Max Neunhöffer

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Finding normal subgroups

Max Neunhöffer



University of St Andrews

Edinburgh, 17.3.2009

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Let $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$ be a finite group and N be a normal subgroup.

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Problem

Let $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$ be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the g_i

• Assume no more knowledge about G or N.

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Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.

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Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.

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Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.

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Problem

- Assume no more knowledge about G or N.
- I shall tell you soon why we want to do this.
- We are looking for a randomised algorithm.
- Assume we can generate uniformly distributed random elements in *G*.
- "High probability" means for the moment "higher than 1/[G:N]".

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Matrix groups ...

Let \mathbb{F}_q be the field with q elements and

$$\operatorname{GL}_n(\mathbb{F}_q) := \{ M \in \mathbb{F}_q^{n \times n} \mid M \text{ invertible} \}$$

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What do we want to determine about G?

• The group order |G|

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- The group order |G|
- Membership test: Is $M \in \operatorname{GL}_n(\mathbb{F}_q)$ in *G*?

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- Homomorphisms $\varphi : \mathbf{G} \to \mathbf{H}$?
- Kernels of homomorphisms? Is G simple?

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- Comparison with known groups

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- (Maximal) subgroups?

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It is finite, we have $|\operatorname{GL}_n(\mathbb{F}_q)| = q^{n(n-1)/2} \prod_{i=1}^n (q^i - 1)$

What do we want to determine about G?

- The group order |G|
- Membership test: Is $M \in GL_n(\mathbb{F}_q)$ in *G*?
- Homomorphisms $\varphi : \boldsymbol{G} \rightarrow \boldsymbol{H}$?
- Kernels of homomorphisms? Is G simple?
- Comparison with known groups
- (Maximal) subgroups?

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Constructive recognition

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Possible problems

Let \mathbb{F}_q be the field with q elements und

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$

- The group order |G| and
- an algorithm that, given $M \in GL_n(\mathbb{F}_q)$,
 - decides, whether or not $M \in G$, and,
 - if so, expresses *M* as word in the *M*_i.

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 - decides, whether or not $M \in G$, and,
 - if so, expresses *M* as word in the *M*_i.
- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.

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 - if so, expresses *M* as word in the *M*_i.
- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough.

Constructive recognition

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- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

Constructive recognition

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Possible problems

Let \mathbb{F}_q be the field with q elements und

 $M_1,\ldots,M_k\in \mathrm{GL}_n(\mathbb{F}_q).$

Find for $G := \langle M_1, \ldots, M_k \rangle$:

- The group order |G| and
- an algorithm that, given $M \in \operatorname{GL}_n(\mathbb{F}_q)$,
 - decides, whether or not $M \in G$, and,
 - if so, expresses *M* as word in the *M*_i.
- The runtime should be bounded from above by a polynomial in *n*, *k* and log *q*.
- A Monte Carlo Algorithm is enough. (Verification!)

If this problem is solved, we call $\langle M_1, \ldots, M_k \rangle$ recognised constructively.

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What is a reduction?

Let $G := \langle M_1, \ldots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$

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What is a reduction?

Let
$$G := \langle M_1, \dots, M_k \rangle \leq \operatorname{GL}_n(\mathbb{F}_q).$$

A reduction is a group homomorphism

$$\varphi : G \to H$$

 $M_i \mapsto P_i$ for all i

with the following properties:

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with the following properties:

• $\varphi(M)$ is explicitly computable for all $M \in G$

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• $\varphi(M)$ is explicitly computable for all $M \in G$

•
$$\varphi$$
 is surjective: $H = \langle P_1, \dots, P_k \rangle$

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- φ is surjective: $H = \langle P_1, \ldots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"

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- φ is surjective: $H = \langle P_1, \dots, P_k \rangle$
- *H* is in some sense "smaller"
- or at least "easier to recognise constructively"
- e.g. $H \leq S_m$ or $H \leq \operatorname{GL}_{n'}(\mathbb{F}_{q'})$ with $n' \log q' < n \log q$

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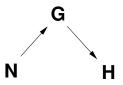
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Recursion: composition trees We get a tree:



Up arrows: inclusions Down arrows: homomorphisms

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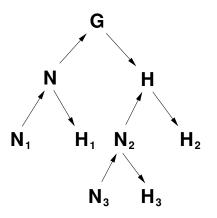
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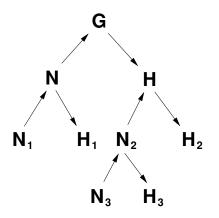
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Old idea, substantial improvements are still being made

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Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

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Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

Situation

Let $G \leq \operatorname{GL}_n(\mathbb{F}_q)$ acting linearly on $V := \mathbb{F}_q^{1 \times n}$, such that V is irreducible.

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Reduction in the imprimitive case

One case, in which we want to find a reduction, is:

Situation

Let $G \leq \operatorname{GL}_n(\mathbb{F}_q)$ acting linearly on $V := \mathbb{F}_q^{1 \times n}$, such that V is irreducible. Assume there is N with $Z(G) < N \triangleleft G$ such that

$$\mathcal{V}|_{\mathcal{N}} = \mathcal{W}_1 \oplus \mathcal{W}_2 \oplus \cdots \oplus \mathcal{W}_k,$$

all W_i are invariant under N, and

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 $V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$

all W_i are invariant under N, and G permutes the W_i transitively. Then there is a reduction $\varphi : G \to S_k$.

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We can compute the reduction once *N* is found.

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all W_i are invariant under N, and G permutes the W_i transitively. Then there is a reduction $\varphi : G \to S_k$.

We can compute the reduction once *N* is found.

Since we can compute normal closures, our initial problem is exactly, what we need to do.

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Things we can do in matrix groups

We can efficiently:

• store and compare elements

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Things we can do in matrix groups

- store and compare elements
- form products and inverses,

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Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,

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Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders

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Possible problems

Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements

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- Involution Jumper What's that? Jumping classes Back to our question
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Things we can do in matrix groups

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations

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Things we can do in matrix groups

- store and compare elements
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- compute normal closures (at least Monte Carlo).

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Things we can do in matrix groups

We can efficiently:

- store and compare elements
- form products and inverses,
- act on vectors, subspaces and matrices,
- compute element orders
- produce uniformly distributed random elements
- use previously assembled data about groups and representations
- compute normal closures (at least Monte Carlo).

The latter means that for H < G, we can compute some elements that generate with high probability the smallest normal subgroup of *G* containing *H*.

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Blind descent (Babai, Beals)

Let $1 \neq x, y \in G$ and G non-abelian.

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Let $1 \neq x, y \in G$ and G non-abelian.

Assume at least one of *x*, *y* is contained in a non-trivial proper normal subgroup.

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Let $1 \neq x, y \in G$ and G non-abelian.

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Aim: Produce $1 \neq z \in G$ that is contained in a non-trivial proper normal subgroup.

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Consider
$$c := [x, y] := x^{-1}y^{-1}xy$$
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if $c \neq 1$, we take $z := c$.

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We do not know which!

Aim: Produce $1 \neq z \in G$ that is contained in a non-trivial proper normal subgroup.

Consider
$$c := [x, y] := x^{-1}y^{-1}xy$$
,
if $c \neq 1$, we take $z := c$.

If c = 1, the elements x and y commute. If $x \in Z(G)$, take z := x.

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If c = 1, the elements x and y commute. If $x \in Z(G)$, take z := x.

③ Compute generators $\{y_i\}$ for $Y := \langle y^G \rangle$.

• If some $c_i := [x, y_i] \neq 1$, then take $z := c_i$ as in 1.

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Blind descent (Babai, Beals)

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If
$$c = 1$$
, the elements x and y commute.
If $x \in Z(G)$, take $z := x$.

③ Compute generators $\{y_i\}$ for $Y := \langle y^G \rangle$.

- If some $c_i := [x, y_i] \neq 1$, then take $z := c_i$ as in 1.
- Otherwise $g \in C_G(Y)$ but $g \notin Z(G)$, thus $Y \neq G$, we take z := y.

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A first try

Algorithm 1 (Babai, Beals)

Initialize $1 \neq x := \mathsf{RANDOMELEMENT}(G)$

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A first try

Algorithm 1 (Babai, Beals)

Initialize $1 \neq x := RANDOMELEMENT(G)$ Repeat *K* times:

y := RANDOMELEMENT(G)

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Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
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Repeat K times:

- y := RANDOMELEMENT(G)
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Algorithm 1 (Babai, Beals)

```
Initialize 1 \neq x := RANDOMELEMENT(G)
Repeat K times:
```

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- p := some prime divisor of o
- $y' := y^{o/p}$ has order p

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- x := BLINDDESCENT(x, y')

Return x

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What is the Involution Jumper?

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What is the Involution Jumper?

Input: $G = \langle g_1, \ldots, g_k \rangle$ and an involution $x \in G$. repeat

y := RANDOMELEMENT(G) $c := x^{-1}y^{-1}xy$ and o := ORDER(c)

if o is even then

return c^{o/2}

else

 $z := y \cdot c^{(o-1)/2}$ and o' := ORDER(z)if o' is even then return $z^{o'/2}$

until patience lost return FAIL

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until patience lost return FAIL

Note: If xy = yx then $c = 1_G$ and o = 1 and z = y.

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until patience lost return FAIL

Note: If xy = yx then $c = 1_G$ and o = 1 and z = y. But this happens rarely.

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What does the Involution Jumper do?

Input: $G = \langle g_1, \ldots, g_k \rangle$ and an involution $x \in G$.

• If it does not fail, it returns an involution $\tilde{x} \in G$.

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What does the Involution Jumper do?

Input: $G = \langle g_1, \dots, g_k \rangle$ and an involution $x \in G$.

If it does not fail, it returns an involution x̃ ∈ G.
xx̃ = x̃x

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Input: $G = \langle g_1, \dots, g_k \rangle$ and an involution $x \in G$.

- If it does not fail, it returns an involution x̃ ∈ G.
 xx̃ = x̃x
- Every involution of $C_G(x)$ occurs with probability > 0.

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What does the Involution Jumper do?

- Input: $G = \langle g_1, \dots, g_k \rangle$ and an involution $x \in G$.
 - If it does not fail, it returns an involution $\tilde{x} \in G$.
 - $X\tilde{X} = \tilde{X}X$
 - Every involution of $C_G(x)$ occurs with probability > 0.
 - Using product replacement to produce random elements, this is a practical method for
 - permutation groups,
 - matrix groups and
 - projective groups,

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 - Using product replacement to produce random elements, this is a practical method for
 - permutation groups,
 - matrix groups and
 - projective groups,
 - if nothing goes wrong.

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 - Every involution of $C_G(x)$ occurs with probability > 0.
 - Using product replacement to produce random elements, this is a practical method for
 - permutation groups,
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 - projective groups,

if nothing goes wrong.

• It needs an involution to start with.

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Jumping between classes

Notation: Let x^G denote the conjugacy class of x in G.

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Jumping between classes

Notation: Let x^G denote the conjugacy class of x in G.

Lemma

Let $x, a \in G$ be involutions and $g \in G$. Then

$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

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$$Prob(IJ(x) \in a^G) = Prob(IJ(x^g) \in a^G).$$

or equivalently

Lemma

Let $x \in G$ be an involution. Then the distribution of $IJ(x)^G$ only depends on x^G and not on the choice of x in x^G .

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Lemma

Let $x \in G$ be an involution. Then the distribution of $IJ(x)^G$ only depends on x^G and not on the choice of x in x^G .

Proof: f(x, y) := $\begin{cases}
[x, y]^k & \text{if ORDER}([x, y]) = 2k \\
(y[x, y]^k)^l & \text{if ORDER}([x, y]) = 2k + 1 > 1 \text{ and} \\
ORDER([y[x, y]^k]) = 2l \\
y^k & \text{if } xy = yx \text{ and ORDER}(y) = 2k
\end{cases}$

and we have $f(x^g, y^g) = f(x, y)^g$ whenever f is defined.

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A Markov chain ${\cal M}$

The states are the conjugacy classes of involutions in G.

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A Markov chain \mathcal{M}

The states are the conjugacy classes of involutions in G.

The transition is done as follows: At a class a^G :

- Pick an arbitrary involution $x \in a^G$.
- Compute $\tilde{x} := IJ(x)$ until $\tilde{x} \neq FAIL$.
- Next state is \tilde{x}^G .

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By the lemma, the distribution of the class \tilde{x}^G does not depend on the choice of *x*.

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By the lemma, the distribution of the class \tilde{x}^G does not depend on the choice of *x*.

Theorem

The above Markov chain \mathcal{M} is irreducible and aperiodic and thus has a stationary distribution in which every state has non-zero probability.

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Back to the original question

Problem

Let $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$ be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the g_i with "high probability".

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Back to the original question

Problem

Let $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$ be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the g_i with "high probability".

- If we find an involution in *G* to start with
- and N contains at least one involution class,

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Back to the original question

Problem

Let $1 < N \triangleleft G = \langle g_1, \dots, g_k \rangle$ be a finite group and N be a normal subgroup. Produce a non-trivial element of N as a word in the g_i with "high probability".

If we find an involution in *G* to start with
and *N* contains at least one involution class,
the IJ will eventually jump onto an involution class in *N*.

~

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A better try

Algorithm 2

Initialize $1 \neq x := \text{RANDOMELEMENT}(G)$ and z := RANDOMINVOLUTION(G)

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Algorithm 2

Initialize $1 \neq x := RANDOMELEMENT(G)$ and z := RANDOMINVOLUTION(G)

Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)

Sor a few prime divisors *p* of *o* do:

```
• y' := y^{o/p} has order p
```

```
• x := BLINDDESCENT(x, y')
```

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Repeat K times:

- y := RANDOMELEMENT(G)
- **2** o := ORDER(y)
- Solution For a few prime divisors *p* of *o* do:
 - $y' := y^{o/p}$ has order p
 - $x := \mathsf{BLINDDESCENT}(x, y')$
- z := INVOLUTIONJUMPER(G, z)
- x := BLINDDESCENT(x, z)

Return x

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Examples

In practice, the IJ works extremely well in many cases:

G	N	# hops*
$S_5 \wr S_{10}$	$\mathcal{S}_5^{ imes 10}$	1.91
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	GL(3,3)×6	1.17
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	1.83

* average number of IJ hops needed to reach N.

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* average number of IJ hops needed to reach N.

Running Algorithm 2 (with K = 5) also works nicely:

G	N	SUCC.
$S_5 \wr S_{10}$	$S_5^{ imes 10}$	100%
$\operatorname{GL}(3,3)\wr S_6 < \operatorname{GL}(18,3)$	$GL(3,3)^{ imes 6}$	100%
$Sp(6,3) \otimes 2.O(7,3) < GL(48,3)$	$Sp(6,3)\otimes 1$	100%

(here we have done 100 runs)

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Reductions for imprimitive matrix groups

Situation

Let $G \leq \operatorname{GL}_n(\mathbb{F}_q)$ acting linearly on $V := \mathbb{F}_q^{1 \times n}$, such that V is irreducible. Assume there is N with $Z(G) < N \triangleleft G$ such that

$$V|_N = W_1 \oplus W_2 \oplus \cdots \oplus W_k,$$

all W_i are invariant under N, and G permutes the W_i transitively. Then there is a reduction $\varphi : G \to S_k$.

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all W_i are invariant under N, and G permutes the W_i transitively. Then there is a reduction $\varphi : G \to S_k$.

We use Algorithm 2, for the result *x*, do:

- compute the normal closure $M := \langle x^G \rangle$,
- use the MeatAxe to check whether $V|_M$ is reducible,
- if $x \in N$, we find a reduction.

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The InvolutionJumper is in trouble, if at least one of the following happens:

 we do not easily find an involution in G (like for example in SL(2, 2ⁿ) for big n),

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The problem

- Matrix groups The (ultimate) aim The (immediate) aim Reductions What we can do
- Blind descent
- Involution Jumper What's that? Jumping classes Back to our question
- Applications
- Possible problems

Possible problems

The InvolutionJumper is in trouble, if at least one of the following happens:

- we do not easily find an involution in G (like for example in SL(2, 2ⁿ) for big n),
- the involution classes of *N* have a small probability in the limit distribution

(when does this happen?),

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- the Involution Jumper returns FAIL too often (when does this happen?),

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- N has odd order.

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Fortunately: Centralisers of involutions seem to contain enough involutions.