Algorithms for polycyclic groups

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Let F be free group on a non-empty set X.

Group presentation: X and a set \mathcal{R} of words in X, written $\{X \mid \mathcal{R}\}$.

If *R* is the normal closure of \mathcal{R} in *F*, the group *G* defined by the presentation is F/R and is written $\langle X | \mathcal{R} \rangle$.

Example $G = \langle a, b | a^4, b^2, a^b = a^{-1} \rangle$ $H = \langle a, b | a^4, b^2 = a^2, a^b = a^{-1} \rangle$

What can we discover about the structure of G or H?

One area of substantial progress at algorithmic and computational level is in the study of particular quotients of G.

Examples include abelian, *p*-quotient, soluble quotients.

May discover that G infinite, by examining the invariants of its largest abelian quotient.

Can compute "useful" presentations for quotient Q of the group: those which have prime-power order, are nilpotent, or are soluble.

Central feature of these presentations is that they provide a solution to the *word problem* for Q:

Decide if two words in generators of Q represent the same element of Q.

- Abelian quotients.
- Polycyclic generating sequences: basic properties.
- Polycyclic presentations: consistency and collection.
- Constructing polycyclic presentations.
- Generating descriptions of *p*-groups.
- An application: SmallGroups.

Lemma

G/N abelian if and only if $N \ge G'$.

Largest abelian quotient of G is G/G'.

Structure of this abelian group can be determined fairly readily.

Definition

B is in Smith Normal Form if for some $k \ge 0$ the entries $d_i = B_{i,i}$ for $1 \le i \le k$ are positive, *B* has no other non-zero entries, and $d_i|d_{i+1}$ for $1 \le i \le k$.

$$B := \left(\begin{array}{rrrrr} 2 & 0 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 & 0 \\ 0 & 0 & 12 & 0 & 0 \end{array}\right)$$

is in Smith normal form.

- Abelianise the presentation of G by adding relations to make G abelian.
- **2** $G/G' \cong \mathbb{Z}^n/B$ where B is a subgroup of \mathbb{Z}^n .
- **3** Describe *B* by a matrix S(B).
- **4** To obtain the structure of \mathbb{Z}^n/B , we apply row-and-column operations to S(B) to convert it to *Smith normal form* S.
- **5** We read off abelian invariants of \mathbb{Z}^n/B from *S*.

Lemma



is an $m \times n$ matrix in Smith normal form with $m \le n$. Then

 $\mathbb{Z}^n/S(B)\simeq Z_{d_1}\oplus\cdots\oplus\mathbb{Z}_{d_k}\oplus\mathbb{Z}^s,$

where s = n - k.

$$G = \langle x, y, z | (xyz^{-1})^2, (x^{-1}y^2z)^2, (xy^{-2}z^{-1})^2 \rangle$$

Abelianise to obtain

$$G/G' = \langle x, y, z | (xyz^{-1})^2, (x^{-1}y^2z)^2, (xy^{-2}z^{-1})^2, xy = yx, xz = zx, yz = zy \rangle$$

Describe *B* by
$$S(B) = \begin{pmatrix} 2 & 2 & -2 \\ -2 & 4 & 2 \\ 2 & -4 & -2 \end{pmatrix}$$

Smith Normal form of $S(B)$ is $\begin{pmatrix} 2 & 0 & 0 \\ 0 & 6 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

Hence $G/G' \cong \mathbb{Z}_2 \times \mathbb{Z}_6 \times \mathbb{Z}$ and so it is infinite.

Polycyclic Groups

Definition

G is polycyclic if it has a descending chain of subgroups

$$G = G_1 \ge G_2 \ge \cdots \ge G_{n+1} = 1$$

in which $G_{i+1} \triangleleft G_i$, and G_i/G_{i+1} is cyclic. Such a chain of subgroups is called a *polycyclic series*.

Polycyclic groups: solvable groups in which every subgroup is finitely generated.

Example

$$G = Alt(4) = \langle (1,3)(2,4), (1,2)(3,4), (1,2,3) \rangle \text{ where } V = \langle (1,3)(2,4), (1,2)(3,4) \rangle \lhd G \text{ and } \mathbb{Z}_2 = \langle (1,3)(2,4) \rangle \lhd V.$$

So Alt(4) $\triangleright V \triangleright \mathbb{Z}_2$.

Polycyclic sequences

Let G be polycyclic with polycyclic series

$$G=G_1\geq G_2\geq \cdots \geq G_{n+1}=1.$$

Since G_i/G_{i+1} is cyclic, there exist $x_i \in G$ with $\langle x_i G_{i+1} \rangle = G_i/G_{i+1}$ for every $i \in \{1, \ldots, n\}$.

Definition

 $X = [x_1, \ldots, x_n]$ such that $\langle x_i G_{i+1} \rangle = G_i / G_{i+1}$ for $1 \le i \le n$ is a *polycyclic generating sequence* (PCGS) for G.

Definition

Let X be a PCGS sequence for G. $R(X) := (r_1, \ldots, r_n)$ defined by $r_i := |G_i : G_{i+1}| \in \mathbb{N} \cup \{\infty\}$ is the sequence of *relative orders* for X. Let $I(X) := \{i \in \{1 \ldots n\} \mid r_i \text{ finite}\}.$

X := [(1,2,3), (1,2)(3,4), (1,3)(2,4)] is PCGS for Alt(4) where R(X) = (3,2,2) and $I(X) = \{1,2,3\}.$

Relative orders exhibit information about G.

G is finite iff every entry in R(X) is finite or, equivalently iff $I(X) = \{1 \dots n\}.$

If G is finite, then $|G| = r_1 \cdots r_n$, the product of the entries in R(X).

Let
$$G := \langle (1, 2, 3, 4), (1, 3) \rangle \cong D_8.$$

a) Let $G_2 := \langle (1, 2, 3, 4) \rangle \cong C_4.$
Then $G = G_1 \ge G_2 \ge G_3 = 1$ is polycyclic series for G .
 $X := [(1, 3), (1, 2, 3, 4)]$ and
 $Y := [(2, 4), (1, 4, 3, 2)]$ are PCGS defining this series.
 $R(X) = R(Y) = (2, 4)$ and $I(X) = I(Y) = \{1, 2\}.$
b) Let $G_2 := \langle (1, 2)(3, 4), (1, 3)(2, 4) \rangle \cong V$ and
 $G_3 := \langle (1, 3)(2, 4) \rangle \cong C_2.$
So $G = G_1 \ge G_2 \ge G_3 \ge G_4 = 1.$
 $X := [(2, 4), (1, 2)(3, 4), (1, 3)(2, 4)]$ and
 $Y := [(1, 2, 3, 4), (1, 2)(3, 4), (1, 3)(2, 4)]$ are polycyclic
sequences defining this series.
 $R(X) = R(Y) = (2, 2, 2)$ and $I(X) = I(Y) = \{1, 2, 3\}.$

Let $G := \langle a, b \rangle$ with

$$a:=\left(egin{array}{cc} -1 & 0 \ 0 & 1 \end{array}
ight)$$
 and $b:=\left(egin{array}{cc} -1 & -1 \ 0 & 1 \end{array}
ight).$

 $G \cong D_{\infty}$, the infinite dihedral group.

A polycyclic sequence for G is X := [a, ab] with relative orders $R(X) = (2, \infty)$ and $I(X) = \{1\}$.

Lemma

Let $X = [x_1, ..., x_n]$ be a polycyclic sequence for G with the relative orders $R(X) = (r_1, ..., r_n)$. For every $g \in G$ there exists a sequence $(e_1, ..., e_n)$, with $e_i \in \mathbb{Z}$ for $1 \le i \le n$ and $0 \le e_i < r_i$ if $i \in I(X)$, such that $g = x_1^{e_1} \cdots x_n^{e_n}$.

Proof.

Since $G_1/G_2 = \langle x_1 G_2 \rangle$, we find that $gG_2 = x_1^{e_1}G_2$ for some $e_1 \in \mathbb{Z}$. If $1 \in I(X)$, then $r_1 < \infty$ and we can choose $e_i \in \{0 \dots r_1 - 1\}$. Let $h = x_1^{-e_1}g \in G_2$.

By induction on the length of a polycyclic sequence, we can assume that we know expression of the desired form for *h*; that is, $h = x_2^{e_2} \cdots x_n^{e_n}$.

Hence $g = x_1^{e_1} x_2^{e_2} \cdots x_n^{e_n}$ as desired.

G = Alt(4) $X := [x_1 = (1, 2, 3), x_2 = (1, 2)(3, 4), x_3 = (1, 3)(2, 4)]$ is PCGS for G where R(X) = (3, 2, 2) and $I(X) = \{1, 2, 3\}$. $V = \langle x_2, x_3 \rangle$ and $H = \langle x_3 \rangle$. g = (1, 2, 4). $gV = x_1^2 V$ so $x_1^{-2}g = (1, 4)(2, 3) \in V$. Now v := (1, 4)(2, 3) satisfies $vH = x_2H$, so $x_2^{-1}v = (1,3)(2,4) \in H$. Hence $x_2^{-1}v = x_3$ so $v = x_2x_3$. Hence $g = x_1^2 x_2 x_3$.

Definition

The expression $g = x_1^{e_1} \cdots x_n^{e_n}$ is the normal form of $g \in G$ with respect to X.

The sequence $\exp_X(g) := (e_1, \ldots, e_n)$ is the *exponent vector* of g with respect to X.

Can define an injective map $G \to \mathbb{Z}^n : g \mapsto \exp_X(g)$ from G into the additive group of \mathbb{Z}^n . This is *not* a group homomorphism!

Exponent vectors of elements of G can be used to describe relations for G in terms of X.

Lemma

Let $X = [x_1, ..., x_n]$ be a polycyclic sequence for G with relative orders $R(X) = (r_1, ..., r_n)$. a) Let $i \in I(X)$. The normal form of a power $x_{:}^{r_i}$ is $x_{i}^{r_{i}} = x_{i+1}^{a_{i,i+1}} \cdots x_{n}^{a_{i,n}}$ b) Let $1 \le i < i \le n$. The normal form of a conjugate $x_i^{-1}x_ix_j$ is $x_i^{-1}x_ix_i = x_{i+1}^{b_{i,j,j+1}} \cdots x_n^{b_{i,j,n}}$ c) Let $1 \le j < i \le n$. The normal form of a conjugate $x_i x_i x_i^{-1}$ is $x_i x_i x_i^{-1} = x_{i+1}^{c_{i,j,j+1}} \cdots x_n^{c_{i,j,n}}$

Definition

A presentation $\{x_1, \ldots, x_n \mid R\}$ is a *polycyclic presentation* if there is a sequence $S = (s_1, \ldots, s_n)$ with $s_i \in \mathbb{N} \cup \{\infty\}$ and integers $a_{i,k}, b_{i,j,k}, c_{i,j,k}$ such that R consists of the following relations:

$$\begin{array}{rcl} x_{i}^{s_{i}} &=& x_{i+1}^{a_{i,i+1}} \cdots x_{n}^{a_{i,n}} \text{ for } 1 \leq i \leq n \text{ with } s_{i} < \infty, \\ x_{j}^{-1} x_{i} x_{j} &=& x_{j+1}^{b_{i,j,j+1}} \cdots x_{n}^{b_{i,j,n}} \text{ for } 1 \leq j < i \leq n, \\ x_{j} x_{i} x_{j}^{-1} &=& x_{j+1}^{c_{i,j,j+1}} \cdots x_{n}^{c_{i,j,n}} \text{ for } 1 \leq j < i \leq n. \end{array}$$

We describe the presentation by $Pc\langle x_1, \ldots, x_n | R \rangle$. If G is defined by such a polycyclic presentation then G is a *PC-group*.

Every polycyclic group G has a polycyclic sequence X.

X induces a complete set of polycyclic relations.

The *power exponents* S of the presentation equal the relative orders R(X) in this case.

Theorem

Every polycyclic sequence determines a (unique) polycyclic presentation. Thus every polycyclic group can be defined by a polycyclic presentation.

Let $D_8 := \langle (1,3), (1,2,3,4) \rangle$ with polycyclic sequence X := [(1,3), (1,2,3,4)] and relative orders R(X) = (2,4).

Polycyclic presentation defined by X has generators x_1, x_2 , power exponents $s_1 = 2$ and $s_2 = 4$. Relations are $x_1^2 = 1$, $x_2^4 = 1$, $x_1x_2x_1^{-1} = x_2^3$ and $x_1^{-1}x_2x_1 = x_2^3$.

Example

S₄ has PCGS

$$X = [(3,4), (2,4,3), (1,3)(2,4), (1,2)(3,4)]$$

where R(X) = (2, 3, 2, 2).

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = x_2^3 = x_3^2 = x_4^2 = 1, x_2^{x_1} = x_2^2, \\ & x_3^{x_1} = x_3 x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3 x_4 \rangle \end{aligned}$$

Presentation to group?

Every polycyclic presentation defines a polycyclic group.

Theorem

Let G be group defined by $Pc\langle x_1, ..., x_n | R \rangle$ with power-exponents S. Then G is polycyclic and $X = [x_1, ..., x_n]$ is a polycyclic sequence for G. Its relative orders $(r_1, ..., r_n)$ satisfy $r_i \leq s_i$ for $1 \leq i \leq n$.

Proof.

Define $G_i := \langle x_i, \ldots, x_n \rangle \leq G$. The conjugate relations in Renforce that G_{i+1} is normal in G_i for $1 \leq i \leq n$. By construction, G_i/G_{i+1} is cyclic and hence G is polycyclic. Since $G_i = \langle x_i G_{i+1} \rangle$ by definition, X is a polycyclic sequence for G. Finally, the power relations enforce that $r_i = |G_i : G_{i+1}| \leq s_i$ for $1 \leq i \leq n$. \Box

Let *G* be defined by the following polycyclic presentation with power exponents $S = (3, 2, \infty)$.

$$\begin{split} \mathcal{G} &:= \mathsf{Pc}\langle \, x_1, x_2, x_3 \quad | \quad x_1^3 = x_3, \, x_2^2 = x_3, \\ & x_1^{-1} x_2 x_1 = x_2 x_3, \, x_1 x_2 x_1^{-1} = x_2 x_3 \, \rangle. \end{split}$$

Hence $X = [x_1, x_2, x_3]$ is a polycyclic sequence for *G* with relative orders $R(X) \le (3, 2, \infty)$.

But coset enumeration shows that the precise relative orders are R(X) = (3, 2, 1).

Hence the power exponents in a polycyclic presentation give an **upper bound** for the relative orders only. Cannot read off from the power exponents whether G is finite or infinite.

Equivalently: polycyclic presentations in which two **different** normal words represent the **same** element of the group.

Example

$$\begin{aligned} \mathsf{Pc} \langle x_1, x_2, x_3 & | & x_1^2 = x_2, x_2^2 = x_3, x_3^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = 1, [x_3, x_2] = 1 \end{aligned}$$

$$x_1x_2 = x_1x_1^2 = x_1^2x_1 = x_2x_1 = x_1x_2x_3.$$

Hence, not every element of the presented group has a unique normal form.

A polycyclic presentation in which every element is represented by exactly *one* normal word is *consistent*.

Equivalently: a polycyclic presentation $Pc\langle X | R \rangle$ with power exponents S is *consistent* if R(X) = S.

Effective algorithm to convert an inconsistent presentation to a consistent one.

Example

$$\mathcal{G}:=\mathsf{Pc}\langle\, x_1,x_2\mid x_1^3=1,\, x_2^2=1,x_2^{x_1}=x_2\rangle \text{ defines }\mathbb{Z}_6.$$

A method to determine the *normal form* for an element in a group given by a polycyclic presentation.

Lemma

Let $G = Pc\langle X | R \rangle$ be a polycyclic presentation with power exponents S. For every $g \in G$ there exists a word representing g of the form $x_1^{e_1} \cdots x_n^{e_n}$ with $e_i \in \mathbb{Z}$ and $0 \le e_i < s_i$ if $s_i < \infty$.

Definition

Let $G = \text{Pc}\langle X | R \rangle$. Write word w in X as a string $w = x_{i_1}^{a_1} \cdots x_{i_r}^{a_r}$ with $a_j \in \mathbb{Z}$. Assume that $i_j \neq i_{j+1}$ for $1 \leq j \leq r-1$ and $a_j \neq 0$ for $1 \leq j \leq r$.

- a) A word w is collected if $w = x_{i_1}^{a_1} \cdots x_{i_r}^{a_r}$ with $i_1 < i_2 < \cdots < i_r$ and $a_j \in \{1, \dots, s_j - 1\}$ if $s_j < \infty$. Otherwise w is uncollected.
- b) A word u in X is a minimal non-normal subword of the word w if u is a subword of w and it has one of the following forms:
 i) u = x_{ij}^{aj} · x_{ij+1} for i_j > i_{j+1},
 ii) u = x_{ij}^{aj} · x_{ij+1}⁻¹ for i_j > i_{j+1},
 iii) u = x_{ij}^{aj} · x_{ij+1}⁻¹ for i_j > i_{j+1},
 iii) u = x_{ij}^{aj} for r_{ij} ≠ ∞ and a_j ∉ {1...s_{ij}-1}.

Word is collected if and only if it does not contain a minimal non-normal subword.

Collected words

Example

 $G = S_4$ has PCGS

X = [(3,4), (2,4,3), (1,3)(2,4), (1,2)(3,4)]

where R(X) = (2, 3, 2, 2) and

$$G = \mathsf{Pc}\langle x_1, x_2, x_3, x_4 \mid x_1^2 = x_2^3 = x_3^2 = x_4^2 = 1, x_2^{x_1} = x_2^2, x_3^{x_1} = x_3 x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3 x_4 \rangle$$

.

Usually write *power-commutator* presentation.

$$\begin{aligned} \mathsf{Pc}\langle x_1, \dots, x_n \mid x_i^p &= \prod_{k=i+1}^n x_k^{\alpha(i,k)}, \ 0 \le \alpha(i,k)$$

Let G be D_{16}

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

Normal form for elements of G is

$$x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3} x_4^{\alpha_4}$$

where $0 \leq \alpha_i \leq 1$.

Every element of a *p*-group presented by a power-commutator presentation on $X := \{x_1, \ldots, x_n\}$ can be written as normal word

 $x_1^{\alpha_1}x_2^{\alpha_2}\dots x_n^{\alpha_n}$

where $0 \leq \alpha_i < p$.

Collection: introduced by P. Hall (1934), in the context of nilpotent groups.

Consider collection in context of all semigroup words on X. Inverses of words may be ignored since they can be eliminated using the power relations.

The input to the process is a word, w.

- ▶ If *w* is normal the process terminates.
- If w is not normal, it has a minimal non-normal subword u, where

$$u = x_i^p$$
 or $u = x_j x_j$

and
$$1 \leq i < j \leq n$$
.

Now replace u by

$$\prod_{k=i+1}^n x_k^{\alpha(i,k)} \qquad \text{or} \qquad x_i x_j \prod_{k=j+1}^n x_k^{\beta(i,j,k)},$$

where $0 \leq \alpha(\ldots), \beta(\ldots) < p$, respectively.

Resulting word, *w*, is now input to the process.

Replacement of minimal non-normal subwords by their normal equivalents results in the construction of a normal word from an arbitrary word.

Theorem

Collection terminates.

Proof uses induction on |X|: $w \mapsto x_1 v$.

If w contains more than one minimal non-normal subword, a rule is used to determine which of the subwords is replaced by its normal equivalent, thereby ensuring that the process is well defined.

Collection strategies

- Collection to the left all occurrences of x₁ are moved left to the beginning of the word. Next, all occurrences of x₂ are moved left until they are adjacent to the x₁'s. etc.
 P. Hall (1934).
- Collection from the right the minimal non-normal subword occurring nearest the end of a word is selected for replacement. Havas & Nicholson (1976).
- Collection from the left the minimal non-normal subword nearest the beginning of a word is chosen for collection.

Leedham-Green & Soicher (1990); Vaughan-Lee (1990).

Efficiency of the collection process is affected by the rule.

Collection from the left: most efficient.

Consider D_{16} .

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4 \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

Suppose we collect $x_3x_2x_1$.

"To the left"

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

3 <u>21</u>	=	<u>31</u> 23
	=	13 <u>42</u> 3
	=	1 <u>32</u> 43
	=	123 <u>43</u>
	=	12 <u>33</u> 4
	=	12 <u>44</u>
	=	12
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"From the right"

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

3 <u>21</u>	=	<u>31</u> 23
	=	13 <u>42</u> 3
	=	132 <u>43</u>
	=	1 <u>32</u> 34
	=	12 <u>33</u> 4
	=	12 <u>44</u>
	=	12
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"From the left"

$$\begin{aligned} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

 $G = S_4$

$$\begin{array}{rll} \mathsf{Pc}\langle x_1, x_2, x_3, x_4 & | & x_1^2 = x_2^3 = x_3^2 = x_4^2, x_2^{x_1} = x_2^2, \\ & x_3^{x_1} = x_3 x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3 x_4 \rangle \end{array}$$

 $x_3x_2x_1\mapsto x_1x_2^2x_3$

- ▶ 11 steps using "To the left".
- ▶ 5 steps using "From the left".

Given a consistent power-commutator presentation, the set of elements of G can be regarded as the set of normal words and the group multiplication is defined by collection:

the product of two normal words is the word which results from collecting their concatenation.

Order of G is the number of normal words.