

$\text{Hom}(M, N)$ for holonomic D -modules M and N

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Notations

- $K \subseteq \mathbb{C}$ subfield
- $K[\underline{x}] := K[x_1, \dots, x_n]$
- $K[\underline{\partial}] := K[\partial_1, \dots, \partial_n]$
- $D := K[\underline{x}] \langle \underline{\partial} \rangle$
- M and N holonomic D -modules.
- $\tau: D \rightarrow D$ the standard transposition.

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- $\text{Hom}(M, N)$

The algorithm is based on the proof of the following theorem.

Theorem (BJÖRK, 1979)

Let M and N be holonomic left D -modules. Then

$$\mathrm{Ext}_D^i(M, N) \cong \mathrm{Tor}_{n-i}^D(\mathrm{Ext}_D^n(M, D), N).$$

Proof: Let the following be a free resolution of M

$$X^\bullet : 0 \rightarrow D^{r-a} \cdot M_{-a+1} \xrightarrow{\quad} \dots \rightarrow D^{r-1} \cdot M_0 \xrightarrow{\quad} D^{r_0} \rightarrow M \rightarrow 0$$

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Applying the Hom functor to the sequence induces a complex of right D -modules $\text{Hom}_D(X^\bullet, D)$:

$$0 \leftarrow \underbrace{(D^{r-a})^T}_{\text{degree } a} \xleftarrow{M_{-a+1} \cdot} \dots \leftarrow (D^{r-1})^T \xleftarrow{M_0 \cdot} (D^{r_0})^T \leftarrow 0$$

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Since $\text{Hom}_D(D^r, D) \otimes_D N \cong \text{Hom}_D(D^r, N)$, we obtain $\text{Hom}_D(X^\bullet, D) \otimes_D N \cong \text{Hom}_D(X^\bullet, N)$. But the cohomology groups of this complex are exactly $\text{Ext}_D^i(M, N)$.

We replace N by a free resolution Y^\bullet to obtain the following double complex:

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$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longleftarrow & (D^{r-a})^T \otimes_D D^{s_0} & \xleftarrow{(M_{-a+1} \cdot) \otimes \text{Id}_{s_0}} \cdots \xleftarrow{} & (D^{r-1})^T \otimes_D D^{s_0} & \xleftarrow{(M_0 \cdot) \otimes \text{Id}_{s_0}} & (D^{r_0})^T \otimes_D D^{s_0} \longleftarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & -\text{Id}_{r-a} \otimes (\cdot N_0) & & -\text{Id}_{r-1} \otimes (\cdot N_0) & & -\text{Id}_{r_0} \otimes (\cdot N_0) \\
 0 & \longleftarrow & (D^{r-a})^T \otimes_D D^{s-1} & \xleftarrow{(M_{-a+1} \cdot) \otimes \text{Id}_{s-1}} \cdots \xleftarrow{} & (D^{r-1})^T \otimes_D D^{s-1} & \xleftarrow{(M_0 \cdot) \otimes \text{Id}_{s-1}} & (D^{r_0})^T \otimes_D D^{s-1} \longleftarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & \vdots & & \vdots & & \vdots \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & -\text{Id}_{r-a} \otimes (\cdot N_{-b+1}) & & -\text{Id}_{r-1} \otimes (\cdot N_{-b+1}) & & -\text{Id}_{r_0} \otimes (\cdot N_{-b+1}) \\
 0 & \longleftarrow & (D^{r-a})^T \otimes_D D^{s-b} & \xleftarrow{(M_{-a+1} \cdot) \otimes \text{Id}_{s-b}} \cdots \xleftarrow{} & (D^{r-1})^T \otimes_D D^{s-b} & \xleftarrow{(M_0 \cdot) \otimes \text{Id}_{s-b}} & (D^{r_0})^T \otimes_D D^{s-b} \longleftarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

Using a result from the theory of spectral sequences we get that the cohomology of the total complex equals the cohomology of the complex

$$0 \longleftarrow \text{Hom}_D(D^{r-a}, N) \xleftarrow{\text{Hom}_D(M_{-a+1}, N)} \cdots \xleftarrow{\text{Hom}_D(M_0, N)} \text{Hom}_D(D^r, N) \longleftarrow 0 .$$

The cohomologies of this sequence are the $\text{Ext}_D^i(M, N)$.

With a similar argumentation as above the cohomology of the total complex also equals the cohomology of the complex

$$0 \longrightarrow \text{Ext}_D^n(M, D) \otimes_D D^{s-b} \longrightarrow \dots \xrightarrow{\text{Id}_{\text{Ext}_D^n(M, D)} \otimes (\cdot N_0)} \text{Ext}_D^n(M, D) \otimes_D D^{s_0} \longrightarrow 0$$

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By definition the cohomology groups of this complex are the $\text{Tor}_D^j(\text{Ext}_D^n(M, D), N)$, which concludes the proof.

q.e.d.

Polynomial solutions

Suppose the left D -module M given by $M := D^{r_0}/D \cdot \{L_1, \dots, L_{r_1}\}$ for $L_1, \dots, L_{r_1} \in D^{r_0}$. Our aim is to construct the vector space $\text{Hom}_D(M, K[x])$. These homomorphisms can be identified with the subset of $K[x]^{r_0}$ of all elements that are annihilated by $L_1, \dots, L_{r_1} \in D^{r_0}$.

Algorithm for polynomial solutions by duality

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- (3) Let $\pi_n: D^{s-n} \rightarrow \ker(\cdot\tau(M_{-n}))$ be a surjection and find the pre-image $\tau(P) := \pi_n^{-1}(\text{im}(\cdot\tau(M_{-n+1})))$.

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$$\begin{aligned}\tilde{\pi}: D^{s-n} &\rightarrow \ker(\cdot\tau(M_{-n}))/\text{im}(\cdot\tau(M_{-n+1})): \\ x &\mapsto \pi_n(x) + \text{im}(\cdot\tau(M_{-n+1})),\end{aligned}$$

whose kernel is exactly P . So we get a presentation

$$D^{s-n}/\tau(P) \cong \tau(\text{Ext}_D^n(M, D)).$$

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- (i) A \tilde{V} -adapted free resolution E^\bullet of $D^{s-n}/\tau(P)$ of length $n + 1$.
- (ii) Elements $g_1, \dots, g_k \in D^{s_0}$ whose images modulo $\text{im}(\tau(K[\underline{x}]) \otimes E^\bullet)$ form a **K**-basis for

$$\begin{aligned} H^0((D/\{\partial_1, \dots, \partial_n\} \cdot D \otimes_D^L (D^{s-n}/\tau(P)))[n]) \\ \cong H^0(D/\{\partial_1, \dots, \partial_n\} \cdot D \otimes_D E^\bullet) \end{aligned}$$

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(5) Lift the map π_n to a chain map $\pi_\bullet: E^\bullet \rightarrow \tau(\text{Hom}_D(X^\bullet, D))$ and denote the maps by $\pi_i: D^{s-i} \rightarrow D^{r-i}$.

- (6) Evaluate $\{\tau(\pi_0(g_1)), \dots, \tau(\pi_0(g_k))\} \subseteq (D/D \cdot \{\partial_1, \dots, \partial_n\})^{r_0}$ and write $\{R_1(\underline{x}), \dots, R_n(\underline{x})\}$ for these elements understood in $K[\underline{x}]^{r_0}$.

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- (7) Return $\{R_1(\underline{x}), \dots, R_n(\underline{x})\}$.

Example:

Let $n = 2$ and $M := D/D\langle u, v \rangle$ where

$$u = x_1\partial_1 + 2x_2\partial_2 - 5 \text{ and } v = \partial_1^2 - \partial_2.$$

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We resolve the module M by

$$X^\bullet : \quad 0 \longrightarrow D^1 \xrightarrow{\begin{pmatrix} -v & u+2 \end{pmatrix}} D^2 \xrightarrow{\begin{pmatrix} u \\ v \end{pmatrix}} D^1 \longrightarrow M \longrightarrow 0.$$

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On the other hand $K[x_1, x_2]$ can be resolved by the KOSZUL-complex

$$\mathcal{K}^\bullet : \quad 0 \longrightarrow D \xrightarrow{\cdot \begin{pmatrix} \partial_1 & \partial_2 \end{pmatrix}} D^2 \xrightarrow{\cdot \begin{pmatrix} \partial_2 \\ -\partial_1 \end{pmatrix}} D^1 \longrightarrow K[x_1, x_2] \longrightarrow 0.$$

By applying $\text{Hom}(-, D)$ to X^\bullet we get the complex

$$0 \longleftarrow D^1 \xleftarrow{\begin{pmatrix} -v & u+2 \end{pmatrix}} D^2 \xleftarrow{\begin{pmatrix} u \\ v \end{pmatrix}} D^1 \longleftarrow M \longleftarrow 0.$$

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The complex

$$\text{Ext}_D^2(M, D) \longleftarrow D^1 \xleftarrow{\begin{pmatrix} -v & u+2 \end{pmatrix}} D^2 \xleftarrow{\begin{pmatrix} u \\ v \end{pmatrix}} D^1 \longleftarrow M \longleftarrow 0$$

is exact.

$$\begin{array}{ccccc}
 & & K[x_1, x_2] & \xleftarrow{\cdot \begin{pmatrix} -v & u+2 \end{pmatrix}} & K[x_1, x_2]^2 & \xleftarrow{\begin{pmatrix} u \\ v \end{pmatrix} \cdot} & K[x_1, x_2] \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & D^1 & \xleftarrow{\cdot \begin{pmatrix} -v & u+2 \end{pmatrix}} & D^2 & \xleftarrow{\begin{pmatrix} u \\ v \end{pmatrix} \cdot} & D^1 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 \text{Ext}_D^2(M, D) & \xleftarrow{\cdot \begin{pmatrix} \partial_2 \\ -\partial_1 \end{pmatrix}} & & & & & \\
 & & D^2 & \xleftarrow{A_1} & D^4 & \xleftarrow{A_3} & D^2 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 \text{Ext}_D^2(M, D)^2 & \xleftarrow{\cdot \begin{pmatrix} \partial_1 & \partial_2 \end{pmatrix}} & & & & & \\
 & & D^1 & \xleftarrow{\begin{pmatrix} -v & u+2 \end{pmatrix} \cdot} & D^2 & \xleftarrow{\begin{pmatrix} u \\ v \end{pmatrix} \cdot} & D^1 \\
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 \end{array}$$

$$\begin{array}{ccccccc}
& & & \cdot \begin{pmatrix} -v & u+2 \\ & \end{pmatrix} & & \begin{pmatrix} u \\ v \end{pmatrix} \cdot & \\
& & & K[x_1, x_2] \longleftarrow & K[x_1, x_2]^2 \longleftarrow & K[x_1, x_2] & \\
& & & \uparrow & \uparrow & \uparrow & \\
& & & \cdot \begin{pmatrix} -v & u+2 \\ & \end{pmatrix} & & \begin{pmatrix} u \\ v \end{pmatrix} \cdot & \\
& & & D^1 \longleftarrow & D^2 \longleftarrow & D^1 & \\
& & & \uparrow & \uparrow & \uparrow & \\
& & & \cdot \begin{pmatrix} \partial_2 \\ -\partial_1 \end{pmatrix} & & A_2 & & \cdot \begin{pmatrix} \partial_2 \\ -\partial_1 \end{pmatrix} \\
& & & \text{Ext}_D^2(M, D) \longleftarrow & D^1 \longleftarrow & D^2 \longleftarrow & D^1 \\
& & & \uparrow & \uparrow & \uparrow & \uparrow \\
& & & \cdot \begin{pmatrix} \partial_1 & \partial_2 \\ & \end{pmatrix} & & A_1 & & \cdot \begin{pmatrix} \partial_1 & \partial_2 \\ & \end{pmatrix} \\
& & & \text{Ext}_D^2(M, D)^2 \longleftarrow & D^2 \longleftarrow & D^4 \longleftarrow & D^2 \\
& & & \uparrow & \uparrow & \uparrow & \uparrow \\
& & & \cdot \begin{pmatrix} \partial_1 & \partial_2 \\ & \end{pmatrix} & & A_4 & & \cdot \begin{pmatrix} \partial_1 & \partial_2 \\ & \end{pmatrix} \\
& & & \text{Ext}_D^2(M, D) \longleftarrow & D^1 \longleftarrow & D^2 \longleftarrow & D^1 \\
& & & & \cdot \begin{pmatrix} -v & u+2 \\ & \end{pmatrix} \cdot & \begin{pmatrix} u \\ v \end{pmatrix} \cdot &
\end{array}$$

where

$$A_1 = \begin{pmatrix} -v & u+2 & 0 & 0 \\ 0 & 0 & -v & u+2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} -v & u+2 \end{pmatrix}$$

$$A_2 = \begin{pmatrix} \partial_2 & 0 \\ 0 & \partial_2 \\ -\partial_1 & 0 \\ 0 & -\partial_1 \end{pmatrix} = \begin{pmatrix} \partial_2 \\ -\partial_1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$A_3 = \begin{pmatrix} u & 0 \\ v & 0 \\ 0 & u \\ 0 & v \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} u \\ v \end{pmatrix}$$

$$A_4 = \begin{pmatrix} \partial_1 & 0 & \partial_2 & 0 \\ 0 & \partial_1 & 0 & \partial_2 \end{pmatrix} = \begin{pmatrix} \partial_1 & \partial_2 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

With the integration algorithm we obtain the cohomology at the left D^1 in the last row of the augmented complex, which in this case is one-dimensional and spanned by

$$L_{1,0} = -(2x_1^5x_2 - 40x_1^3x_2^2 + 120x_1x_2^3)\partial_1 - (x_1^6 - 30x_1^4x_2 + 180x_1^2x_2^2 - 120x_2^3).$$

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By first mapping $L_{1,0}$ with $\cdot \begin{pmatrix} \partial_1 & \partial_2 \end{pmatrix}$, then taking the preimage we get $L_{1,1} \in D^4$ and repeating this we obtain

$$(x_1^5 - 20x_1^3x_2 + 60x_1x_2^2) = L_{1,2} \in D^1.$$

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The residue class of this element spans the space of polynomial solutions.

Rational solutions

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Now we consider the module $N[f^{-1}] = N \otimes_{K[\underline{x}]} K[\underline{x}][f^{-1}]$. The isomorphism from the first theorem we have seen earlier specializes to

$$\begin{aligned}\mathrm{Ext}_D^i(M, K[\underline{x}][f^{-1}]) &\cong \mathrm{Tor}_{n-i}^D(\mathrm{Ext}_D^n(M, D), K[\underline{x}][f^{-1}]) \\ &\cong \mathrm{Tor}_{n-i}^D(\mathrm{Ext}_D^n(M, D)[f^{-1}], K[\underline{x}]) \\ &\cong \mathrm{Tor}_{n-i}^D(D/\{\partial_1, \dots, \partial_n\} \cdot D, \tau(\mathrm{Ext}_D^n(M, D))[f^{-1}])\end{aligned}$$

Algorithm for computing dimensions of rational solution spaces

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We use the above isomorphism.

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Omitting the first and third step, the algorithm specializes to an algorithm for computing the dimension of $\text{Ext}_D^i(M, K[\underline{x}])$.

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Output: dimensions of $\text{Ext}_D^i(M, K[\underline{x}][f^{-1}])$

We use the above isomorphism.

- 1 Compute a polynomial f vanishing on the codimension 1 component of the singular locus of M .
- 2 Compute $\tau(\text{Ext}_D^n(M, D))$.
- 3 Compute the localization $\tau(\text{Ext}_D^n(M, D))[f^{-1}]$.
- 4 Use the integration algorithm to compute the derived integration $\text{Tor}_{n-i}^D(D/\{\partial_1, \dots, \partial_n\} \cdot D, \tau(\text{Ext}_D^n(M, D))[f^{-1}])$
- 5 Return the dimensions.

Omitting the first and third step, the algorithm specializes to an algorithm for computing the dimension of $\text{Ext}_D^i(M, K[\underline{x}])$.

With some slight modifications the algorithm for computing $\text{Hom}(M, K[\underline{x}])$ in the polynomial case can also be applied in this situation.

Holonomic solutions

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Assumptions:

M and N are holonomic D -modules. Let D_x be the n -th WEYL algebra on the variables x_1, \dots, x_n and derivations $\partial_1, \dots, \partial_n$, D_y the n -th WEYL algebra on y_1, \dots, y_n with derivations $\delta_1, \dots, \delta_n$.

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If X and Y are D_x - respectively D_y -modules, let $X \boxtimes Y$ denote the exterior product. As an additive group this equals tensor product of X and Y regarded as a $D_{2n} \cong D_x \otimes D_y$ module.

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By μ we define the isomorphism

$$\mu: D_{2n} \rightarrow D_{2n}: \left\{ \begin{array}{ll} x_i \mapsto \frac{1}{2}x_i - \delta_i & \partial_i \mapsto \frac{1}{2}y_i + \partial_i \\ y_i \mapsto \frac{1}{2}x_i - \delta_i & \delta_i \mapsto \frac{1}{2}y_i - \partial_i \end{array} \right\}_{i=1}^n,$$

and let Δ and Λ be the following right D_{2n} -modules

$$\Delta := D_{2n}/(\{x_i - y_i, \partial_i + \delta_i \mid 1 \leq i \leq n\} \cdot D_{2n})$$

$$\Lambda := D_{2n}/(x_1 D_{2n} + \cdots + x_n D_{2n} + y_1 D_{2n} + \cdots + y_n D_{2n})$$

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Combining those two and setting $M' = \text{Ext}_D^n(M, D)$ one obtains

$$\text{Ext}_D^i(M, N) \cong$$

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(1) Compute finite free resolutions X^\bullet and Y^\bullet of M and N , say

$$X^\bullet : 0 \rightarrow D^{r-a} \xrightarrow{\cdot M_{-a+1}} \dots \rightarrow D^{r-1} \xrightarrow{\cdot M_0} D^{r_0} \rightarrow M \rightarrow 0$$

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Dualize X^\bullet and apply the standard transposition to obtain

$$\tau(\text{Hom}_D(X^\bullet, D)) : 0 \leftarrow D^{r-a} \xleftarrow{\cdot \tau(M_{-a+1})} \dots \leftarrow D^{r-1} \xleftarrow{\cdot \tau(M_0)} D^{r_0} \leftarrow 0$$

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(2) Build the double complex $\tau(\text{Hom}_D(X^\bullet, D)) \boxtimes Y^\bullet$ (of left D_{2n} -modules) and let

$$Z^\bullet : 0 \leftarrow D_{2n}^{t_a} \xleftarrow{\cdot T_{a-1}} \dots \leftarrow D_{2n}^{t_0} \leftarrow \dots \leftarrow D_{2n}^{t_b} \leftarrow 0$$

be its total complex.

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$$\begin{aligned} H^0((D/\{\underline{x}, \underline{y}\} \cdot D \otimes_{D_{2n}}^L (D_{2n}^{u_n}/P))[n]) \\ \cong H^0(D/\{\underline{x}, \underline{y}\} \cdot D \otimes_{D_{2n}} E^\bullet) \end{aligned}$$

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(5) Lift π_n to a chain map $\pi_\bullet: E^\bullet \rightarrow \mu(Z^\bullet)$ with $\pi_i: D_{2n}^{u_i} \rightarrow D_{2n}^{t_i}$.

(6) Evaluate $\{L_1 = \mu^{-1}(\pi_0(g_1)), \dots, L_k = \mu^{-1}(\pi_0(g_k))\}$ and write each L_i as

$$\sum_j L_{i,j} \in \bigoplus_j D^{r-j} \boxtimes D^{s-j} = D_{2n}^{t_0}$$

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$$L_{i,0} = l_{i,1} e_1 + \dots + l_{i,r_0} e_{r_0} \in (D_y)^{s_0} e_1 \oplus \dots \oplus (D_y)^{s_0} e_{r_0}.$$

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Let $\{\overline{l_{i,1}}, \dots, \overline{l_{i,r_0}}\}$ be the images in $(D^{s_0}/N_0) \cong N$. Finally set $\varphi_i \in \text{Hom}_D(M, N)$ to be the map induced by

$$e_j \mapsto \overline{l_{i,j}}.$$

(7) Return $\{\varphi_1, \dots, \varphi_k\}$.