Max Neunhöffer

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## Finding normal subgroups

### Max Neunhöffer



University of St Andrews

Edinburgh, 17.3.2009

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# The problem

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup.

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# The problem

### Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$ 

• Assume no more knowledge about G or N.

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# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.

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# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.

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# The problem

### Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.
- "High probability" means for the moment "higher than 1/[G:N]".

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## Matrix groups ...

Let  $\mathbb{F}_q$  be the field with q elements and

$$\operatorname{GL}_n(\mathbb{F}_q) := \{ M \in \mathbb{F}_q^{n \times n} \mid M \text{ invertible} \}$$

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• The group order |G|

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- The group order |G|
- Membership test: Is  $M \in \operatorname{GL}_n(\mathbb{F}_q)$  in *G*?

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- Kernels of homomorphisms? Is G simple?

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## What do we want to determine about G?

- The group order |G|
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- (Maximal) subgroups?

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# Constructive recognition

### Problem

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Let  $\mathbb{F}_q$  be the field with q elements und

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$ 

- The group order |G| and
- an algorithm that, given  $M \in GL_n(\mathbb{F}_q)$ ,
  - decides, whether or not  $M \in G$ , and,
  - if so, expresses *M* as word in the *M*<sub>i</sub>.

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.

# Constructive recognition

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough.

# Constructive recognition

### Problem

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

# Constructive recognition

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Let  $\mathbb{F}_q$  be the field with q elements und

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$ 

Find for  $G := \langle M_1, \ldots, M_k \rangle$ :

- The group order |G| and
- an algorithm that, given  $M \in \operatorname{GL}_n(\mathbb{F}_q)$ ,
  - decides, whether or not  $M \in G$ , and,
  - if so, expresses *M* as word in the *M*<sub>i</sub>.
- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

If this problem is solved, we call  $\langle M_1, \ldots, M_k \rangle$  recognised constructively.

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## What is a reduction?

Let  $G := \langle M_1, \ldots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$ 

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## What is a reduction?

Let 
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$$

## A reduction is a group homomorphism

$$\varphi : G \to H$$
  
 $M_i \mapsto P_i$  for all  $i$ 

with the following properties:

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•  $\varphi(M)$  is explicitly computable for all  $M \in G$ 

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• 
$$\varphi$$
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- $\varphi$  is surjective:  $H = \langle P_1, \ldots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"

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- $\varphi$  is surjective:  $H = \langle P_1, \dots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"
- e.g.  $H \leq S_m$  or  $H \leq \operatorname{GL}_{n'}(\mathbb{F}_{q'})$  with  $n' \log q' < n \log q$

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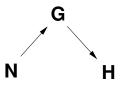
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## Recursion: composition trees We get a tree:



### Up arrows: inclusions Down arrows: homomorphisms

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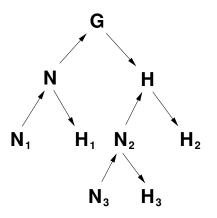
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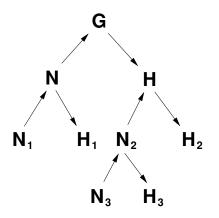
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## Recursion: composition trees We get a tree:



### Up arrows: inclusions Down arrows: homomorphisms

Old idea, substantial improvements are still being made

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## Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

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# Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

## Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible.

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Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$\mathcal{V}|_{\mathcal{N}} = \mathcal{W}_1 \oplus \mathcal{W}_2 \oplus \cdots \oplus \mathcal{W}_k,$$

all  $W_i$  are invariant under N, and

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 $V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$ 

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

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We can compute the reduction once *N* is found.

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We can compute the reduction once *N* is found.

Since we can compute normal closures, our initial problem is exactly, what we need to do.

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### Things we can do in matrix groups

We can efficiently:

• store and compare elements

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### Things we can do in matrix groups

- store and compare elements
- form products and inverses,

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### Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,

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### Things we can do in matrix groups

- store and compare elements
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### Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements

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## Things we can do in matrix groups

- store and compare elements
- form products and inverses,
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- use previously assembled data about groups and representations

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## Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
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- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

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## Things we can do in matrix groups

We can efficiently:

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

The latter means that for H < G, we can compute some elements that generate with high probability the smallest normal subgroup of *G* containing *H*.

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### Blind descent (Babai, Beals)

Let  $1 \neq x, y \in G$  and G non-abelian.

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Let  $1 \neq x, y \in G$  and G non-abelian.

Assume at least one of *x*, *y* is contained in a non-trivial proper normal subgroup.

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Let  $1 \neq x, y \in G$  and G non-abelian.

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We do not know which!

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Aim: Produce  $1 \neq z \in G$  that is contained in a non-trivial proper normal subgroup.

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Aim: Produce  $1 \neq z \in G$  that is contained in a non-trivial proper normal subgroup.

Consider 
$$c := [x, y] := x^{-1}y^{-1}xy$$
,  
if  $c \neq 1$ , we take  $z := c$ .

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Consider 
$$c := [x, y] := x^{-1}y^{-1}xy$$
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if  $c \neq 1$ , we take  $z := c$ .

If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.

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If c = 1, the elements x and y commute. If  $x \in Z(G)$ , take z := x.

**③** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .

• If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.

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```
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```

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$$c := [x, y] := x^{-1}y^{-1}xy$$
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if  $c \neq 1$ , we take  $z := c$ .

If 
$$c = 1$$
, the elements x and y commute.  
If  $x \in Z(G)$ , take  $z := x$ .

**③** Compute generators  $\{y_i\}$  for  $Y := \langle y^G \rangle$ .

- If some  $c_i := [x, y_i] \neq 1$ , then take  $z := c_i$  as in 1.
- Otherwise  $g \in C_G(Y)$  but  $g \notin Z(G)$ , thus  $Y \neq G$ , we take z := y.

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# A first try

### Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := \mathsf{RANDOMELEMENT}(G)$ 

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# A first try

### Algorithm 1 (Babai, Beals)

Initialize  $1 \neq x := RANDOMELEMENT(G)$ Repeat *K* times:

y := RANDOMELEMENT(G)

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# A first try

### Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
```

### Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)

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# A first try

### Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
Repeat K times:
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- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- p := some prime divisor of o
- $y' := y^{o/p}$  has order p

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# A first try

### Algorithm 1 (Babai, Beals)

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Initialize 1 \neq x := RANDOMELEMENT(G)
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- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
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- $y' := y^{o/p}$  has order p
- x := BLINDDESCENT(x, y')

### Return x

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## What is the Involution Jumper?

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### What is the Involution Jumper?

**Input:**  $G = \langle g_1, \ldots, g_k \rangle$  and an involution  $x \in G$ . repeat

y := RANDOMELEMENT(G) $c := x^{-1}y^{-1}xy$  and o := ORDER(c)

if o is even then

return c<sup>o/2</sup>

### else

 $z := y \cdot c^{(o-1)/2}$  and o' := ORDER(z)if o' is even then return  $z^{o'/2}$ 

until patience lost return FAIL

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Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y.

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 $z := y \cdot c^{(o-1)/2}$  and o' := ORDER(z)if o' is even then return  $z^{o'/2}$ 

until patience lost return FAIL

Note: If xy = yx then  $c = 1_G$  and o = 1 and z = y. But this happens rarely.

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### What does the Involution Jumper do?

Input:  $G = \langle g_1, \ldots, g_k \rangle$  and an involution  $x \in G$ .

• If it does not fail, it returns an involution  $\tilde{x} \in G$ .

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Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

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xx̃ = x̃x

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### What does the Involution Jumper do?

Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .

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- Every involution of  $C_G(x)$  occurs with probability > 0.

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# What does the Involution Jumper do?

- Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .
  - If it does not fail, it returns an involution  $\tilde{x} \in G$ .
  - $X\tilde{X} = \tilde{X}X$
  - Every involution of  $C_G(x)$  occurs with probability > 0.
  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,

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# What does the Involution Jumper do?

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  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,
    - if nothing goes wrong.

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# What does the Involution Jumper do?

- Input:  $G = \langle g_1, \dots, g_k \rangle$  and an involution  $x \in G$ .
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  - Every involution of  $C_G(x)$  occurs with probability > 0.
  - Using product replacement to produce random elements, this is a practical method for
    - permutation groups,
    - matrix groups and
    - projective groups,

### if nothing goes wrong.

• It needs an involution to start with.

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### Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

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## Jumping between classes

Notation: Let  $x^G$  denote the conjugacy class of x in G.

## Lemma

Let  $x, a \in G$  be involutions and  $g \in G$ . Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

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## or equivalently

### Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

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### Lemma

Let  $x \in G$  be an involution. Then the distribution of  $IJ(x)^G$  only depends on  $x^G$  and not on the choice of x in  $x^G$ .

Proof: f(x, y) :=  $\begin{cases}
[x, y]^k & \text{if ORDER}([x, y]) = 2k \\
(y[x, y]^k)^l & \text{if ORDER}([x, y]) = 2k + 1 > 1 \text{ and} \\
ORDER([y[x, y]^k]) = 2l \\
y^k & \text{if } xy = yx \text{ and ORDER}(y) = 2k
\end{cases}$ 

and we have  $f(x^g, y^g) = f(x, y)^g$  whenever f is defined.

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## A Markov chain ${\cal M}$

The states are the conjugacy classes of involutions in G.

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# A Markov chain $\mathcal{M}$

The states are the conjugacy classes of involutions in G.

The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

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- Pick an arbitrary involution  $x \in a^G$ .
- Compute  $\tilde{x} := IJ(x)$  until  $\tilde{x} \neq FAIL$ .
- Next state is  $\tilde{x}^G$ .

By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of *x*.

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# A Markov chain $\mathcal{M}$

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The transition is done as follows: At a class  $a^G$ :

- Pick an arbitrary involution  $x \in a^G$ .
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By the lemma, the distribution of the class  $\tilde{x}^G$  does not depend on the choice of *x*.

### Theorem

The above Markov chain  $\mathcal{M}$  is irreducible and aperiodic and thus has a stationary distribution in which every state has non-zero probability.

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# Back to the original question

## Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

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# Back to the original question

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Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

- If we find an involution in *G* to start with
- and N contains at least one involution class,

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# Back to the original question

## Problem

Let  $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$  be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the  $g_i$  with "high probability".

If we find an involution in *G* to start with
and *N* contains at least one involution class,
the IJ will eventually jump onto an involution class in *N*.

~

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# A better try

## Algorithm 2

# Initialize $1 \neq x := \text{RANDOMELEMENT}(G)$ and z := RANDOMINVOLUTION(G)

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# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)

Sor a few prime divisors *p* of *o* do:

```
• y' := y^{o/p} has order p
```

```
• x := BLINDDESCENT(x, y')
```

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# A better try

## Algorithm 2

Initialize  $1 \neq x := RANDOMELEMENT(G)$  and z := RANDOMINVOLUTION(G)

## Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- Solution For a few prime divisors *p* of *o* do:
  - $y' := y^{o/p}$  has order p
  - $x := \mathsf{BLINDDESCENT}(x, y')$
- z := INVOLUTIONJUMPER(G, z)
- x := BLINDDESCENT(x, z)

## Return x

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## Examples

## In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	GL(3,3)×6	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	1.83

\* average number of IJ hops needed to reach N.

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## In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	GL(3,3)×6	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	1.83

\* average number of IJ hops needed to reach N.

Running Algorithm 2 (with K = 5) also works nicely:

G	N	SUCC.
$S_5 \wr S_{10}$	$S_5^{ imes 10}$	100%
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	$GL(3,3)^{ imes 6}$	100%
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	100%

(here we have done 100 runs)

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# Reductions for imprimitive matrix groups

## Situation

Let  $G \leq \operatorname{GL}_n(\mathbb{F}_q)$  acting linearly on  $V := \mathbb{F}_q^{1 \times n}$ , such that V is irreducible. Assume there is N with  $Z(G) < N \triangleleft G$  such that

$$V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$$

all  $W_i$  are invariant under N, and G permutes the  $W_i$  transitively. Then there is a reduction  $\varphi : G \to S_k$ .

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We use Algorithm 2, for the result *x*, do:

- compute the normal closure  $M := \langle x^G \rangle$ ,
- use the MeatAxe to check whether  $V|_M$  is reducible,
- if  $x \in N$ , we find a reduction.

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The InvolutionJumper is in trouble, if at least one of the following happens:

 we do not easily find an involution in G (like for example in SL(2, 2<sup>n</sup>) for big n),

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The InvolutionJumper is in trouble, if at least one of the following happens:

- we do not easily find an involution in G (like for example in SL(2, 2<sup>n</sup>) for big n),
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(when does this happen?),

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- N has odd order.

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Fortunately: Centralisers of involutions seem to contain enough involutions.