

# REPRESENTATIONS OF FINITE GROUPS OF LIE TYPE

## LECTURE III: REPRESENTATIONS IN NON-DEFINING CHARACTERISTICS

Gerhard Hiss

Lehrstuhl D für Mathematik  
RWTH Aachen University

Summer School  
Finite Simple Groups and Algebraic Groups:  
Representations, Geometries and Applications  
Berlin, August 31 – September 10, 2009

# RECOLLECTION

## AIM

*Classify all irreducible representations of all finite simple groups and related finite groups.*

In the following, let  $G = \mathbf{G}^F$  be a finite reductive group of characteristic  $p$ , and let  $k$  be an algebraically closed field with  $\text{char}(k) = \ell$ .

Today we consider the case  $0 \neq \ell \neq p$ .

## A SIMPLIFICATION: BRAUER CHARACTERS

Let  $V$  be a  $kG$ -module.

The character  $\chi_V$  of  $V$  as defined in Lecture 2 does not convey all the desired information, e.g.,

$\chi_V(1)$  only gives the dimension of  $V$  modulo  $\ell$ .

Instead one considers the Brauer character  $\varphi_V$  of  $V$ .

This is obtained by consistently lifting the eigenvalues of the linear transformation of  $g \in G_{\ell'}$  on  $V$  to characteristic 0. ( $G_{\ell'}$  is the set of  $\ell'$ -regular elements of  $G$ .)

Thus  $\varphi_V : G_{\ell'} \rightarrow K$ , where  $K$  is a suitable field with  $\text{char}(K) = 0$ , and  $\varphi_V(g) = \text{sum of the eigenvalues of } g \text{ on } V$  (viewed as elements of  $K$ ).

In particular,  $\varphi_V(1)$  equals the dimension of  $V$ .

# THE BRAUER CHARACTER TABLE

If  $V$  is simple,  $\varphi_V$  is called an irreducible Brauer character.

Two **simple**  $kG$ -modules are isomorphic if and only if their Brauer characters are equal.

Put  $\text{IBr}_\ell(G) := \{\varphi_V \mid V \text{ simple } kG\text{-module}\}$ .

(If  $\ell \nmid |G|$ , then  $\text{IBr}_\ell(G) = \text{Irr}(G)$ .)

$\mathcal{C}_{\ell'}$ : set of representatives of the conjugacy classes of  $G$  contained in  $G_{\ell'}$ .

The **square** matrix

$$[\varphi(g)]_{\varphi \in \text{IBr}_\ell(G), g \in \mathcal{C}_{\ell'}}$$

is the Brauer character table or  $\ell$ -modular character table of  $G$ .

# THE 13-MODULAR CHARACTER TABLE OF $SL_3(3)$

Let  $G = SL_3(3)$ . Then  $|G| = 5616 = 2^4 \cdot 3^3 \cdot 13$ .

## EXAMPLE (THE 13-MODULAR CHARACTER TABLE OF $SL_3(3)$ )

|             | 1a | 2a | 3a | 3b | 4a | 6a | 8a           | 8b           |
|-------------|----|----|----|----|----|----|--------------|--------------|
| $\varphi_1$ | 1  | 1  | 1  | 1  | 1  | 1  | 1            | 1            |
| $\varphi_2$ | 11 | 3  | 2  | -1 | -1 | 0  | -1           | -1           |
| $\varphi_3$ | 13 | -3 | 4  | 1  | 1  | 0  | -1           | -1           |
| $\varphi_4$ | 16 | 0  | -2 | 1  | 0  | 0  | 0            | 0            |
| $\varphi_5$ | 26 | 2  | -1 | -1 | 2  | -1 | 0            | 0            |
| $\varphi_6$ | 26 | -2 | -1 | -1 | 0  | 1  | $\sqrt{-2}$  | $-\sqrt{-2}$ |
| $\varphi_7$ | 26 | -2 | -1 | -1 | 0  | 1  | $-\sqrt{-2}$ | $\sqrt{-2}$  |
| $\varphi_8$ | 39 | -1 | 3  | 0  | -1 | -1 | 1            | 1            |

# GOALS AND RESULTS

## AIM

*Describe all Brauer character tables of all finite simple groups and related finite groups.*

In contrast to the case of ordinary character tables (i.e.  $\text{char}(k) = 0$ , cf. Lecture 2), this is wide open:

- 1 For alternating groups: complete up to  $A_{17}$
- 2 For groups of Lie type: only partial results
- 3 For sporadic groups up to McL and other “small” groups (of order  $\leq 10^9$ ): *An Atlas of Brauer Characters*, Jansen, Lux, Parker, Wilson, 1995

More information is available on the web site of the  
**Modular Atlas Project:**

(<http://www.math.rwth-aachen.de/~MOC/>)

# THE DECOMPOSITION NUMBERS

For  $\chi \in \text{Irr}(G)$ , write  $\hat{\chi}$  for the restriction of  $\chi$  to  $G_{\ell'}$ .

Then there are integers  $d_{\chi\varphi} \geq 0$ ,  $\chi \in \text{Irr}(G)$ ,  $\varphi \in \text{IBr}_{\ell}(G)$ , such that

$$\hat{\chi} = \sum_{\varphi \in \text{IBr}_{\ell}(G)} d_{\chi\varphi} \varphi.$$

These integers are called the **decomposition numbers** of  $G$  modulo  $\ell$ .

The matrix  $D = [d_{\chi\varphi}]$  is the **decomposition matrix** of  $G$ .

## PROPERTIES OF BRAUER CHARACTERS

$\text{IBr}_\ell(G)$  is linearly independent (in  $\text{Maps}(G_{\ell'}, K)$ ) and so the decomposition numbers are uniquely determined.

The elementary divisors of  $D$  are all 1 (i.e., the decomposition map defined by  $\chi \mapsto \hat{\chi}$  is surjective). Thus:

**Knowing  $\text{Irr}(G)$  and  $D$  is equivalent to knowing  $\text{Irr}(G)$  and  $\text{IBr}_\ell(G)$ .**

If  $G$  is  $\ell$ -soluble,  $\text{Irr}(G)$  and  $\text{IBr}_\ell(G)$  can be sorted such that  $D$  has shape

$$D = \begin{bmatrix} I_n \\ D' \end{bmatrix},$$

where  $I_n$  is the  $(n \times n)$  identity matrix (Fong-Swan theorem).

# UNIPOTENT BRAUER CHARACTERS

The concept of decomposition numbers can be used to define unipotent Brauer characters of a finite reductive group.

Let  $G = \mathbf{G}^F$  be a finite reductive group of characteristic  $p$ .  
(Recall that  $\text{char}(k) = \ell \neq p$ .)

Recall that  $\text{Irr}^u(G) =$

$\{\chi \in \text{Irr}(G) \mid \chi \text{ occurs in } R_{\mathbf{T}}^{\mathbf{G}}(\mathbf{1}) \text{ for some maximal torus } \mathbf{T} \text{ of } \mathbf{G}\}.$

This yields a definition of  $\text{IBr}_{\ell}^u(G)$ .

## DEFINITION (UNIPOTENT BRAUER CHARACTERS)

$\text{IBr}_{\ell}^u(G) = \{\varphi \in \text{IBr}_{\ell}(G) \mid d_{\chi\varphi} \neq 0 \text{ for some } \chi \in \text{Irr}^u(G)\}.$

*The elements of  $\text{IBr}_{\ell}^u(G)$  are called the unipotent Brauer characters of  $G$ .*

A simple  $kG$ -module is **unipotent**, if its Brauer character is.

# JORDAN DECOMPOSITION OF BRAUER CHARACTERS

The investigations are guided by the following main conjecture.

## CONJECTURE

*Suppose that  $Z(\mathbf{G})$  is connected. Then there is a labelling*

$$\mathrm{IBr}_\ell(\mathbf{G}) \leftrightarrow \{\varphi_{s,\mu} \mid s \in \mathbf{G}^* \text{ semisimple}, \ell \nmid |s|, \mu \in \mathrm{IBr}_\ell^u(C_{\mathbf{G}^*}(s))\},$$

*such that  $\varphi_{s,\mu}(1) = |G^* : C_{G^*}(s)|_p \mu(1)$ .*

*Moreover,  $D$  can be computed from the decomposition numbers of **unipotent** characters of the various  $C_{\mathbf{G}^*}(s)$ .*

Known to be true for  $\mathrm{GL}_n(q)$  (Dipper-James, 1980s) and if  $C_{\mathbf{G}^*}(s)$  is a Levi subgroup of  $\mathbf{G}^*$  (Bonnafé-Rouquier, 2003).

The truth of this conjecture would reduce the computation of decomposition numbers to unipotent characters.

Consequently, we will restrict to this case in the following.

# THE UNIPOTENT DECOMPOSITION MATRIX

Put  $D^u :=$  restriction of  $D$  to  $\text{Irr}^u(G) \times \text{IBr}_\ell^u(G)$ .

**THEOREM (GECK-H., 1991; GECK, 1993)**

*(Some conditions apply.)*

$|\text{Irr}^u(G)| = |\text{IBr}_\ell^u(G)|$  and  $D^u$  is invertible over  $\mathbb{Z}$ .

**CONJECTURE (GECK, 1997)**

*(Some conditions apply.) With respect to suitable orderings of  $\text{Irr}^u(G)$  and  $\text{IBr}_\ell^u(G)$ ,  $D^u$  has shape*

$$\begin{bmatrix} 1 & & & \\ \star & 1 & & \\ \vdots & \vdots & \ddots & \\ \star & \star & \star & 1 \end{bmatrix}.$$

This would give a canonical bijection  $\text{Irr}^u(G) \longleftrightarrow \text{IBr}_\ell^u(G)$ .

## ABOUT GECK'S CONJECTURE

Geck's conjecture on  $D^u$  is known to hold for

- $GL_n(q)$  (Dipper-James, 1980s)
- $GU_n(q)$  (Geck, 1991)
- $G$  a classical group and  $\ell$  "linear" (Gruber-H., 1997)
- $Sp_4(q)$  (White, 1988 – 1995)
- $Sp_6(q)$  (An-H., 2006)
- $G_2(q)$  (Shamash-H., 1989 – 1992)
- $F_4(q)$  (Köhler, 2006)
- $E_6(q)$  (Geck-H., 1997; Miyachi, 2008)
- Steinberg triality groups  ${}^3D_4(q)$  (Geck, 1991)
- Suzuki groups (for general reasons)
- Ree groups (Himstedt-Huang, 2009)

# LINEAR PRIMES, I

Suppose  $G = \mathbf{G}^F$  with  $F(a_{ij}) = (a_{ij}^q)$  for some power  $q$  of  $p$ .

Put  $e := \min\{i \mid \ell \text{ divides } q^i - 1\}$ , the order of  $q$  in  $\mathbb{F}_\ell^*$ .

If  $G$  is classical ( $\neq \mathrm{GL}_n(q)$ ) and  $e$  is odd,  $\ell$  is **linear** for  $G$ .

## EXAMPLE

$G = \mathrm{SO}_{2m+1}(q)$ ,  $|G| = q^{m^2}(q^2 - 1)(q^4 - 1) \cdots (q^{2m} - 1)$ .

If  $\ell \parallel |G|$  and  $\ell \nmid q$ , then  $\ell \mid q^{2^d} - 1$  for some minimal  $d$ .

Thus  $\ell \mid q^d - 1$  ( $\ell$  linear and  $e = d$ ) or  $\ell \mid q^d + 1$  ( $e = 2d$ ).

Now  $\mathrm{Irr}^u(G)$  is a union of Harish-Chandra series  $\mathcal{E}_1, \dots, \mathcal{E}_r$ .

## THEOREM (FONG-SRINIVASAN, 1982, 1989)

Suppose that  $G \neq \mathrm{GL}_n(q)$  is classical and that  $\ell$  is linear.

Then  $D^u = \mathrm{diag}[\Delta_1, \dots, \Delta_r]$  with square matrices  $\Delta_i$  corresponding to  $\mathcal{E}_i$ .



# THE $v$ -SCHUR ALGEBRA

Let  $v$  be an indeterminate and put  $A := \mathbb{Z}[v, v^{-1}]$ .

Dipper and James (1989) have defined a remarkable  $A$ -algebra  $\mathcal{S}_{A,v}(S_n)$ , called the **generic  $v$ -Schur algebra**, such that:

- 1  $\mathcal{S}_{A,v}(S_n)$  is free and of finite rank over  $A$ .
- 2  $\mathcal{S}_{A,v}(S_n)$  is constructed from the generic Iwahori-Hecke algebra  $\mathcal{H}_{A,v}(S_n)$ , which is contained in  $\mathcal{S}_{A,v}(S_n)$  as a subalgebra (with a different unit).
- 3  $\mathbb{Q}(v) \otimes_A \mathcal{S}_{A,v}(S_n)$  is a quotient of the quantum group  $\mathcal{U}_v(\mathfrak{gl}_n)$ .

# THE $q$ -SCHUR ALGEBRA

Let  $G = \mathrm{GL}_n(q)$ .

Then  $D^u = (d_{\lambda,\mu})$ , with  $\lambda, \mu \in \mathcal{P}_n$ .

Let  $\mathfrak{S}_{A,\nu}(\mathcal{S}_n)$  be the  $\nu$ -Schur algebra, and let  $\mathfrak{S} := \mathfrak{S}_{k,q}(\mathcal{S}_n)$  be the  $k$ -algebra obtained by specializing  $\nu$  to the image of  $q \in k$ .

This is called the  $q$ -Schur algebra, and satisfies:

- 1  $\mathfrak{S}$  has a set of (finite-dimensional) **standard modules**  $\mathbf{S}^\lambda$ , indexed by  $\mathcal{P}_n$ .
- 2 The simple  $\mathfrak{S}$ -modules  $\mathbf{D}^\lambda$  are also labelled by  $\mathcal{P}_n$ .
- 3 If  $[\mathbf{S}^\lambda : \mathbf{D}^\mu]$  denotes the multiplicity of  $\mathbf{D}^\mu$  as a composition factor in  $\mathbf{S}^\lambda$ , then  $[\mathbf{S}^\lambda : \mathbf{D}^\mu] = d_{\lambda,\mu}$ .

As a consequence, the  $d_{\lambda,\mu}$  are bounded independently of  $q$  and of  $\ell$ .

# CONNECTIONS TO DEFINING CHARACTERISTICS, I

Let  $\mathcal{S}_{k,q}(\mathcal{S}_n)$  be the  $q$ -Schur algebra introduced above.

Suppose that  $\ell \mid q - 1$  so that  $q \equiv 1 \pmod{\ell}$ .

Then  $\mathcal{S}_{k,q}(\mathcal{S}_n) \cong \mathcal{S}_k(\mathcal{S}_n)$ , where  $\mathcal{S}_k(\mathcal{S}_n)$  is the Schur algebra studied by J. A. Green (1980).

A partition  $\lambda$  of  $n$  may be viewed as a dominant weight of  $\mathrm{GL}_n(k)$  [ $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_m) \leftrightarrow \lambda_1 \varepsilon_1 + \lambda_2 \varepsilon_2 + \dots + \lambda_m \varepsilon_m$ ].

Thus there are corresponding  $k\mathrm{GL}_n(k)$ -modules  $V(\lambda)$  and  $L(\lambda)$ .

If  $\lambda$  and  $\mu$  are partitions of  $n$ , we have

$$[V(\lambda) : L(\mu)] = [\mathbf{S}^\lambda : \mathbf{D}^\mu] = d_{\lambda,\mu}.$$

The first equality comes from the significance of the Schur algebra, the second from that of the  $q$ -Schur algebra.

## CONNECTIONS TO DEFINING CHARACTERISTICS, II

Thus the  $\ell$ -modular decomposition numbers of  $\mathrm{GL}_n(q)$  for prime powers  $q$  with  $\ell \mid q - 1$ , determine the composition multiplicities of **certain** simple modules  $L(\mu)$  in **certain** Weyl modules  $V(\lambda)$  of  $\mathrm{GL}_n(k)$ , namely if  $\lambda$  and  $\mu$  are partitions of  $n$ .

### FACTS (SCHUR, GREEN)

*Let  $\lambda$  and  $\mu$  be partitions with at most  $n$  parts.*

- 1  $[V(\lambda) : L(\mu)] = 0$ , if  $\lambda$  and  $\mu$  are partitions of different numbers.
- 2 If  $\lambda$  and  $\mu$  are partitions of  $r \geq n$ , then the composition multiplicity  $[V(\lambda) : L(\mu)]$  is the same in  $\mathrm{GL}_n(k)$  and  $\mathrm{GL}_r(k)$ .

Hence the  $\ell$ -modular decomposition numbers of **all**  $\mathrm{GL}_r(q)$ ,  $r \geq 1$ ,  $\ell \mid q - 1$  determine the composition multiplicities of **all** Weyl modules  $V(\lambda)$  of  $\mathrm{GL}_n(k)$ . (Thank you Jens!)

## CONNECTIONS TO SYMMETRIC GROUP REPR'S

As for the Schur algebra, there are standard  $kS_n$ -modules  $S^\lambda$ , called **Specht modules**, labelled by the partitions  $\lambda$  of  $n$ .

The simple  $kS_n$ -modules  $D^\mu$  are labelled by the  $\ell$ -regular partitions  $\mu$  of  $n$  (no part of  $\mu$  is repeated  $\ell$  or more times).

The  $\ell$ -modular decomposition numbers of  $S_n$  are the  $[S^\lambda : D^\mu]$ .

**THEOREM (JAMES, 1980)**

$[S^\lambda : D^\mu] = [V(\lambda) : L(\mu)]$ , if  $\mu$  is  $\ell$ -regular.

**THEOREM (ERDMANN, 1995)**

For partitions  $\lambda, \mu$  of  $n$ , there are  $\ell$ -regular partition  $t(\lambda), t(\mu)$  of  $\ell n + (\ell - 1)n(n - 1)/2$  such that

$$[V(\lambda) : L(\mu)] = [S^{t(\lambda)} : D^{t(\mu)}].$$

## AMAZING CONCLUSION

Recall that  $\ell$  is a fixed prime and  $k$  an algebraically closed field of characteristic  $\ell$ .

Each of the following three families of numbers can be determined from any one of the others:

- 1 The  $\ell$ -modular decomposition numbers of  $S_n$  for all  $n$ .
- 2 The  $\ell$ -modular decomposition numbers of the unipotent characters of  $GL_n(q)$  for all prime powers  $q$  with  $\ell \mid q - 1$  and all  $n$ .
- 3 The composition multiplicities  $[V(\lambda) : L(\mu)]$  of  $kGL_n(k)$ -modules for all  $n$  and all dominant weights  $\lambda, \mu$ .

Thus all these problems are really hard.

# JAMES' CONJECTURE

Let  $G = \mathrm{GL}_n(q)$ . Recall that  $e = \min\{i \mid \ell \text{ divides } q^i - 1\}$ .

James has computed all matrices  $D^u$  for  $n \leq 10$ .

## CONJECTURE (JAMES, 1990)

*If  $e\ell > n$ , then  $D^u$  only depends on  $e$  (neither on  $\ell$  nor  $q$ ).*

## THEOREM

*(1) The conjecture is true for  $n \leq 10$  (James, 1990).*

*(2) If  $\ell \gg 0$ ,  $D^u$  only depends on  $e$  (Geck, 1992).*

# LARGE PRIMES

In fact, Geck proved the following factorisation property.

## THEOREM (GECK, 1992)

*Let  $D^u$  be the  $\ell$ -modular decomposition matrix of the  $q$ -Schur algebra  $\mathcal{S}_{k,q}(S_n)$ . Then  $D^u = D_e D_\ell$  for two square matrices  $D_e$  and  $D_\ell$ , where  $D_e$  only depends on  $e$  and  $D_\ell$  only on  $\ell$ . Moreover,  $D_\ell = I$  for  $\ell \gg 0$ .*

There is an algorithm to compute the matrices  $D_e$ .

## THEOREM (LASCoux-LECLERC-THIBON; ARIKI; VARAGNOLO-VASSEROT (1996 – 99))

*The matrix  $D_e$  can be computed from the canonical basis of a certain highest weight module of the quantum group  $\mathcal{U}_v(\widehat{\mathfrak{sl}}_e)$ .*

# A UNIPOTENT DECOMPOSITION MATRIX FOR $GL_5(q)$

Let  $G = GL_5(q)$ ,  $e = 2$  (i.e.,  $\ell \mid q + 1$  but  $\ell \nmid q - 1$ ), and assume  $\ell > 2$ . Then  $D^u = D_e$  equals

---

|                      |   |   |   |   |   |
|----------------------|---|---|---|---|---|
| (5)                  | 1 |   |   |   |   |
| (4, 1)               |   | 1 |   |   |   |
| (3, 2)               |   |   | 1 |   |   |
| (3, 1 <sup>2</sup> ) | 1 |   | 1 | 1 |   |
| (2 <sup>2</sup> , 1) |   |   | 1 | 1 | 1 |
| (2, 1 <sup>3</sup> ) |   | 1 |   |   | 1 |
| (1 <sup>5</sup> )    | 1 |   | 1 |   | 1 |

---

The triangular shape defines  $\varphi_\lambda, \lambda \in \mathcal{P}_5$ .

## ON THE DEGREE POLYNOMIALS

The degrees of the  $\varphi_\lambda$  are “polynomials in  $q$ ”.

| $\lambda$            | $\varphi_\lambda(1)$   |
|----------------------|------------------------|
| (5)                  | 1                      |
| (4, 1)               | $q(q+1)(q^2+1)$        |
| (3, 2)               | $q^2(q^4+q^3+q^2+q+1)$ |
| (3, 1 <sup>2</sup> ) | $(q^2+1)(q^5-1)$       |
| (2 <sup>2</sup> , 1) | $(q^3-1)(q^5-1)$       |
| (2, 1 <sup>3</sup> ) | $q(q+1)(q^2+1)(q^5-1)$ |
| (1 <sup>5</sup> )    | $q^2(q^3-1)(q^5-1)$    |

**THEOREM (BRUNDAN-DIPPER-KLESHCHEV, 2001)**

*The degrees of  $\chi_\lambda(1)$  and of  $\varphi_\lambda(1)$  as polynomials in  $q$  are the same.*

# AN ANALOGUE OF JAMES' CONJECTURE?

Let  $\{G(q) \mid q \text{ a prime power with } \ell \nmid q\}$  be a series of finite groups of Lie type, e.g.  $\{GU_n(q)\}$  or  $\{SO_n(q)\}$  ( $n$  fixed).

## QUESTION

*Is an analogue of James' conjecture true for  $\{G(q)\}$ ?*

*More precisely, is there a factorisation  $D^u = D_e D_\ell$  such that  $D_e$  and  $D_\ell$  only depend on  $e$ , respectively  $\ell$ , and such that  $D_\ell = I$  for large enough  $\ell$ ?*

If **yes**, only finitely many matrices  $D^u$  to compute:

- $e$  takes only finitely many values since the rank of  $G(q)$  is fixed;
- there are only finitely many “small”  $\ell$ 's.

## OTHER $q$ -SCHUR ALGEBRAS?

The following is a weaker form of the above question.

### CONJECTURE

*The entries of  $D^u$  are bounded independently of  $q$  and  $\ell$ .*

This conjecture is known to be true for

- $GL_n(q)$  (Dipper-James),
- $G$  classical and  $\ell$  linear (Gruber-H., 1997),
- $GU_3(q)$ ,  $Sp_4(q)$  (Okuyama-Waki, 1998, 2002),
- Suzuki groups (cyclic defect) and Ree groups  ${}^2G_2(q)$  (Landrock-Michler, 1980).

### QUESTION

*Is there a  $q$ -Schur algebra for  $\{G(q)\}$ , whose  $\ell$ -modular decomposition matrix equals  $D^u$ ?*

## ACKNOWLEDGEMENT

These lectures owe very much to suggestions by

**Frank Lübeck,**

to whom I wish to express my sincere thanks.

Thank you for your listening!