

Moonshine beyond the Monster

Michael H. Mertens

(joint work with M. Griffin and J. Duncan and K. Ono)

Max-Planck-Institut für Mathematik, Bonn

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Hong Kong University

- 1 Basics on Representation Theory and Finite Simple Groups
- 2 Monstrous Moonshine
 - Preliminaries
 - A connection between the Monster and modular functions
- 3 Umbral Moonshine
- 4 Thompson Moonshine
 - The conjecture
 - Rademacher sums
 - Integrality
 - Positivity
- 5 New Moonshine

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- $\chi : G \rightarrow \mathbb{C}, g \mapsto \chi(g) := \text{tr}(g|V) := \text{tr}(\rho(g))$ is called the **character** associated to ρ (or (V, ρ)).

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Theorem (I. Schur)

Let χ_i, χ_j be irreducible characters of G and $g, h \in G$. We have the following **Schur orthogonality relations**

$$\frac{1}{\#G} \sum_{[g] \in \text{Conj}(G)} \frac{\chi_i(g) \overline{\chi_j(g)}}{\#C_G(g)} = \delta_{i,j}, \quad \sum_{\chi \in \text{Irr}(G)} \chi(g) \overline{\chi(h)} = \delta_{[g],[h]} \#C_G(g).$$

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Example

- cyclic groups C_p for p prime.
- alternating groups A_n for $n \geq 5$.
- $\text{PSL}_n(\mathbb{F}_q)$ for $q \geq 4$ if $n = 2$ and all q for $n > 2$.
- finite groups of Lie type

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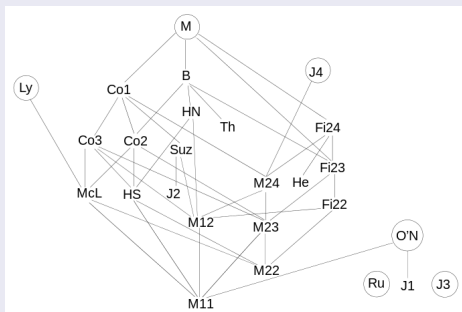
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By the Jordan–Hölder Theorem, finite simple groups form the building blocks of all finite groups.

Classification of finite simple groups

Theorem

A finite simple group G either belongs to one of 8 infinite families or is one of 26 **sporadic simple groups**,



Source: wikipedia

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Some properties of the Monster

- The largest of the 26 sporadic finite simple groups

The Monster group \mathbb{M}

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- $\#\mathbb{M} = 2^{46} \cdot 3^{20} \cdot 5^9 \cdot 7^6 \cdot 11^2 \cdot 13^3 \cdot 17 \cdot 19 \cdot 23 \cdot 29 \cdot 31 \cdot 41 \cdot 47 \cdot 59 \cdot 71 \approx 8.08 \cdot 10^{53}$

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- 194 conjugacy classes, hence 194 irreducible representations (over \mathbb{C}) with characters $\chi_1, \dots, \chi_{194}$

Reminder: $SL_2(\mathbb{R})$ acts on the upper half-plane \mathfrak{H} via

$$\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \tau \right) \mapsto \frac{a\tau + b}{c\tau + d}.$$

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Definition

Let $\Gamma \leq SL_2(\mathbb{R})$ be a discrete subgroup such that $\text{vol}(\Gamma \backslash \mathfrak{H}) < \infty$. A meromorphic function $f : \mathfrak{H} \rightarrow \widehat{\mathbb{C}}$ is called a **modular function** for Γ if

$$f(\gamma\tau) = f(\tau)$$

for all $\gamma \in \Gamma$, $\tau \in \mathfrak{H}$ (+growth condition at the boundary).

Modular forms and functions

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Definition

Let $\Gamma \leq SL_2(\mathbb{R})$ be a discrete subgroup such that $\text{vol}(\Gamma \backslash \mathfrak{H}) < \infty$. A holomorphic function $f : \mathfrak{H} \rightarrow \widehat{\mathbb{C}}$ is called a **(weakly holomorphic) modular form** of weight k for Γ if

$$f(\gamma\tau) = (c\tau + d)^k f(\tau)$$

for all $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$, $\tau \in \mathfrak{H}$ (+growth condition at the boundary). If $\text{Im}(\tau)^{\frac{k}{2}} f(\tau)$ is bounded on \mathfrak{H} , we call f a **cuspidal form**.

Facts

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Definition

Let Γ be as above such that $X(\Gamma)$ has genus 0 (+ mild extra conditions). A suitably normalized generator for the field of modular functions for Γ is called the **Hauptmodul** for Γ .

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The Jack Daniels problem

For $N \in \mathbb{N}$ let

$$\Gamma_0(N) := \left\{ \gamma \in \mathrm{SL}_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{N} \right\}$$

and

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Theorem (A. Ogg)

For p prime, the Riemann surface $X(\Gamma_0(p)^+)$ has genus zero if and only if $p \in \{2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 41, 47, 59, 71\}$.

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Question: Why is this so?

Monstrous Moonshine I

Dimensions of irreducible representations:

$$\chi_1(1) = 1, \quad \chi_2(1) = 196\,883, \quad \chi_3(1) = 21\,296\,876, \quad \chi_4(1) = 842\,609\,326.$$

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Hauptmodul for $\mathrm{SL}_2(\mathbb{Z})$ ($q := e^{2\pi i\tau}$):

$$\begin{aligned} J(\tau) &= j(\tau) - 744 = \frac{E_4(\tau)^3}{\Delta(\tau)} - 744 \\ &= \sum_{n=-1}^{\infty} j_n q^n = q^{-1} + 196\,884q + 21\,493\,760q^2 + 864\,299\,970q^3 + O(q^4). \end{aligned}$$

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$$j_1 = \chi_1(1) + \chi_2(1).$$

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Values of irreducible characters at other conjugacy classes.

$$\chi_1(2A) = 1, \quad \chi_2(2A) = 4371, \quad \chi_3(2A) = 91884, \quad \chi_4(2A) = 1139374.$$

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Definition

For a finite group G let $V = \bigoplus_n V_n$ be a graded G -module, where all graded components V_n are finite-dimensional. Then for each $g \in G$ we call the power series

$$\mathcal{T}_g(q) = \sum_n \text{tr}(g|V_n)q^n$$

the **McKay-Thompson series** of g with respect to V .

Monstrous Moonshine Conjecture

There is an infinite dimensional graded representation V^{\natural} of \mathbb{M} whose McKay-Thompson series are the 194 Hauptmoduln found by Conway–Norton.

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Theorem (R. E. Borcherds, 1992)

The Moonshine module V^{\natural} is a **vertex operator algebra** constructed by Frenkel–Lepowsky–Meurman, whose automorphism group is isomorphic to \mathbb{M} .

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The Umbral groups

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For a Niemeier lattice L , its **Umbral Group** G^L is defined as

$$G^L := \text{Aut}(L)/\text{Weyl}(L).$$

Examples: $G^{A_1^{24}} = M_{24}$, $G^{A_2^{12}} = M_{12}$.

Observation (Eguchi–Ooguri–Tachikawa, 2010)

Some dimensions of irreducible representations of M_{24} are multiplicities of superconformal algebra characters of the K3 elliptic genus, which are known to be coefficients of a (vector-valued) **mock theta function**.

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Theorem (T. Gannon, 2012)

There is an infinite-dimensional graded M_{24} -module whose McKay-Thompson series are specific (vector-valued) mock theta functions.

Umbral Moonshine Conjecture (Cheng–Duncan–Harvey, 2012)

For every Umbral Group G^L , there is an infinite-dimensional graded G^L -module whose McKay-Thompson series are specific (vector-valued) mock theta functions.

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Theorem (Duncan–Griffin–Ono, 2015)

The Umbral Moonshine conjecture is true.

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The Thompson group Th

Some properties of Th

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Dimensions of irreducible characters:

$$\begin{aligned}\chi_1(1) &= 1, & \chi_2(1) &= 248, & \chi_4(1) &= \chi_5(1) = 27\,000, \\ \chi_9(1) &= \chi_{10}(1) = 85\,995.\end{aligned}$$

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In terms of Zagier's basis of $M_{\frac{1}{2}}^{1,+}(\Gamma_0(4))$:

$$2f_3(\tau) + 248\vartheta(\tau) = 2q^{-3} + 248 + 54\,000q^4 - 171\,990q^5 + O(q^8).$$

Conjecture (J. A. Harvey & B. C. Rayhaun, 2015)

There is an infinite-dimensional graded Th -supermodule $W = \bigoplus_{m \equiv 0,1 \pmod{4}} W_m$, where $W_m = W_m^+ \oplus W_m^-$ has vanishing odd part if m is even and vice versa, whose McKay-Thompson series

$$\mathcal{T}_{[g]}(\tau) = 2q^{-3} + \sum_{\substack{m=0 \\ m \equiv 0,1 \pmod{4}}} \text{str}(g|W_m)q^m$$

are specific weakly holomorphic weight $\frac{1}{2}$ modular forms.

Theorem 1 (M. J. Griffin & M., 2016)

The Thompson Moonshine Conjecture is true. Moreover, for each $g \in Th$ there is a multiplier $\psi_{[g]}$ on $\Gamma_0(4|g|)$, s.t. $\mathcal{T}_{[g]}(\tau)$ is the unique form

$\mathcal{F}_{[g]} \in M_{\frac{1}{2}}^{!,+}(\Gamma_0(4|g|), \psi_{[g]})$ with

- $\mathcal{F}_{[g]}(\tau) = 2q^{-3} + \chi_2(g) + (\chi_4(g) + \chi_5(g))q^4 + O(q^5)$,
- $\mathcal{F}_{[g]}$ has a pole of order 3 (essentially) only at the cusp ∞ and vanishes at the other cusps.

- Take

$$\mathcal{F}_{[g]}(\tau) =: 2q^{-3} + \sum_{n=0}^{\infty} \alpha_{[g]}(n)q^n$$

Strategy of the proof

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BUT: There is a difference between 'finite' and 'feasible'.

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$$\Gamma_{K,K^2}(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N) : |c| < K, |d| < K^2 \right\}.$$

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For $\mu \in \mathbb{Z}$, $k \in \frac{1}{2}\mathbb{Z}$, and ψ a multiplier system for $\Gamma_0(N)$ of weight k , we define the **Rademacher sum**

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- Low-weight analogue of **Poincaré series**.
- Converges for $k \geq 1$, with regularization sometimes for $k < 1$.

Facts

Let $\mu < 0$.

- $R_{\psi,k}^{[\mu]}$ is a weight k mock modular form for $\Gamma_0(N)$ with multiplier ψ with shadow $R_{\bar{\psi},2-k}^{[-\mu]} \in S_{2-k}(\Gamma_0(N), \bar{\psi})$.

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“Definition”

A hol. function $f : \mathfrak{H} \rightarrow \mathbb{C}$ is called a **mock modular form** for $\Gamma_0(N)$ of weight k and multiplier ψ if there is a cusp form $g \in S_{2-k}(\Gamma_0(N), \bar{\psi})$ s.t.

$$\widehat{f}(\tau) := f(\tau) + \int_{-\bar{\tau}}^{\infty} \frac{\overline{g(\bar{z})}}{(z + \tau)^k} dz$$

transforms like a modular form. g is called the **shadow** of f , \widehat{f} is the corresponding **harmonic Maaß form**.

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Modularity

Let

$$Z_{\frac{1}{2},\psi}^{[\mu]} = R_{\frac{1}{2},\psi}^{[\mu]} | \text{pr},$$

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For $g \in Th$ we have

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- $\dim(S_{\frac{3}{2}}^+(\Gamma_0(4|g|), \bar{\psi}_{[g]})) = 0$ in most cases.
- In other cases: $f \in S_{\frac{3}{2}}^+(\Gamma_0(4|g|), \bar{\psi}_{[g]}) \Rightarrow f = O(q^4)$, so the shadow of Z is 0 by the Bruinier-Funke pairing.

□

Proposition 2

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Proof.

- 1 Serre-Stark basis theorem.
- 2 Multiply by a suitable cusp form and apply Sturm bound.



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- Propositions 1 & 2 yield: \mathcal{F}_{χ_j} is a weakly hol. modular form of weight $\frac{1}{2}$ of level N_{χ_j} with rational coefficients
- Checking integrality naively by Sturm bound not feasible ($N_{\chi_1} = 2\,778\,572\,160$)

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- The $\mathcal{F}_{[g]}$ satisfy numerous congruences modulo powers of $p \mid \#Th$ (proved by Sturm bound argument, ~ 2000 coefficients to be checked at worst).



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Proof.

- The $\mathcal{F}_{[g]}$ satisfy numerous congruences modulo powers of $p \mid \#Th$ (proved by Sturm bound argument, ~ 2000 coefficients to be checked at worst).
- One can then verify directly that $m_j(n)$ are p -integral for all $p \mid \#Th$, hence by Proposition 2, the claim follows.



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Fact

Given convergence, Rademacher sums have a Fourier expansion whose coefficients are given in terms of infinite sums of **Kloosterman sums**

$$K_\psi(m, n, c) = \sum_{d \pmod{c}^*} \psi \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \right) e^{2\pi i \frac{m\bar{d} + nd}{c}}$$

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By the triangle inequality we have

$$m_j(n) \geq \frac{|\text{str}(1|W_n)|}{\#Th} - \sum_{[g] \neq 1A} \frac{|\text{str}(g|W_n)|}{\#C_{Th}(g)} |\chi_j(g)|.$$

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Show that from a certain point on, the **first** term dominates.

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The multiplicities $m_j(n)$ are all non-negative.

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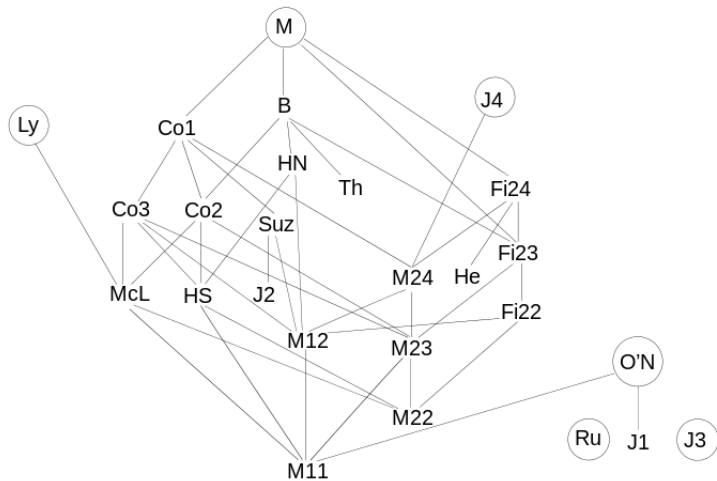
- Check rest by inspection.



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Finite simple groups



Source: wikipedia

Pariah Moonshine?

Observation

All known “Moonshine groups” so far are subquotients of \mathbb{M} .

Question: What about the pariahs?

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Observation (J. F. R. Duncan & M., 2016)

Zagier’s weight $\frac{3}{2}$ weakly holomorphic modular form g_4 :

$$-g_4 = -q^{-4} + 2 + 26\,752q^3 + 143\,376q^4 + 8\,288\,256q^7 + 26\,124\,256q^8 + O(q^{11}).$$

Some dimensions of irreducible representations of ON:

$$\chi_7(1) = 26\,752$$

$$\chi_1(1) = 1, \chi_{12}(1) = 58\,311, \chi_{18}(1) = 85\,064$$

Theorem (Duncan-M.-Ono, 2017)

There is a (virtual) infinite-dimensional graded ON-module

$$W := \bigoplus_{0 < m \equiv 0,3 \pmod{4}} W_m$$

whose associated McKay-Thompson series are specific **weight $\frac{3}{2}$** (mock) modular forms.

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Remark

We have that

$$\dim W_{163} = \frac{1}{2}(\alpha^2 + \alpha - 393768),$$

where

$$\alpha = \left[e^{\pi\sqrt{163}} \right] = [262537412640768743.99999999999999642\dots].$$

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- 1 If $-D < -8$ is even and $g_2 \in \text{ON}$ has order 2, then

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- ② If $p \in \{3, 5, 7\}$, $\left(\frac{-D}{p}\right) = -1$ and $g_p \in \text{ON}$ has order p , then

$$\dim W_D \equiv \text{tr}(g_p|W_D) \equiv \begin{cases} -24H(D) \pmod{3^2} & \text{if } p = 3, \\ -24H(D) \pmod{p} & \text{if } p = 5, 7. \end{cases}$$

ON “knows” about Selmer and Tate-Shafarevich groups I

Theorem 3 (Duncan–M.–Ono, 2017)

Assume BSD. If $p = 11$ or 19 and $-D < 0$ is a fundamental discriminant for which $\left(\frac{-D}{p}\right) = -1$, and $g_p \in \text{ON}$ has order p , then the following are true.

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Thank you for your attention.