{1\}$ .
- $R_u(\mathbb{G})$  (the) maximal unipotent connected normal subgroup, the *unipotent radical* of  $\mathbb{G}$ .
- $\mathbb{G}$  *reductive*  $\Leftrightarrow R_u(\mathbb{G}) = \{1\}$ .

### Remark

$\mathbb{G}$  almost simple  $\Rightarrow \mathbb{G}$  semisimple  $\Rightarrow \mathbb{G}$  reductive

## Some basic structure theory

### Theorem

- $R(\mathbb{G}) = \left( \bigcap_{B \leq \mathbb{G} \text{ Borel}} B \right)^\circ$ ,  $R_u(\mathbb{G}) = \left( \bigcap_{B \leq \mathbb{G} \text{ Borel}} B_u \right)^\circ$
- $\mathbb{G}$  reductive  $\Rightarrow R(\mathbb{G}) = Z(\mathbb{G})^\circ$ ,  $\mathbb{G}'$  semisimple and  $\mathbb{G} = Z(\mathbb{G})^\circ \mathbb{G}'$
- $\mathbb{G}$  semisimple  $\Rightarrow \mathbb{G}$  has finitely many connected closed normal subgroups  $H_1, \dots, H_r$  and is the almost direct product of these. The  $H_i$  are called *almost simple components* of  $\mathbb{G}$ .

### Example

- $R(\mathrm{GL}_n) \subset (\Delta) \cap (\nabla) = \mathbb{D}_n$  and normal  $\Rightarrow R(\mathrm{GL}_n) = Z(\mathrm{GL}_n) \cong \mathbb{G}_m$ .  
 $R_u(\mathrm{GL}_n) = \{1\} \Rightarrow \mathrm{GL}_n$  reductive (but not semisimple).
- Analogous:  $R(\mathrm{SL}_n) = \{1\} \Rightarrow \mathrm{SL}_n$  semisimple
- $R((\Delta)) = (\Delta)$ ,  $R_u((\Delta)) = \left\{ \begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix} \right\}$

# The Lie-algebra

$K$  algebraically closed field,  $\mathbb{G} \leq \mathrm{GL}_n(K)$  linear algebraic group

## Lie-algebra

Let  $R = K[\epsilon]$  where  $\epsilon^2 = 0$ .

$$\mathrm{Lie}(\mathbb{G}) := \{A \in K^{n \times n} \mid I_n + \epsilon A \in \mathbb{G}(R)\},$$

the *Lie-algebra* of  $\mathbb{G}$ .

## Remark

- $\mathrm{Lie}(\mathbb{G})$  with the matrix commutator is a Lie-algebra in the usual sense.
- $\dim(\mathbb{G}) = \dim_K(\mathrm{Lie}(\mathbb{G}))$ .

## Example

- $\mathrm{Lie}(\mathrm{GL}_n) = K^{n \times n} = \mathfrak{gl}_n(K)$ .
- $\mathrm{Lie}(\mathrm{SL}_n) = \{A \in K^{n \times n} \mid \mathrm{Tr}(A) = 0\} = \mathfrak{sl}_n(K)$
- $\mathrm{Lie}(O(F)) = \{A \in K^{n \times n} \mid AF + FA^{tr} = 0\} = \mathfrak{so}(F, K)$ .

# The Adjoint Representation and Root Systems

$K$  algebraically closed field,  $\mathbb{G} \leq \mathrm{GL}_n(K)$  connected reductive,  $T \leq \mathbb{G}$  maximal torus

## Definition

- The *Lie-linearization* (or *adjoint representation*) of  $\mathbb{G}$  is:

$$\mathrm{Ad} : \mathbb{G} \rightarrow \mathrm{GL}(\mathrm{Lie}(\mathbb{G})), \quad g \mapsto (A \mapsto g^{-1}Ag).$$

- Set  $X(T) := \mathrm{Mor}(T, \mathbb{G}_m)$  the *characters* of  $T$ .
- The non-trivial weights of  $T$  on  $\mathrm{Lie}(\mathbb{G})$  via  $\mathrm{Ad}$

$$\Phi = \Phi(\mathbb{G}, T) = \{0 \neq \chi \in X(T) \mid \exists 0 \neq v \in \mathrm{Lie}(\mathbb{G}) : \forall t \in T : \mathrm{Ad}(t)v = \chi(t)v\}$$

are called the *roots* of  $\mathbb{G}$  (w.r.t.  $T$ ).

- For  $\alpha \in \Phi$  we call

$$\mathrm{Lie}(\mathbb{G})_\alpha = \{v \in \mathrm{Lie}(\mathbb{G}) \mid \forall t \in T : \mathrm{Ad}(t)v = \alpha(t)v\} \quad (\neq \{0\})$$

the *root space* of  $\alpha$ .

## More on Root Systems

### Theorem

- $\mathrm{Lie}(\mathbb{G}) = \mathrm{Lie}(T) \oplus \bigoplus_{\alpha \in \Phi} \mathrm{Lie}(\mathbb{G})_{\alpha}$
- $\dim_K(\mathrm{Lie}(\mathbb{G})_{\alpha}) = 1$  and  $\mathrm{Lie}(\mathbb{G})_{\alpha} = \mathrm{Lie}(U_{\alpha})$  for a unique unipotent  $T$ -invariant subgroup  $U_{\alpha}$  (*one-parameter subgroup*).
- There are isomorphisms  $u_{\alpha} : \mathbb{G}_a \rightarrow U_{\alpha}$  such that

$$t^{-1}u_{\alpha}(x)t = u_{\alpha}(\alpha(t)x).$$

- $\mathbb{G} = \langle T, U_{\alpha} \mid \alpha \in \Phi \rangle$
- $\mathbb{G}$  semisimple  $\Rightarrow \mathbb{G} = \langle U_{\alpha} \mid \alpha \in \Phi \rangle$

### Remark

- For  $\chi \in X(T)$  we have  $\chi \in \Phi \Leftrightarrow -\chi \in \Phi$ .
- $B \leq \mathbb{G}$  Borel-subgroup yields a normalization on  $\Phi$  via  $\alpha > 0 \Leftrightarrow U_{\alpha} \leq B$ . *Positive roots*:  $\Phi^+$ .

# The Weyl-Group

## Definition

The *Weyl-group* of  $\mathbb{G}$ ,  $W = W(\mathbb{G}, T)$ , is  $W = N_{\mathbb{G}}(T)/T$ .

## Remark

- $W$  is a finite Coxeter-group with set of reflections  $\{s_{\alpha} \mid \alpha \in \Phi\}$  in bijection with  $\Phi$  ( $s_{\alpha}$  the *reflection corresponding to*  $\alpha$ ).
- $W$  acts faithfully on  $X(T)$  via  $\chi^w(t) = \chi(t^{n^{-1}})$  for  $t \in T, \chi \in X(T)$  and  $w \in W$  with representative  $n \in N_{\mathbb{G}}(T)$ .
- $W$  permutes  $\Phi$ .
- $w = nN_{\mathbb{G}}(T) \in W$  then  $n^{-1}U_{\alpha}n = U_{\alpha^w}$  for all  $\alpha \in \Phi$ .

## Example

- $W(\mathrm{GL}_n) \cong S_n$  (Coxeter-type  $A_{n-1}$ ).
- $W(\mathrm{SO}_{2n+1}) \cong C_2 \wr S_n$  (Coxeter-type  $B_n$ ).

# The Root Datum

## Definition

- $X^\vee(T) := \text{Mor}(\mathbb{G}_m, T)$ , the *cocharacters* of  $T$ .
- Identify  $\text{Mor}(\mathbb{G}_m, \mathbb{G}_m) \cong \mathbb{Z}$  and define  $\langle -, - \rangle : X(T) \times X^\vee(T) \rightarrow \mathbb{Z}$ ,  $(\chi, \mu) \mapsto \chi \circ \mu$ .

## Proposition

There are unique *coroots*  $\alpha^\vee \in X^\vee(T)$ ,  $\alpha \in \Phi$  with:

- $\langle \alpha, \alpha^\vee \rangle = 2$ .
- The action of  $s_\alpha$  on  $X(T)$  is given by  $\chi \mapsto \chi - \langle \chi, \alpha^\vee \rangle \alpha$ .
- $s_\alpha^\vee(\Phi^\vee) = \Phi^\vee$ .

## Remark

$(X(T), \Phi, X^\vee(T), \Phi^\vee)$  is an (abstract) root datum.

## Theorem

The connected reductive groups are classified by their root data.



# The Root Datum

Now let  $\mathbb{G}$  be semisimple:

## Definition

- $E := X(T) \otimes_{\mathbb{Z}} \mathbb{R}$ .
- $Q(\Phi) := \langle \Phi \rangle$ .
- $P(\Phi) := \{\lambda \in E \mid \langle \lambda, \alpha^\vee \rangle \in \mathbb{Z} \forall \alpha \in \Phi\}$ .
- $\mathbb{G}$  *adjoint*  $\Leftrightarrow Q(\Phi) = X(T)$ .
- $\mathbb{G}$  *simply connected*  $\Leftrightarrow P(\Phi) = X(T)$ .

## Remark

$\Phi$  is a root system. If we fix a system  $\Phi^+$  of positive roots we get a unique system  $\Delta$  of simple roots.

- $|\Delta| = \dim(T)$ .
- The Dynkin-diagram of  $\mathbb{G}$  is the graph with vertices  $\Delta$ ,  $|\langle \alpha, \beta^\vee \rangle| \cdot |\langle \beta, \alpha^\vee \rangle|$  edges between  $\alpha$  and  $\beta$  and an arrow  $\alpha \rightarrow \beta$  if  $|\langle \alpha, \beta^\vee \rangle| > |\langle \beta, \alpha^\vee \rangle|$ .

# The Classification

## Theorem

- The Dynkin-diagram of  $\mathbb{G}$  is connected iff  $\mathbb{G}$  is almost simple.
- $\mathbb{G}$  semisimple  $\Rightarrow$  The connected components of the Dynkin-diagram correspond to the almost simple components of  $\mathbb{G}$ .
- The connected almost simple linear algebraic groups are classified by their Dynkin-diagrams and the position of  $X(T)$  in the chain  $Q(\Phi) \subset X(T) \subset P(\Phi)$ .

## The connected almost simple linear algebraic groups

Coxeter-type	s.c.	intermed. forms	adj.	$[P(\Phi) : Q(\Phi)]$
$A_n, n \geq 1$	$SL_{n+1}$	...	$PSL_{n+1}$	# div. of $n + 1$
$B_n, n \geq 2$	$Spin_{2n+1}$	-	$SO_{2n+1}$	2
$C_n, n \geq 3$	$Sp_{2n}$	-	$PGSp_{2n}$	2
$D_n, n \geq 4$	$Spin_{2n+1}$	$SO_{2n}$ (+2 if n even)	$PCSO_{2n}$	4
$E_n, n = 6, 7, 8$	$(E_n)_{sc}$	-	$(E_n)_{ad}$	3, 2, 1
$F_4$		$F_4$		1
$G_2$		$G_2$		1